

Nucleon Excited States from Overlap Fermions

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For



(χ QCD Collaboration)

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Z. Liu, Y.-B. Yang, et al.

NSTAR2015, 25-28 May 2015, Osaka, Japan

Outline

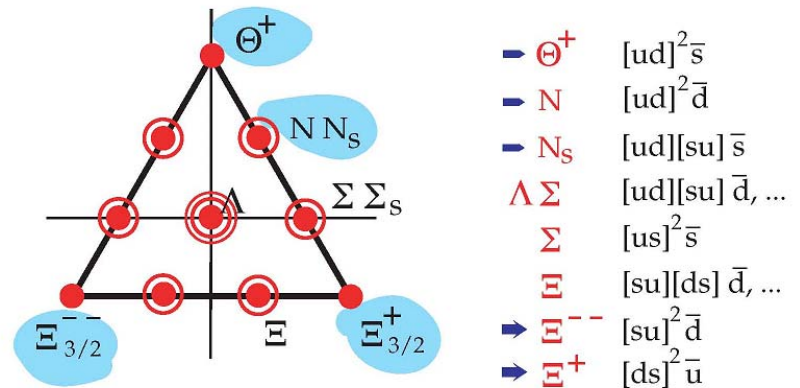
- I. Introduction
- II. N^* from overlap fermions in QA
- III. N^* from full-QCD lattice study
- IV. Ω^* as a complementary discussion
- V. Summary and Outlook

I. Introduction

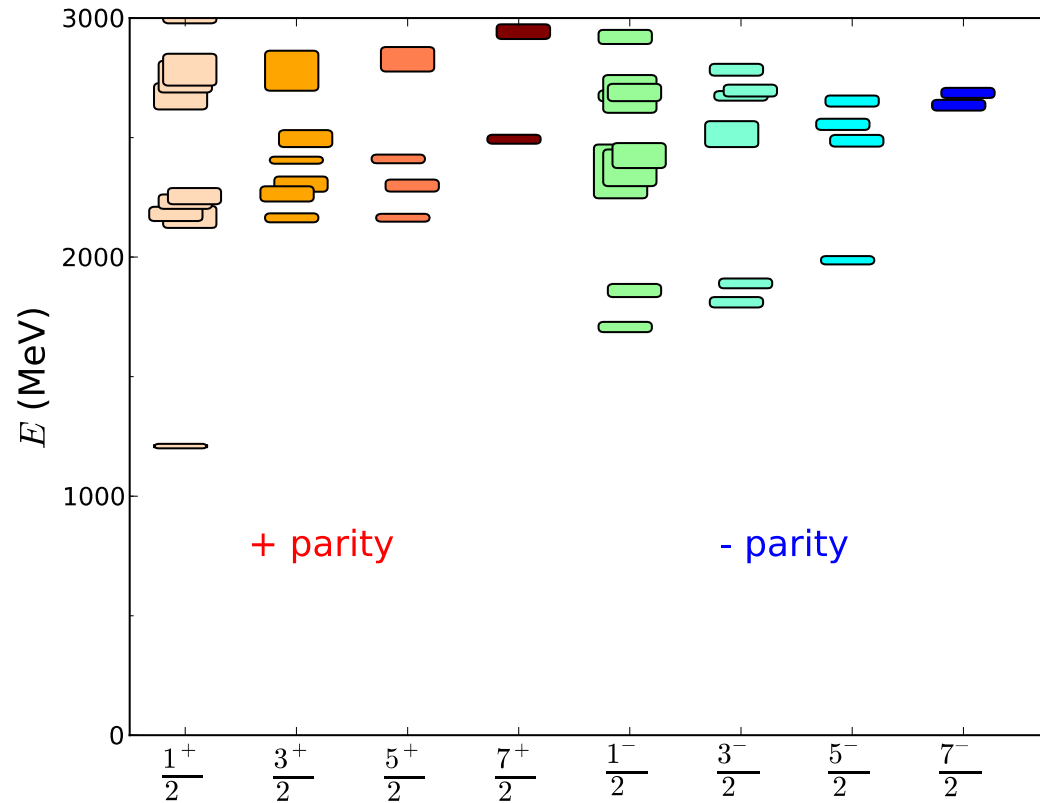
- There are many nucleon excited states predicted by SU(6) quark model.
- Actually many N^* has been observed in experiments, but they are less than the number of states predicted by QM. Where are the missing states?
- The level reversal of the Roper resonance P11(1440) and the S11(1535) resonance. It is still a puzzle.
- However, the lattice results of the mass of the first p-even excited state of N^* is very controversial (see Derek' s review this morning)
- The mixing with πN states makes the situation more complicated.
- Confront with Expt.? Still challenging for lattice QCD calculation

As far as the Roper state, there are many phenomenological Considerations:

- **Quark potential model** prediction is 100-200 MeV too high (Liu and Wong, 1983, Capstick and Isgur, 1986)
- **Skyrmion** can accommodate it as a radial excitation (J. Breit and C. Nappi, 1984, Liu, Zhang, Black, 1984; U. Kaulfuss and U. Meissner, 1985)
- Suggestion as a **pentaquark** (Krewald 2000); as a member of the antidecuplet (Jaffe, Wilczek, 2003)
- Perhaps a **hybrid** (Barnes, Close, etc. 1983)
- → Lattice calculations



Lattice N* states ($m_\pi = 396\text{MeV}$)



LQCD finds states as predicted in $SU(6) \times O(3)$

*R. Edwards, J. Dudek, D. Richards,
S. Wallace, PRD84, 074508 (2011)*

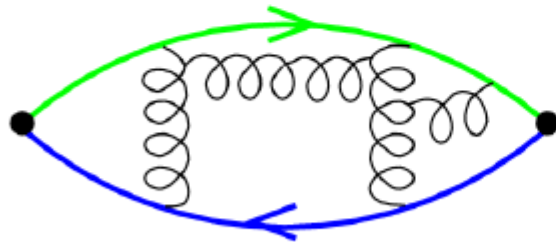
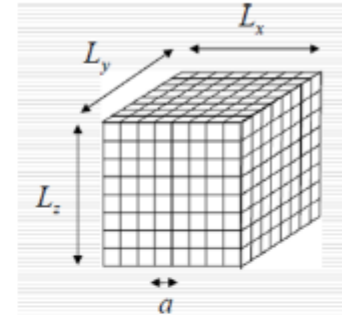
1. The lattice formulation of QCD---Lattice QCD

$$Z = \int \mathcal{D}A_\mu \mathcal{D}\psi \mathcal{D}\bar{\psi} e^{-S}$$

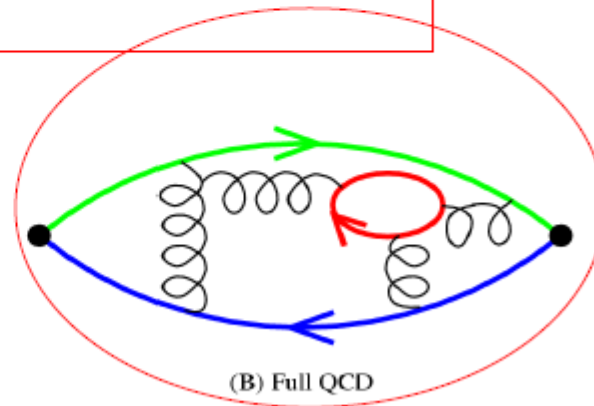
$$S = S_{gauge} + S_{quarks} = \int d^4x \left(\frac{1}{4} F_{\mu\nu} F^{\mu\nu} \right) - \sum_i \log(\text{Det} M_i)$$

$$Z = \int \mathcal{D}A_\mu \det M e^{\int d^4x \left(-\frac{1}{4} F_{\mu\nu} F^{\mu\nu} \right)}.$$

$$\langle \mathcal{O} \rangle = \frac{1}{Z} \int \mathcal{D}A_\mu \mathcal{O} e^{-S}.$$



(A) Quenched QCD: quark loops neglected



(B) Full QCD

Ten years ago

Dominated in the present era

2. Chiral fermions on the lattice

- Chiral symmetry is an important symmetry in QCD. **It must play a crucial role in light hadron physics.**

- Chiral transformation $[D \rightarrow \gamma_\mu D_\mu \quad (a \rightarrow 0)]$

$$\begin{aligned} \psi &\rightarrow e^{i\theta\gamma_5}\psi; & \psi &\rightarrow e^{i\theta\gamma_5(1-aD/2)}\psi; \\ \bar{\psi} &\rightarrow \bar{\psi}e^{i\theta\gamma_5} & \bar{\psi} &\rightarrow \bar{\psi}e^{i\theta(1-aD/2)\gamma_5} \end{aligned}$$

In the continuum limit

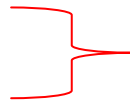
$$\gamma_5 D + D \gamma_5 = 0$$

on the lattice

$$\gamma_5 D + D \gamma_5 = aD\gamma_5 D$$

- Ginsburg-Wilson relation---**chiral symmetry on lattice**
- The lattice action is invariant if GW relation holds for D:

Domain wall fermions
Overlap fermions



- 1) Chiral symmetry
- 2) Very low pion mass can be accessed



II. The Roper and S11 from overlap fermion in the quenched lattice QCD

1. The spectrum of the nucleon, the Roper, and S11 (ten years ago)

- Lattice

$$L^3 \times T = 16^3 \times 28;$$

$$m_\pi^{\min} = 180 \text{ MeV}$$

- Nucleon interpolation field operator

$$\eta(x) = \epsilon^{abc} u^{aT}(x) C \gamma_5 d^b(x) u^c(x)$$

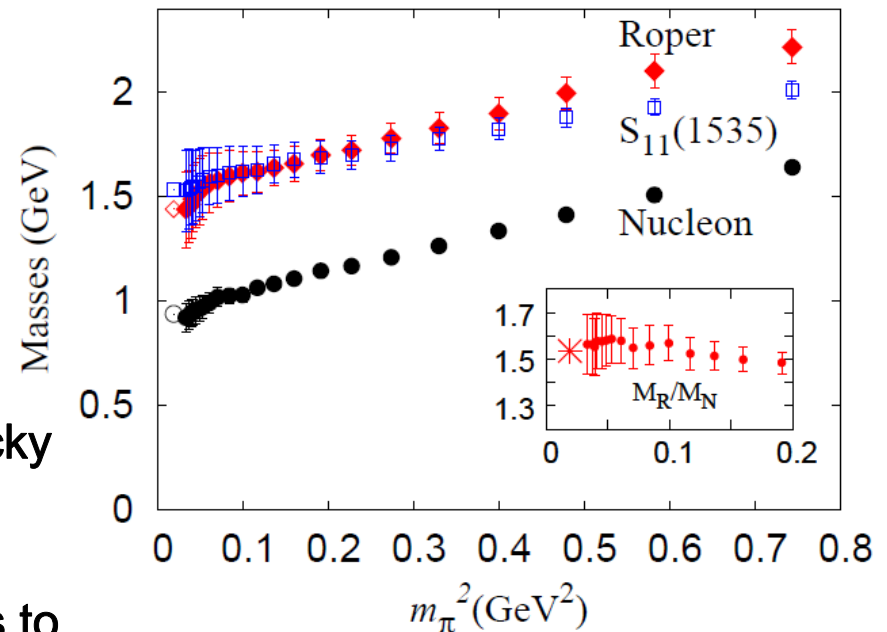
- Constrained curve fitting-----

“Sequential Empirical Bayes”(SEB)

(Y.Chen et al., hep-lat/0405001 (Kentucky Group))

- Roper mass and S11(1535) mass tends to cross over as quark mass decreases.

- Chiral symmetry may play a role for this crossover. Flavor-spin interaction takes place due to the Goldstone boson exchange (L.Y. Glozman and D.O. Riska, Phys. Rep. 268, 263(1996))



N. Mathur et al, PLB605(2005)137
(hep-lat/0306199)

Sequential Empirical Bayesian Method

Bayes: constrained-curve fitting

$$\chi_{\text{aug}}^2 = \chi^2 + \chi_{\text{prior}}^2, \quad \chi_{\text{prior}}^2 = \sum_i \frac{(\rho_i - \tilde{\rho}_i)^2}{\tilde{\sigma}_i^2}$$

prior



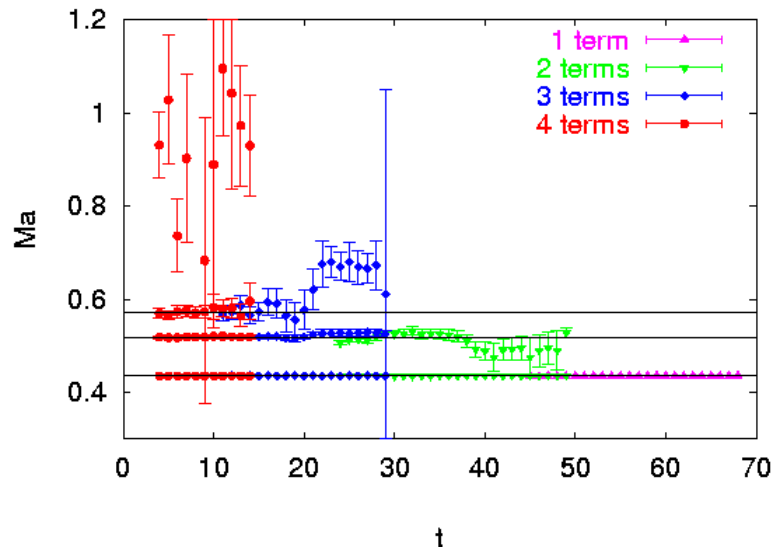
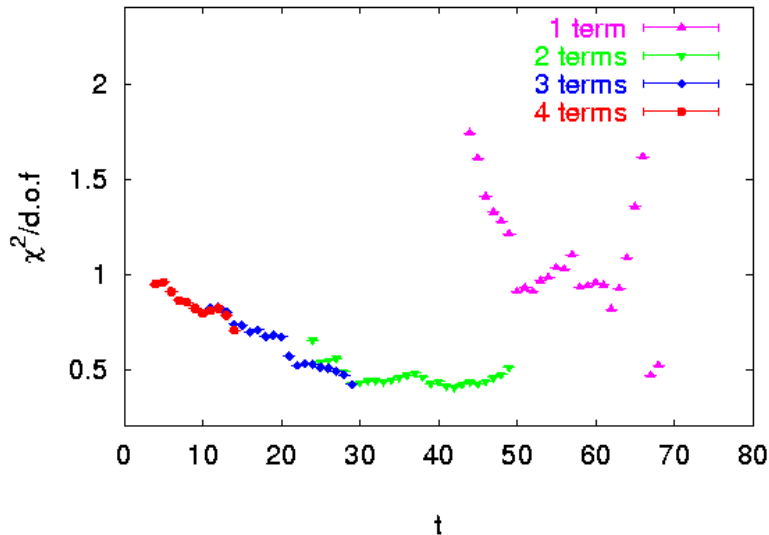
Empirical: priors are derived from part of data

**('prior' means the prior information of parameters)

Sequential: states fitted one by one from low to high.

$$C(t) = \sum_i W_i e^{-m_i t}$$

An Example of SEB



- Here is an example for the fitting procedure in the vector channel (four-mass-term fit), where $t_{\text{max}}=68$, $t_1=50$, $t_2=30$, $t_3=15$

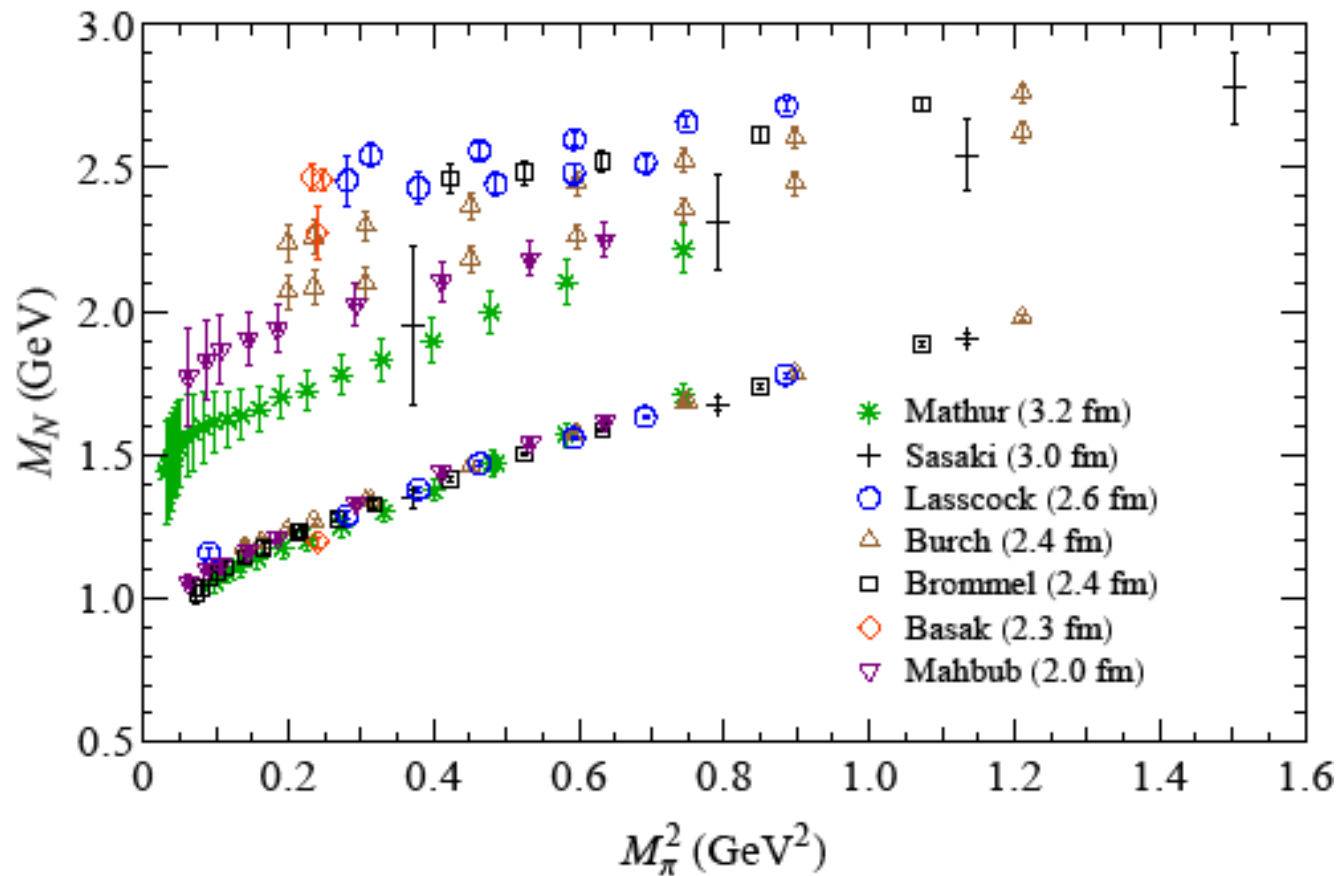
- Fit model

$$C(t) = \sum_i W_i e^{-m_i t}$$

- The mass terms are fitted one by one from low to high

1. Choose the t_{max} .
2. Varying t_1 , one-mass-term fitting in the interval $[t_1, t_{\text{max}}]$, until the $\chi^2/\text{d.o.f}$ blows up ;
3. Add the second mass term at $t_2=t_1-1$. Varying t_2 , two-mass-term fitting in the interval $[t_2, t_{\text{max}}]$ with the first state constrained by the fitted parameters in Step 2.
4. When $\chi^2/\text{d.o.f}$ blows up again at the last t_2 , and add the third state at $t_3=t_2-1$.
5. Repeat.
6. The latest state is generally taken as a garbage can and therefore not a realistic state.

However, when comparing with other quenched results at that time,



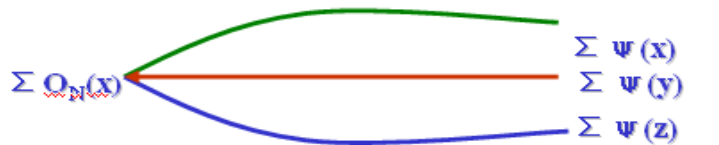
The discrepancy can be as large as 1 GeV for the mass of Roper

2. The Bethe-Salpeter wave functions of nucleon and the Roper

- Coulomb gauge fixed
- Wall-source point sink correlation functions are calculated and analyzed.
- The spectrum is similar to that of point source.
- The spectral weights of Roper are negative for both heavy and light quark masses.

$$\langle 0 | \eta(\vec{x}, 0) | N \rangle \propto \Phi_N(\vec{x})$$

- Wall-source means, qualitatively



Point sink

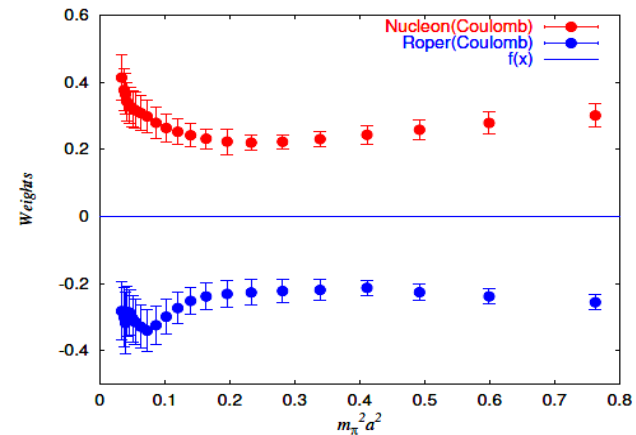
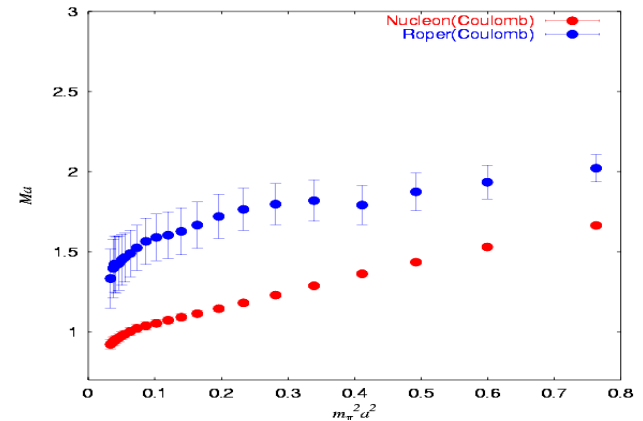
Wall source

$$\langle 0 | O_N(0) | N \rangle \langle N | \sum \psi(x) \sum \psi(y) \sum \psi(z) | 0 \rangle > 0$$

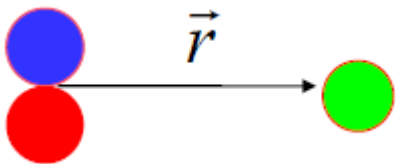
$$\langle 0 | O_N(0) | R \rangle \langle R | \sum \psi(x) \sum \psi(y) | \sum \psi(z) | 0 \rangle < 0$$

$$\int d^3 \vec{x} \Phi_{N^*}(\vec{x}) = 4\pi \int dr r^2 \Phi_{N^*}(r) < 0$$

- This hints that $\Phi_{N^*}(r)$ a radial node.



- Coulomb gauge fixed
- Spatially extended sink operator



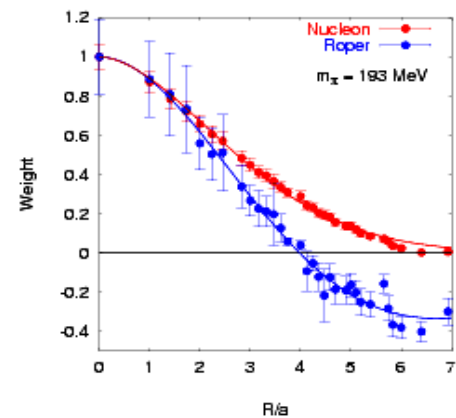
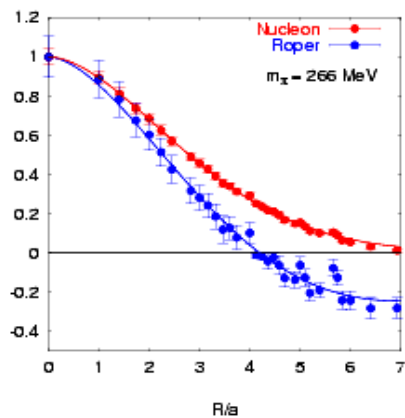
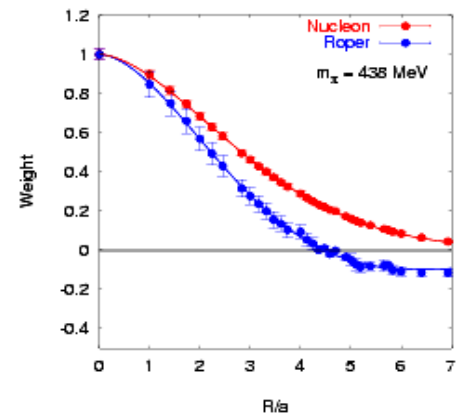
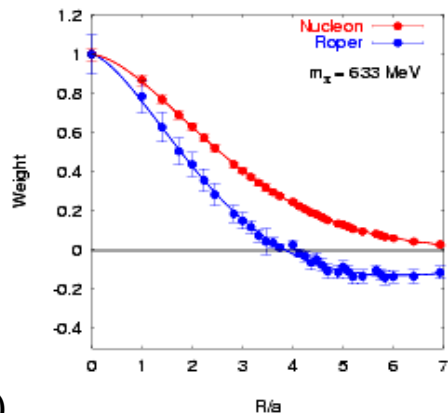
$$\eta(\vec{x}, t; \vec{r}) = \varepsilon^{abc} (u^{aT} \gamma_5 d^b)(\vec{x}, t) u^c(\vec{x} + \vec{r}, t)$$

- For $\frac{1}{2}^+$ states,

$$C(r, t) = \sum_{\vec{x}} \text{Tr} \left[(1 + \gamma_4) \langle \eta(\vec{x}, t; \vec{r}) \bar{\eta}^{(W)}(0) \rangle \right]_{\text{Weight}}$$

$$= \sum_n W_i(r) e^{-m_i t}$$

$$W_i(r) \propto \langle 0 | \eta(0, 0; r) | i \rangle$$



$$\phi_0(r) = e^{-\left(\frac{r}{\beta_0}\right)^{\alpha_0}}$$

$$\phi_1(r) = (1 + \kappa r^{\alpha_1}) e^{-\left(\frac{r}{\beta_1}\right)^{\alpha_1}}$$

$$\phi_0(r) = e^{-\left(\frac{r}{\beta_0}\right)^{\alpha_0}}$$

$$\phi_1(r) = (1 + \kappa r^{\alpha_1}) e^{-\left(\frac{r}{\beta_1}\right)^{\alpha_1}} \quad \langle r^2 \rangle = \frac{\int_0^{\infty} dr r^4 \phi_0(r)^2}{\int_0^{\infty} dr r^2 \phi_0(r)^2}.$$

$m_q a$	$M_\pi a$	β_0	α_0	r_{RMS} (fm)
0.22633	0.6416	3.21(1)	1.62(1)	0.362
0.10850	0.4438	3.51(1)	1.71(1)	0.404
0.03617	0.2693	3.44(1)	1.77(2)	0.334
0.01633	0.1953	3.36(2)	1.78(3)	0.334

κ	β_1	α_1
-0.130(6)	3.79(8)	1.51(4)
-0.073(3)	4.09(7)	1.75(3)
-0.088(9)	5.07(25)	1.70(8)
-0.073(10)	5.21(32)	1.88(11)

III. N* from a full-QCD lattice study with overlap fermions

Lattice formulation:

- overlap fermions as valence quarks;
- 2+1-flavor domain-wall fermion configurations (generated by RBC/UKQCD Collaboration) (Y. Aoki et al, PRD83(2011)074508.

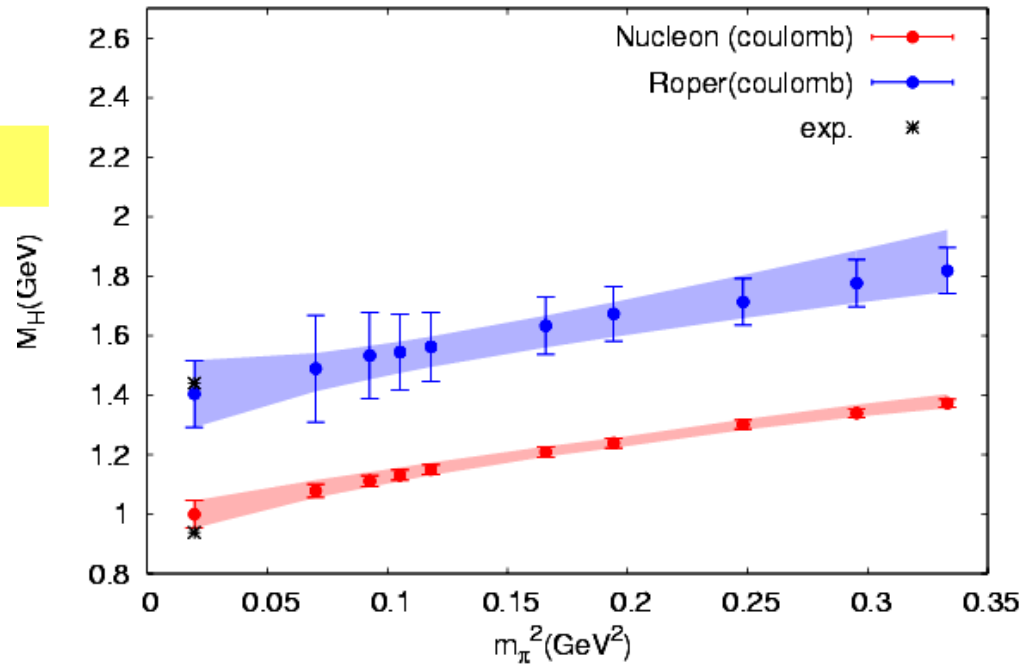
$L^3 \times T$	$r_0/a(\text{GeV})$	$m^{res}a$	$m_{sea}^l a$	$m_{sea}^s a$
$24^3 \times 64$	4.126(11)	0.00315	0.005	0.04
$r_0(\text{fm})$	$a^{-1}(\text{GeV})$	Z_m	Z_A	N_{conf}
0.458(11)	1.77(5)	0.884(7)	1.110(1)	77

$$a^{-1}=1.73\text{GeV}, m_l a=0.005$$

$$m_\pi^{(ss)} = 331\text{MeV}$$

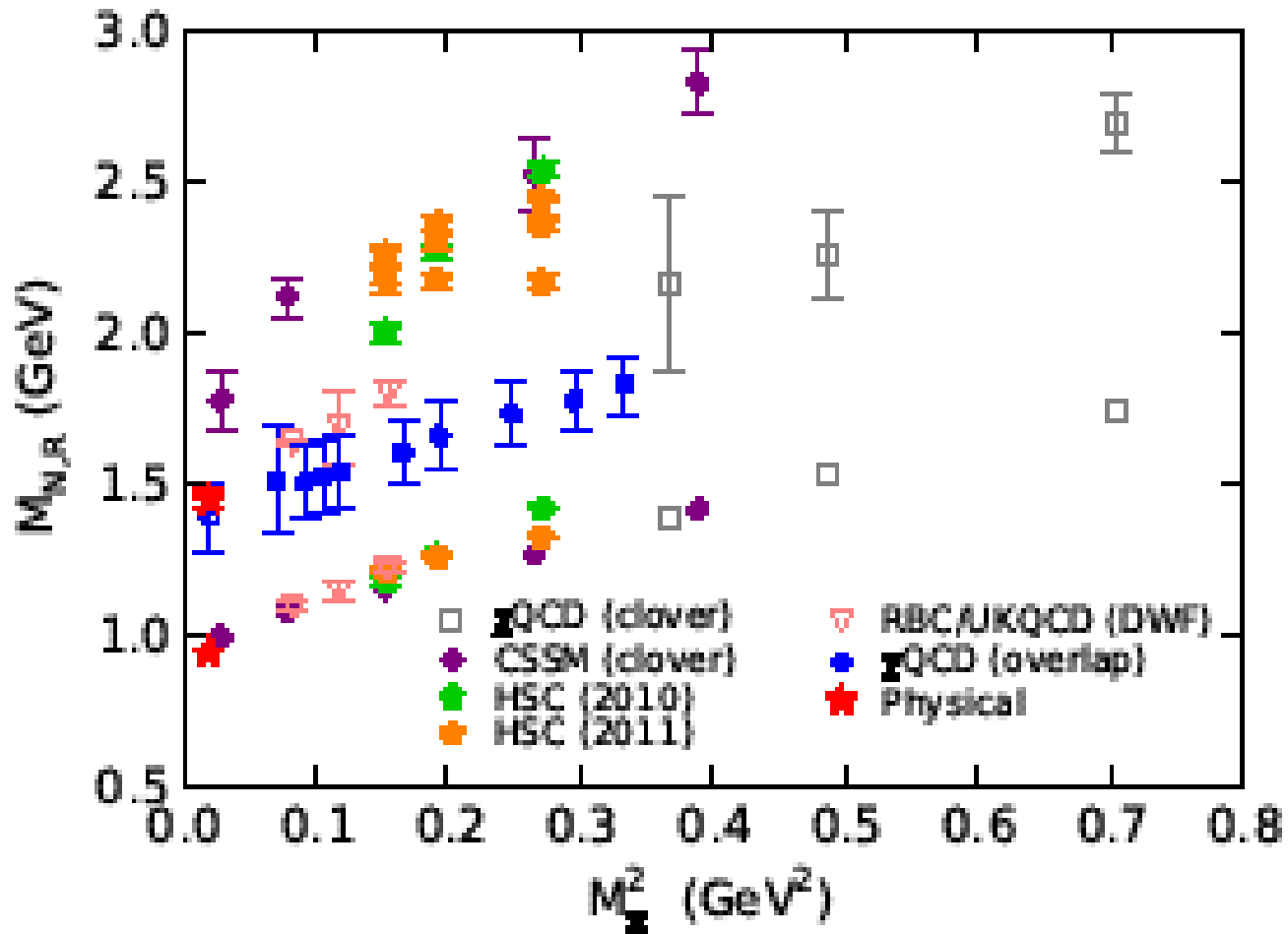
1. The spectrum of p-parity states.

- Coulomb gauge fixed
- Wall source propagator, similar to the case of QA.
- also SEB fit.
- In agreement with QA results.
- However,



$$m_N = m_0 + c_1 m_\pi^2 + c_2 m_\pi^{(vs),2}$$

When comparing with other full-QCD results, the discrepancy is still very large!



What are the reasons for this discrepancy?

- Different data analysis procedures

Variational method(VM) vs. SEB

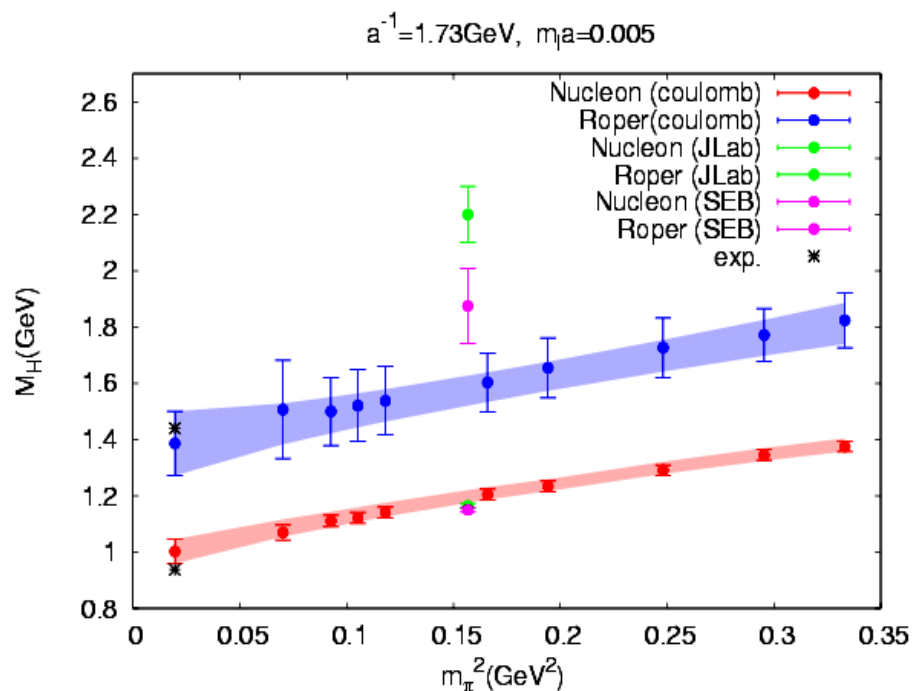
Variational method:

$$\{O_\alpha, \alpha = 1, 2, \dots, n\};$$

$$C_{\alpha\beta}(t) = \langle O_\alpha(t) O_\beta(0) \rangle$$

$$C(t)V = \lambda_i(t_0)C(t_0)V_i$$

$$O_i = \sum V_{i,\alpha} O_\alpha$$



Caveat of VM: The number of the operators is usually much smaller than the number of states, so the resultant state can be an admixture of several physical states.

- Different source operators

Gaussian smeared source vs. Coulomb gauge fixed wall source

Does the hadronic correlation functions calculated through coulomb wall source propagators have less contribution from scattering states (and higher states)?

We check this using the new gauge configurations with 2+1 flavor domain-wall sea quarks on a large lattice.

$1/a(\text{GeV})$	label	$am^{(s)}$	$L^3 \times T$	N_{conf}
1.76(1)	48I	0.00078/0.0362	$48^3 \times 96$	45

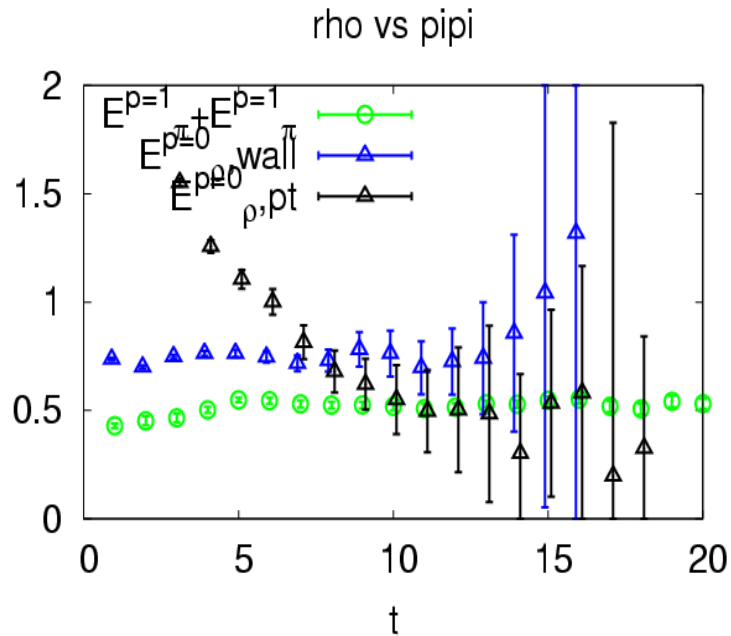
$$m_{\pi}^{(ss)} \approx 140 \text{ MeV}, \quad m_{\pi}^{(vv)} \approx 117, 140, 150, 170, 210 \text{ MeV}$$

$$La = 5.5 \text{ fm}$$

$$p_{\text{min}} \approx 230 \text{ MeV}$$

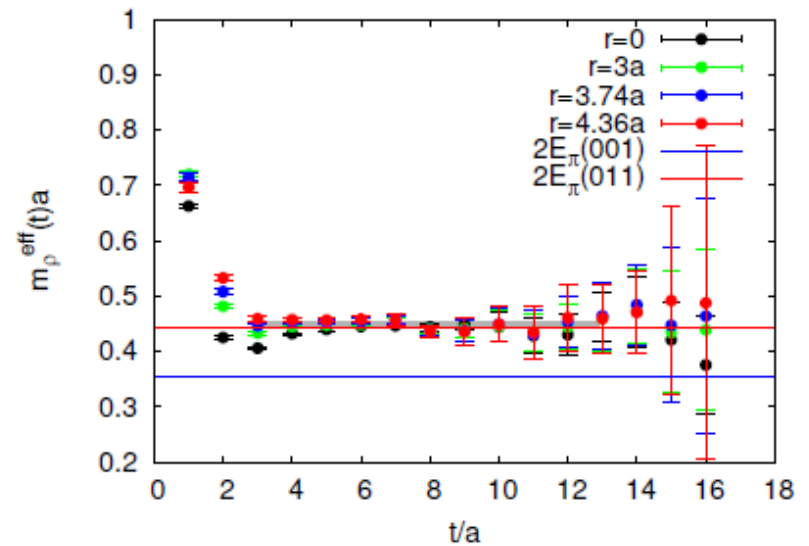
We take the the rho-channel for example, because

$$m_{\rho} > 2E_{\pi}(p_{\text{min}}) = 2\sqrt{m_{\pi}^2 + p_{\text{min}}^2}$$



Left panel-Point source

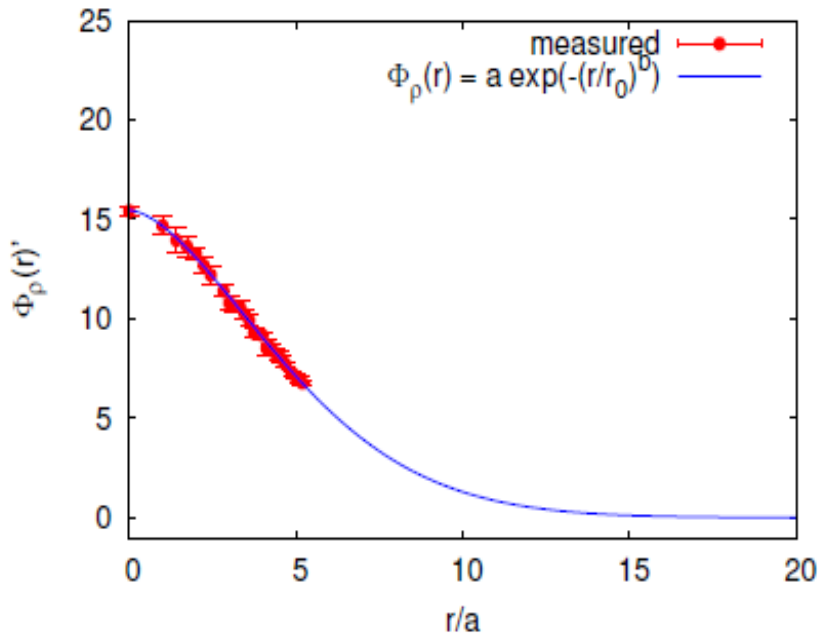
- green points: $2E_{\pi}(p_{\min}) = 540\text{MeV}$
- blue triangle: $m_{\rho} = 780\text{MeV}$
- black triangle: effective masses of the point-source correlation functions.



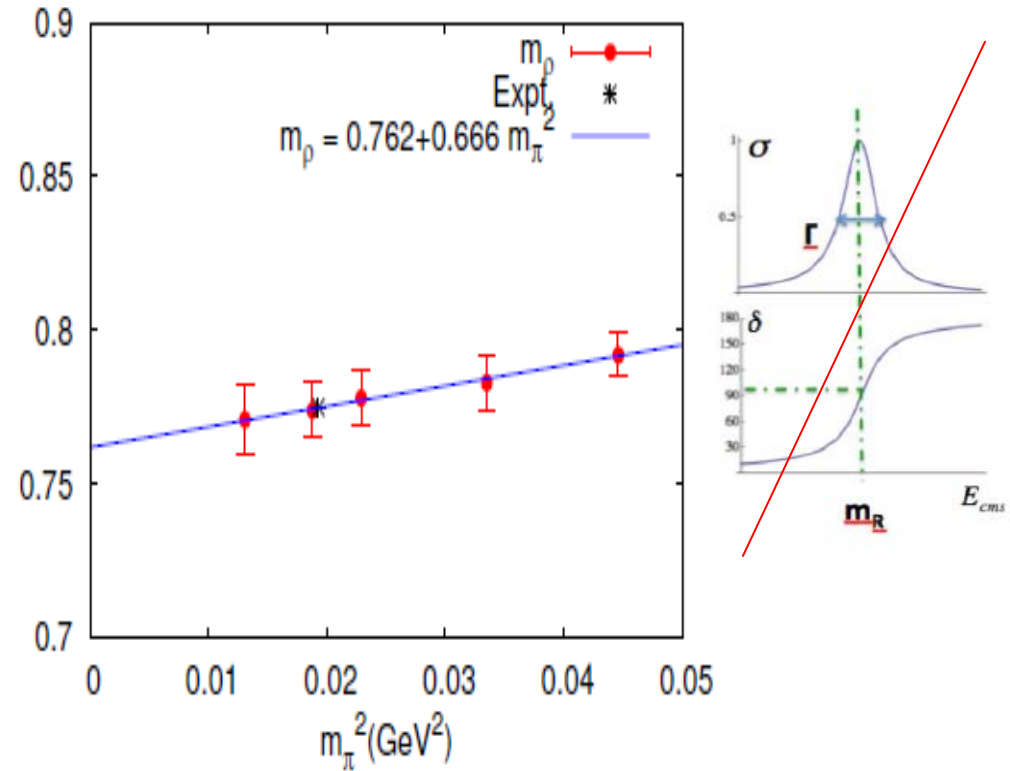
Right panel-Coulomb wall source

- points: effective masses of wall-source correlation functions. $m_{\rho} \approx 780\text{MeV}$
- blue line: $2E_{\pi}(p_{\min})$
- red line : two-pion threshold with the next smallest lattice momentum.

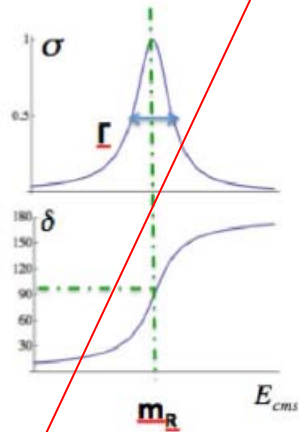
Some preliminary results for the rho-meson near the physical point



The radial profile of "rho". It is spatially compact.

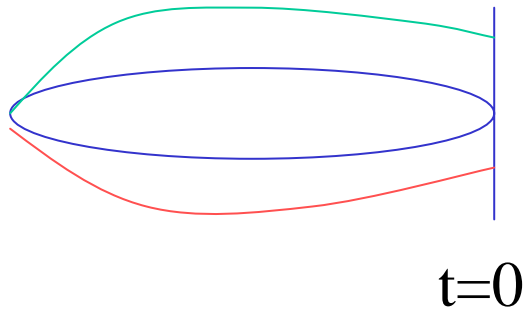


$$m_\rho(m_\pi) = m_\rho(0) + c_1 m_\pi^2,$$



Hints from the previous plots:

- pion-pion p-wave scattering states do show up in the the $l=1$ point-source vector correlation function.
- the lowest pion-pion scattering state does not appear in the wall-source $l=1$ vector correlation function.
- the possible reason for the suppressor of scattering states by Coulomb wall source



$$\begin{aligned}
 & \left\langle \sum_{\mathbf{y}, \mathbf{z}} \text{Tr} \left[S_F(\mathbf{x}, t; \mathbf{y}, 0) \gamma_5 \gamma_i S_F^\dagger((\mathbf{x}), t; \mathbf{z}, 0) \gamma_5 \gamma_i \right] \right. \\
 & \times \left. \sum_{\mathbf{u}, \mathbf{v}} \text{Tr} \left[S_F^{(s)}(\mathbf{u}, t; \mathbf{v}, 0) S_F^{(s)}(\mathbf{v}, 0; \mathbf{u}, t) \right] \right\rangle \\
 & \sim \sum_{\mathbf{p}} \langle 0 | O_{V,i}(\mathbf{x}, t) \sum_{\mathbf{u}} (\bar{q}^{(s)} q^{(s)})(\mathbf{u}, t) | \pi(\mathbf{p}) \pi(-\mathbf{p}) \rangle \\
 & \times \langle \pi(\mathbf{p}) \pi(-\mathbf{p}) | O_{V,i}^{(w), \dagger}(0) \sum_{\mathbf{v}} (\bar{q}^{(s)} q^{(s)})(\mathbf{v}, 0) | 0 \rangle + \dots \\
 & \langle 0 | O_{V,i}^{(w)}(0) \sum_{\mathbf{v}} (\bar{q}^{(s)} q^{(s)})(\mathbf{v}, 0) | \pi(\mathbf{p}) \pi(-\mathbf{p}) \rangle \\
 & \equiv \sum_{\mathbf{y}, \mathbf{z}, \mathbf{v}} \langle 0 | \bar{u}(\mathbf{y}, 0) \gamma_i d(\mathbf{z}, 0) (\bar{q}^{(s)} q^{(s)})(\mathbf{v}, 0) | \pi(\mathbf{p}) \pi(-\mathbf{p}) \rangle \\
 & \sim \sum_{\mathbf{r}_1, \mathbf{r}_2, \mathbf{R}} \Phi_\pi(\mathbf{r}_1) \Phi_\pi(\mathbf{r}_2) \Psi_{\pi\pi}(\mathbf{R}, \mathbf{p}) \tag{8} \\
 & \sum_{\mathbf{R}} \Psi_{\pi\pi}(\mathbf{R}, \mathbf{p}) \approx \sum_{\mathbf{R}} e^{i\mathbf{p} \cdot \mathbf{R}} = L^3 \delta_{\mathbf{p}, 0}
 \end{aligned}$$

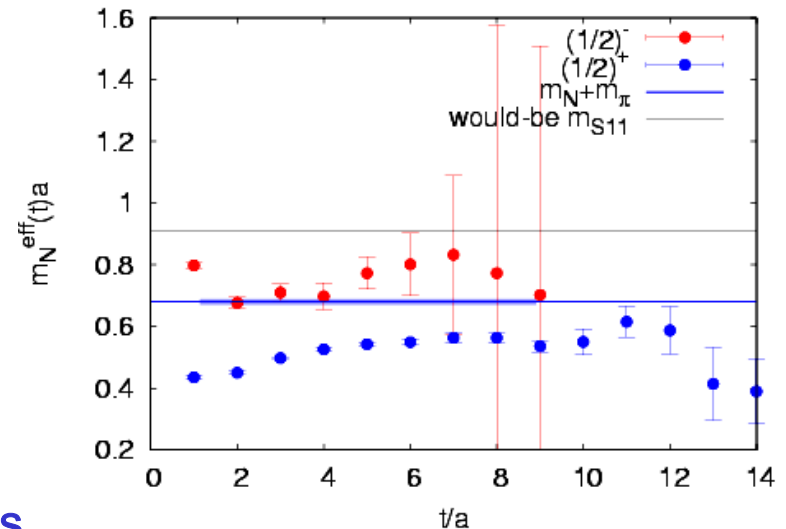
- Different fermion actions
Wilson type vs. Chiral fermion???

2. Pion-N scattering states in S11 channel

- Pion-N S-wave scattering states.
- The energy of the lowest pion-N state is

$$E_{\pi N}^{\min}(L=0) \approx m_{\pi} + m_N$$

- we observe it, but with large errorbars.
- No hope for the S11 resonance with this kind of correlators.



3. Comments on the present status of LQCD study on N* spectroscopy

Comparison of the hadron spectra

Euclidean spacetime lattice

Minkowski continuum spacetime

One particle states

Multiple particle states
with discrete relative spatial
Momentum (scattering
States in a finite volume

All the energies are
Discretized.



Stable particles



Bound states of hadrons

Resonances



Continuum scattering states

Luescher's Relation:

$$E_n = (m_1^2 + p^2)^{1/2} + (m_2^2 + p^2)^{1/2}$$

$$\tan \delta(p) = \frac{\sqrt{\pi} p L}{2 \mathcal{Z}_{00} \left(1; \left(\frac{pL}{2\pi}\right)^2\right)}$$

Resonances

$$\left\{ \begin{aligned} T(p) &= \frac{-\sqrt{s} \Gamma(p)}{s - m_R^2 + i\sqrt{s}\Gamma(p)} = \frac{1}{\cot \delta(p) - i} \\ \Gamma(p) &= g^2 \frac{p^{2l+1}}{s}, \quad \frac{p^{2l+1}}{\sqrt{s}} \cot \delta(p) = \frac{1}{g^2} (m_R^2 - s) \end{aligned} \right.$$

Bound states

$$\left\{ \begin{aligned} p \cot(\delta_0(p)) &= \frac{1}{a_0} + \frac{1}{2} r_0 p^2, \quad -|p_B| = \frac{1}{a_0} - \frac{1}{2} r_0 |p_B|^2 \\ T &= \frac{1}{\cot(\delta_l(p_B)) - i} = \infty \\ m_B &= E_{H_1}(p_B) + E_{H_2}(p_B), \quad p_B = i|p_B| \end{aligned} \right.$$

IV. Omega* as a complementary discussion

- There are only four Ω baryons in the PDG.
- Apart from the $\Omega(1672)$ baryons, the J^P quantum numbers of the other three states are not determined yet.

Ω^-	$I(J^P) = 0(\frac{3}{2}^+)$ Status: ****
$\Omega(2250)^-$	$I(J^P) = 0(?)$ Status: ***
$\Omega(2380)^-$	Status: **
$\Omega(2470)^-$	Status: **

- In the

$$SU(6) \otimes O(3) \supset SU(3)_F \otimes SU(2)_{spin} \otimes O(3)$$

quark model, the level ordering should be

$$M(\frac{3}{2}^+) < M(\frac{3}{2}^-) \approx M(\frac{1}{2}^-) < M(\frac{3}{2}^{+*}) \approx M(\frac{1}{2}^+)$$

- Phenomenological studies shows that the the mixing of the three-quark and five quark Fock space may drag the $M(\frac{3}{2}^-)$ lower than $M(\frac{1}{2}^-)$ (An & Zou, PRC87(2013)065207, PRC89(2014)055209).

$$\Phi_{baryon} = \phi_{space} \otimes \xi_{spin} \otimes \chi_{flavor} \otimes \psi_{color}$$

antisymmetric

symmetric

antisymmetric

symmetric

In a harmonious oscillator potential

$$E = (2n_r + l + 3/2)\omega$$

$J = \frac{3}{2}^+$: 1s1s1s	1s1s2s
$J = \frac{3}{2}^-$:	1s1s1p
$J = \frac{1}{2}^+$:	1s1s2s
$J = \frac{1}{2}^-$:	1s1s1p



Energy increases.

- Interpolation field operator for Ω baryons

$$\mathcal{O}_{\Omega}^{\mu} = \epsilon^{abc} (s_a^T \mathcal{C} \gamma^{\mu} s_b) s_c.$$

- This operator has both the spin-1/2 and spin-3/2 components
- Introducing the projection operators from the quantum field theory

$$\mathcal{P}_{3/2}^{\mu\nu} = \delta^{\mu\nu} - \frac{1}{3} \gamma^{\mu} \gamma^{\nu} - \frac{1}{3p^2} (\not{p} \gamma^{\mu} p^{\nu} + p^{\mu} \gamma^{\nu} \not{p})$$

$$\mathcal{P}_{1/2}^{\mu\nu} = \delta^{\mu\nu} - \mathcal{P}_{3/2}^{\mu\nu}$$

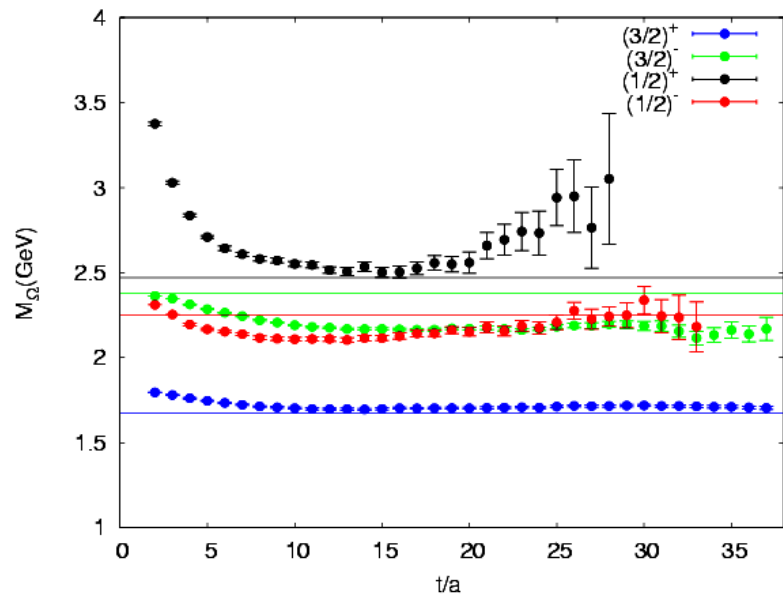
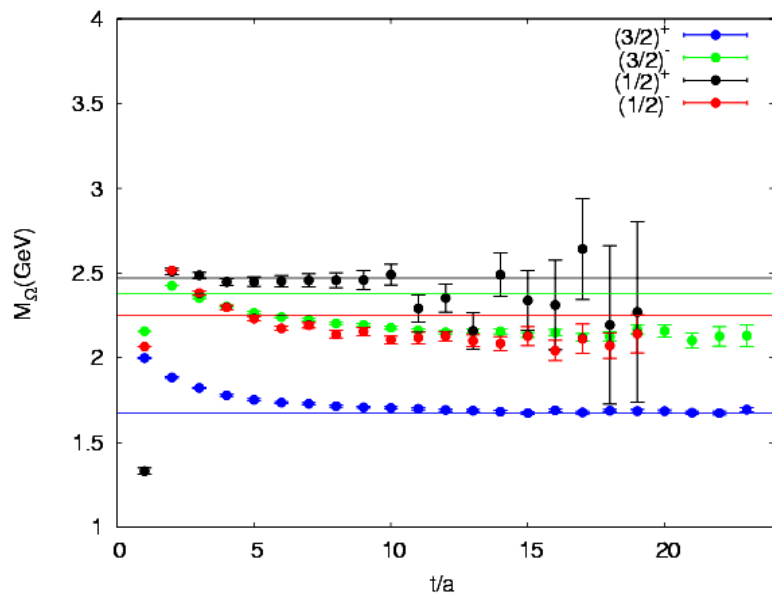
- We obtain the following operators with definite spin quantum number

$$\mathcal{O}_{3/2}^{\mu} = \sum_{\nu} \mathcal{P}_{3/2}^{\mu\nu} \mathcal{O}_{\Omega}^{\nu}$$

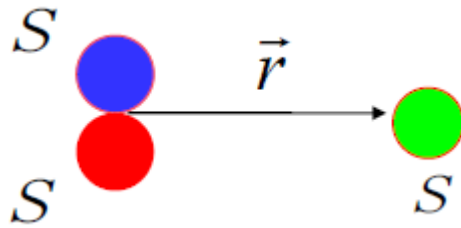
$$\mathcal{O}_{1/2}^{\mu} = \sum_{\nu} \mathcal{P}_{1/2}^{\mu\nu} \mathcal{O}_{\Omega}^{\nu}$$

- Then we can calculate the two-point functions of these operators

$$C_J(t) = \sum_{\vec{x}} Tr(1 \pm \gamma_4) \langle O_J(\vec{x}, t) \bar{O}_J(0) \rangle = \sum_n Z_J e^{-m_J t}$$



J^P	$16^3 \times 96$ $M_\Omega(\text{GeV})$	$24^3 \times 128$ $M_\Omega(\text{GeV})$	PDG
$(3/2)^+$	1.680(7)	1.704(7)	Ω^-
$(3/2)^-$	2.155(12)	2.169(10)	$\Omega^- (2250)$ or $\Omega^- (2380)$???
$(1/2)^-$	2.155(20)	2.140(19)	$\Omega^- (2380)$ or $\Omega^- (2250)$???
$(1/2)^+$	2.452(31)	2.490(25)	$\Omega^- (2470)$???

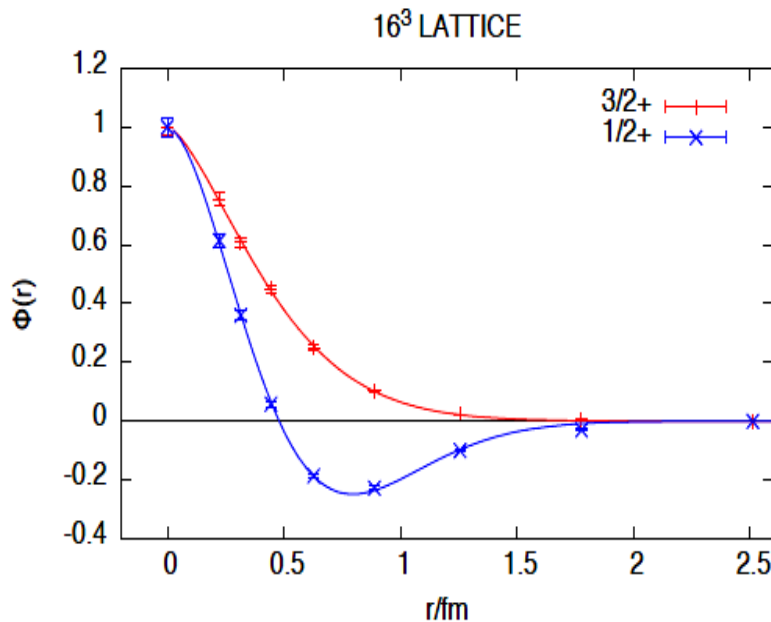


$$\mathcal{O}_1(r) = \sum_{|\vec{r}|} \epsilon^{abc} [s_a^T(x + \vec{r}) \mathcal{C} \gamma^\mu s_b(x)] s_c(x)$$

$$\mathcal{O}_2(r) = \sum_{|\vec{r}|} \epsilon^{abc} [s_a^T(x) \mathcal{C} \gamma^\mu s_b(x + \vec{r})] s_c(x)$$

$$\mathcal{O}_3(r) = \sum_{|\vec{r}|} \epsilon^{abc} [s_a^T(x) \mathcal{C} \gamma^\mu s_b(x)] s_c(x + \vec{r})$$

$$C(r, t) = \langle \mathcal{O}(\vec{r}, t) \bar{\mathcal{O}}^{(w)}(0) \rangle = \sum_i W_i(r) e^{-m_i t}$$



- $\frac{3}{2}^+ \rightarrow (1s1s1s)$

- $\frac{1}{2}^+ \rightarrow (1s1s2s)$

$$\phi_0(r) = e^{-\left(\frac{r}{\beta_0}\right)^{\alpha_0}}$$

$$\phi_1(r) = (1 + \kappa r^{\alpha_1}) e^{-\left(\frac{r}{\beta_1}\right)^{\alpha_1}}$$

$$\alpha_0 \sim \alpha_1 \sim 1.75$$

V. Summary and Outlook

- By using overlap fermions, we can access the physics at very low pion masses.
- In QA, we reproduce the experimental level ordering of the Roper and S11 resonances in the low pion mass region.
- It signals that the underlying dynamics in the the low pion mass region may differ from that in the heavy quark region.
- Our preliminary full-QCD result of the Roper mass is compatible with our previous results in QA.
- However, our results are very different from other group's, and the reson is under investigation.
- Nucleon-meson scattering should also be investigated on the lattice, especially for wide baryon resonance.
- We also study the spectrum and the BS wave functions of Omega and its excited states, and the result is qualitative agreement with the quark model picture.

Thanks!

The latest lattice calculation of Ω baryon spectrum

Bulava et al. (Hadron Spectrum Collaboration),

Phys. Rev. D 82, 014507 (2010)

