

# Study of resonances in nuclei via CDCC analyses with CSM

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Neutrinos Electro-Weak interactions and Symmetries  
(NEWS)

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# Outline

## ■ Introduction

## ■ Method

- Continuum-discretized coupled-channels method (CDCC)
- Complex-scaling method (CSM)

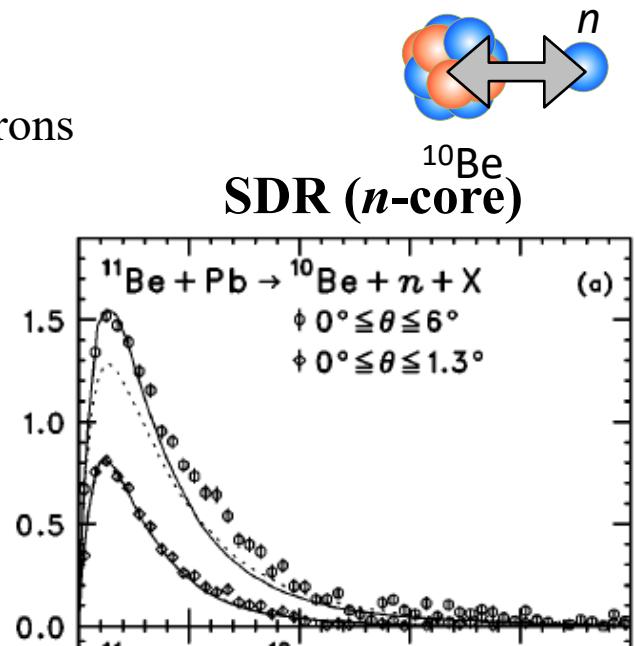
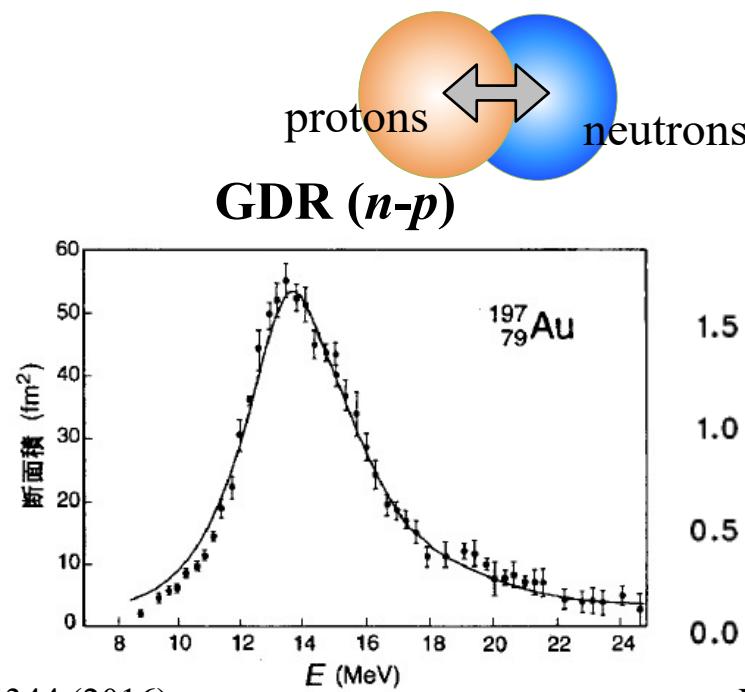
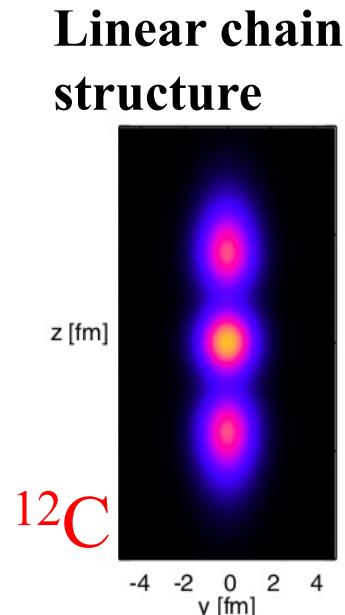
## ■ Results

- $^{11}\text{Li}(\text{p},\text{p}')$  [T.M., J. Tanaka, K. Ogata PTEP 123D02 \(2019\)](#)
- $^{12}\text{C}(\alpha, \alpha')$

## ■ Summary

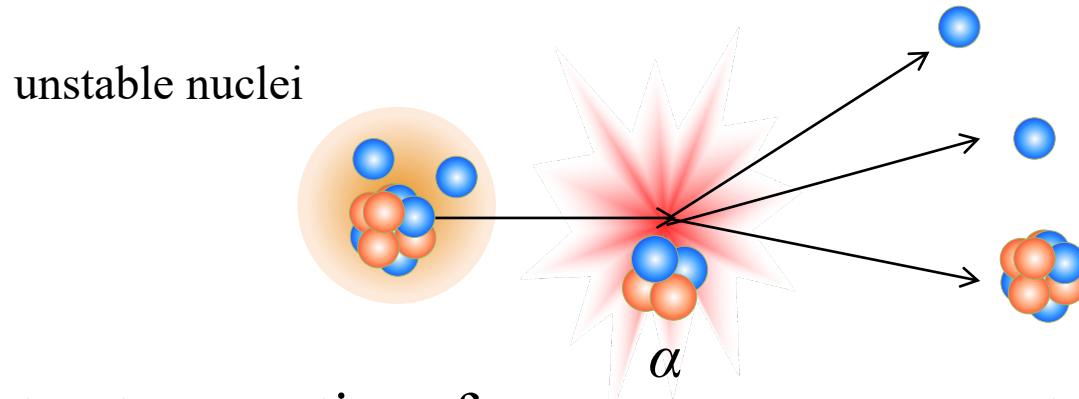
# Introduction

- Study of resonances is one of the most important subject in quantum systems (Atomic, Nuclear, Hadron).
- In nuclear physics, various types of resonances have been discovered, e.g., giant dipole, soft dipole, cluster resonances.



# $(p, p')$ and $(\alpha, \alpha')$ reactions

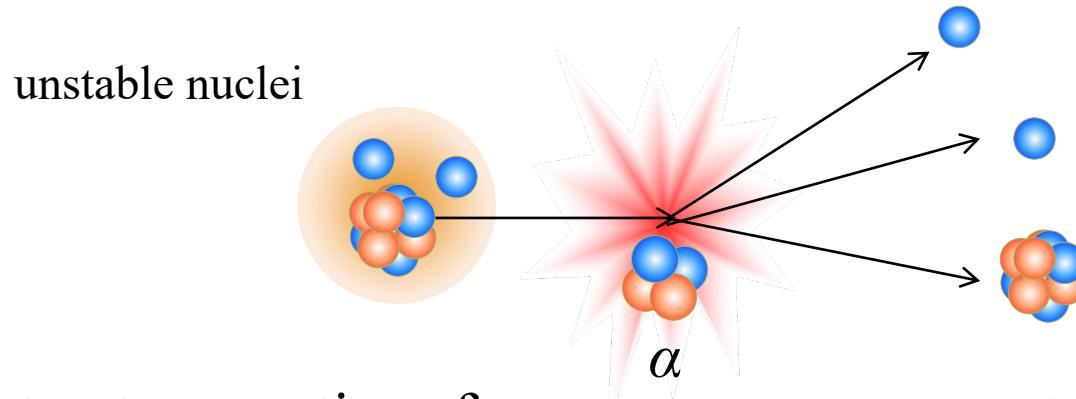
- In order to investigate resonances in nuclei,  $(p,p')$  and  $(\alpha,\alpha')$  inelastic reactions are widely used.
- From the view of inverse kinematics, the inelastic reaction is regarded as *the breakup reaction*.



- To extract properties of resonances, an accurate method of treating breakup processes is highly desired

# $(p, p')$ and $(\alpha, \alpha')$ reactions

- In order to investigate resonances in nuclei,  $(p, p')$  and  $(\alpha, \alpha')$  inelastic reactions are widely used.
- From the view of inverse kinematics, the inelastic reaction is regarded as *the breakup reaction*.

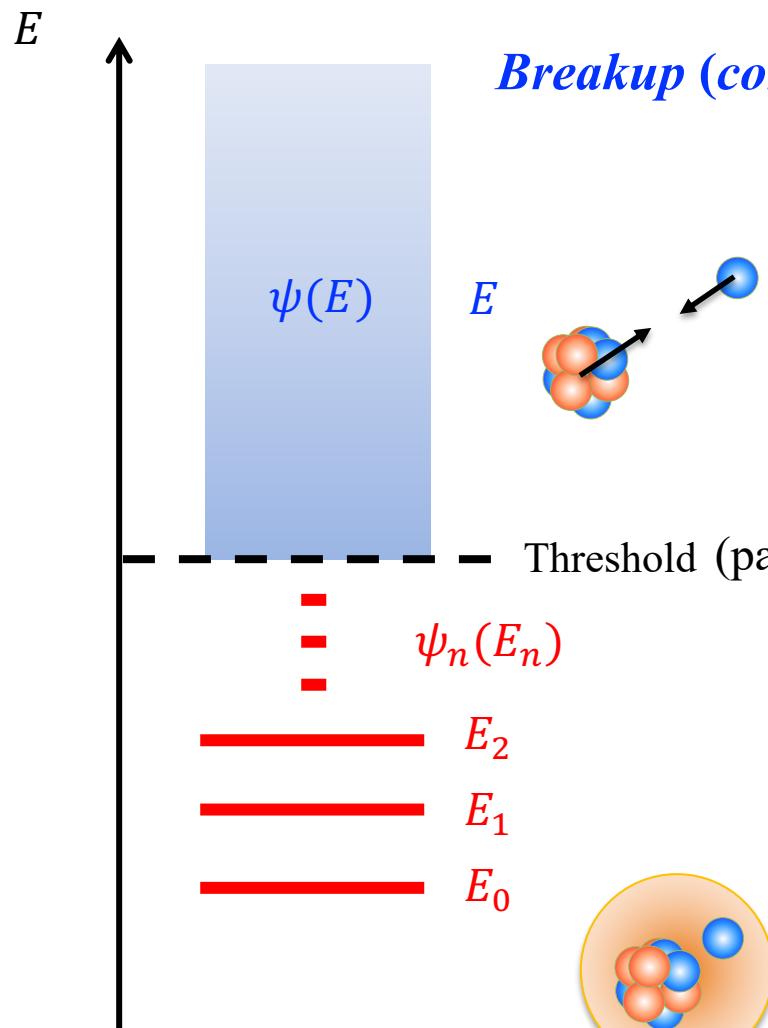


- To extract properties of resonances, an accurate method of treating breakup processes is highly desired



Continuum-discretized coupled-channels (CDCC)  
with complex-scaling method (CSM)

# Breakup (continuum) states



*Breakup (continuum) state*

*Scattering state*

$$\psi(E) \rightarrow e^{-ikr} - Se^{ikr} \quad (2\text{-body system})$$

$$\langle \psi(E) | \psi(E') \rangle = \delta(E - E')$$

Threshold (particle decay)

*Bound state*

$$\langle \psi_n(E_n) | \psi_m(E_m) \rangle = \delta_{nm}$$

$$\psi_n(E_n) \rightarrow 0$$

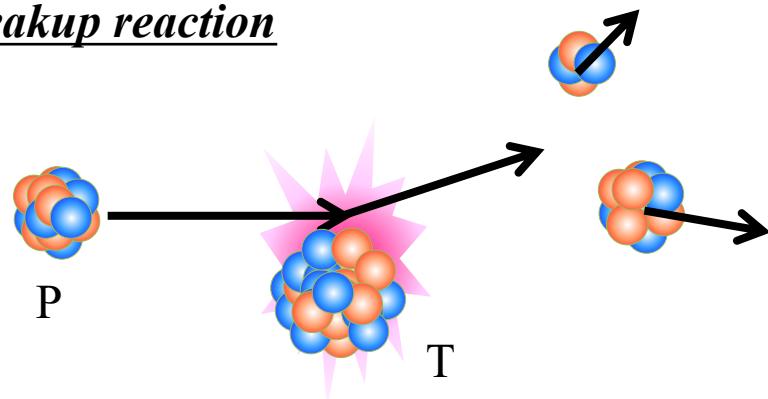
Completeness

$$1 = \sum_n |\psi_n\rangle \langle \psi_n| + \int dE |\psi(E)\rangle \langle \psi(E)|$$

# Continuum-Discretized Coupled-Channels (CDCC) method

(Review) Yahiro, Ogata, TM, Minomo, PTEP01A206, (2012).

## Breakup reaction



$$1 = |\psi_0\rangle\langle\psi_0| + \int dE |\psi(E)\rangle\langle\psi(E)|$$

## Discretization for breakup (continuum) state

$$\psi(E) \rightarrow \{\hat{\psi}(E_\nu), \nu = 1, \dots, N\}, \hat{\psi}(E_\nu) \xrightarrow[r \rightarrow \infty]{} 0$$

$$\langle \hat{\psi}(E_\nu) | \hat{\psi}(E_{\nu'}) \rangle = \delta_{\nu\nu'}$$

Completeness  
(within a model space)

$$1 \approx |\psi_0\rangle\langle\psi_0| + \sum_\nu |\hat{\psi}_\nu\rangle\langle\hat{\psi}_\nu|$$

## Total scattering wave function

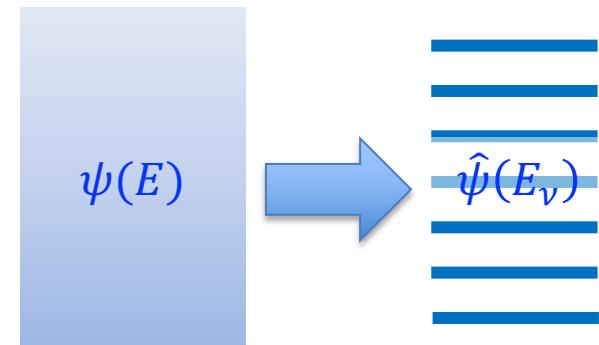
$$\Psi = \psi_0 \chi_0 + \int_0^\infty dE \psi(E) \chi(E)$$

$\chi$  : Relative w.f. between P and T

Difficult to solve CC equation for  $\chi$

✓  $\psi(E) \rightarrow$  oscillating  
 $r \rightarrow \infty$

✓  $E$  is continuous

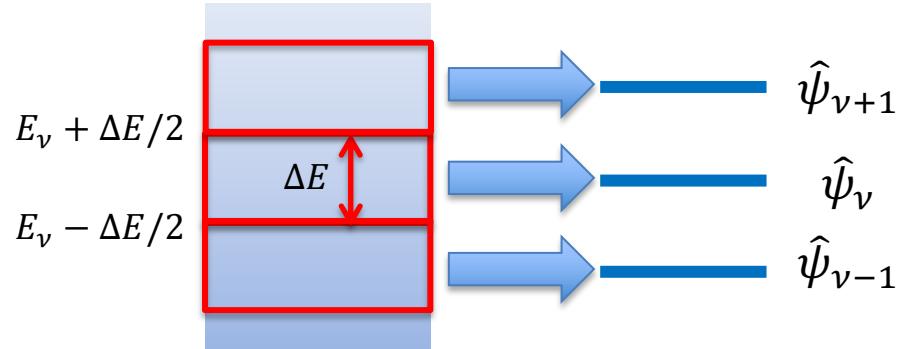


# Discretizing Method

## Momentum (energy) -bin method (Average method)

$$\hat{\psi}_v(E_v) = \frac{1}{\sqrt{\Delta E}} \int_{E_v - \Delta E/2}^{E_v + \Delta E/2} \psi(E) dE$$

$$\xrightarrow{\Delta E \rightarrow 0} \hat{\psi}_v(E_v) = \psi(E_v)$$



- Successful for describing scattering of two-body projectile
- Need **the exact continuum wave function** for  $E$
- Difficult to apply **to many-body scattering system**

## Pseudostate method

$$\hat{\psi}_v(E_v) = \sum_{i=1}^N C_i^{(v)} \varphi_i$$

$C_i^{(v)}$  is calculated by diagonalizing  $H_{ij} = \langle \varphi_i | H | \varphi_j \rangle$

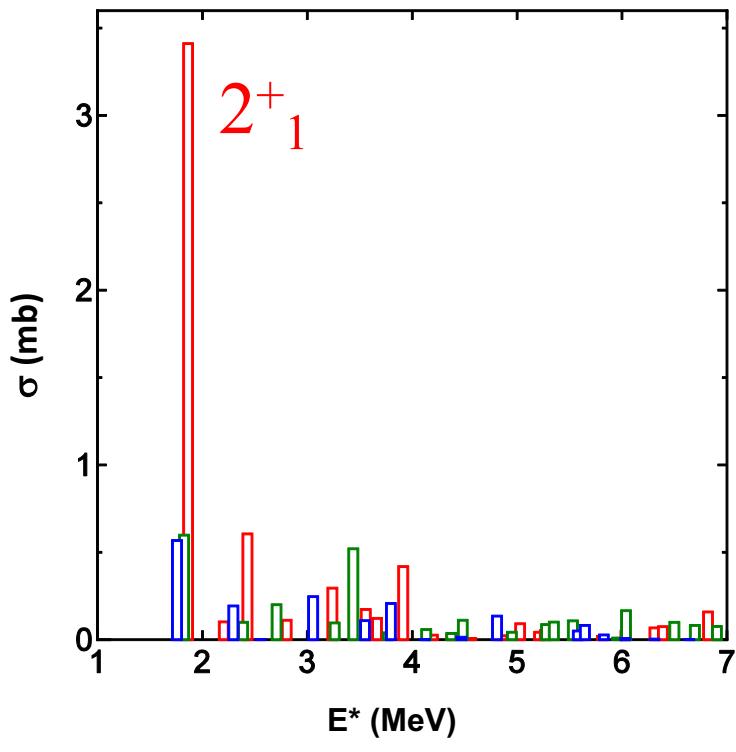
- Expand in terms of a  $L^2$ -type basis function
- Applicable to **many-body scattering system**

# Discretized Cross Section

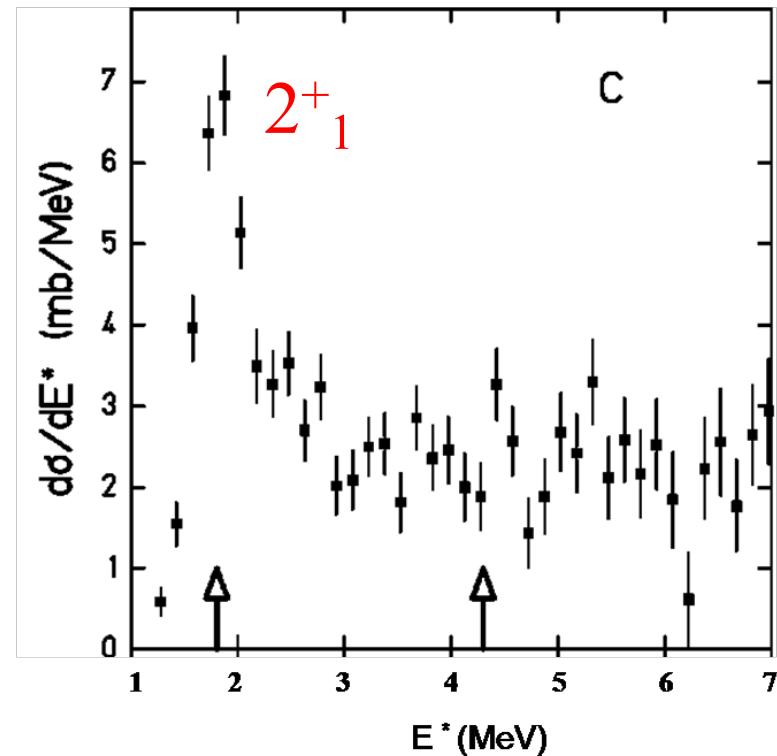
Breakup cross sections calculated by CDCC are **discrete** in the internal energy of the projectile.

${}^6\text{He} + {}^{12}\text{C}$  scattering at 240 MeV/nucl.

4-body CDCC calc.



PRC59, 1252(1999), T. Aumann *et al.*



*How to calculate the **continuous** breakup cross section*

# Smoothing Method

- Expansion of  $\psi(E)$  in terms of a set of  $\hat{\psi}_\nu(E_\nu)$

$$\psi(E) = \sum_\nu f_\nu(E) \hat{\psi}_\nu(E_\nu) \quad \xrightarrow{\text{Smoothing factor}} \quad f_\nu(E) = \langle \hat{\psi}_\nu(E_\nu) | \psi(E) \rangle$$

Orthonormality of  $\hat{\psi}_\nu$   
 $\langle \hat{\psi}(E_\nu) | \hat{\psi}(E_{\nu'}) \rangle = \delta_{\nu\nu'}$

- $T$ -matrix to continuum state

$$T(E) = \langle \psi(E) \chi^{(-)} | V | \Psi^{(+)} \rangle$$

$1 \approx |\psi_0\rangle\langle\psi_0| + \sum_\nu |\hat{\psi}_\nu\rangle\langle\hat{\psi}_\nu|$

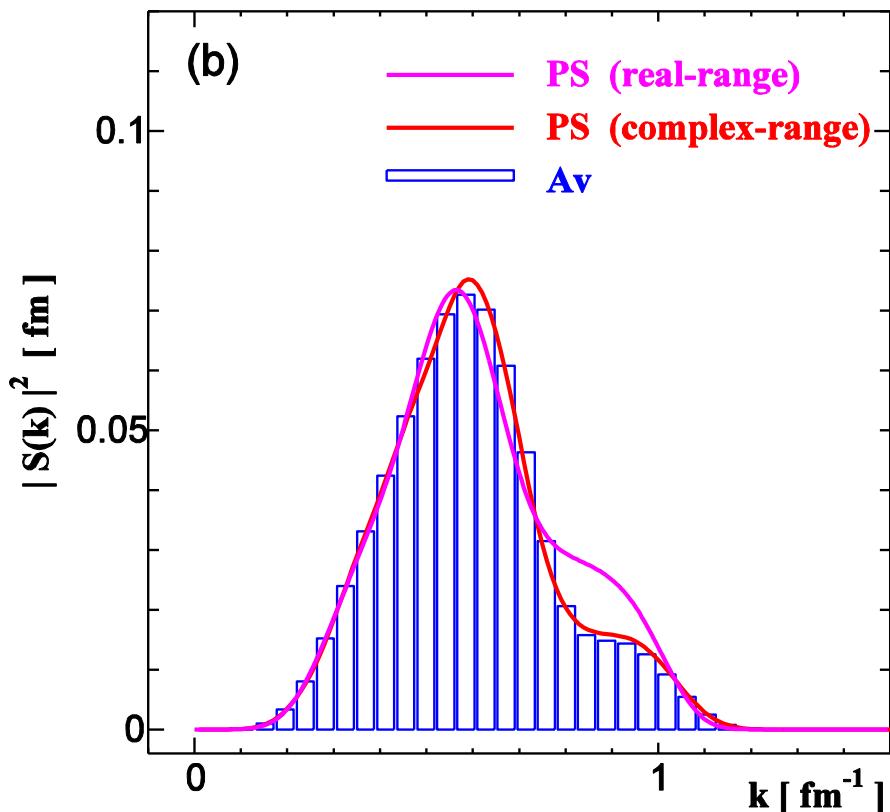
$$= \sum_\nu \underbrace{\langle \psi(E) | \hat{\psi}_\nu(E_\nu) \rangle}_{\text{Smoothing factor}} \underbrace{\langle \hat{\psi}_\nu(E_\nu) \chi^{(-)} | V | \Psi^{(+)} \rangle}_{\text{ } T\text{-matrix to discretized states}} \approx \hat{T}_\nu(E_\nu)$$

$$\approx \sum_\nu f_\nu^*(E) \hat{T}_\nu(E_\nu)$$

- Need the exact continuum wave function for  $E$
- Difficult to apply to many-body scattering system

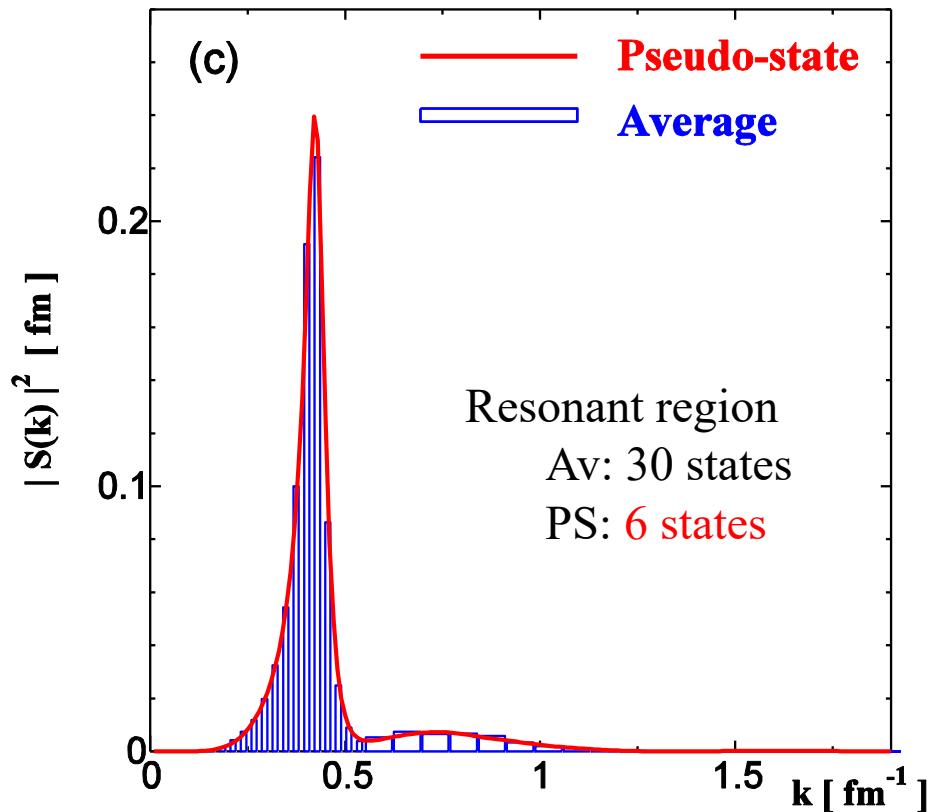
## Deuteron breakup into $n$ and $p$

d-state ( $J=17$ ,  $L=15$ )



## $^6\text{Li}$ breakup into $d$ and $\alpha$

d-state ( $J=43$ ,  $L=43$ )



### Average method

$$f_\nu(E) = \begin{cases} \sqrt{\Delta E} & E_\nu - \frac{\Delta E}{2} \leq E \leq E_\nu + \frac{\Delta E}{2} \\ 0 & \text{otherwise} \end{cases}$$

### Pseudostate method

- ✓ Consistent with Average method
- ✓ Useful for discretization including resonance

# Complex-scaling method

## Resonant state

$$\psi_{\text{res}}(E_{\text{res}}) \quad E_{\text{res}} = E_R - i \frac{\Gamma}{2}$$

$$\psi_{\text{res}}(E_{\text{res}}) \rightarrow e^{ikr} \quad r \rightarrow \infty$$

(Only outgoing wave)

## Scattering state

$$\psi(E) \quad E \text{ is real.}$$

$$\psi(E) \rightarrow e^{-ikr} - Se^{ikr} \quad r \rightarrow \infty$$

(Incoming wave + outgoing wave)

## Complex-scaling method (CSM)

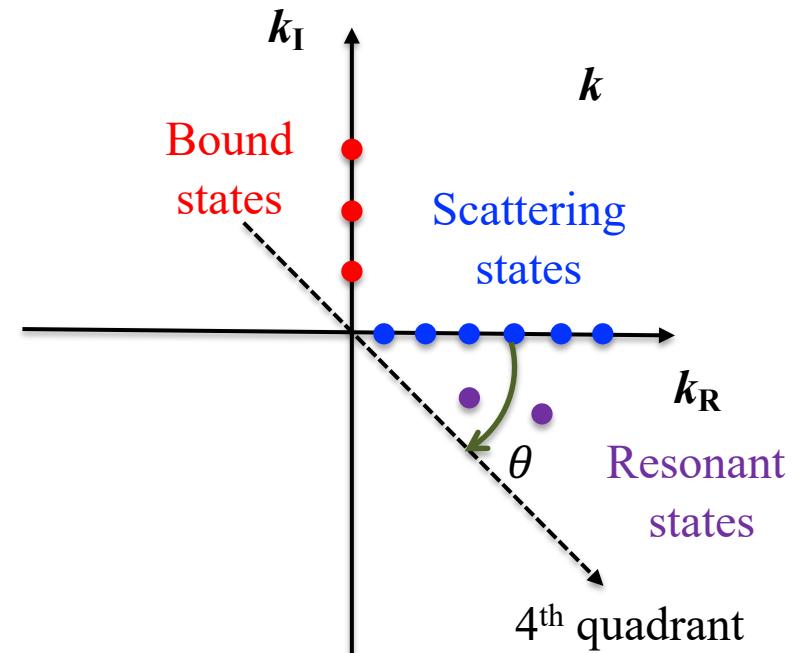
Complex-scaling operator  $U(\theta)$

$$U(\theta)f(r) = e^{i3/2\theta}f(re^{i\theta})$$

$$\psi_{\text{res}}^{\theta}(E_{\text{res}}) \rightarrow e^{ikr \cos \theta} e^{-kr \sin \theta} \rightarrow 0 \quad r \rightarrow \infty$$

$$\left( \tan \theta > \frac{k_I}{k_R} \right)$$

Resonant states can be treated the same way as **a bound state**.



# Complex-scaling method

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Resonant states can be treated in  
the same way as **a bound state**.

## Scattering state

$$(k^2) \quad \psi(E) \quad E \text{ is real.}$$

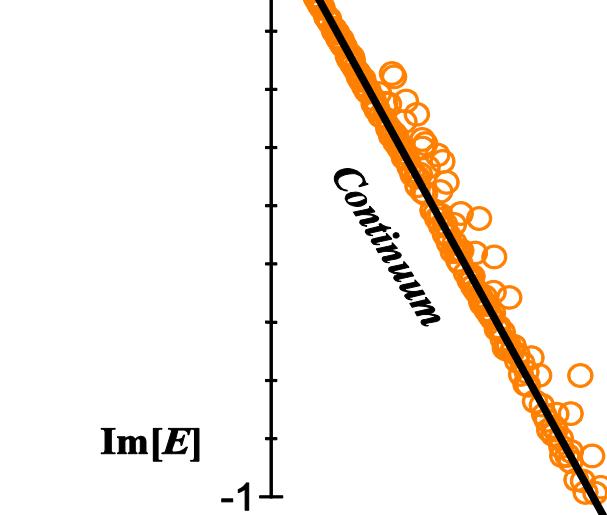
$E$

Bound state

Re[E]

${}^6\text{He}$

2<sup>+</sup>-Resonance



# Complex-scaling method

## Resonant state

$$\psi_{\text{res}}(E_{\text{res}}) \quad E_{\text{res}} = E_R - i \frac{\Gamma}{2}$$

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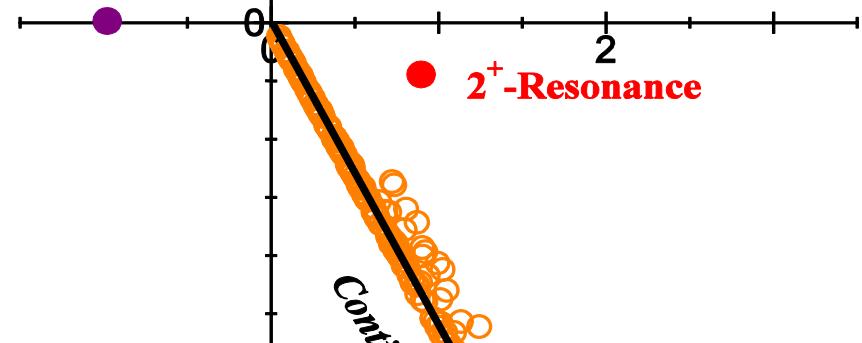
Resonant states can be treated the same way as **a bound state**.

## Scattering state

$$(k^2) \quad \psi(E) \quad E \text{ is real.}$$

$$E$$

Bound state



## Extended completeness relation (ECR)

$$1 \approx |\phi_0^\theta\rangle\langle\tilde{\phi}_0^\theta| + |\phi_{\text{res}}^\theta\rangle\langle\tilde{\phi}_{\text{res}}^\theta| + \sum_i |\phi_i^\theta\rangle\langle\tilde{\phi}_i^\theta|$$

T. Berggren and P. Lind, Phys. Rev. C 47, 768 (1993).

# New Smoothing Method

ECR

Complex-scaled Green's function (CSGF)

$$1 \approx |\phi_0^\theta\rangle\langle\tilde{\phi}_0^\theta| + |\phi_{res}^\theta\rangle\langle\tilde{\phi}_{res}^\theta| + \sum_i |\phi_i^\theta\rangle\langle\tilde{\phi}_i^\theta|$$

$$\frac{1}{E - H + i\epsilon} = U^{-\theta} \frac{1}{E - H^\theta + i\epsilon} U^\theta = \sum_\nu U^{-\theta} |\phi_\nu^\theta\rangle \frac{1}{E - E_\nu^\theta} \langle\tilde{\phi}_\nu^\theta| U^\theta$$

*Complex values*

Differential cross section

$$\frac{d\sigma}{dE} = \int |T(E')|^2 \delta(E - E') dE'$$

$$= -\frac{1}{\pi} \text{Im} \langle\Psi^{(+)}|V|\chi\rangle \frac{1}{E - H + i\epsilon} \langle\chi|V|\Psi^{(+)}\rangle$$

$$= -\frac{1}{\pi} \text{Im} \sum_{n,n'} \langle\Psi^{(+)}|V|\chi\hat{\psi}_n\rangle \langle\hat{\psi}_n| \frac{1}{E - H + i\epsilon} |\hat{\psi}_{n'}\rangle \langle\hat{\psi}_{n'}|\chi|V|\Psi^{(+)}\rangle$$

*Discretized T matrix*

$$T(E) = \langle\psi(E)\chi|V|\Psi^{(+)}\rangle$$

$$\delta(E - E') = -\frac{1}{\pi} \text{Im} \frac{1}{E - E' + i\epsilon}$$

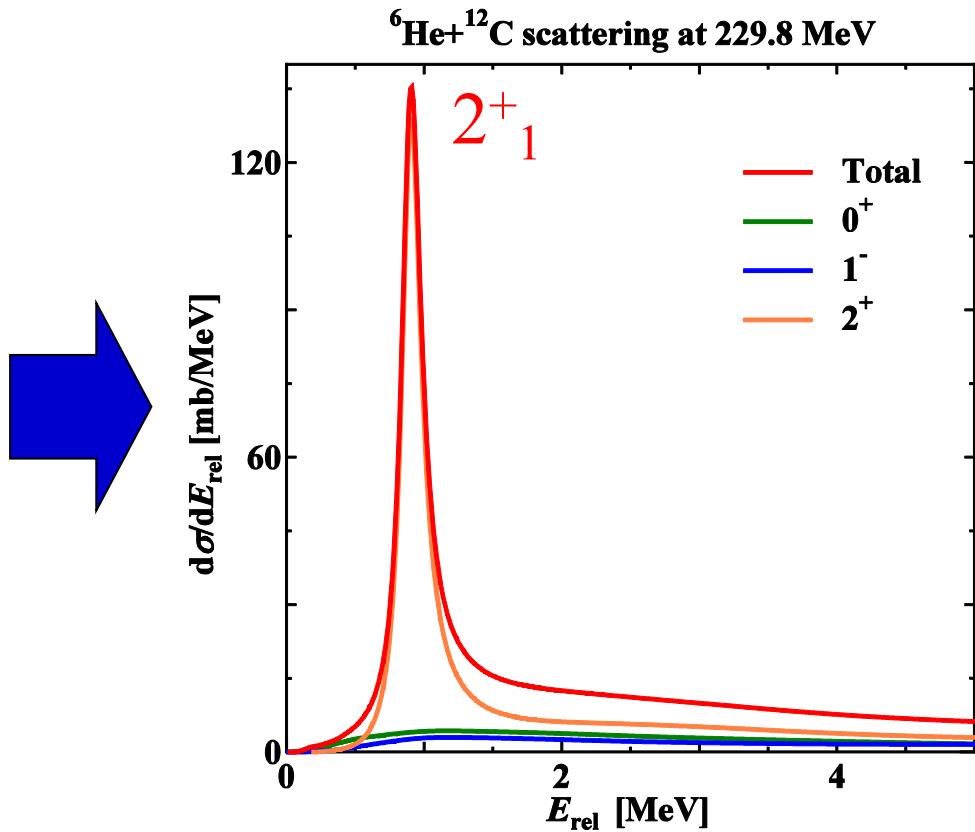
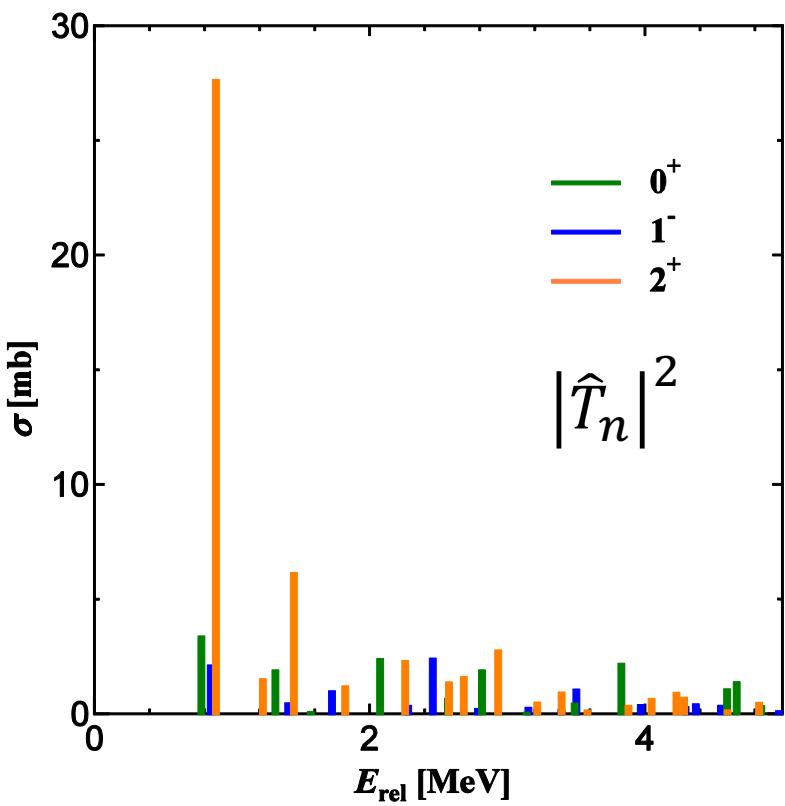
$$1 \approx \sum_n |\hat{\psi}_n\rangle \langle\hat{\psi}_n|$$

$$= -\frac{1}{\pi} \text{Im} \sum_\nu \sum_{n,n'} \mathcal{T}_n^\dagger \langle\hat{\psi}_n| U^{-\theta} |\phi_\nu^\theta\rangle \frac{1}{E - E_\nu^\theta} \langle\tilde{\phi}_\nu^\theta| U^\theta |\hat{\psi}_{n'}\rangle \mathcal{T}_{n'} \quad \begin{array}{l} \text{Discretized states} \\ |\phi_\nu^\theta\rangle, |\hat{\psi}_n\rangle \end{array}$$

CSGF

## Differential cross section

$$\frac{d\sigma}{dE} = -\frac{1}{\pi} \text{Im} \sum_{\nu} \sum_{n,n'} \hat{T}_n^\dagger \langle \hat{\psi}_n | U^{-\theta} | \phi_\nu^\theta \rangle \frac{1}{E - E_\nu^\theta} \langle \phi_\nu^\theta | U^\theta | \hat{\psi}_{n'} \rangle T_{n'}$$



New smoothing method is easily applicable to many-body scattering systems.

# Resonant Contribution

Differential cross section

$$\frac{d\sigma}{dE} = -\frac{1}{\pi} \text{Im} \sum_{\nu} \sum_{n,n'} \hat{T}_n^\dagger \langle \hat{\psi}_n | U^{-\theta} | \phi_\nu^\theta \rangle \frac{1}{E - E_\nu^\theta} \langle \phi_\nu^\theta | U^\theta | \hat{\psi}_{n'} \rangle T_{n'}$$

Extended completeness

Non-resonant states

$$1 \approx |\phi_0^\theta\rangle\langle\tilde{\phi}_0^\theta| + |\phi_{res}^\theta\rangle\langle\tilde{\phi}_{res}^\theta| + \sum_i |\phi_i^\theta\rangle\langle\tilde{\phi}_i^\theta|$$

Resonant state

Separate contribution for resonant state and non-resonant states

$$\frac{d\sigma}{dE} = -\frac{1}{\pi} \text{Im} \sum_{n,n'} \hat{T}_n^\dagger \langle \hat{\psi}_n | U^{-\theta} | \phi_{res}^\theta \rangle \frac{1}{E - E_{res}^\theta} \langle \tilde{\phi}_{res}^\theta | U^\theta | \hat{\psi}_{n'} \rangle T_{n'}$$

Resonant contribution

$$+ \sum_i \sum_{n,n'} \hat{T}_n^\dagger \langle \hat{\psi}_n | U^{-\theta} | \phi_\nu^\theta \rangle \frac{1}{E - E_\nu^\theta} \langle \tilde{\phi}_\nu^\theta | U^\theta | \hat{\psi}_{n'} \rangle T_{n'}$$

Non-resonant contribution

# Resonant Contribution

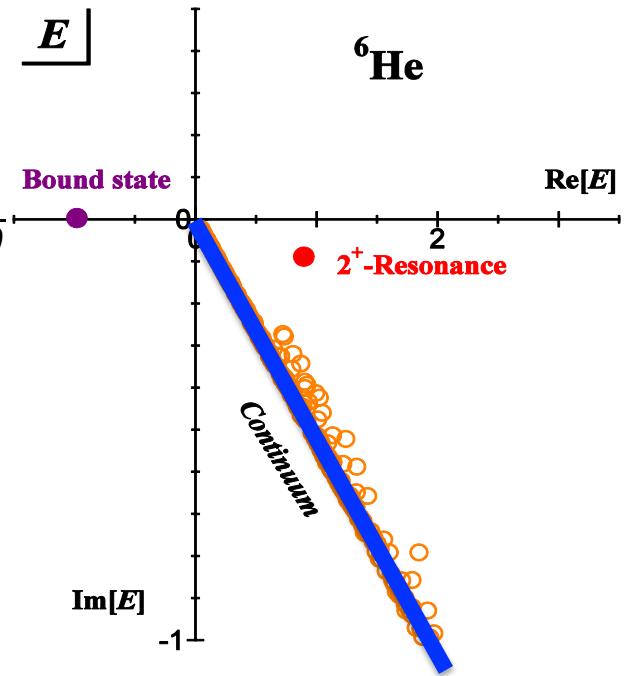
Differential cross section

$$\frac{d\sigma}{dE} = -\frac{1}{\pi} \text{Im} \sum_{\nu} \sum_{n,n'} \hat{T}_n^\dagger \langle \hat{\psi}_n | U^{-\theta} | \phi_\nu^\theta \rangle \frac{1}{E - E_\nu^\theta}$$

Extended completeness

$$1 \approx |\phi_0^\theta\rangle\langle\tilde{\phi}_0^\theta| + |\phi_{res}^\theta\rangle\langle\tilde{\phi}_{res}^\theta| + \sum_i |\phi_i^\theta\rangle\langle\tilde{\phi}_i^\theta|$$

Non-resonant states  
Resonant state



Separate contribution for resonant state and non-resonant states

$$\frac{d\sigma}{dE} = -\frac{1}{\pi} \text{Im} \sum_{n,n'} \hat{T}_n^\dagger \langle \hat{\psi}_n | U^{-\theta} | \phi_{res}^\theta \rangle \frac{1}{E - E_{res}^\theta} \langle \tilde{\phi}_{res}^\theta | U^\theta | \hat{\psi}_{n'} \rangle T_{n'}$$

Resonant contribution

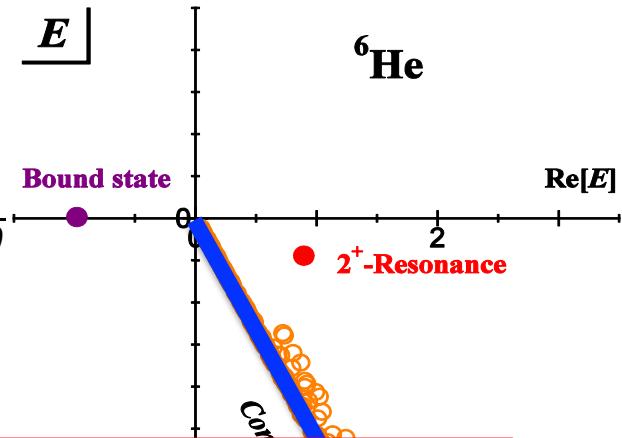
$$+ \sum_i \sum_{n,n'} \hat{T}_n^\dagger \langle \hat{\psi}_n | U^{-\theta} | \phi_\nu^\theta \rangle \frac{1}{E - E_\nu^\theta} \langle \tilde{\phi}_\nu^\theta | U^\theta | \hat{\psi}_{n'} \rangle T_{n'}$$

Non-resonant contribution

# Resonant Contribution

## Differential cross section

$$\frac{d\sigma}{dE} = -\frac{1}{\pi} \text{Im} \sum_{\nu} \sum_{n,n'} \hat{T}_n^\dagger \langle \hat{\psi}_n | U^{-\theta} | \phi_\nu^\theta \rangle \frac{1}{E - E_\nu^\theta}$$



## Extended completeness

The CDCC with CSM is useful for investigating resonances via breakup cross sections.

## Separate contribution for resonant state and non-resonant states

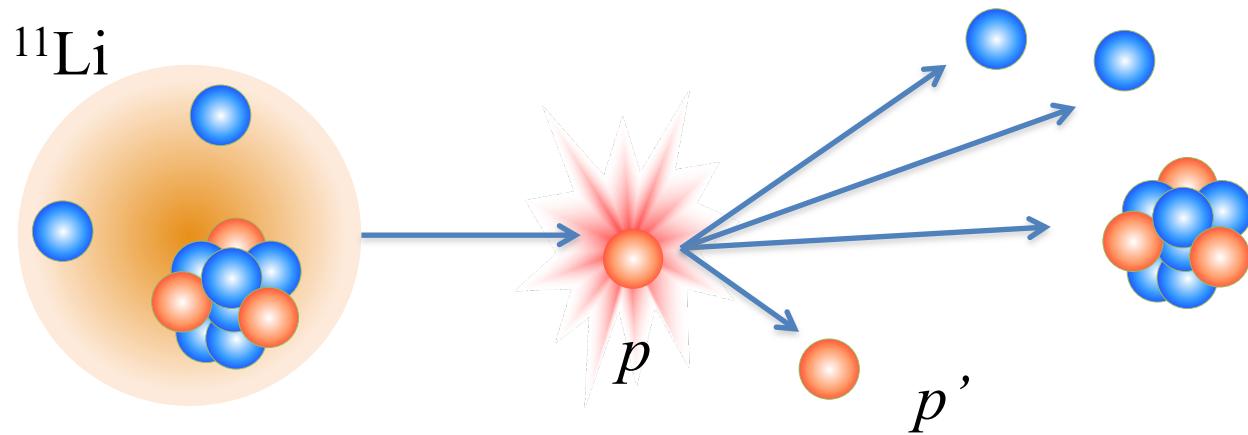
$$\frac{d\sigma}{dE} = -\frac{1}{\pi} \text{Im} \sum_{n,n'} \hat{T}_n^\dagger \langle \hat{\psi}_n | U^{-\theta} | \phi_{res}^\theta \rangle \frac{1}{E - E_{res}^\theta} \langle \tilde{\phi}_{res}^\theta | U^\theta | \hat{\psi}_{n'} \rangle T_{n'}$$

Resonant contribution

$$+ \sum_i \sum_{n,n'} \hat{T}_n^\dagger \langle \hat{\psi}_n | U^{-\theta} | \phi_\nu^\theta \rangle \frac{1}{E - E_\nu^\theta} \langle \tilde{\phi}_\nu^\theta | U^\theta | \hat{\psi}_{n'} \rangle T_{n'}$$

Non-resonant contribution

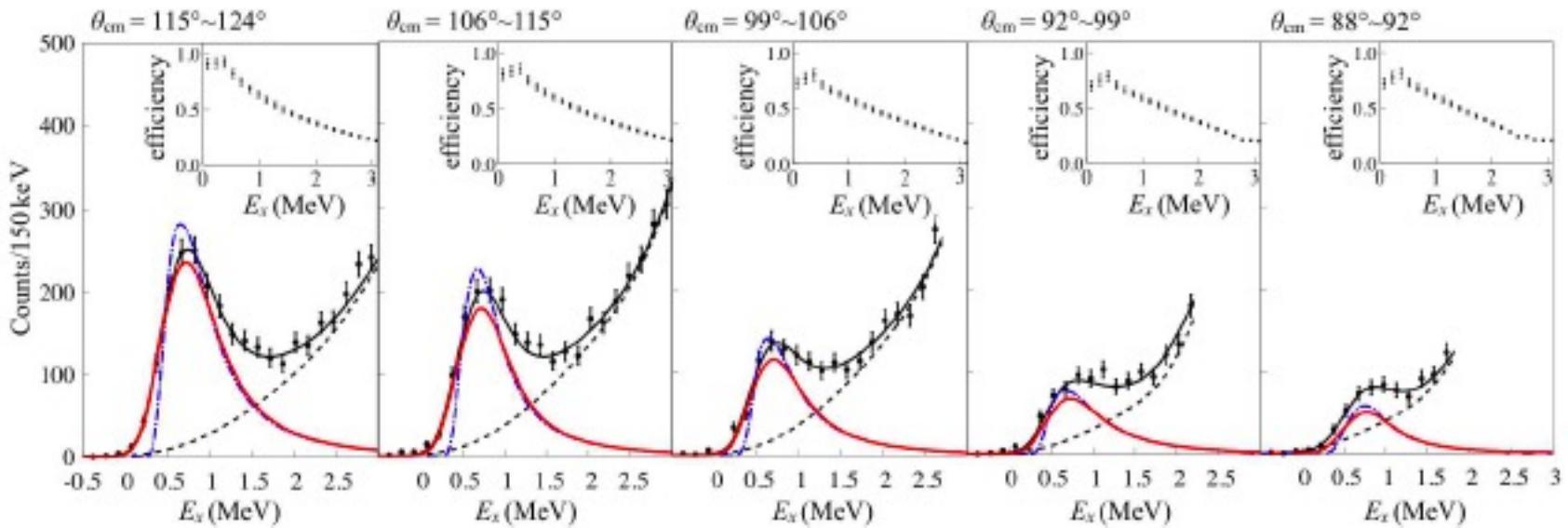
# Analysis of $^{11}\text{Li}(p, p')$ @6MeV



# *Recent experiment of $^{11}\text{Li}$*

- ◆ Measurement of the  $^{11}\text{Li}(p, p')$  reaction at 6 MeV/nucleon with **high static and high resolution** has been performed, and a low-lying excited state of  $^{11}\text{Li}$  has clearly been identified.

J. Tanaka et al., Phys. Lett. B774, 268 (2017).

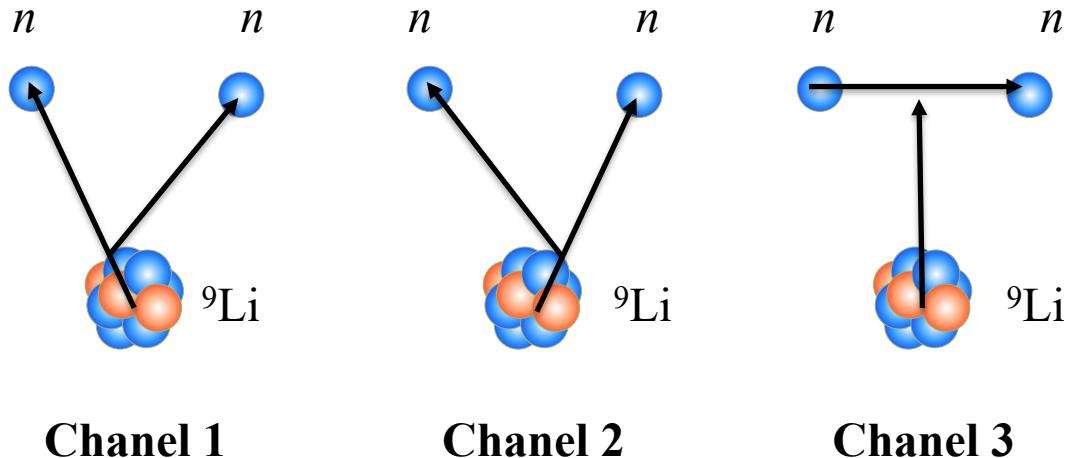


- ◆ The purpose of this work is to analyse the reaction by using **the CDCC with CSM**.

# $^{11}\text{Li}$ Three-body model

## □ Gaussian Expansion Method

E. Hiyama, Y. Kino, and M. Kamimura, Prog. Part. Nucl. Phys. 51, 223 (2003)



$V_{NN}$ : Minnesota interaction  
 $V_{NC}$ : Esbensen and Bertsch,  
 NPA 542, 310  
 $V_{NNC}$ :  $S_{2n} \sim 0.37$  MeV  
 $^9\text{Li}$  : spinless

**n- $^9\text{Li}$  forbidden  $\rightarrow$  OCM**

S. Saito, Prog. Theor. Phys. 41 (1969), 705

$$H = T_r + T_y + V_{nn} + V_{nc} + V_{nc} + V_{nnc}$$

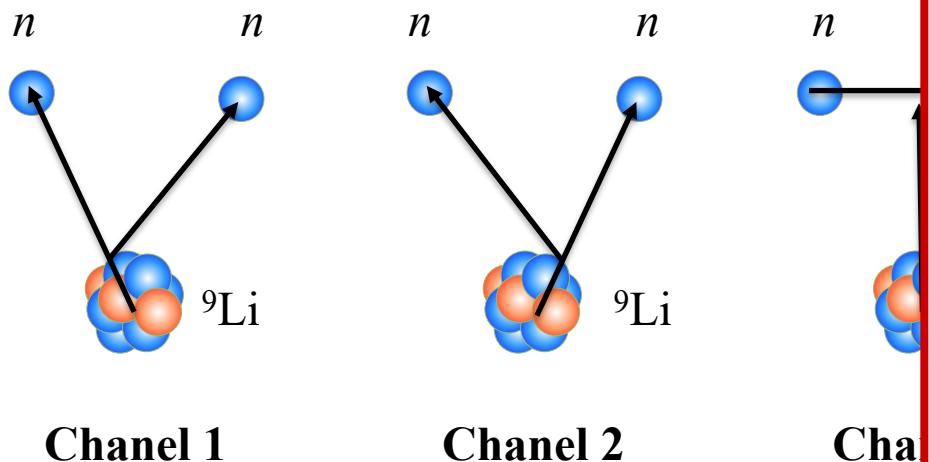
**Gaussian basis functions**

$$\phi_{\text{Im}}(r, y) = \sum_{c=1}^3 \sum_{nj\ell\lambda} A_{nj\ell\lambda}^{(c)} \varphi_{n\ell}(r_c) \varphi_{j\lambda}(y_c) \left[ [Y_\ell(\Omega_r) \otimes Y_\lambda(\Omega_y)] \otimes S \right]_{\text{Im}}$$

# $^{11}\text{Li}$ Three-body model

## □ Gaussian Expansion Method

E. Hiyama, Y. Kino, and M. K

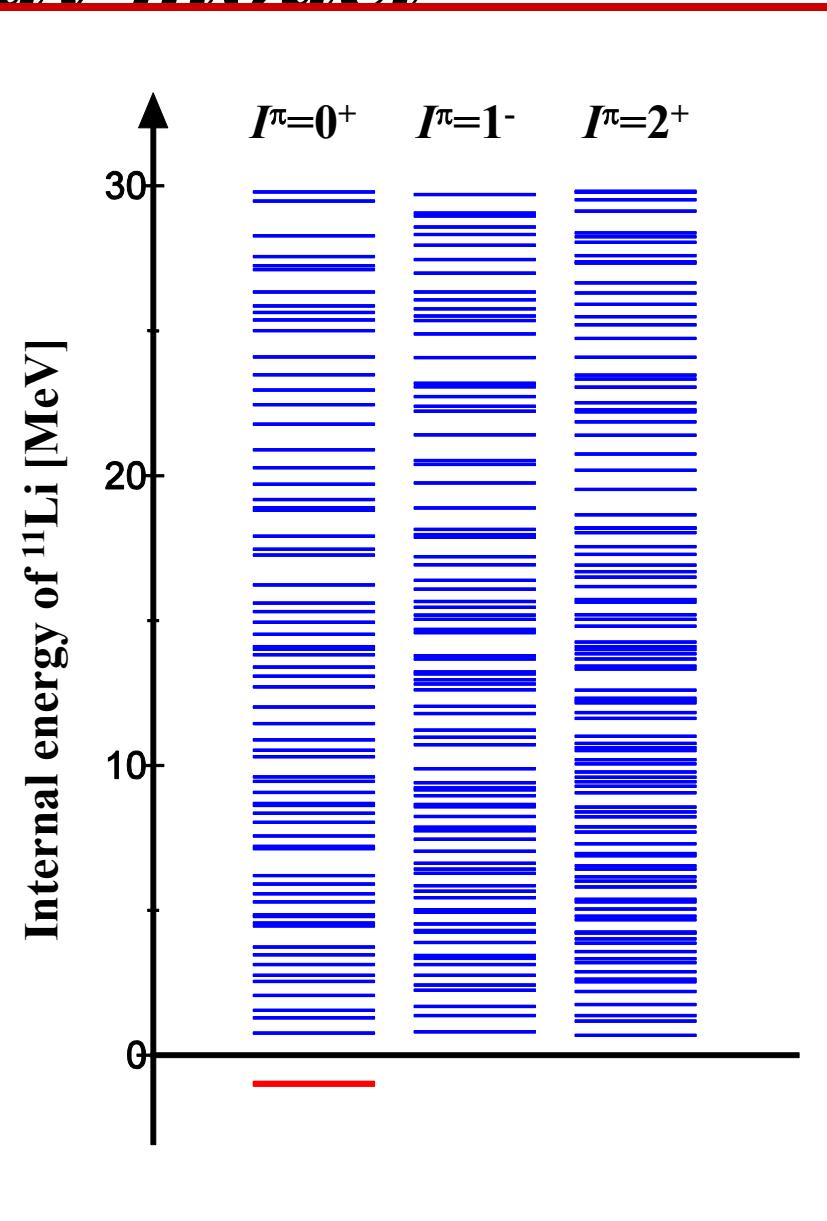


*Three-body Hamiltonian*

$$H = T_r + T_y + V_{nn} + V_{nc} + V_{nc}$$

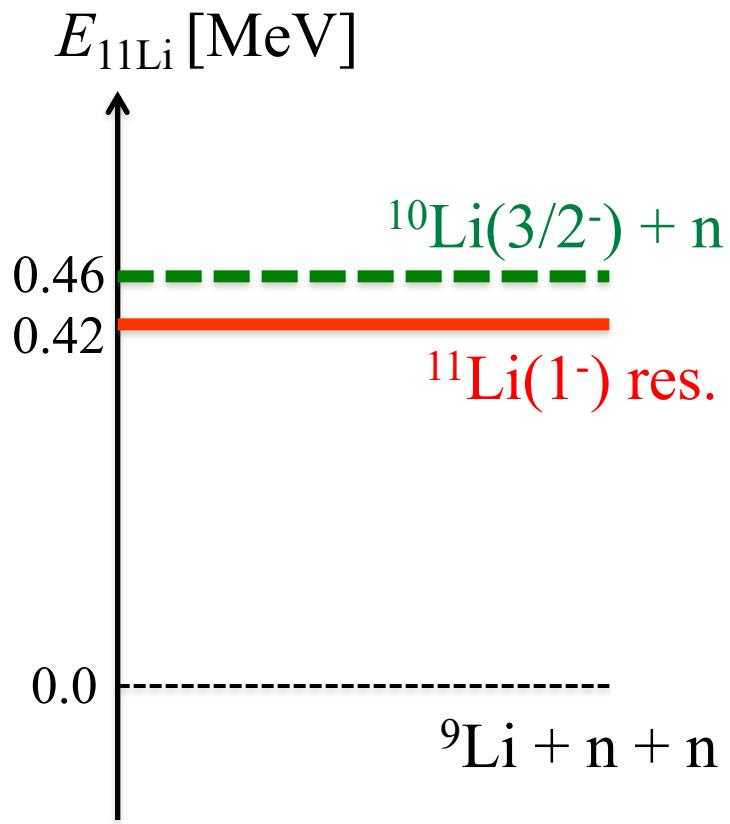
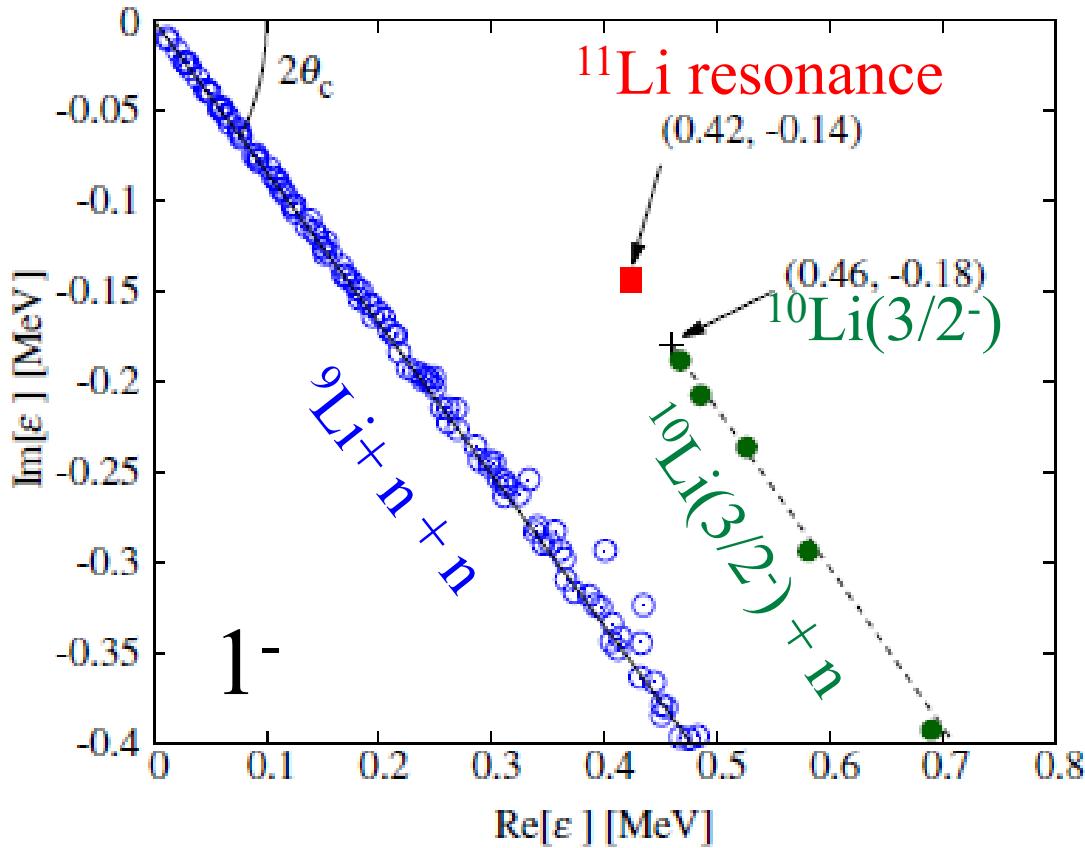
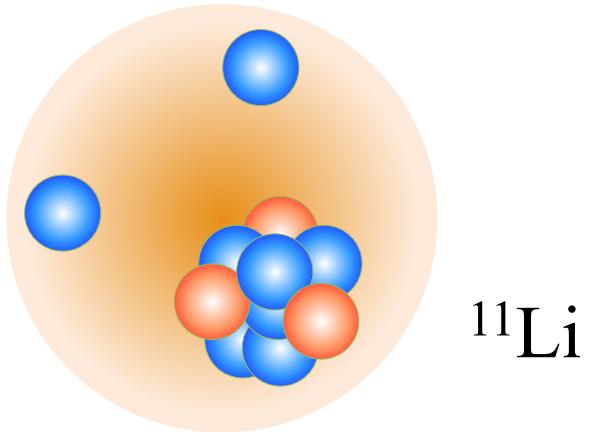
*Gaussian basis functions*

$$\phi_{\text{Im}}(r, y) = \sum_{c=1}^3 \sum_{nj\ell\lambda} A_{nj\ell\lambda}^{(c)} \varphi_{n\ell}(r_c) \varphi_{j\lambda}(y)$$

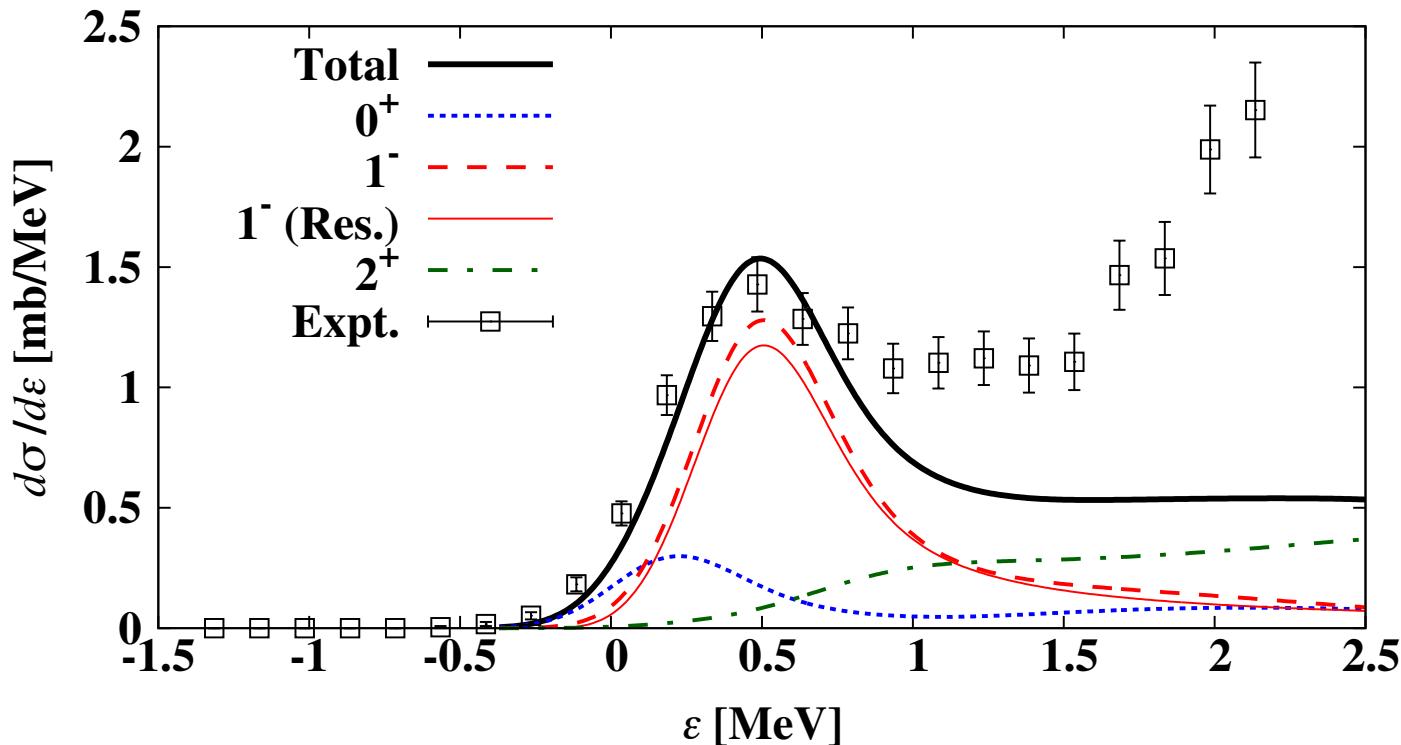


# Resonance in $^{11}\text{Li}$

- ✓ Two-neutron halo nuclei ( $S_{2n}=0.37$  MeV)
- ✓ Borromean structure



# Energy Spectrum of $^{11}\text{Li}(p, p')$

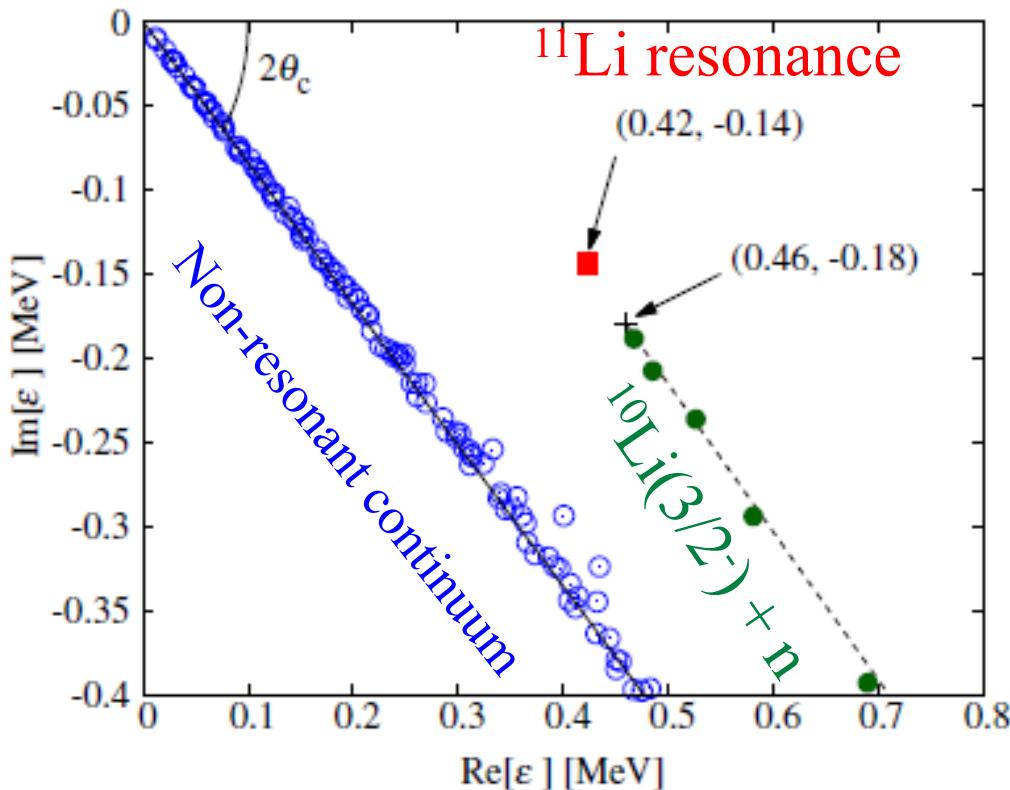


- ◆ The contribution of the dipole resonance dominates the low-lying peak. → possibility of the resonance
- ◆ The width of the low-lying peak is reproduced by taking into account non-resonant components.

# Wave Function of $^{11}\text{Li}$ Resonance

- ## ■ Probability of $^{10}\text{Li}$ resonance in $^{11}\text{Li}$

$$\alpha_n^\theta = \frac{2\langle\Phi_n^\theta|\phi_{res}^\theta\rangle\langle\tilde{\phi}_{res}^\theta|\Phi_n^\theta\rangle}{\text{$_{^{10}\text{Li res. w.f.}$}}$$



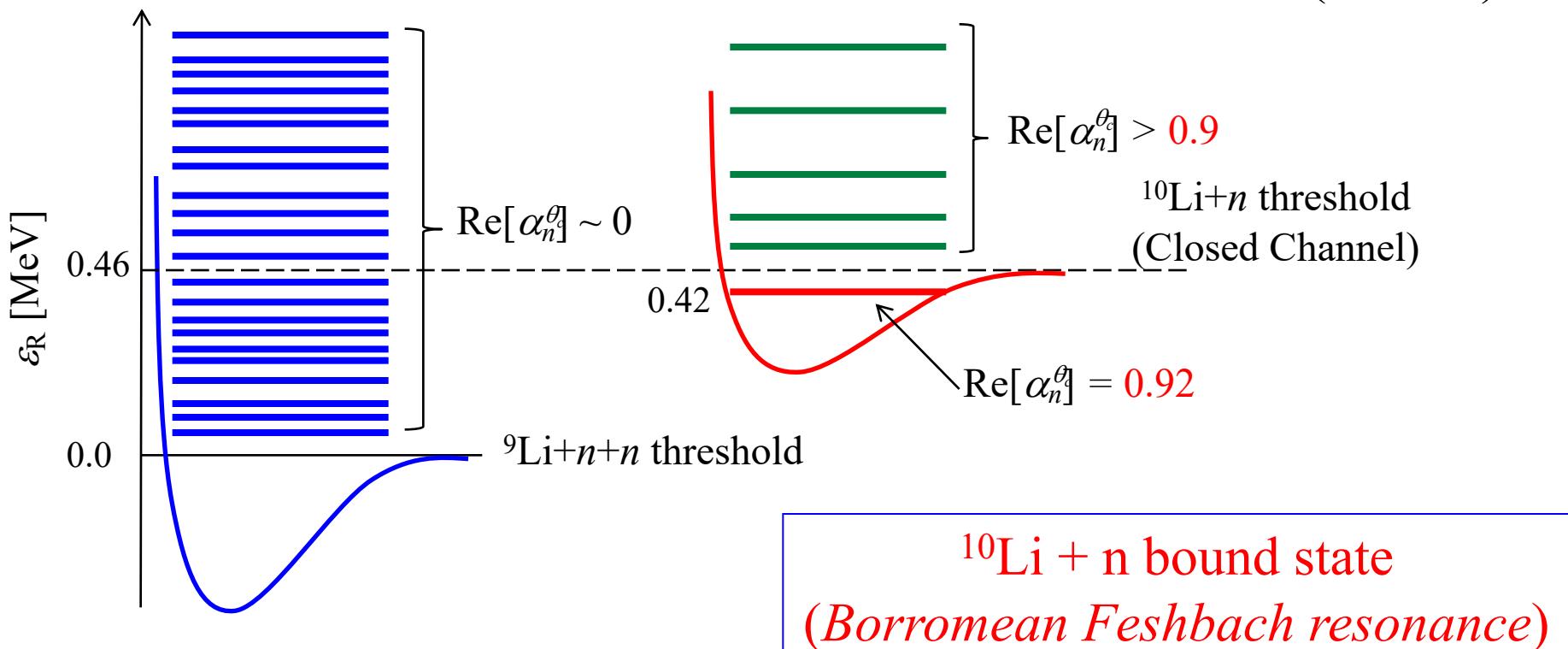
- Non-resonant continuum  
 $\text{Re}[\alpha_n] \sim 0.0$
  - $^{10}\text{Li}(3/2^-) + n$  continuum  
 $\text{Re}[\alpha_n] \sim 0.9$
  - $^{11}\text{Li}$  resonance  
 $\text{Re}[\alpha_n] \sim 0.9$

# Borromean Feshbach Resonance

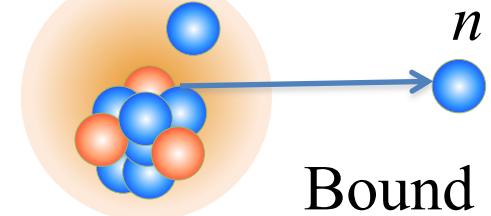
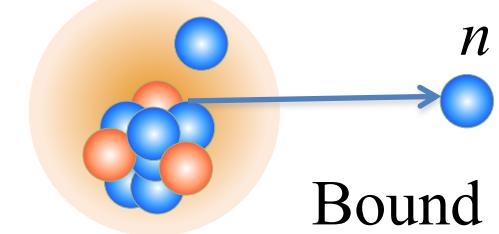
$$\psi_{res}(^{11}Li) = [\phi_{res}(^{10}Li) \otimes \chi_n(\ell = 0)]$$

0.42 MeV

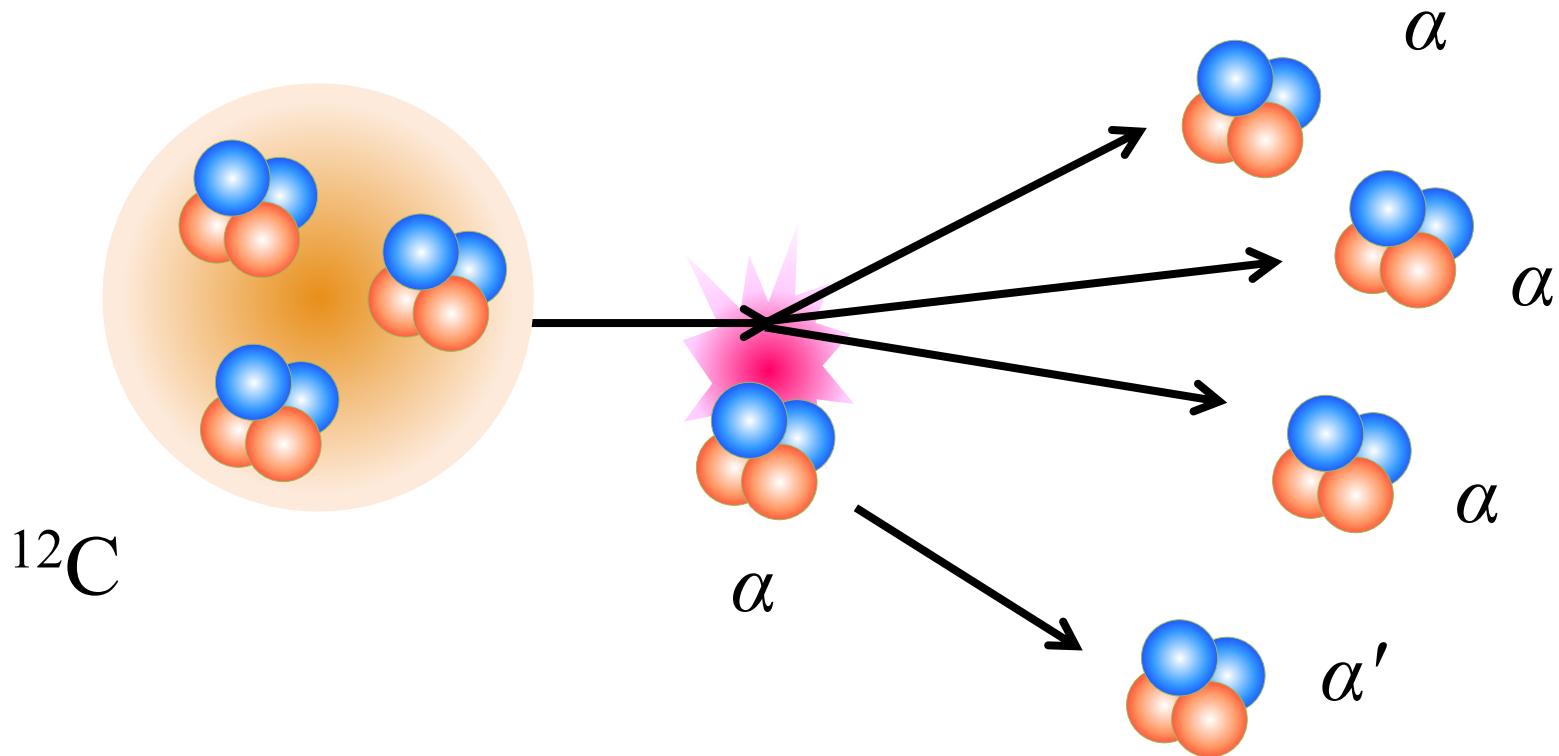
0.46 MeV

**-0.04 MeV**<sup>9</sup>Li+n+n nonresonant continuum<sup>10</sup>Li+n bound and continuum statesBound state  
(s-wave)

<sup>10</sup>Li       $S_n \sim 0.04$  MeV

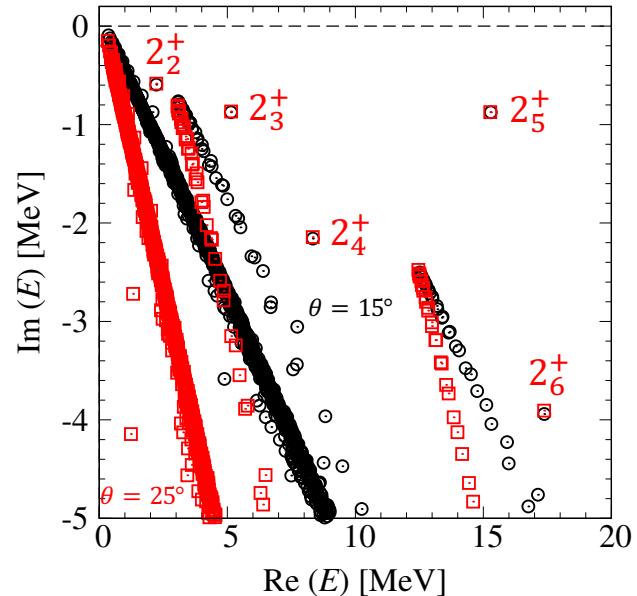
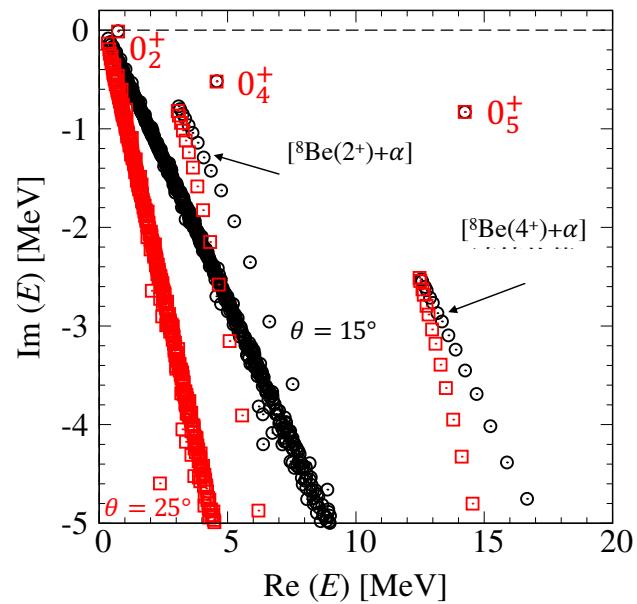
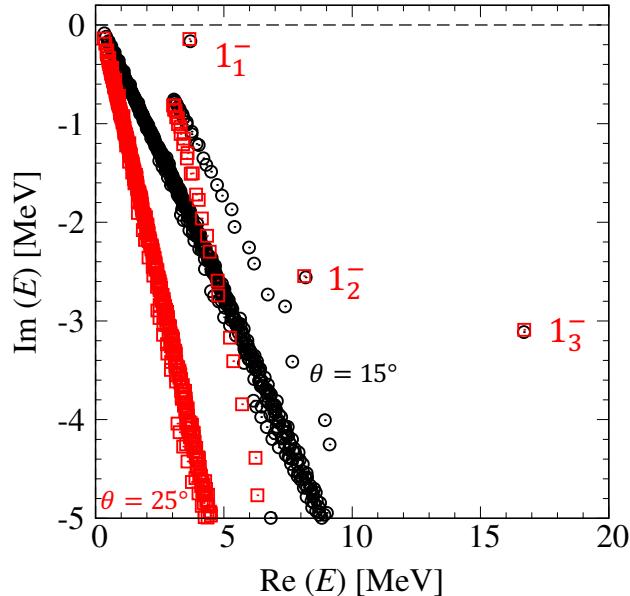
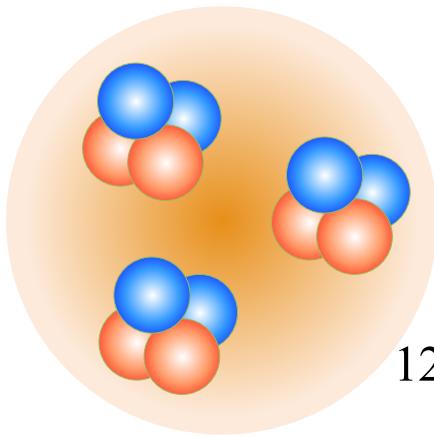


# Analysis of $^{12}\text{C}(\alpha, \alpha')$



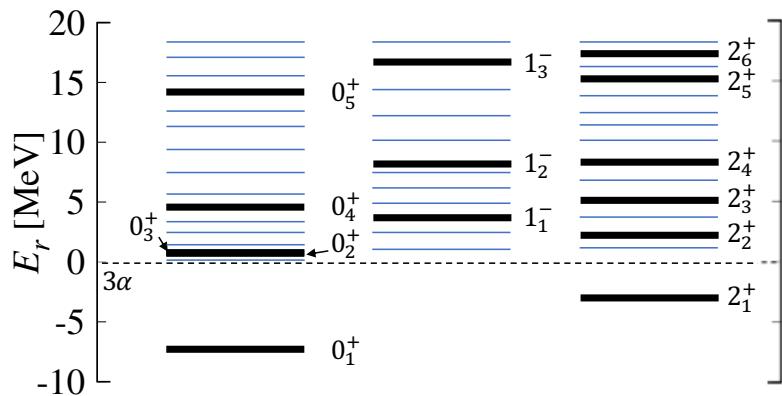
- ✓  $^{12}\text{C}$  has many resonances
- ✓ Validity of the CDCC analysis with CSM for a system with many resonances

# Resonances in $^{12}\text{C}$

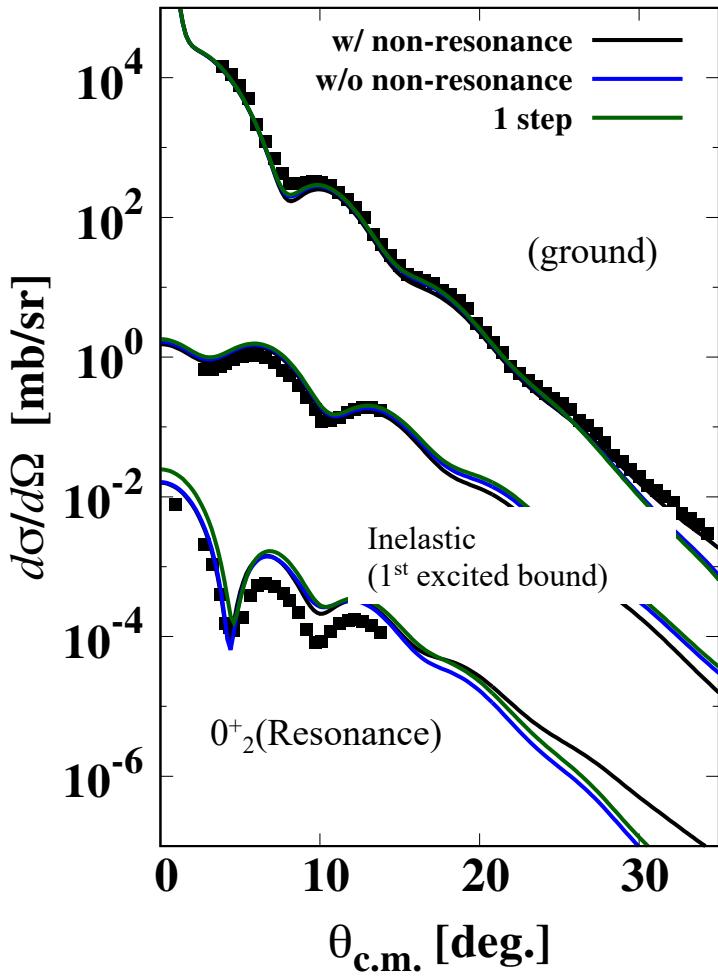


# $^{12}\text{C}(\alpha, \alpha') @ E_\alpha = 386 \text{ MeV}$

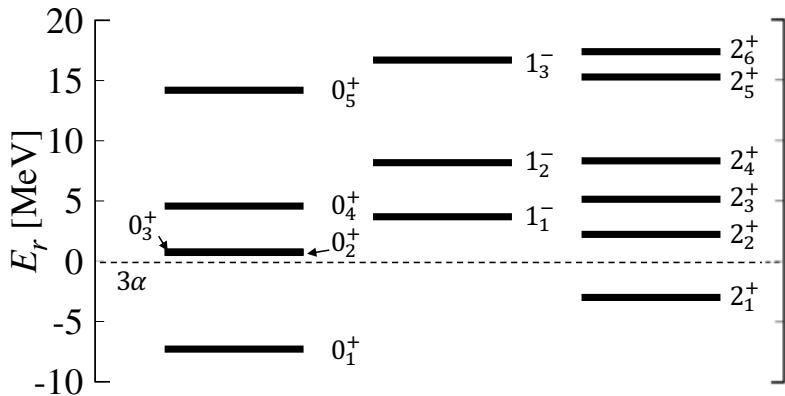
- CDCC



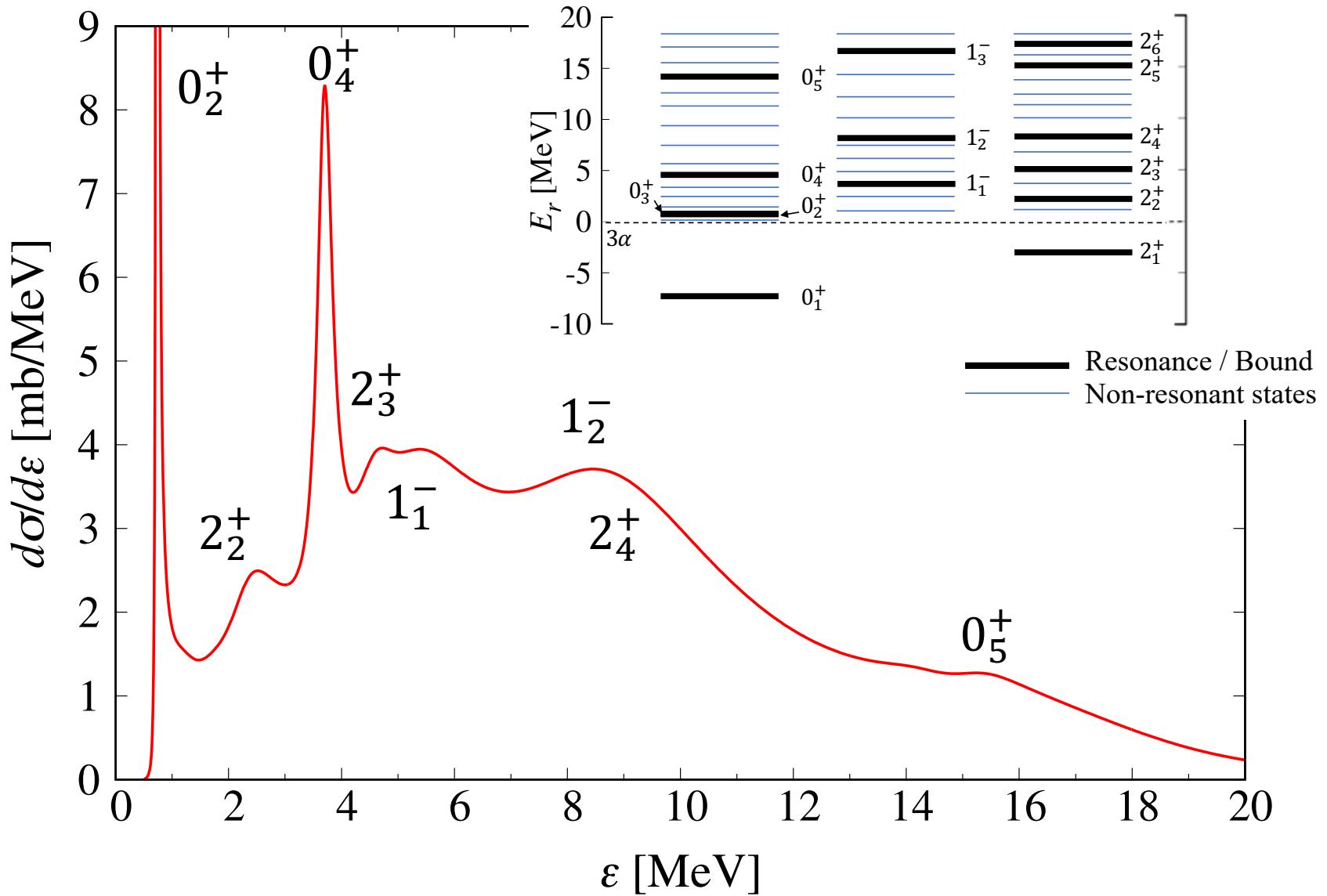
M. Itoh *et al.*, Phys. Rev. C **84**, 054308 (2011).



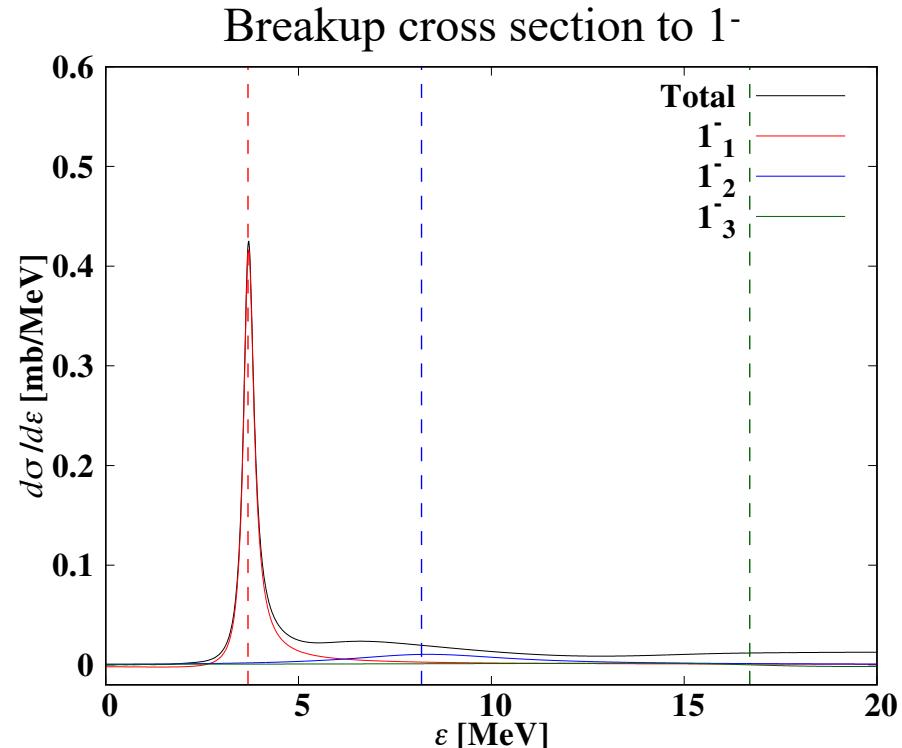
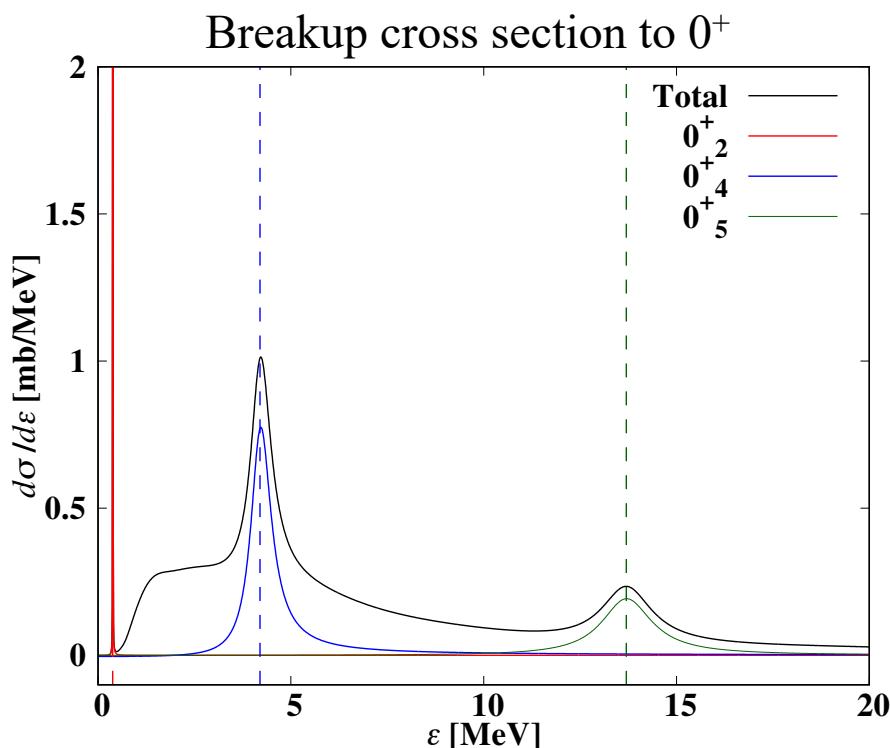
- w/o non-resonance



# Energy spectrum

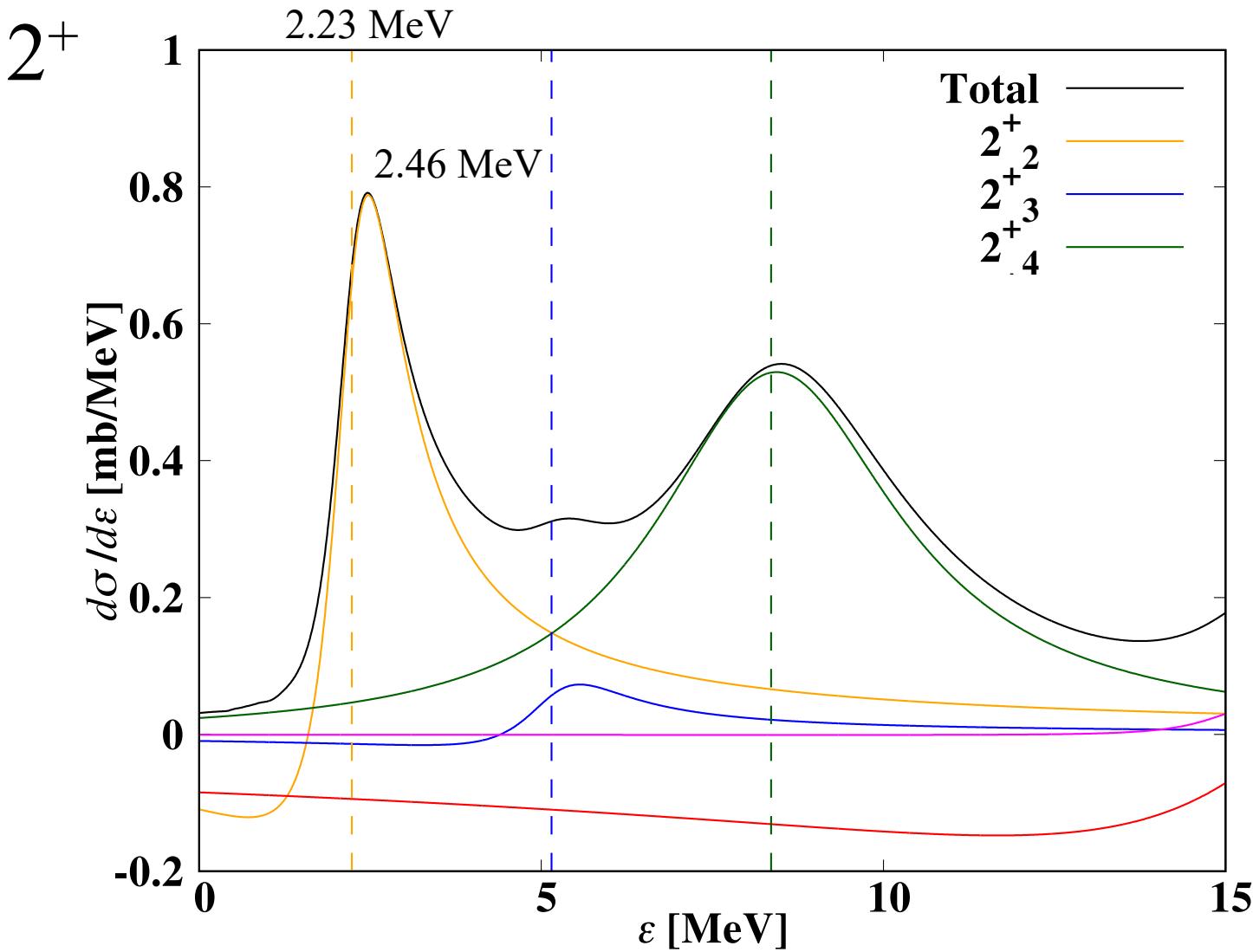


# Breakup cross section to $0^+$ & $1^-$



$$\frac{d\sigma_{res}}{dE} = -\frac{1}{\pi} \text{Im} \sum_{n,n'} \hat{T}_n^\dagger \langle \hat{\psi}_n | U^{-\theta} | \phi_{res}^\theta \rangle \frac{1}{E - E_{res}^\theta} \langle \tilde{\phi}_{res}^\theta | U^\theta | \hat{\psi}_{n'} \rangle T_{n'}$$

- ✓  $0^+_3$ ,  $1^-_2$  and  $1^-_3$  have a large decay width
- ✓ Peak positions of energy spectrum are consistent with the resonant energy.



- ✓ The peak positions of the cross section are higher than the corresponding resonant energies about 200 keV.
- ✓ The peak position of the cross section **is not always the same value** as the resonant energy.

# Summary

- CDCC with CSM is useful for investigating resonances via breakup cross sections.
- We analyze  $^{12}\text{C}(\alpha, \alpha')$  and  $^{11}\text{Li}(p, p')$  reactions by CDCC with CSM.
- The resonance of  $^{11}\text{Li}$  is interpreted as a bound state of  $^{10}\text{Li} + \text{n}$  system, that is, a *Borromean Feshbach resonance*.
- For the analysis of  $^{12}\text{C}$ , the peak position of the cross section **is not always the same value** as the resonant energy.