## N* Spectroscopy from Lattice QCD

## Derek Leinweber



THE UNIVERSITY ofADELAIDE

## Baryon Spectrum: Hadron Spectrum Collaboration



## Positive Parity Nucleon Spectrum: $\chi$ QCD (U. Kentucky) Collaboration



## Positive Parity Spectrum: Cypress (Twisted Mass) Collaboration: Feb. '13



## Positive Parity Spectrum: Cypress (Twisted Mass) Collaboration: Jan. '14



## Negative Parity Nucleon Spectrum: Cypress



## Outline

## Variational Analysis

Understanding and Resolving Discrepancies in the Nucleon Spectrum
Have we seen the Roper?
Wave Functions and Form Factors
Hamiltonian Effective Field Theory Model
The $\Lambda(1405)$ is a $\bar{K} N$ Molecule
Conclusion

## Variational Analysis

- Consider a basis of interpolating fields $\chi_{i}$


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- Construct the correlation matrix

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G_{i j}(\mathbf{p} ; t)=\sum_{\mathbf{x}} \mathrm{e}^{-\mathrm{i} \cdot \mathbf{x}} \operatorname{tr}\left(\Gamma\langle\Omega| \chi_{i}(x) \bar{\chi}_{j}(0)|\Omega\rangle\right)
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$$

- Seek linear combinations of the interpolators $\left\{\chi_{i}\right\}$ that isolate individual energy eigenstates, $\alpha$, at momentum $\mathbf{p}$ :

$$
\phi^{\alpha}=v_{i}^{\alpha}(\mathbf{p}) \chi_{i}, \quad \bar{\phi}^{\alpha}=u_{i}^{\alpha}(\mathbf{p}) \bar{\chi}_{i}
$$

## Variational Analysis

- When successful, only state $\alpha$ participates in the correlation function, and one can write recurrence relations

$$
\begin{gathered}
G\left(\mathbf{p} ; t_{0}+\delta t\right) \mathbf{u}^{\alpha}(\mathbf{p})=\mathrm{e}^{-E_{\alpha}(\mathbf{p}) \delta t} G\left(\mathbf{p} ; t_{0}\right) \mathbf{u}^{\alpha}(\mathbf{p}) \\
\mathbf{v}^{\alpha \mathrm{T}}(\mathbf{p}) G\left(\mathbf{p} ; t_{0}+\delta t\right)=\mathrm{e}^{-E_{\alpha}(\mathbf{p}) \delta t} \mathbf{v}^{\alpha \mathrm{T}}(\mathbf{p}) G\left(\mathbf{p} ; t_{0}\right)
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a Generalised Eigenvalue Problem (GEVP).

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\end{gathered}
$$

a Generalised Eigenvalue Problem (GEVP).

- Solve for the left, $\mathbf{v}^{\alpha}(\mathbf{p})$, and right, $\mathbf{u}^{\alpha}(\mathbf{p})$, generalised eigenvectors of $G\left(\mathbf{p} ; t_{0}+\delta t\right)$ and $G\left(\mathbf{p} ; t_{0}\right)$.


## Eigenstate-Projected Correlation Functions

- Using these optimal eigenvectors, create eigenstate-projected correlation functions

$$
\begin{aligned}
G^{\alpha}(\mathbf{p} ; t) & =\sum_{\mathbf{x}} \mathrm{e}^{-\mathrm{i} \cdot \mathbf{p} \cdot \mathbf{x}}\langle\Omega| \phi^{\alpha}(x) \bar{\phi}^{\alpha}(0)|\Omega\rangle \\
& =\sum_{\mathbf{x}} \mathrm{e}^{-\mathrm{i} \cdot \mathbf{p} \cdot x}\langle\Omega| v_{i}^{\alpha}(\mathbf{p}) \chi_{i}(x) \bar{\chi}_{j}(0) u_{j}^{\alpha}(\mathbf{p})|\Omega\rangle \\
& =\mathbf{v}^{\alpha \top}(\mathbf{p}) G(\mathbf{p} ; t) \mathbf{u}^{\alpha}(\mathbf{p}) \\
G^{\alpha}(\mathbf{p} ; t) & =A_{\alpha} \exp \left(-E_{\alpha}(\mathbf{p}) t\right)
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G^{\alpha}(\mathbf{p} ; t) & =A_{\alpha} \exp \left(-E_{\alpha}(\mathbf{p}) t\right)
\end{aligned}
$$

- Here $t$ is different from $t_{0}$ and $\delta t$ and can become large.


## Defining the Effective Mass

- At zero momentum, the projected correlator is

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- $\Delta t=1$ or 2 is common.


## Smeared Source to Point Sink Correlation Functions



## Positive Parity Nucleon - First Excited State - $m_{\pi}: 296 \mathrm{MeV}$



Positive Parity Nucleon - First Excited State - $m_{\pi}: 296 \mathrm{MeV}-\chi_{\text {dof }}^{2}: 0.67$


Negative Parity Nucleon-2nd Excited State - $m_{\pi}: 156 \mathrm{MeV}$


Negative Parity Nucleon-2nd Excited State $-m_{\pi}: 156 \mathrm{MeV}-\chi_{\text {dof }}^{2}: 0.88$


## Further Information

- "Roper Resonance in $2+1$ Flavor QCD,"
M. S. Mahbub, et al. [CSSM],

Phys. Lett. B 707 (2012) 389
arXiv:1011.5724 [hep-lat],

- "Low-lying Odd-parity States of the Nucleon in Lattice QCD,"
M. Selim Mahbub, et al. [CSSM],

Phys. Rev. D Rapid Comm. 87 (2013) 011501,
arXiv: 1209.0240 [hep-lat]

- "Structure and Flow of the Nucleon Eigenstates in Lattice QCD,"
M. S. Mahbub, et al. [CSSM],

Phys. Rev. D 87 (2013) 9, 094506
arXiv:1302.2987 [hep-lat].

- Finn Stokes, et al. [CSSM], In preparation.

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## CSSM Simulation Details

Based on the PACS-CS $(2+1)$-flavour ensembles, available through the ILDG.
S. Aoki et al (PACS-CS Collaboration), Phys. Rev. D 79, 034503 (2009)

- Lattice size of $32^{3} \times 64$ with $\beta=1.90$. $L \simeq 3 \mathrm{fm}$.


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- 5 pion masses, ranging from 640 MeV down to 156 MeV .


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- Lattice size of $32^{3} \times 64$ with $\beta=1.90$. $L \simeq 3 \mathrm{fm}$.
- 5 pion masses, ranging from 640 MeV down to 156 MeV .
- The strange quark $\kappa_{s}$ is held fixed as the light quark masses vary.
- Changes in the strange quark contributions are environmental effects.


## Positive Parity Nucleon Spectrum: CSSM



## States Tracked via Orthogonal Eigenvectors



## Positive Parity Nucleon Spectrum: CSSM



## Comparison: Hadron Spectrum Collaboration (HSC)

- "Excited state baryon spectroscopy from lattice QCD," R. G. Edwards, J. J. Dudek, D. G. Richards and S. J. Wallace, Phys. Rev. D 84 (2011) 074508 arXiv:1104.5152 [hep-ph].


CSSM \& HSC Comparison: Positive Parity CsSM


CSSM \& HSC Comparison: Positive Parity cSSM


## CSSM \& HSC Comparison: Positive Parity



CSSM \& HSC Comparison: Negative Parity CSSM


## CSSM \& HSC Comparison: Negative Parity



## Positive Parity Nucleon Spectrum: $\chi$ QCD (U. Kentucky) Collaboration



- "The Roper Puzzle,"
K. F. Liu, Y. Chen, M. Gong, R. Sufian, M. Sun and A. Li, PoS LATTICE 2013 (2014) 507
arXiv:1403.6847 [hep-ph].


## Positive Parity Nucleon Spectrum: $\chi$ QCD (U. Kentucky) Collaboration

- "The Roper Puzzle,"
K. F. Liu, Y. Chen, M. Gong, R. Sufian, M. Sun and A. Li, PoS LATTICE 2013 (2014) 507
arXiv:1403.6847 [hep-ph].
- Ying Chen's talk in Tuesday's Parallel-B 26-2 at 16:30.


## Essence of the Sequential Empirical Bayesian (SEB) Analysis



## Essence of the Sequential Empirical Bayesian (SEB) Analysis



## $\chi$ QCD \& HSC Systematic Comparison - Same Correlators Examined

$$
a^{-1}=1.73 \mathrm{GeV}, \quad m_{l} \mathrm{a}=0.005
$$



## Positive Parity Spectrum: Cypress (Twisted Mass) Collaboration: Feb. '13



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$d$-quark probability density in ground state proton: $m_{\pi}=156 \mathrm{MeV}(C S S M)$

d-quark probability density in first excited proton: $m_{\pi}=156 \mathrm{MeV}$ (CSSM)


## Positive Parity Nucleon Spectrum: only small smearing: Cypress



## Positive Parity Nucleon Spectrum: $r_{\text {RMS }}$ smearing of 8.6 lu: Cypress



- "Novel analysis method for excited states in lattice QCD:

The nucleon case,"
C. Alexandrou, T. Leontiou, C. N. Papanicolas and E. Stiliaris, Phys. Rev. D 91 (2015) 1, 014506
arXiv:1411.6765 [hep-lat].

- Does not rely on plateau identification of effective masses
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- Exploits small time separations where the excited states contribute and statistical errors are small.


## Athens Model Independent Analysis Scheme (AMIAS)

- Does not rely on plateau identification of effective masses
- Exploits small time separations where the excited states contribute and statistical errors are small.
- The Correlation matrix has the spectral decomposition

$$
G_{i j}(t)=\sum_{\alpha=0}^{N_{\text {states }}} A_{i}^{\alpha} A_{j}^{\dagger \alpha} e^{-E_{\alpha} t} . \quad i, j=1, \ldots, N_{\text {interpolators }} .
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- Parameters are determined by fitting a Gaussian to their probability distributions.


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- Parameters are determined by fitting a Gaussian to their probability distributions.
- Increase $N_{\text {states }}$ until there is no sensitivity to additional exponentials.


## Determining $N_{\text {states }} \equiv \mathrm{n}_{\text {max }}$ <br> (Cypress)



## Analysis of Correlation Matrix is Essential



AMIAS applied to positive-parity Cypress results


## Lowest-lying positive-parity $N^{*}$ Spectrum



## Properties of the Positive Parity Nucleon Spectrum



## $d$-quark probability density in ground state proton (CSSM)



## $d$-quark probability density in 1st excited state of proton (CSSM)



## $d$-quark probability density in $N=3$ excited state of proton (CSSM)



## $d$-quark probability density in $N=4$ excited state of proton (CSSM)



## Comparison with the Simple Quark Model - CSSM





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## d-quark probability density in 1st excited state of proton (CSSM)



## $d$-quark probability density in 1st excited state of proton (CSSM)



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## $d$-quark probability density in 4th excited state of proton (CSSM)

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From the article:
Nucleon excited state wave functions from lattice QCD
Dale S. Roberts, Waseem Kamleh, and Derek B. Leinweber Phys. Rev. D 89, 074501 (2014)

Form Factors of positive-parity nucleon excitations


## Charge Radii of the Proton, Delta and "Roper"



Magnetic Moments of the Proton, Delta and "Roper"



- Comparison with quark model result of N. Sharma, et al. (2013). 63 of 124


## References

- "Nucleon Excited State Wave Functions from Lattice QCD," D. S. Roberts, W. Kamleh and D. B. Leinweber.

Phys. Rev. D89 (2014) 074501 arXiv:1311.6626 [hep-lat]

- "Electromagnetic matrix elements for negative parity nucleons," B. Owen, W. Kamleh, D. Leinweber, S. Mahbub and B. Menadue PoS LATTICE 2014 (2014) 159 arXiv:1412.4432 [hep-lat]
- "Probing the proton and its excitations in full QCD,"
B. J. Owen, W. Kamleh, D. B. Leinweber, M. S. Mahbub and
B. J. Menadue

PoS LATTICE 2013 (2013) 277 arXiv:1312.0291 [hep-lat]

## References

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PoS LATTICE 2013 (2013) 277 arXiv:1312.0291 [hep-lat]

- "Magnetic moments of the low-lying $1 / 2^{-}$octet baryon resonances," N. Sharma, A. Martinez Torres, K. P. Khemchandani and H. Dahiya Eur. Phys. J. A 49 (2013) 11 [arXiv:1207.3311 [hep-ph]]


## Have we seen the Roper?



Finite-Volume Effect in $N=2$ excited state: $m_{\pi}=702 \mathrm{MeV}$


Finite-Volume Effect in $N=2$ excited state: $m_{\pi}=570 \mathrm{MeV}$


Finite-Volume Effect in $N=2$ excited state: $m_{\pi}=411 \mathrm{MeV}$


Finite-Volume Effect in $N=2$ excited state: $m_{\pi}=296 \mathrm{MeV}$


Finite-Volume Effect in $N=2$ excited state: $m_{\pi}=156 \mathrm{MeV}$


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## Hamiltonian Effective Field Theory

- Zhan-Wei Liu, Jiajun Wu, et al. [CSSM] In preparation.


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- Jiajun Wu's talk in Wednesday's Parallel-B 27-1 at 15:30.


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- J. M. M. Hall, et al. [CSSM]
"Lattice QCD Evidence that the $\Lambda(1405)$ Resonance is an
Antikaon-Nucleon Molecule"
Phys. Rev. Lett. 114, 132002 (2015). arXiv:1411.3402 [hep-lat]
- "On the Structure of the $\Lambda(1405)$ ",
J. M. M. Hall, et al. [CSSM]

PoS LATTICE 2014, 094 (2014). arXiv:1411.3781 [hep-lat]

## Hamiltonian Effective Field Theory Model

- Consider the $\Lambda(1405)$.


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- The four octet meson-baryon interaction channels of the $\Lambda(1405)$ are considered: $\pi \Sigma, \bar{K} N, K \equiv$ and $\eta \Lambda$.


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- A single-particle state with bare mass, $m_{0}+\alpha_{0} m_{\pi}^{2}$ is also included.


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- A single-particle state with bare mass, $m_{0}+\alpha_{0} m_{\pi}^{2}$ is also included.
- In a finite periodic volume, momentum is quantised to $n(2 \pi / L)$.
- Working on a cubic volume of extent $L$ on each side, it is convenient to define the momentum magnitudes

$$
k_{n}=\sqrt{n_{x}^{2}+n_{y}^{2}+n_{z}^{2}} \frac{2 \pi}{L},
$$

with $n_{i}=0,1,2, \ldots$ and integer $n=n_{x}^{2}+n_{y}^{2}+n_{z}^{2}$.

## Hamiltonian model, $H_{0}$

Denoting each meson-baryon energy by $\omega_{M B}\left(k_{n}\right)=\omega_{M}\left(k_{n}\right)+\omega_{B}\left(k_{n}\right)$, with $\omega_{A}\left(k_{n}\right) \equiv \sqrt{k_{n}^{2}+m_{A}^{2}}$, the non-interacting Hamiltonian takes the form

## Hamiltonian model, $H_{l}$

- Interaction entries describe the coupling of the single-particle state to the two-particle meson-baryon states.


## Hamiltonian model, $H_{I}$

- Interaction entries describe the coupling of the single-particle state to the two-particle meson-baryon states.
- Each entry represents the $S$-wave interaction energy of the $\Lambda(1405)$ with one of the four channels at a certain value for $k_{n}$.

$$
H_{l}=\left(\begin{array}{ccccccc}
0 & g_{\pi \Sigma}\left(k_{0}\right) & \cdots & g_{\eta \Lambda}\left(k_{0}\right) & g_{\pi \Sigma}\left(k_{1}\right) & \cdots & g_{\eta \Lambda}\left(k_{1}\right) \cdots \\
g_{\pi \Sigma}\left(k_{0}\right) & 0 & \cdots & & & & \\
\vdots & \vdots & 0 & & & & \\
g_{\eta \Lambda}\left(k_{0}\right) & & & \ddots & & & \\
g_{\pi \Sigma}\left(k_{1}\right) & & & & & & \\
\vdots & & & & & & \\
g_{\eta \Lambda}\left(k_{1}\right) & & & & & \\
\vdots & & & & &
\end{array}\right)
$$

## Eigenvalue Equation Form

- The eigenvalue equation corresponding to our Hamiltonian model is

$$
\lambda=m_{0}+\alpha_{0} m_{\pi}^{2}-\sum_{M, B} \sum_{n=0}^{\infty} \frac{g_{M B}^{2}\left(k_{n}\right)}{\omega_{M B}\left(k_{n}\right)-\lambda} .
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with $\lambda$ denoting the energy eigenvalue.

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with $\lambda$ denoting the energy eigenvalue.

- As $\lambda$ is finite, the pole in the denominator of the right-hand side is never accessed.
- The bare mass $m_{0}+\alpha_{0} m_{\pi}^{2}$ encounters self-energy corrections that lead to avoided level-crossings in the finite-volume energy eigenstates.


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- The bare mass $m_{0}+\alpha_{0} m_{\pi}^{2}$ encounters self-energy corrections that lead to avoided level-crossings in the finite-volume energy eigenstates.
- Reference to chiral effective field theory provides the form of $g_{M B}\left(k_{n}\right)$.


## Hamiltonian model solution and fit

- The LAPACK software library routine dgeev is used to obtain the eigenvalues and eigenvectors of $H$.


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- The bare mass parameters $m_{0}$ and $\alpha_{0}$ are determined by a fit to the lattice QCD results.


## Hamiltonian model fit



## Avoided Level Crossing




## Strange Magnetic Form Factor

- Provides direct insight into the possible dominance of a molecular $\bar{K} N$ bound state.


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- In forming such a molecular state, the $\Lambda(u, d, s)$ valence quark configuration is complemented by
- A $u, \bar{u}$ pair making a $K^{-}(s, \bar{u})$ - proton $(u, u, d)$ bound state, or
- A $d, \bar{d}$ pair making a $\bar{K}^{0}(s, \bar{d})$ - neutron $(d, d, u)$ bound state.


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- In both cases the strange quark is confined within a spin-0 kaon and has no preferred spin orientation.
- To conserve parity, the kaon has zero orbital angular momentum.
- Thus, the strange quark does not contribute to the magnetic form factor of the $\Lambda(1405)$ when it is in a $\bar{K} N$ molecule.
$\underline{\mathcal{G}_{M}}$ for the $\Lambda(1405)$ at $Q^{2} \sim 0.16 \mathrm{GeV}^{2}$



## Low-lying odd-parity nucleon ( $N^{*}$ ) states



## Non-interacting meson-baryon channels considered



## Hamiltonian Model $N^{*}$ Spectrum: 3 fm



## Hamiltonian Model $N^{*}$ Spectrum: 3 fm



## Hamiltonian Model $N^{*}$ Spectrum: 2 fm



## Volume Dependence of the $N^{*}$ Spectrum



## Hamiltonian Model $N^{*}$ Spectrum: 3 fm



## What about the Roper?



## Non-interacting meson-baryon channels considered



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## Hamiltonian Model $N^{\prime}$ Spectrum



## Hamiltonian Model $N^{\prime}$ Spectrum



## Hamiltonian $N^{\prime}$ Spectrum: Increased bare mass slope



## Bare State Strength in the $N^{\prime}$ Spectrum: 3 fm



## Conclusions

- A survey of the current literature resolves discrepancies among groups exploring the low-lying nucleon spectrum.
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- The Roper of Nature has yet to be seen in the light quark mass regime.


## Supplementary Information

The following slides provide additional information which may be of interest.

## Bare State Strength in the $N^{*}$ Spectrum: 3 fm



## Bare State Strength in the $N^{\prime}$ Spectrum: 3 fm



## Volume Dependence of the $N^{*}$ Spectrum



## Volume Dependence of the $N^{*}$ Spectrum



## Basis Interpolator Superposition for Nucleon Spectrum



## Artistic view of $\Lambda(1405)$ Structure



## Operators Used in $\Lambda(1405)$ Analysis

We consider local three-quark operators with the correct quantum numbers for the $\Lambda$ channel, including

- Flavour-octet operators

$$
\begin{aligned}
& \chi_{1}^{8}=\frac{1}{\sqrt{6}} \varepsilon^{a b c}\left(2\left(u^{a} C \gamma_{5} d^{b}\right) s^{c}+\left(u^{a} C \gamma_{5} s^{b}\right) d^{c}-\left(d^{a} C \gamma_{5} s^{b}\right) u^{c}\right) \\
& \chi_{2}^{8}=\frac{1}{\sqrt{6}} \varepsilon^{a b c}\left(2\left(u^{a} C d^{b}\right) \gamma_{5} s^{c}+\left(u^{a} C s^{b}\right) \gamma_{5} d^{c}-\left(d^{a} C s^{b}\right) \gamma_{5} u^{c}\right)
\end{aligned}
$$

- Flavour-singlet operator

$$
\chi^{1}=2 \varepsilon^{a b c}\left(\left(u^{a} C \gamma_{5} d^{b}\right) s^{c}-\left(u^{a} C \gamma_{5} s^{b}\right) d^{c}+\left(d^{a} C \gamma_{5} s^{b}\right) u^{c}\right)
$$

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- These results use 16 and 100 sweeps.
- Gives a $6 \times 6$ matrix.
- Also considered 35 and 100 sweeps.
- Results are consistent with larger statistical uncertainties.

Flavour structure of the $\Lambda(1405)$


## Volume dependence of the odd-parity $\Lambda$ spectrum



## Infinite-volume reconstruction of the $\Lambda(1405)$ energy

- Bootstraps are calculated by altering the value of each lattice data point by a Gaussian-distributed random number, weighted by the uncertainty.


Bootstrap outcomes


## Dispersion Relation Test for the $\Lambda(1405)$



## $\mathcal{G}_{\text {E }}$ for the $\Lambda(1405)$

When compared to the ground state, the results for $\mathcal{G}_{\mathrm{E}}$ are consistent with the development of a non-trivial $\overline{\mathrm{K}} \mathrm{N}$ component at light quark masses.

## $\mathcal{G}_{\mathrm{E}}$ for the $\Lambda(1405)$

When compared to the ground state, the results for $\mathcal{G}_{\mathrm{E}}$ are consistent with the development of a non-trivial $\overline{\mathrm{K}} \mathrm{N}$ component at light quark masses.

- Noting that the centre of mass of the $\bar{K}(s, \bar{\ell}) N(\ell, u, d)$ is nearer the heavier N ,
- The anti-light-quark contribution, $\bar{\ell}$, is distributed further out by the $\overline{\mathrm{K}}$ and leaves an enhanced light-quark form factor.


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- The anti-light-quark contribution, $\bar{\ell}$, is distributed further out by the $\overline{\mathrm{K}}$ and leaves an enhanced light-quark form factor.
- The strange quark may be distributed further out by the $\bar{K}$ and thus have a smaller form factor.


## $\mathcal{G}_{\text {E }}$ for the $\Lambda(1405)$



## Hamiltonian model, $H_{I}$

- The form of the interaction is derived from chiral effective field theory.

$$
g_{M B}\left(k_{n}\right)=\left(\frac{\kappa_{M B}}{16 \pi^{2} f_{\pi}^{2}} \frac{C_{3}(n)}{4 \pi}\left(\frac{2 \pi}{L}\right)^{3} \omega_{M}\left(k_{n}\right) u^{2}\left(k_{n}\right)\right)^{1 / 2} .
$$

- $\kappa_{M B}$ denotes the $S U(3)$-flavour singlet couplings

$$
\kappa_{\pi \Sigma}=3 \xi_{0}, \quad \kappa_{\bar{K} N}=2 \xi_{0}, \quad \kappa_{K \equiv}=2 \xi_{0}, \quad \kappa_{\eta \Lambda}=\xi_{0}
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- $C_{3}(n)$ is a combinatorial factor equal to the number of unique permutations of the momenta indices $\pm n_{x}, \pm n_{y}$ and $\pm n_{z}$.
- $u\left(k_{n}\right)$ is a dipole regulator, with regularization scale $\Lambda=0.8 \mathrm{GeV}$. Infinite-volume reconstruction of the $\Lambda(1405)$ energy



## Excited State Form Factors

- The eigenstate-projected three-point correlation function is

$$
\begin{aligned}
G_{\alpha}^{\mu}\left(\mathbf{p}^{\prime}, \mathbf{p} ; t_{2}, t_{1}\right)= & \sum_{\mathbf{x}_{1}, \mathbf{x}_{2}} \\
& \mathrm{e}^{-\mathrm{i} \mathbf{p}^{\prime} \cdot \mathbf{x}_{2}} \mathrm{e}^{\mathrm{i}\left(\mathbf{p}^{\prime}-\mathbf{p}\right) \cdot \mathbf{x}_{1}} \times \\
& \times\langle\Omega| v_{i}^{\alpha}\left(\mathbf{p}^{\prime}\right) \chi_{i}\left(x_{2}\right) j^{\mu}\left(x_{1}\right) \bar{\chi}_{j}(0) u_{i}^{\alpha}(\mathbf{p})|\Omega\rangle \\
= & \mathbf{v}^{\alpha \mathrm{T}}\left(\mathbf{p}^{\prime}\right) G_{i j}^{\mu}\left(\mathbf{p}^{\prime}, \mathbf{p} ; t_{2}, t_{1}\right) \mathbf{u}^{\alpha}(\mathbf{p})
\end{aligned}
$$

where

$$
G_{i j}^{\mu}\left(\mathbf{p}^{\prime}, \mathbf{p} ; t_{2}, t_{1}\right)=\sum_{\mathbf{x}_{1}, \mathbf{x}_{2}} \mathrm{e}^{-\mathrm{i} \mathbf{p}^{\prime} \cdot \mathbf{x}_{2}} \mathrm{e}^{\mathrm{i}\left(\mathbf{p}^{\prime}-\mathbf{p}\right) \cdot \mathbf{x}_{1}}\langle\Omega| \chi_{i}\left(x_{2}\right) j^{\mu}\left(x_{1}\right) \bar{\chi}_{j}(0)|\Omega\rangle
$$

is the matrix constructed from the three-point correlation functions of the original operators $\left\{\chi_{i}\right\}$.

## Extracting Form Factors from Lattice QCD

- To eliminate the time dependence of the three-point correlation function, we construct the ratio

$$
R_{\alpha}^{\mu}\left(\mathbf{p}^{\prime}, \mathbf{p} ; t_{2}, t_{1}\right)=\left(\frac{G_{\alpha}^{\mu}\left(\mathbf{p}^{\prime}, \mathbf{p} ; t_{2}, t_{1}\right) G_{\alpha}^{\mu}\left(\mathbf{p}, \mathbf{p}^{\prime} ; t_{2}, t_{1}\right)}{G_{\alpha}\left(\mathbf{p}^{\prime} ; t_{2}\right) G_{\alpha}\left(\mathbf{p} ; t_{2}\right)}\right)^{1 / 2}
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$$

- To further simply things, we define the reduced ratio

$$
\bar{R}_{\alpha}^{\mu}=\left(\frac{2 E_{\alpha}(\mathbf{p})}{E_{\alpha}(\mathbf{p})+m_{\alpha}}\right)^{1 / 2}\left(\frac{2 E_{\alpha}\left(\mathbf{p}^{\prime}\right)}{E_{\alpha}\left(\mathbf{p}^{\prime}\right)+m_{\alpha}}\right)^{1 / 2} R_{\alpha}^{\mu}
$$

## Current Matrix Element for Spin-1/2 Baryons

The current matrix element for spin-1/2 baryons has the form

$$
\begin{aligned}
\left\langle p^{\prime}, s^{\prime}\right| j^{\mu}|p, s\rangle= & \left(\frac{m_{\alpha}^{2}}{E_{\alpha}(\mathbf{p}) E_{\alpha}\left(\mathbf{p}^{\prime}\right)}\right)^{1 / 2} \times \\
& \times \bar{u}\left(\mathbf{p}^{\prime}\right)\left(F_{1}\left(q^{2}\right) \gamma^{\mu}+\mathrm{i} F_{2}\left(q^{2}\right) \sigma^{\mu \nu} \frac{q^{\nu}}{2 m_{\alpha}}\right) u(\mathbf{p})
\end{aligned}
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\end{aligned}
$$

- The Dirac and Pauli form factors are related to the Sachs form factors through

$$
\begin{aligned}
\mathcal{G}_{\mathrm{E}}\left(q^{2}\right) & =F_{1}\left(q^{2}\right)-\frac{q^{2}}{\left(2 m^{\alpha}\right)^{2}} F_{2}\left(q^{2}\right) \\
\mathcal{G}_{\mathrm{M}}\left(q^{2}\right) & =F_{1}\left(q^{2}\right)+F_{2}\left(q^{2}\right)
\end{aligned}
$$

## Sachs Form Factors for Spin-1/2 Baryons

- A suitable choice of momentum $(\mathbf{q}=(q, 0,0))$ and the (implicit) Dirac matrices allows us to directly access the Sachs form factors:
- for $\mathcal{G}_{\mathrm{E}}$ : using $\Gamma_{4}^{ \pm}$for both two- and three-point,

$$
\mathcal{G}_{E}^{\alpha}\left(q^{2}\right)=\bar{R}_{\alpha}^{4}\left(\mathbf{q}, \mathbf{0} ; t_{2}, t_{1}\right)
$$

- for $\mathcal{G}_{\mathrm{M}}$ : using $\Gamma_{4}^{ \pm}$for two-point and $\Gamma_{j}^{ \pm}$for three-point,

$$
\left|\varepsilon_{i j k} q^{i}\right| \mathcal{G}_{M}^{\alpha}\left(q^{2}\right)=\left(E_{\alpha}(\mathbf{q})+m_{\alpha}\right) \bar{R}_{\alpha}^{k}\left(\mathbf{q}, \mathbf{0} ; t_{2}, t_{1}\right)
$$

- where for positive parity states,

$$
\Gamma_{j}^{+}=\frac{1}{2}\left[\begin{array}{cc}
\sigma_{j} & 0 \\
0 & 0
\end{array}\right] \quad \Gamma_{4}^{+}=\frac{1}{2}\left[\begin{array}{ll}
\mathbb{I} & 0 \\
0 & 0
\end{array}\right]
$$

and for negative parity states,

$$
\Gamma_{j}^{-}=-\gamma_{5} \Gamma_{j}^{+} \gamma_{5}=-\frac{1}{2}\left[\begin{array}{cc}
0 & 0 \\
0 & \sigma_{j}
\end{array}\right] \quad \Gamma_{4}^{-}=-\gamma_{5} \Gamma_{4}^{+} \gamma_{5}=-\frac{1}{2}\left[\begin{array}{ll}
0 & 0 \\
0 & \mathbb{I}
\end{array}\right]
$$

## Scattering State Contamination in Projected Correlator: CSSM



Negative Parity Nucleon: Five-quark Operators: CsSM


## Negative Parity Nucleon Scattering Thresholds

- "Searching for low-lying multi-particle thresholds in lattice spectroscopy," M. S. Mahbub, et al. [CSSM],

Annals Phys. 342, 270 (2014)
arXiv:1310.6803 [hep-lat]

- "Lattice baryon spectroscopy with multi-particle interpolators," Adrian Kiratidis, Waseem Kamleh, Derek Leinweber, Benjamin Owen [CSSM]
Phys. Rev. D 91, 094509 (2015)
arXiv:1501.07667 [hep-lat].


## Negative Parity Nucleon Spectrum: Lang and Verduci




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- Small correlation matrix: $\chi_{1}+\chi_{2} \times 2$ smearings $=4 \times 4$


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- Small correlation matrix: $\chi_{1}+\chi_{2} \times 2$ smearings $=4 \times 4$
- Did not construct projected correlators.
- Limited Euclidean time evolution prior to ill conditioning.


## Negative Parity Nucleon Spectrum: Lang and Verduci




- Small correlation matrix: $\chi_{1}+\chi_{2} \times 2$ smearings $=4 \times 4$
- Did not construct projected correlators.
- Limited Euclidean time evolution prior to ill conditioning.
- Adding $N \pi$ sufficient but not necessary. cf. Cypress Results. . .


## Common Proton Interpolating Fields

- Many groups (BGR, Cypress, $\chi$ QCD, CSSM) consider the following local interpolating fields

$$
\begin{aligned}
& \chi_{1}(x)=\epsilon^{a b c}\left(u^{T a}(x) C \gamma_{5} d^{b}(x)\right) u^{c}(x), \\
& \chi_{2}(x)=\epsilon^{a b c}\left(u^{T a}(x) C d^{b}(x)\right) \gamma_{5} u^{c}(x) .
\end{aligned}
$$

$d$-quark density in 1st excited state of proton: Lower Dirac Component


## Hybrid Baryons: Hadron Spectrum Collaboration



