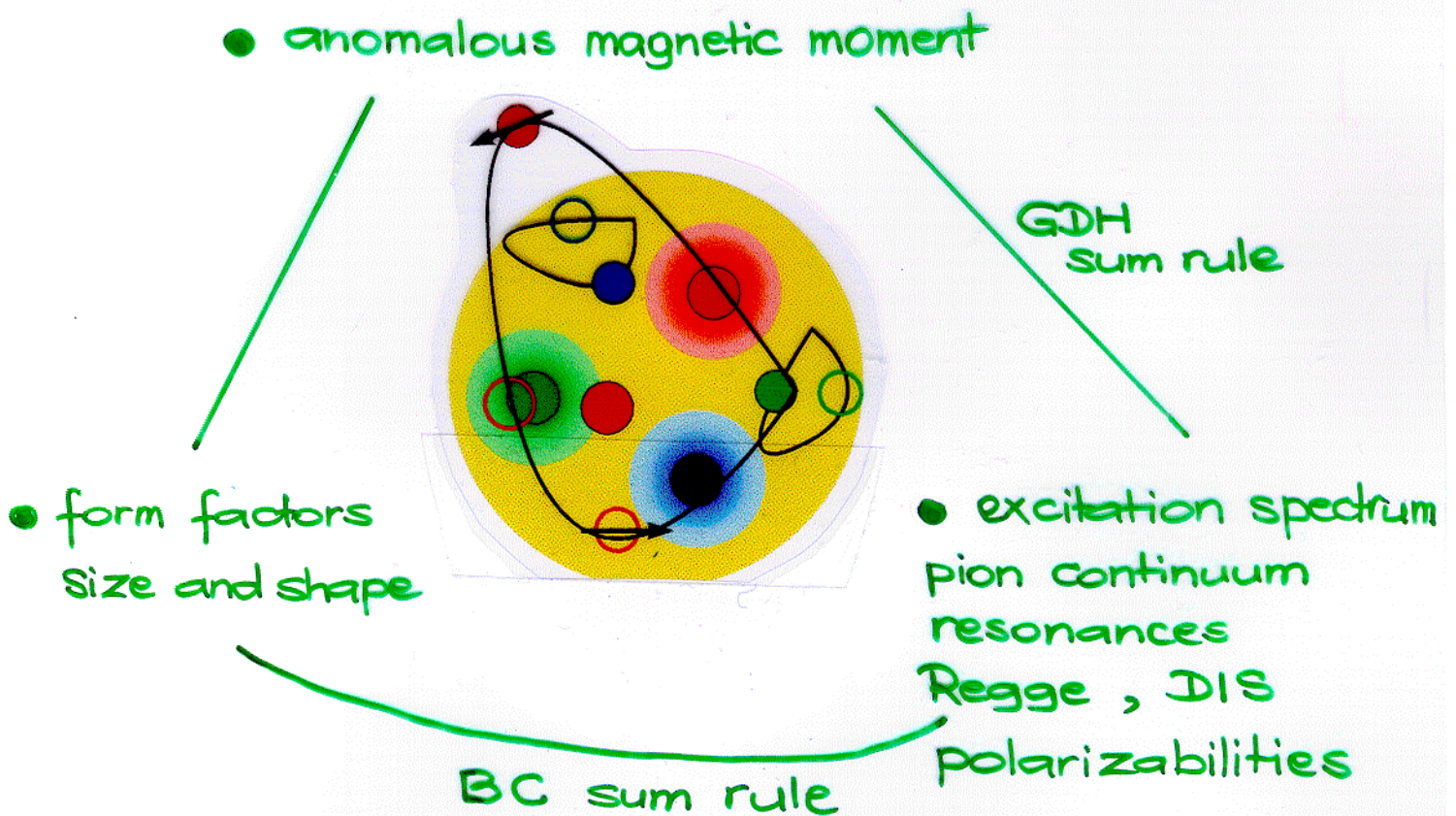


THE GDH SUM RULE AND THE NUCLEON'S SPIN STRUCTURE IN THE RESONANCE REGION



$$\int_{\nu_0}^{\infty} \frac{\sigma_{3/2}(\nu) - \sigma_{1/2}(\nu)}{\nu} d\nu = \frac{\pi e^2 \kappa^2}{2M^2}$$

helicity difference of photoabsorption } ↔ { anomalous magn. moment κ

ANOMALOUS MAGNETIC MOMENTS



(Untersuchungen zur Molekularstrahlmethode aus dem Institut für physikalische Chemie der Hamburgischen Universität. Nr. 24.)

Über die magnetische Ablenkung von Wasserstoffmolekülen und das magnetische Moment des Protons. I.

Von R. Frisch und O. Stern in Hamburg.

Mit 12 Abbildungen. (Eingegangen am 27. Mai 1933.)

Strahlen aus Wasserstoffmolekülen wurden nach der Methode von Gerlach und Stern magnetisch abgelenkt und so ihr magnetisches Moment bestimmt. Die Messungen an Parawasserstoff ergaben das von der Rotation des Moleküls herrührende magnetische Moment zu etwa 1 Kernmagneton ($1/1840$ Bohrmagneton) pro Rotationsquant. Die Messungen an Orthowasserstoff ergaben das magnetische Moment des Protons zu 2 bis 3 Kernmagnetonen (nicht 1 Kernmagneton, wie bisher vermutet wurde).

- 1933 R. Frisch & O. Stern, Zs. f. Phys. 85

$$\mu_p = (2-3) \frac{e\hbar}{2m_p c}$$

- 1938 Fröhlich, Heitler, Kemmer pion cloud ?
- 1946 Pauli, 1950-54 Nakabayasi et al.

$$\kappa_p = 0.45 + 5.09 \pm \dots \neq 1.79$$

$$\kappa_n = -3.39 - 2.65 \pm \dots \neq -1.91$$

1-loop 2-loop

- 1960's Lipkin, Morpurgo & Becchi

$SU(3)_{\text{color}} \otimes SU(6)_{\text{spin-flavor}}$

quarks!

$$\kappa_p / \kappa_n = -1 \approx 0.937 \text{ (exp't)}$$

- 1980's SLAC, EMC ... 1990's SMC, SLAC, ...

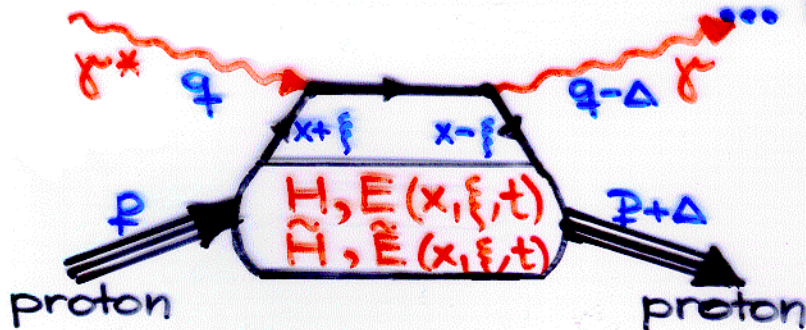
$$\Gamma_1^p = 0.118 \pm 0.004 \pm 0.007 \neq 0.185 \text{ (Ellis-Jaffe)}$$

only $(30 \pm 7)\%$ of spin carried by quarks!

- semi-inclusive reactions, e.g. DVCS

2000's HERMES, COMPASS, JLAB

... EIC, ELFE



GPD's separate individual contributions to nucleon spin: quark, orbital, gluon

$$\frac{1}{2} = \frac{1}{2} \Delta\Sigma + L_q + J_g$$

MAGNETIC MOMENTS FROM QCD

- plethora of "QCD inspired" models
new developments → D.O. Riska "Relativistic kinematics"
- chiral effective field theory / ChPT

$$\kappa_V = \kappa_V^0 - \frac{g_A^2 m_\pi M_N}{4\pi f_\pi^2} + f(m_\pi^2, \log(m_\pi/\dots), M_\Delta - M_N)$$

$$\kappa_S = \kappa_S^0 - 8E_2 M_N m_\pi^2 + \dots$$

& LEC's "SSE"

Counterterms

LEC's to be fixed to exp't

NO absolute prediction

BUT functional dependence on m_π

- lattice

e.g. M. Göckeler et al., QCDSF Collaboration
hep-lat/0303019

e.m. form factors in quenched lattice QCD

(non-perturbatively improved Wilson loops)

extrapolation to $m_\pi(\text{exp't})$ by ChPT

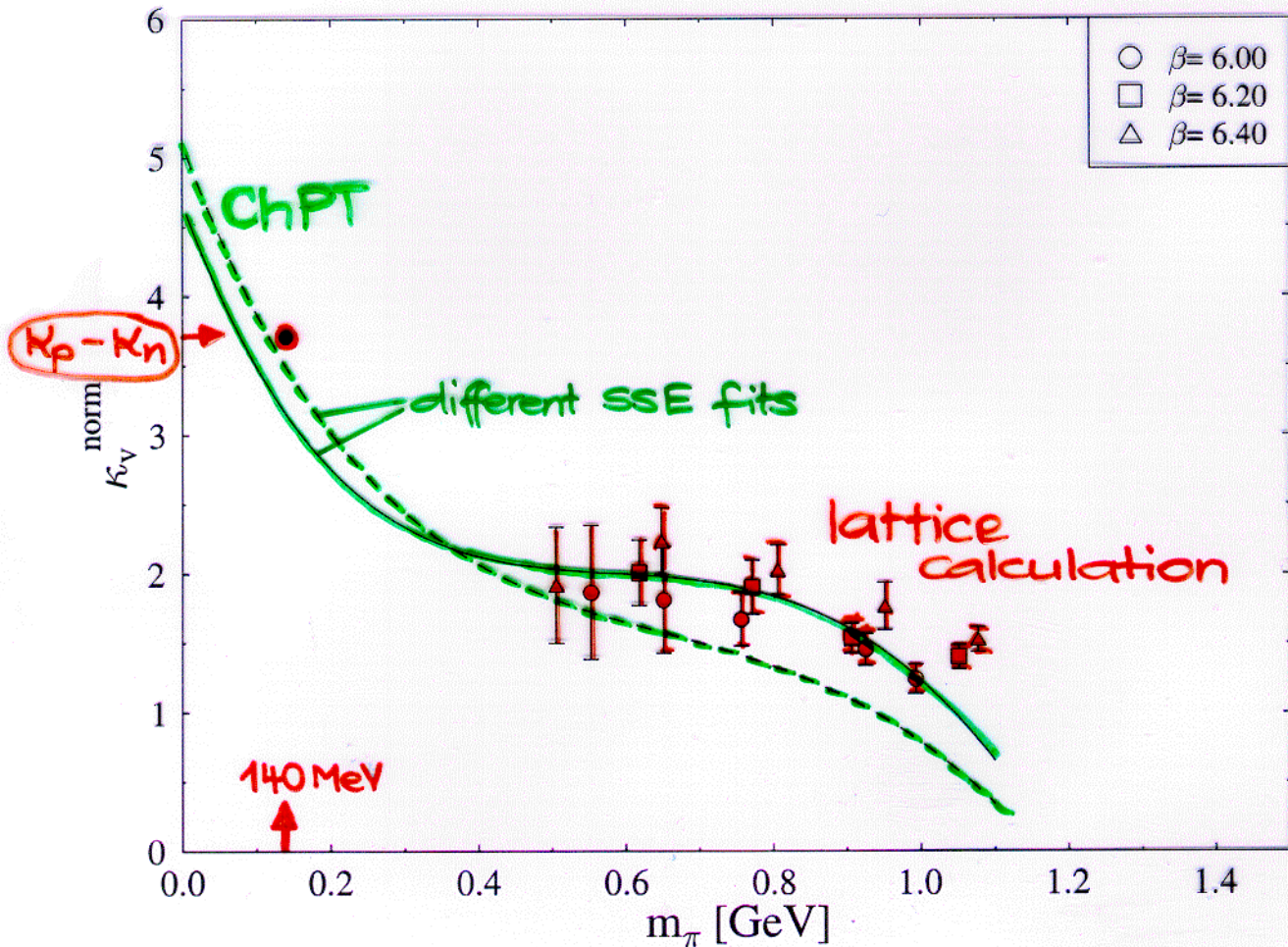
Figs. : (4) μ_V (linear fit) = 3.7 \neq 4.71 (exp't)

(8) μ_V (SSE) quite different extrapolation to physical pion mass!

(13) μ^p/μ^n (chiral extrapolation)

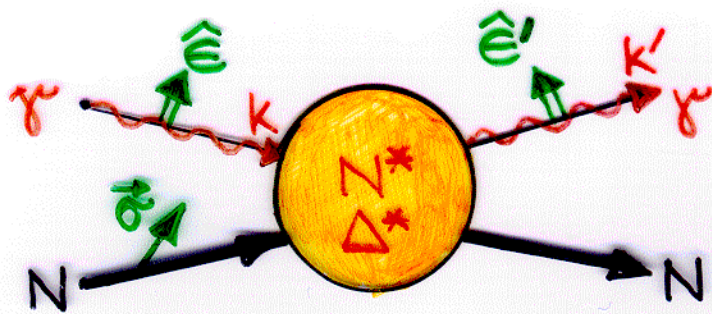
- "pion mass" $\gtrsim 500$ MeV still too large to see chiral effects on lattice
- extension of ChPT to such masses introduces more LEC's

ISOVECTOR MAGN. MOMENT



M. Göckeler et al., hep-lat/0303019
Th. Hemmert (ChPT aspects)

COMPTON SCATTERING

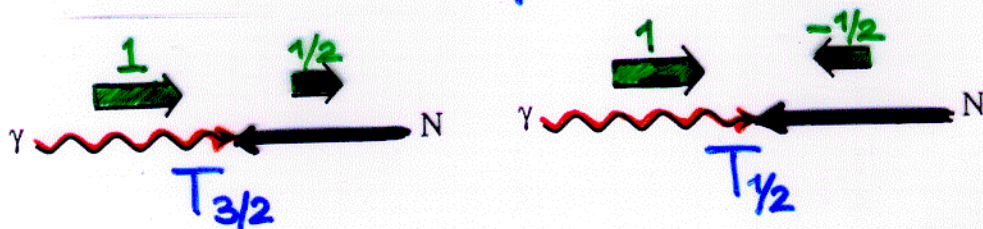


Crossing symmetry ($k \leftrightarrow -k'$, $\epsilon \leftrightarrow \epsilon'$)

photon lab energy $\nu = k_0^{\text{lab}}$

Forward Compton Amplitude

$$T(\nu) = \hat{\epsilon}' \cdot \hat{\epsilon} f(\nu) + i \vec{\sigma} \cdot (\hat{\epsilon}' \times \hat{\epsilon}) g(\nu)$$



$$\rightarrow f(\nu) = \frac{1}{2}(T_{1/2} + T_{3/2}), \quad g(\nu) = \frac{1}{2}(T_{1/2} - T_{3/2})$$

Low Energy Theorem

Low, Gell-Mann, Goldberger (1954)

$$4\pi f(\nu) = -\frac{e^2}{m} + 4\pi(\alpha + \beta)\nu^2 + [\nu^4] \quad \text{even}$$

$$4\pi g(\nu) = -\frac{e^2 k^2}{2m^2}\nu + 4\pi\gamma_0\nu^3 + [\nu^5] \quad \text{odd}$$

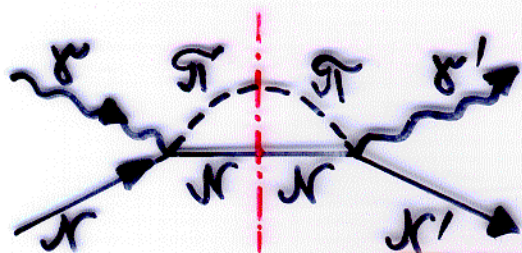
global properties
(e, k, m)

internal properties
(polarizabilities)

• LET = Xing + gauge inv. + Lorentz inv.

DISPERSION RELATIONS

- causality / analyticity, unitarity / opt. theorem, crossing



$$\text{Im } T_{\gamma\gamma'} \sim |T_{\gamma\pi}|^2 \sim \sigma_{\gamma\pi}$$

"optical theorem"

$$4\pi f(\nu) = -\frac{e^2}{m} + \frac{1}{\pi} \int_{\nu_0}^{\infty} \frac{\sigma_{1/2} + \sigma_{3/2}}{\nu'^2} d\nu' \cdot \nu^2 + [\nu^4]$$

$$\frac{4\pi}{\nu} g(\nu) = \frac{1}{\pi} \int_{\nu_0}^{\infty} \frac{\sigma_{1/2} - \sigma_{3/2}}{\nu'} d\nu' \cdot \nu + \frac{1}{\pi} \int_{\nu_0}^{\infty} \frac{\sigma_{1/2} - \sigma_{3/2}}{\nu'^3} d\nu' \cdot \nu^3 + [\nu^5]$$

- LET + DR = SUM RULES

Baldin
(1960)

$$\alpha + \beta = \frac{1}{4\pi^2} \int_{\nu_0}^{\infty} d\nu \frac{\sigma_{1/2}(\nu) + \sigma_{3/2}(\nu)}{\nu^2}$$

GDH
(1966)

$$-\frac{\pi e^2 \kappa^2}{2m^2} = \int_{\nu_0}^{\infty} d\nu \frac{\sigma_{1/2}(\nu) - \sigma_{3/2}(\nu)}{\nu}$$

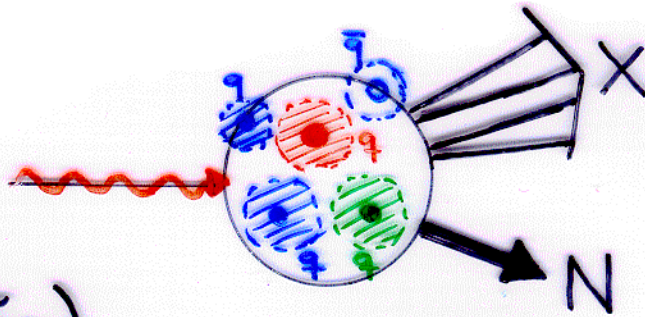
FSP
(1954)

$$\delta_0 = \frac{1}{4\pi^2} \int_{\nu_0}^{\infty} d\nu \frac{\sigma_{1/2}(\nu) - \sigma_{3/2}(\nu)}{\nu^3}$$

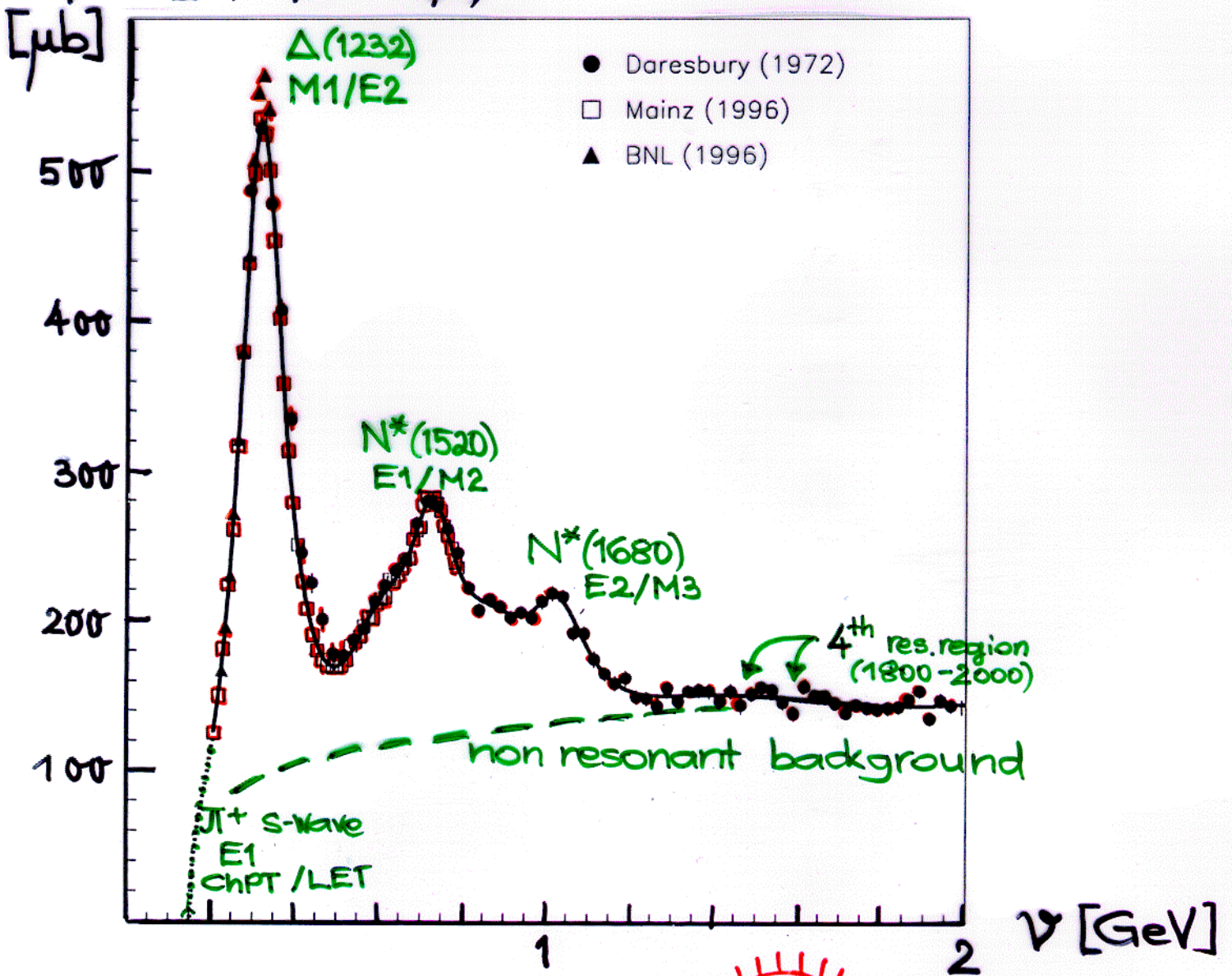
Gell-Mann, Goldberger, Thirring

- Compton scattering: incoming photon deforms the system, outgoing photon analyzes system in the presence of e.m. field
- In general 6 structure functions (2 scalar + 4 vector) forward ($\theta=0$): scalar $f \rightarrow \alpha + \beta$, vector $g \rightarrow \delta_0$

TOTAL PHOTOABSORPTION



$$\sigma_T = \frac{1}{2} (\sigma_{1/2} + \sigma_{3/2})$$



$$-\frac{e^2}{m} \neq \frac{2}{\pi} \int_{\nu_0}^{\infty} \sigma_T(\nu) d\nu$$



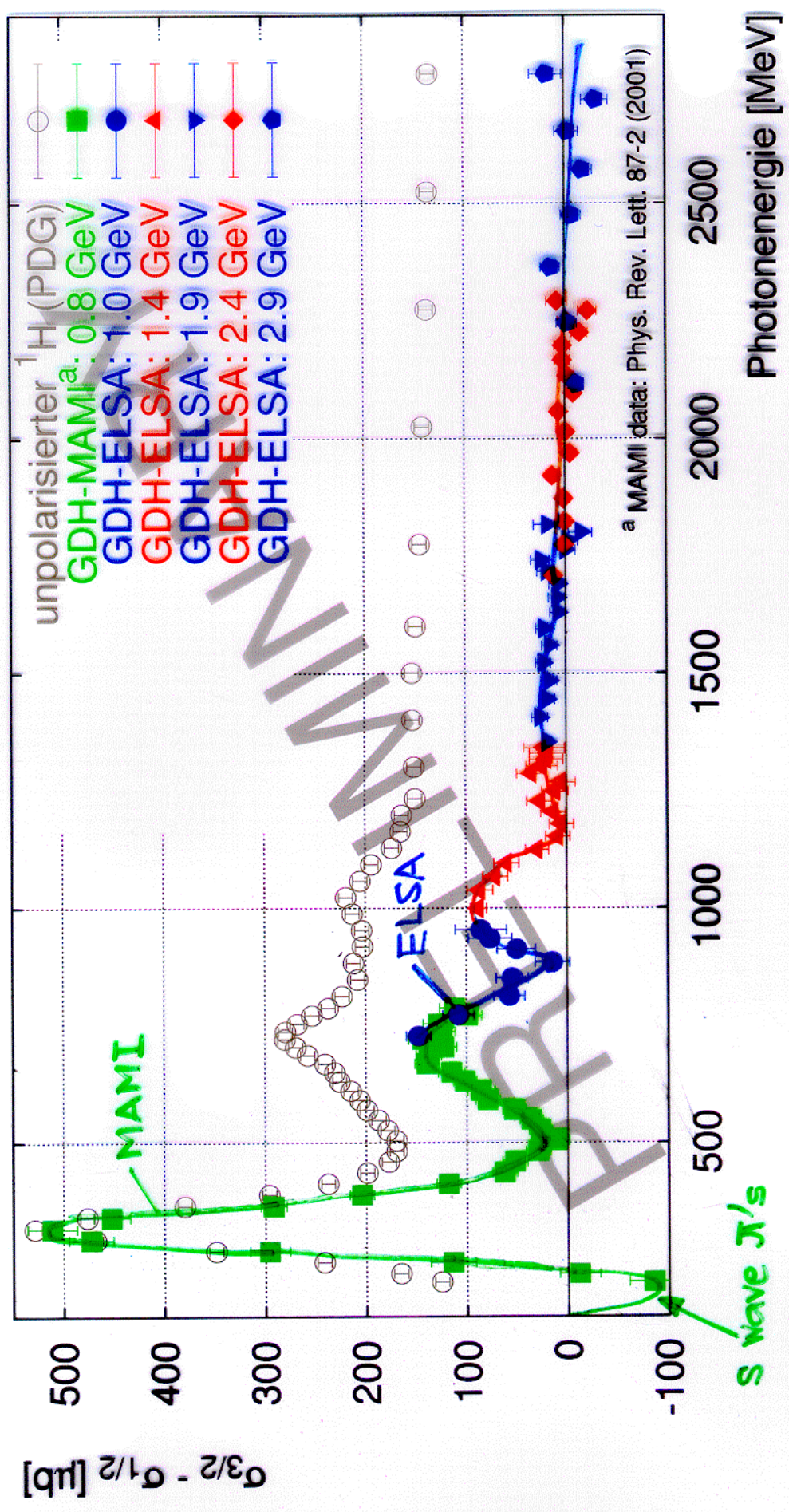
$$\alpha + \beta = \frac{1}{2\pi^2} \int_{\nu_0}^{\infty} \frac{\sigma_T(\nu)}{\nu^2} d\nu$$



Baldin ✓

$$\sigma_{3/2}(\gamma) - \sigma_{1/2}(\gamma) [\mu\text{b}]$$

Polarisierte totale Wirkungsquerschnittsdifferenz am Proton



GDH FOR PROTON

$$\int_{\nu_0}^{\infty} \frac{\sigma_{3/2}^p - \sigma_{1/2}^p}{\nu} d\nu \stackrel{?}{=} 204 \mu\text{b}$$

[μb]

threshold region (150-200)	-27.5 ± 3.0	LET/ChPT DR, MAID, SAID
1 st and 2 nd res. (200-800)	+226 ± 5 ± 12	GDH @ MAMI
2 nd res. - 4 th res. (800-2800)	+28.5 ± 2.1 ± 1.2	GDH @ ELSA
$\nu_0 \leq \nu \leq 2.8 \text{ GeV}$	<u>227 ± 14</u>	

? Regge asymptotics -13.5 ± 5 { Bianchi, Thomas (1999)
Simula (2002)
Helbing (2003)

$$I_{\text{GDH}}^p = \underline{\underline{213.5 \pm 15 \mu\text{b}}} \checkmark$$

- SLAC E159 $\nu = 5 - 40 \text{ GeV}$ check Regge!
- JLab "quasireal", a few GeV @ small Q^2

NEUTRON PUZZLE

1 π component: $p \rightarrow 180$, $n \rightarrow 140$ = 40

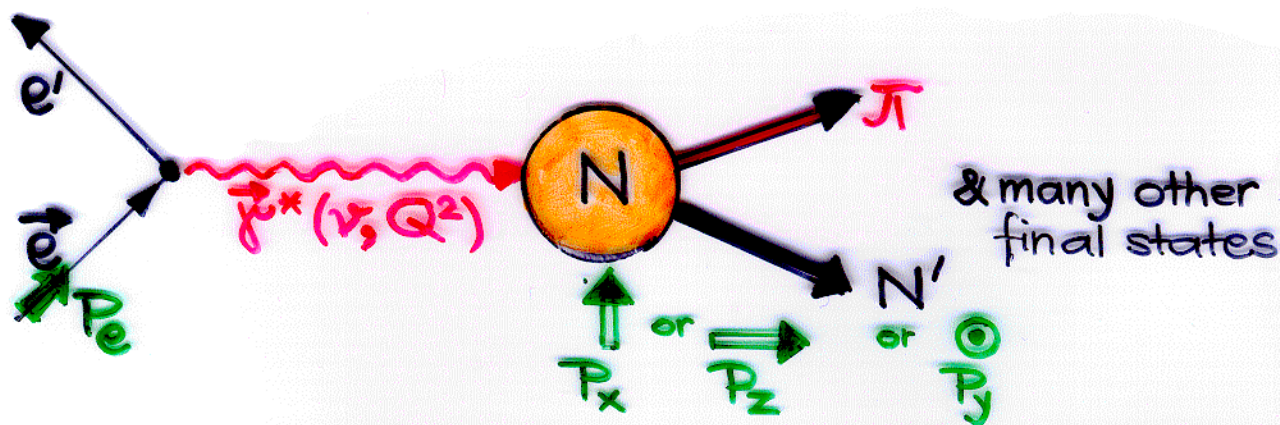
2 π " about the same

Regge asymptotics: sign change +25

$$I_{\text{GDH}}^n \lesssim 200 \mu\text{b} < 233 \mu\text{b} \text{ (sum rule)}$$

- data from GDH @ ELSA + MAMI forthcoming
- neutron in d , ^3He : mixing of nuclear and nucleonic excitations. Further studies at LEGS, TUNL: study helicity structure below π threshold final state interactions effect multipole analysis?! coherent π production, Δ induced nucleon break-up

VIRTUAL PHOTONS



$$\frac{d^5\sigma}{d\Omega_{e'} dE_{e'} d\Omega_{\pi}} = \Gamma_V \frac{d\sigma^V}{d\Omega_{\pi}}, \quad \Gamma_V = \frac{\alpha_{em}}{2\pi^2} \frac{E'}{E} \frac{K}{Q^2} \frac{1}{1-\epsilon}$$

$$\frac{d\sigma^V}{d\Omega_{\pi}} = \frac{d\sigma_T}{d\Omega_{\pi}} + \epsilon \frac{d\sigma_L}{d\Omega_{\pi}} + \epsilon \frac{d\sigma_{TT}}{d\Omega_{\pi}} \cos 2\phi_{\pi} + \sqrt{2\epsilon(\epsilon+1)} \frac{d\sigma_{LT}}{d\Omega_{\pi}} \cos \phi_{\pi}$$

+ 14 other structures involving \vec{P}_e and \vec{P}_N

- super "Rosenbluth plot" by varying $\epsilon, \phi_{\pi}, \vec{P}_e, \vec{P}_N$
- $\frac{d\sigma_i}{d\Omega_{\pi}} = f_i(\nu, Q^2, \theta_{\pi}) \rightarrow$ angular distribution yields multipoles $M_{L\pm}^{\pm}(\nu, Q^2)$

• $\sum_{\text{channels}} \int d\Omega_{\pi} \dots \rightarrow$ inclusive (e, e')

$$\frac{d^3\sigma}{d\Omega_{e'} dE_{e'}} = \Gamma_V \left(\sigma_T + \epsilon \sigma_L + h P_z \sqrt{1-\epsilon^2} \sigma_{TT}' + h P_x \sqrt{2\epsilon(1-\epsilon)} \sigma_{LT}' \right)$$

$\{\sigma_T, \sigma_L, \sigma_{TT}', \sigma_{LT}'\} \Leftrightarrow \{F_1, F_2, g_1, g_2\}$

partial c.s.

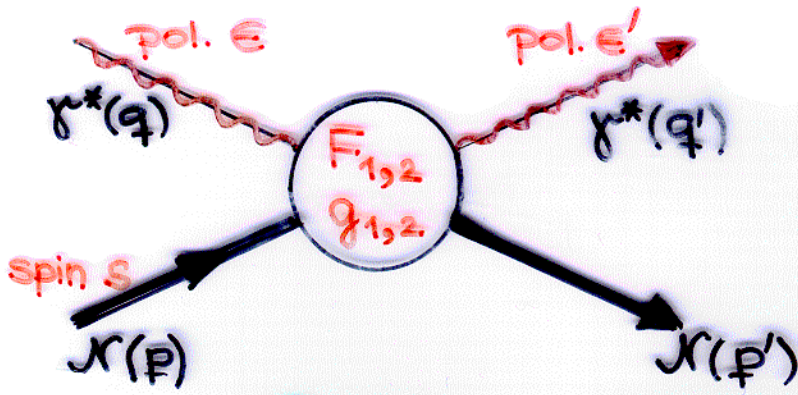
nucleon structure functions

$$\sigma_i(\nu, Q^2)$$

$$x = \frac{Q^2}{2m_p \nu}$$

$$g_i(x, Q^2) \xrightarrow{Q^2, \nu \rightarrow \infty} g_i(x)$$

VVCS



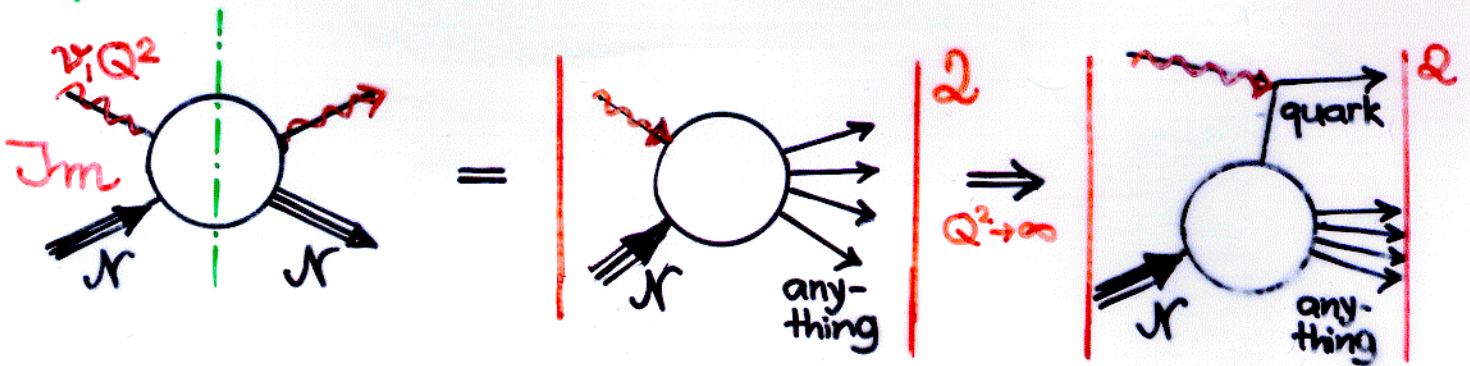
generalized GDH-integrals & polariz. to be analyzed in framework of double VCS: X. Ji and collab.

forward scattering

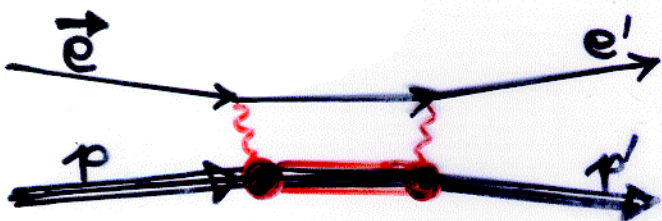
$$T(\nu, Q^2, \theta=0) = \epsilon_{\mu}^{i*} \epsilon_{\nu}$$

$$\otimes \left\{ (-g^{\mu\nu} + \frac{q^{\mu}q^{\nu}}{q^2}) T_1 + \frac{1}{p \cdot q} (p^{\mu} \dots) (p^{\nu} \dots) T_2 + \frac{i}{M} \epsilon_{\mu\nu\alpha\beta} q^{\alpha} (s^{\beta} S_1 + \frac{p \cdot q s^{\beta} - s \cdot q p^{\beta}}{M^2} S_2) \right\}$$

optical theorem



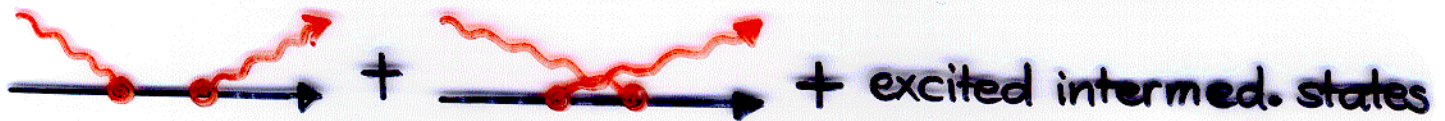
$$\begin{aligned} \text{Im } T_1 &= \frac{K}{4\pi} \sigma_T(\nu, Q^2) &= \frac{e^2}{4M} F_1(x_1, Q^2) \\ \text{Im } T_2 &= \frac{\nu Q^2 K}{M(\nu^2 + Q^2) 4\pi} (\sigma_T + \sigma_L) &= \frac{e^2}{4M} F_2 \\ \text{Im } S_1 &= \frac{\nu M K}{(\nu^2 + Q^2) 4\pi} (\sigma'_{TT} + \frac{Q}{\nu} \sigma'_{LT}) &= \frac{e^2}{4M} \frac{M}{\nu} g_1 \\ \text{Im } S_2 &= -\frac{M^2 K}{(\nu^2 + Q^2) 4\pi} (\sigma'_{TT} - \frac{\nu}{Q} \sigma'_{LT}) &= \frac{e^2}{4M} \frac{M^2}{\nu^2} g_2 \end{aligned}$$



single spin asymmetry e.m. forbidden to 1st order parity allowed

DR FOR VVCS

$$\text{Re } S_1(\nu, Q^2) = \text{Re } S_1^{\text{pole}}(\nu, Q^2) + \frac{2}{\pi} \mathcal{P} \int_{\nu_0}^{\infty} \frac{\nu' \text{Im } S_1(\nu', Q^2)}{\nu'^2 - \nu^2} d\nu'$$



$$S_1^{\text{Born}}(\nu, Q^2) = -\frac{\alpha_{\text{em}}}{2M} F_p^2(Q^2) - \frac{\alpha_{\text{em}}}{2M} \frac{Q^2}{\nu^2 - \nu_0^2 + i\epsilon} F_D(Q^2) (F_D(Q^2) + F_p(Q^2))$$

↑ regular, real, $\Rightarrow K^2$ for real γ
↑ 2 poles (s & u channel)
 Complex
 Vanishes for real γ

- Born term (pole term) is not a continuous function of Q^2 : $\lim_{\nu \rightarrow 0} \lim_{Q^2 \rightarrow 0} \neq \lim_{Q^2 \rightarrow 0} \lim_{\nu \rightarrow 0}$ [X. Ji et al.]
- inelastic contribution is continuous $f(Q^2)$
 \rightarrow generalization of GDH & related integrals
- continuation of quark structure functions to small Q^2 , $g_1(x) \rightarrow g_1(x, Q^2)$, requires to include the elastic contribution. For $Q^2 \rightarrow 0$, the elastic contributions dominate.

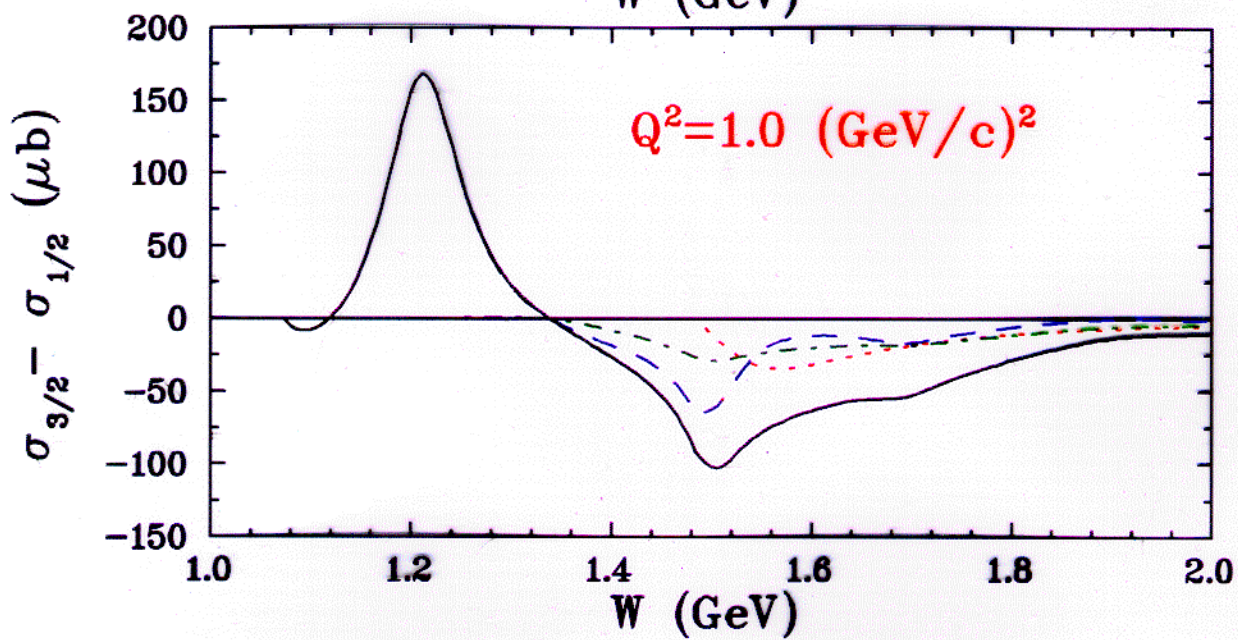
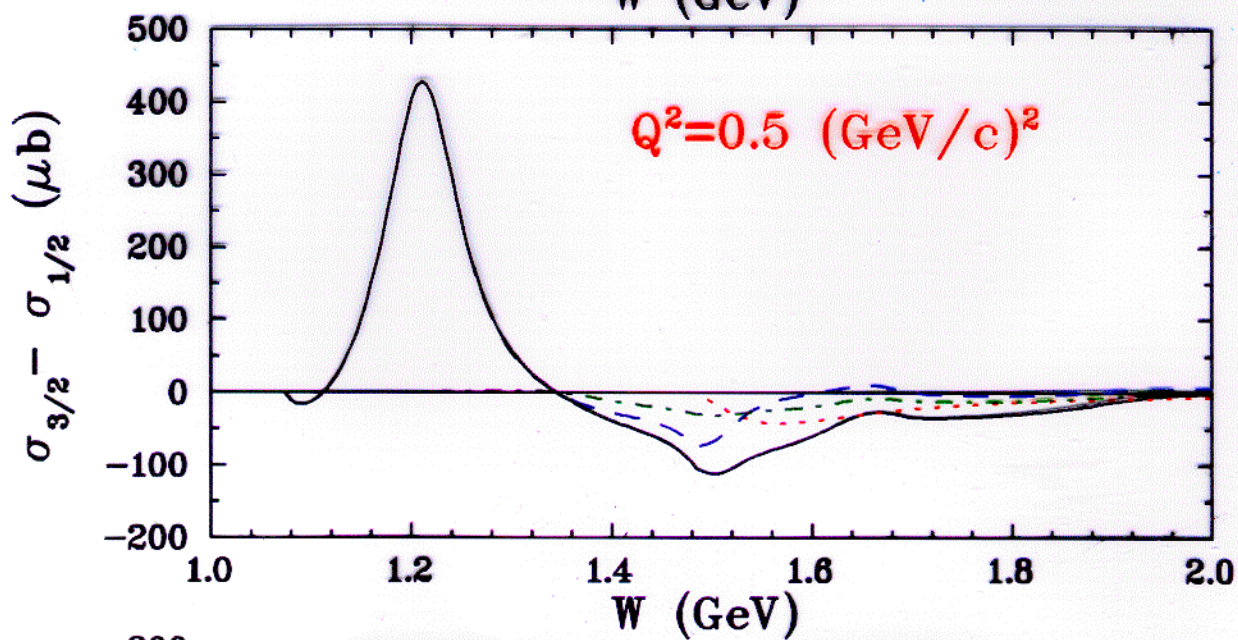
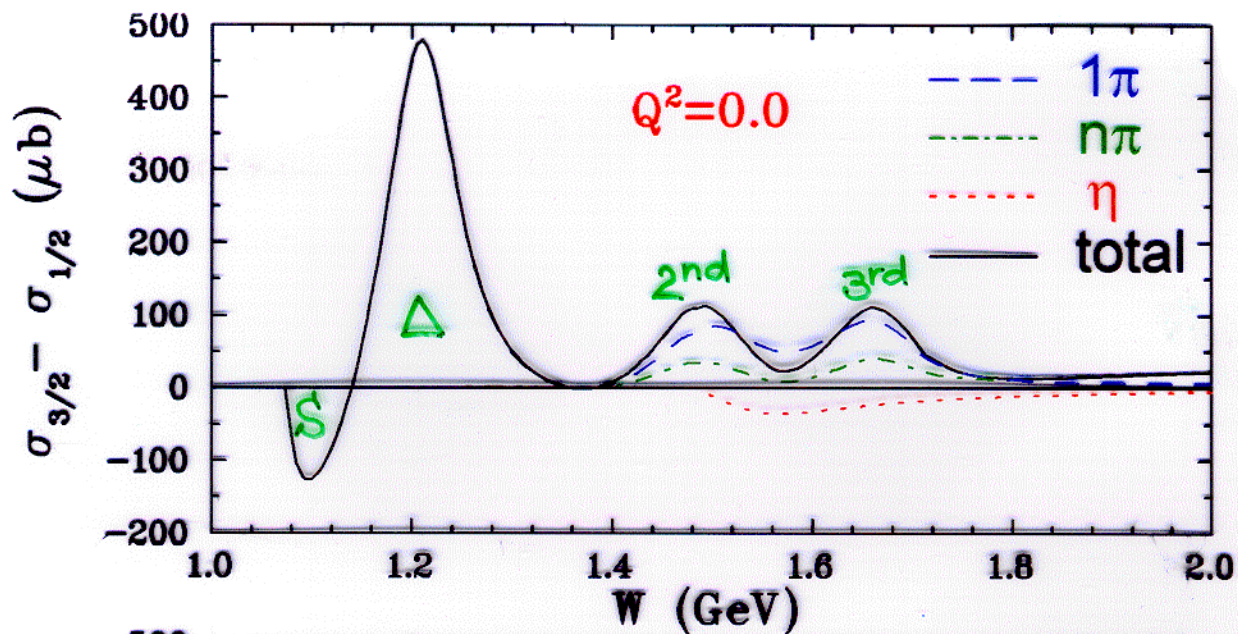
$$\Gamma_1^{\text{el}} = \int g_1^{\text{el}}(x, Q^2) dx = \frac{GM}{2} \frac{GE + \tau GM}{1 + \tau} = \begin{cases} \frac{e_N \mu_N}{2}, Q^2 \rightarrow 0 \\ \sim Q^{-10}, Q^2 \rightarrow \infty \end{cases}$$

$$\Gamma_1^{\text{inel}} = \frac{Q^2}{8\pi^2 \alpha_{\text{em}}} \int_{\nu_0}^{\infty} \frac{K(\nu, Q^2)}{\nu^2 + Q^2} \left\{ \sigma_{\text{TT}}^{\text{incl}} + \frac{Q}{\nu} \sigma_{\text{LT}}^{\text{incl}} \right\} d\nu$$

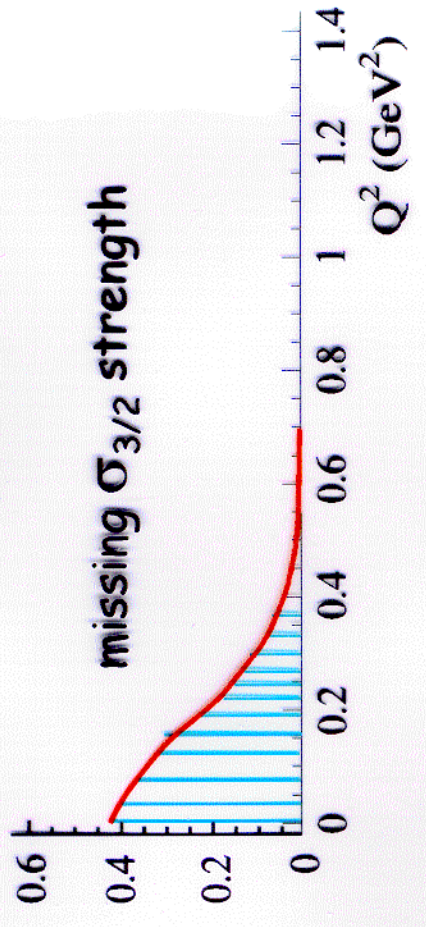
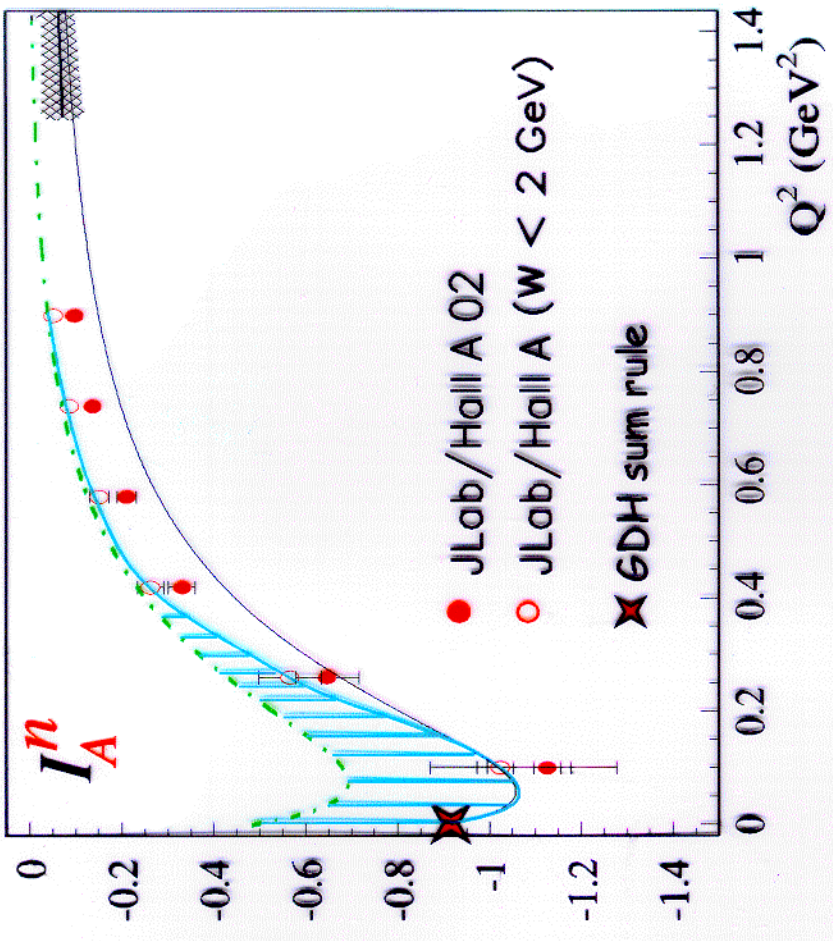
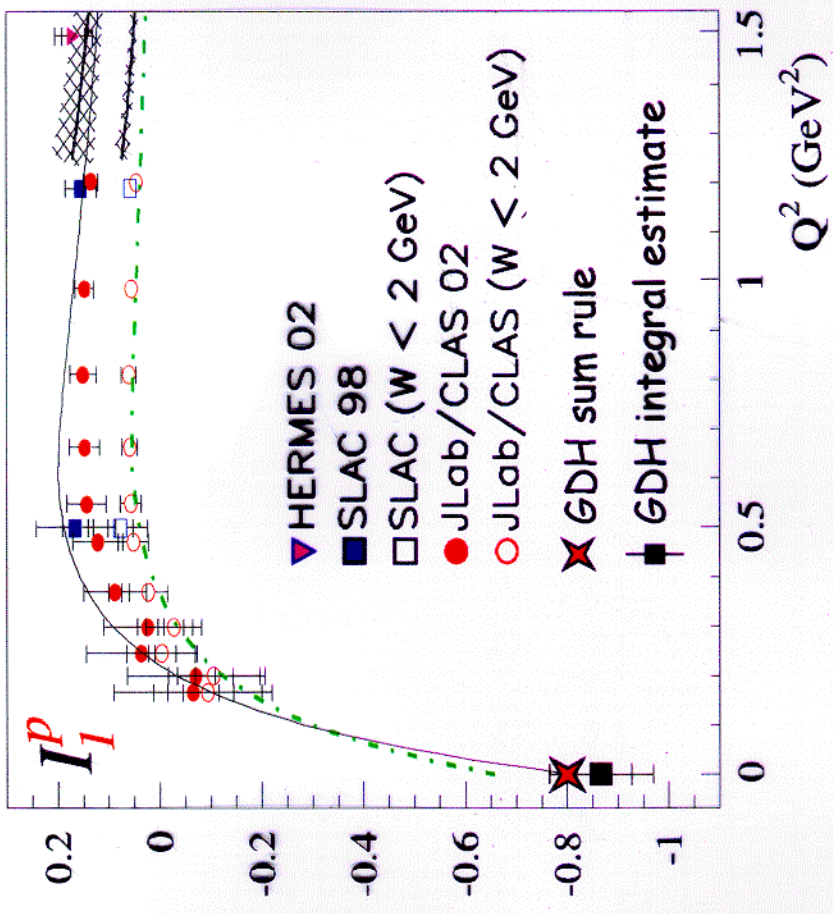
$$= \begin{cases} 0.118 \pm 0.004 \pm 0.007, Q^2 = 5 \text{ GeV}^2 \text{ (E155)} \\ \frac{Q^2}{8\pi^2 \alpha_{\text{em}}} \cdot 204 \mu\text{b}, Q^2 \rightarrow 0 \text{ (GDH)} \end{cases}$$

⌋ good resolution and understanding of radiative tail necessary at large ν , small Q^2

PROTON : $\sigma_{3/2} - \sigma_{1/2}$



generalized GDH sum rules for proton and neutron



• BURKHARDT-COTTINGHAM $I_2(Q^2)$

S_2 odd in ν , unsubtracted DR

ν $\text{Re } S_2(\nu, Q^2) = \frac{2\nu}{\pi} \int_0^\infty \frac{\text{Im } S_2(\nu', Q^2)}{\nu'^2 - \nu^2} d\nu' = \text{el.} + \text{inel.}$

• if $S_2 \rightarrow \nu^{\alpha_2}$, $\alpha_2 < -1$ for large ν , also DR for νS_2 (even in ν) **to be questioned!**

-1 $\text{Re}[\nu S_2(\nu, Q^2)] = \frac{2}{\pi} \int_0^\infty \frac{\nu'^2 \text{Im } S_2(\nu', Q^2)}{\nu'^2 - \nu^2} d\nu'$

$\nu = \frac{2}{\pi} \int \frac{\nu'^2 - \nu^2}{\nu'^2 - \nu^2} \text{Im } S_2 d\nu'$

SUPERCONVERGENCE

$\nu = \int_0^\infty \text{Im } S_2(\nu', Q^2) d\nu'$

$\rightarrow \nu = \int_0^1 g_2(x, Q^2) dx = \text{el.} + \text{inel.}$

$\rightarrow I_2 = \frac{2M^2}{Q^2} \int_0^{x_0} g_2(x, Q^2) dx = \frac{1}{4} F_p(Q^2) G_M(Q^2)$

BC SUM RULE

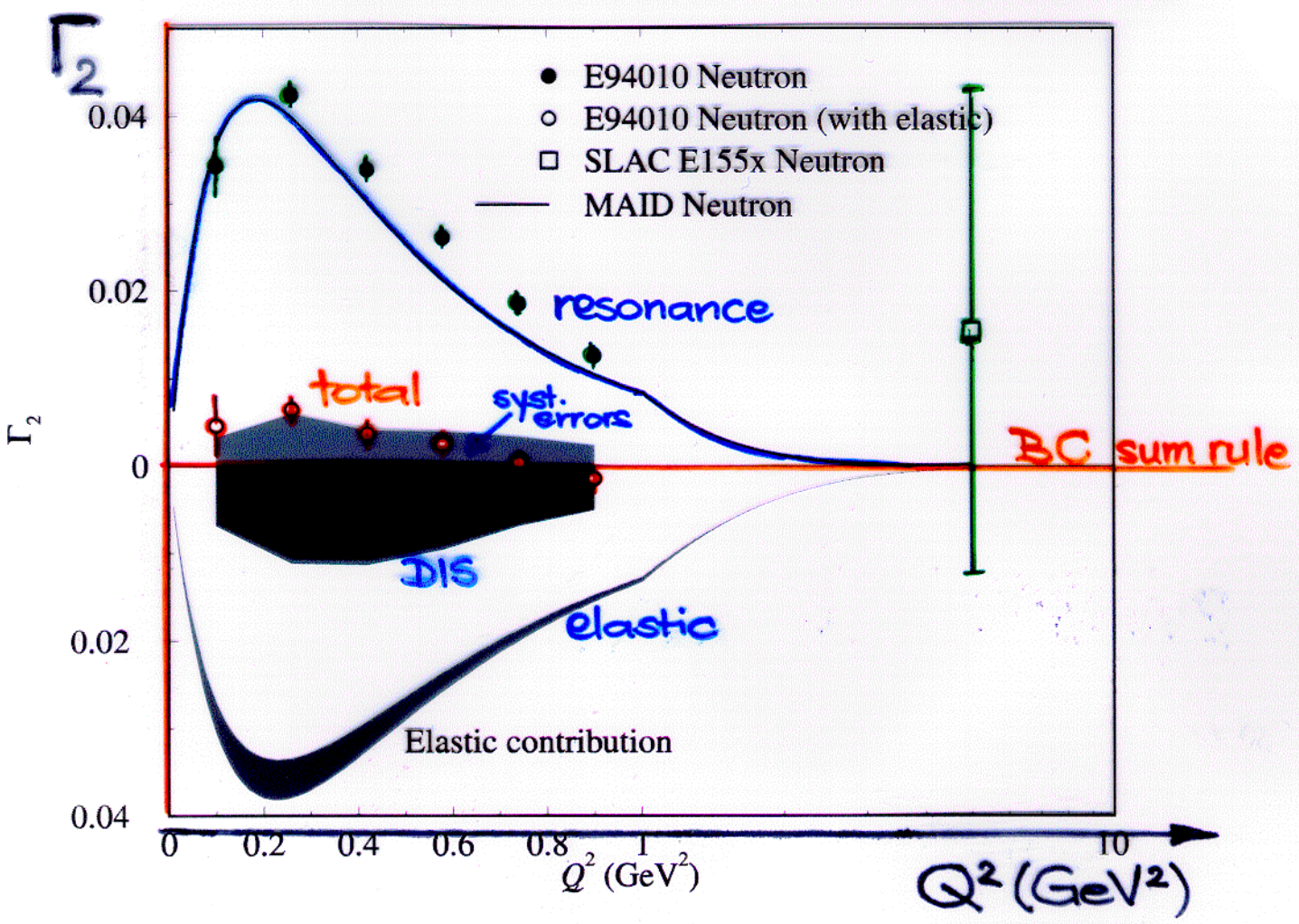
• $I_2 \rightarrow \frac{1}{4} \kappa_N \mu_N$ if $Q^2 \rightarrow \nu$ and BC holds

• $I_2 \rightarrow Q^{-10}$ if $Q^2 \rightarrow \infty$ and BC holds

$\rightarrow O(m_N^4/Q^4)$ if $Q^2 \rightarrow \infty$ (higher twist)

$$\Gamma_2^n (\text{inel.}) = \int_0^{x_0} g_2(x, Q^2) dx$$

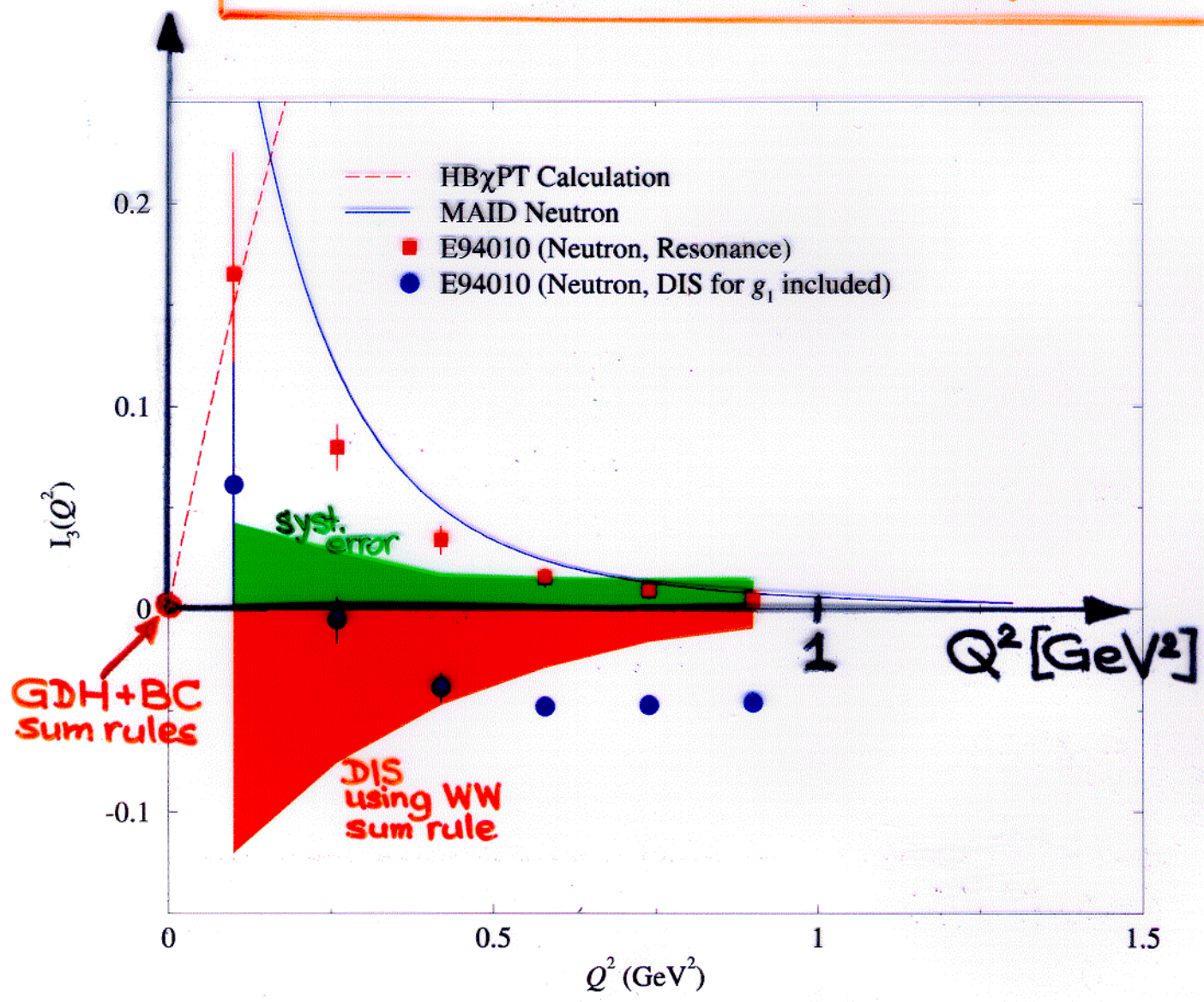
$$\Gamma_2^n (\text{el.}) = - \frac{Q^2}{8M^2} F_P(Q^2) (F_D(Q^2) + F_P(Q^2))$$



[from: Z.-E. Meziani et al., JLab proposal (2003)]

• BC sum rule valid within exp'tal errors

$$I_3^n(Q^2) = \frac{2M^2}{Q^2} \int_0^{x_0} (g_1 + g_2) dx = \dots \int_{\nu_0}^{\infty} \frac{K}{\nu} \frac{\tilde{\sigma}_{LT}}{Q} d\nu$$



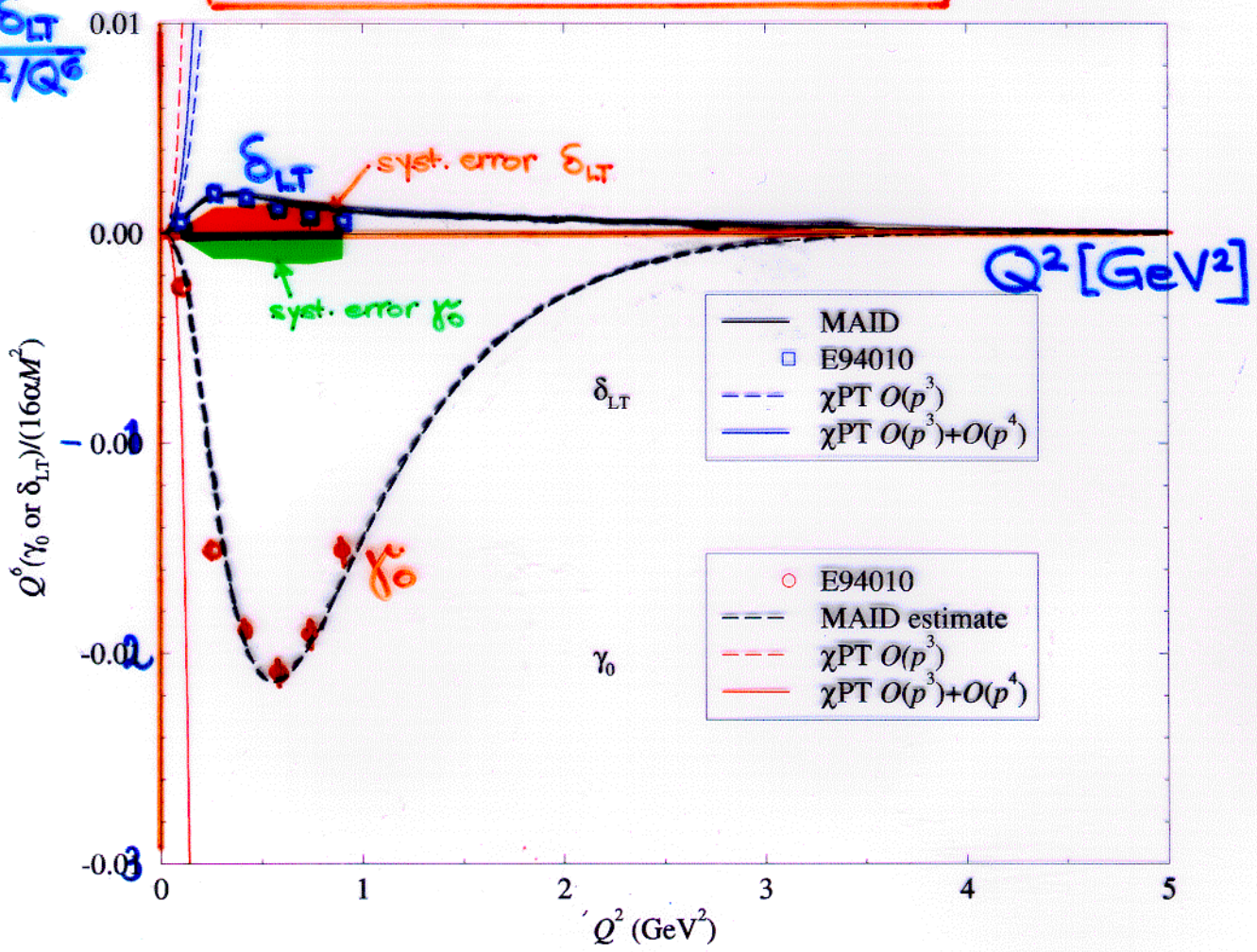
S. Choi et al. (JLab E94010) priv. comm.

→ S. Choi et al., JLab E9010 : moments and polarizabilities of the neutron
PRELIMIN. DATA!

$$\chi_0^n(Q^2) = \frac{1}{2\pi^2} \int_0^\infty \frac{K}{\nu} \frac{\tilde{\sigma}_{TT}}{\nu^3} d\nu$$

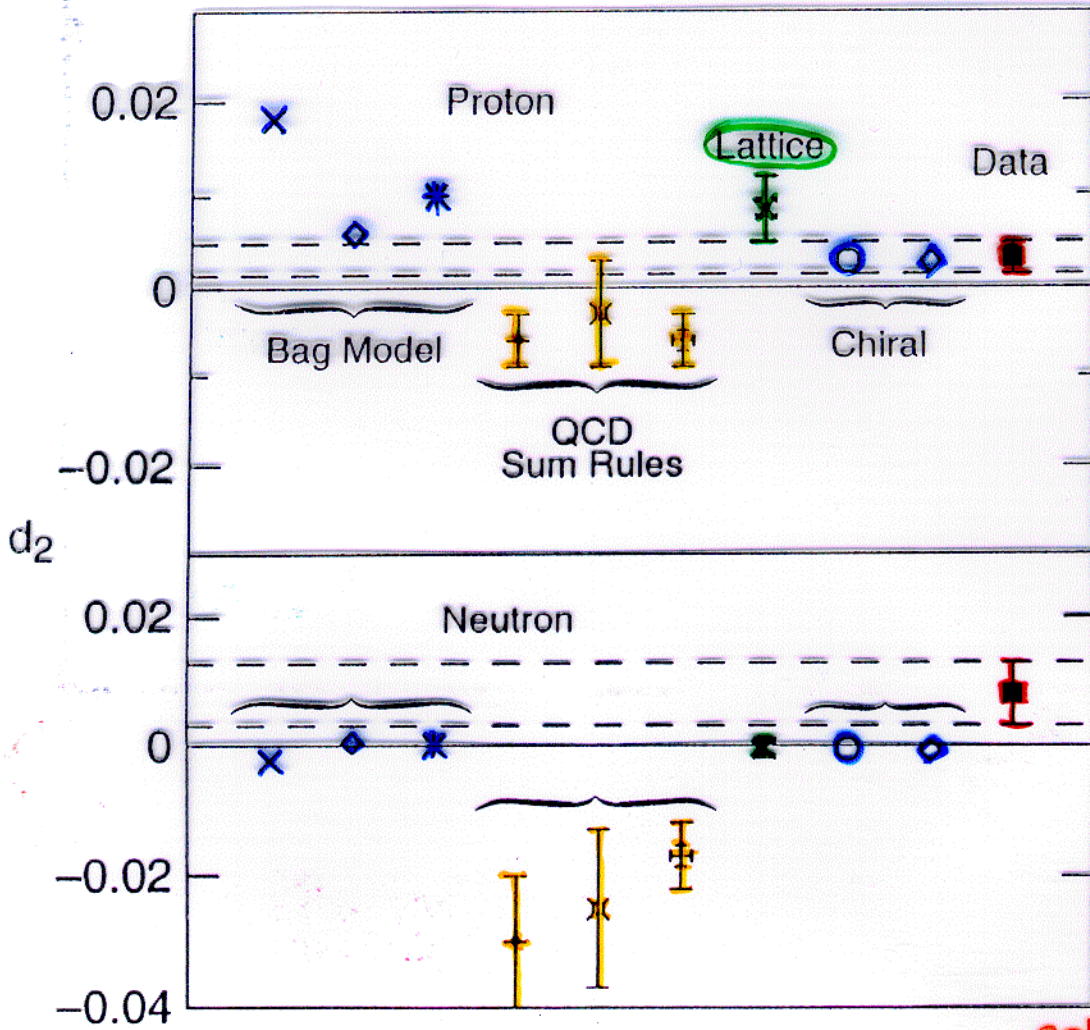
$$\delta_{LT}^n(Q^2) = \frac{1}{2\pi^2} \int_0^\infty \frac{K}{\nu} \frac{\tilde{\sigma}_{LT}}{Q\nu^2} d\nu$$

χ_0 or δ_{LT}
 $16\alpha M^2/Q^6$



- forward spin polarizability $\chi_0 = \dots \int \frac{d\nu}{\nu^3} \dots \{ |E_{0+}|^2 - |M_{1+}|^2 + 6 E_{1+}^* M_{1+} \dots \}$ **large cancellations**
- long.-transverse polarizability $\delta_{LT} \text{ or } \delta_0 = \dots \int \frac{d\nu}{\nu^3} \dots \{ L_{0+}^* E_{0+} + 2 L_{1+}^* M_{1+} + \dots \}$ **small**

$$d_2(Q^2 \rightarrow \infty)$$



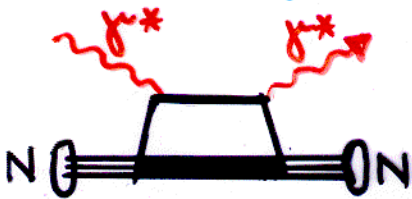
Predictions and Data

color e.m. resp.
(χ_E, χ_B)

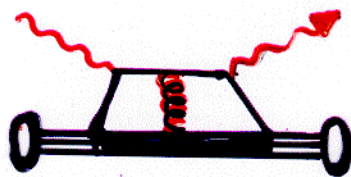
• TWIST EXPANSION

$$\Gamma_1(Q^2) = \int_0^1 g_1(x, Q^2) dx = \frac{1}{2} a_0 + \frac{M^2}{9Q^2} (a_2 + 4d_2 + 4f_2) + O\left(\frac{M^4}{Q^4}\right)$$

Annotations: "leading" points to $\frac{1}{2} a_0$; "target mass" points to M^2 ; "twist-3" points to a_2 ; "twist-4" points to d_2 and f_2 .



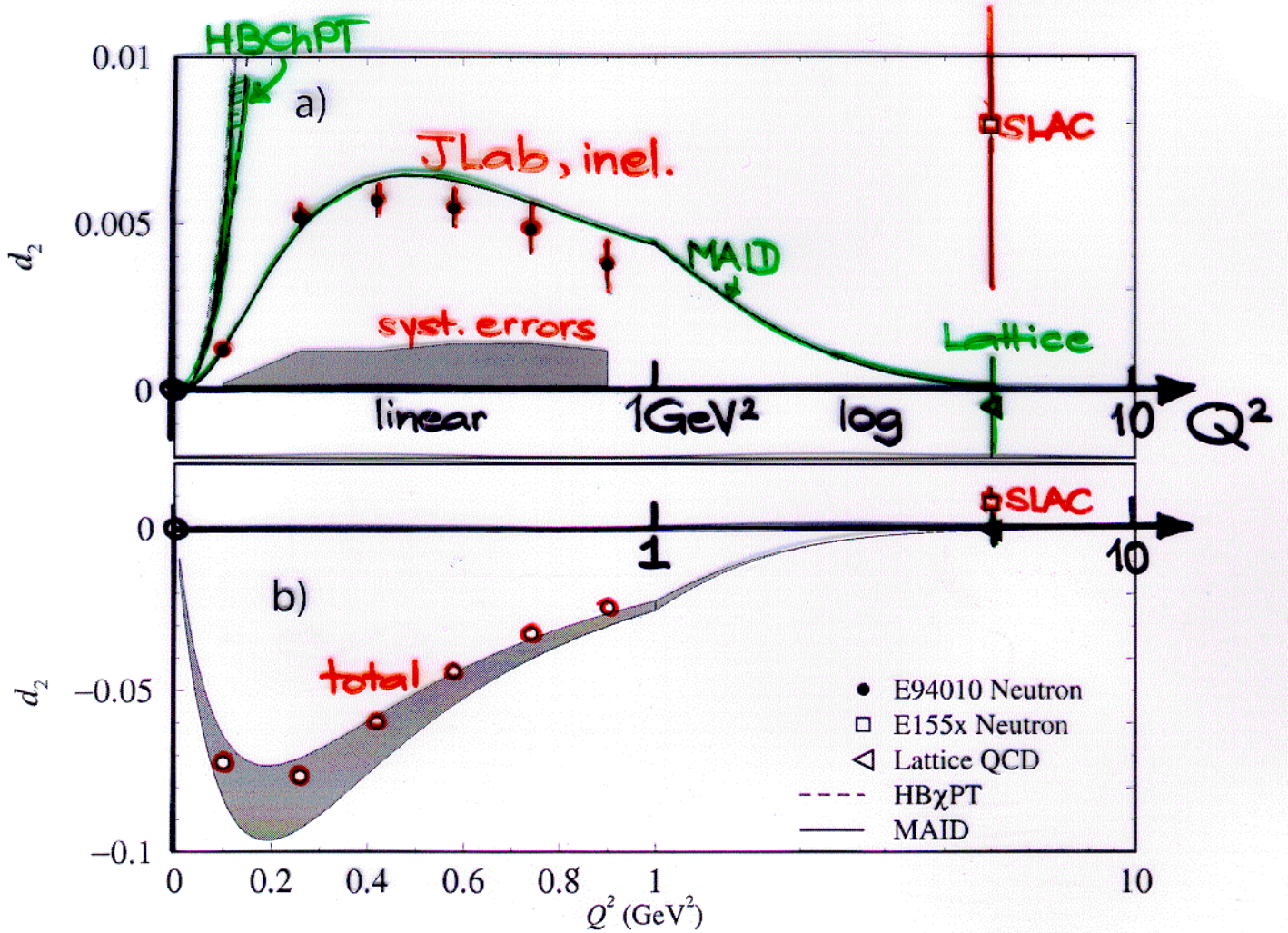
leading twist:
incoherent VVCS
on constituents



higher twists:
constituents interact during VVCS
correlations as precursor of
coherent processes = resonances

$$d_2^n(Q^2) = \int_0^1 x^2 (2g_1^n + 3g_2^n) dx$$

TWIST-THREE



[from Z.-E. Meziani, JLab proposal 2003]

$$I_1(Q^2) = \frac{2M^2}{Q^2} \int g_1(x, Q^2) dx$$

$$Q^2 \gg \dots \Rightarrow \frac{M^2}{Q^2} a_0 + \frac{2}{9} \frac{M^4}{Q^4} (a_2 + 4d_2 + 4f_2) + O\left(\frac{M^6}{Q^6}\right)$$

leading twist

(Bjorken!) target mass corr.

higher twists



response of gluon field to applied e.m. field, e.g.

$$d_2 = \int (2g_1 + 3g_2) x^2 dx$$

color e.m. polarizability

$I_1(Q^2)$

Q^2

1 2 3 Bjorken p-n

GDH

$$I_1(Q^2) = \int \frac{d\nu}{\nu^2} \left(\frac{\sigma_{1/2}(\nu, Q^2) - \sigma_{3/2}(\nu, Q^2)}{2} - \frac{Q}{2} \sigma_{LT}(\nu, Q^2) \right)$$

$$Q^2 \rightarrow 0: \Rightarrow -\frac{1}{4} K^2 \text{ (GDH!)}$$

$$\sigma_0(Q^2) = \dots \int \frac{d\nu}{\nu^3} (\sigma_{1/2} - \sigma_{3/2})$$

$$\sigma_{LT}(Q^2) = \dots \int \frac{d\nu}{\nu^2 Q} \sigma_{LT}(\nu, Q^2)$$

response of π -loops & resonances

incoherent scattering, strong correlations

spatial distribution of polarizabilities ($Q^2 < 4M^2$!)