Field theory and Topological Phases

Xi Wu, D3 High-energy theory group

Quantum field theory is a very useful tool

Quantum field theory (QFT)

- describes a system with infinite d.o.f by small number of d.o.f
- have particle picture:
 - 1. creation and annihilation
 - 2. mass eigenstates as particles
- is applied to high-energy physics, condensed matter system, Atomic, molecular, optical physics

Topological phases can be studied by QFT

A new type of phases of matter (quantum phases)

- has distinctive ground states
 by topological invariant in momentum space
- appears in low temperature —> quasiparticle excitations
- has very very large number of d.o.f.

The summary of my research

Boundary condition analysis

- . Boundary conditions in topological phases
- 2. New exotic states—edge-of-edge states

3. Equivalence between wave function formalism(TKNN) and field theoretical formalism

The benefit of boundary conditions analysis

• It's a first principle calculation

• Helps us understand edge states in topological phases

 Helps us understand the relation between theories in different dimensions Prog. Theor. Exp. Phys **2017**, 053101

1 Boundary Conditions in Topological Phases

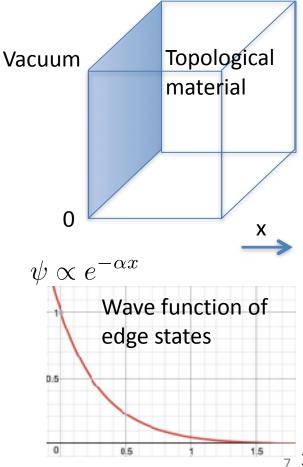
Xi Wu (Osaka Univ.)

In collaboration with

Koji Hashimoto(Osaka U.) and Taro Kimura(Keio U.)

Do boundary conditions affect edge states?

- Do boundary conditions affect topological phases in general
- Topological phases of matter are characterized by topological invariant in momentum space
- Edge states are protected by the topological invariant



Do boundary conditions affect edge states?

Our approach: Continuum analysis + lattice simulation (my part)

- Least action principle
 Hermiticity of Hamiltonian
 Edge states solution
- Hamiltonian eigenequation

Boundary conditions affect edge states

Our findings:

Boundary conditions of Weyl semimetals are dictated by one single parameter



1

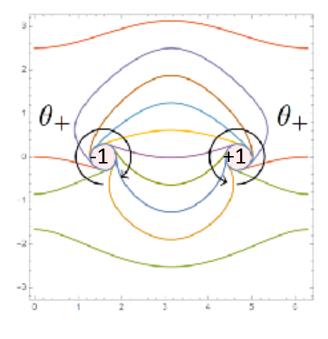
This parameter rotates edge states dispersion



The rotation direction is dictated by the topological number

Boundary conditions affect edge states

a new explanation of bulk-edge correspondence



Constant energy slice

- Curves with different colors correspond to different $\,\theta_+$
- Boundary conditions don't change the existence of edge states.

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2 Edge-of-edge States

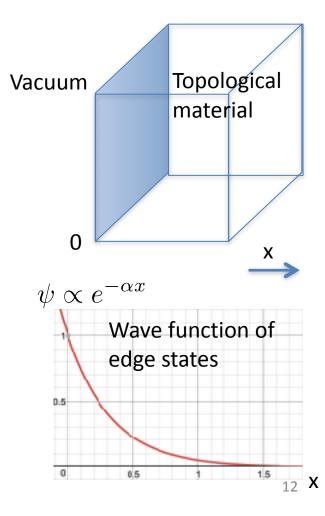
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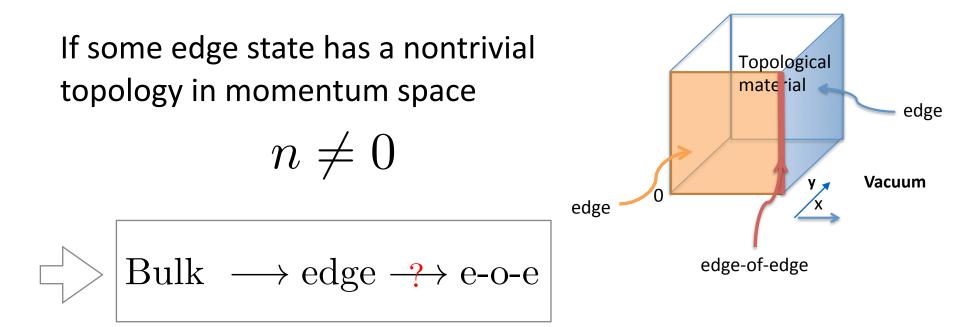
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What is an edge-of-edge state?



What we expect for the e-o-e:

1 Energy dispersion differs from bulk and edge states

2
$$\psi \propto e^{-lpha x - eta y}$$

3 TKNN number and Ishikawa-Matsuyama(IM) Relations

An equivalence between wave function formalism and field theory formalism

Xi Wu

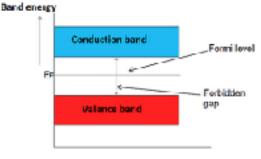
In collaboration with Prof. T Onogi(Osaka U.)

Two formalisms of Hall conductivity

• Wave function formalism: Hall conductivity is related with wave functions

$$\sigma_{xy} = \sum_{E_I < \epsilon_F} \int_{BZ} \frac{d^2 p}{(2\pi)^2} (\partial_x a_y^I - \partial_y a_x^I);$$

where $a_i^I = -i \langle E_I | \frac{\partial}{\partial p^i} | E_I \rangle$



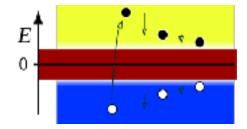
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Energy bands

• Field theory formalism: Hall conductivity is related with the free fermion propagator

$$\sigma_{xy} \propto \epsilon^{\alpha\mu\nu} \int \frac{d^3p}{(2\pi)^3} \operatorname{tr}(S\partial_{\alpha}S^{-1}S\partial_{\mu}S^{-1}S\partial_{\nu}S^{-1})$$

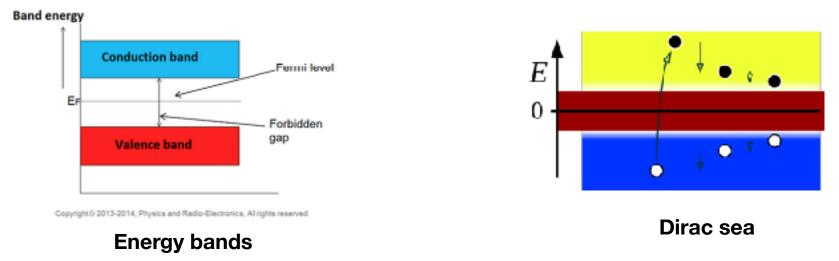
S(p) : fermion propagator



Dirac sea

Our contribution

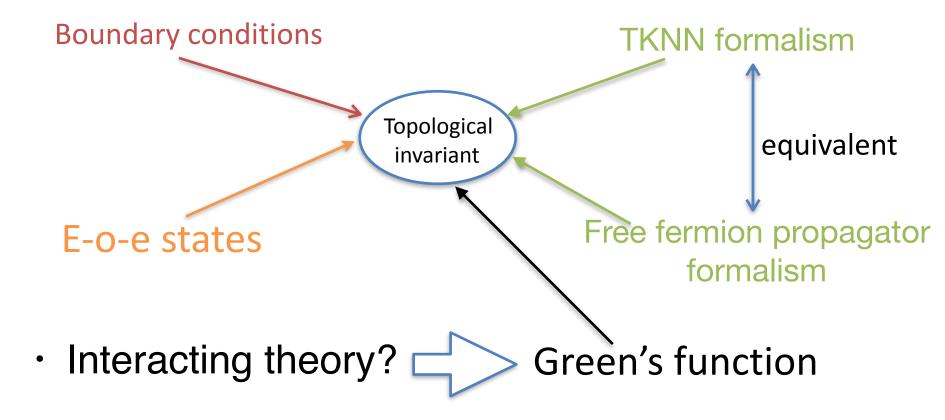
1 Wave function formalism and field theory formalism are proved to be equivalent rigorously



2 Field theory formula is shown to be valid for arbitrary band Hamiltonian, not limited to Dirac fermions

Summary and Future plan

Free theory—no electron-electron coupling



Appendix: Boundary condition rotates edge states dispersion

• Obtaining edge states by solving

$$(p_i \sigma_i - \epsilon) \psi = 0 (1 e^{-2i\theta_+}) \psi \Big|_{x^3 = 0} = 0$$

 Edge states dispersion is a plane tangent to the bulk dispersion

edge dispersion: $\epsilon = -p_1 \cos 2\theta_+ - p_2 \sin 2\theta_+$

• B.C. parameter appears in the dispersion as a rotation angle

