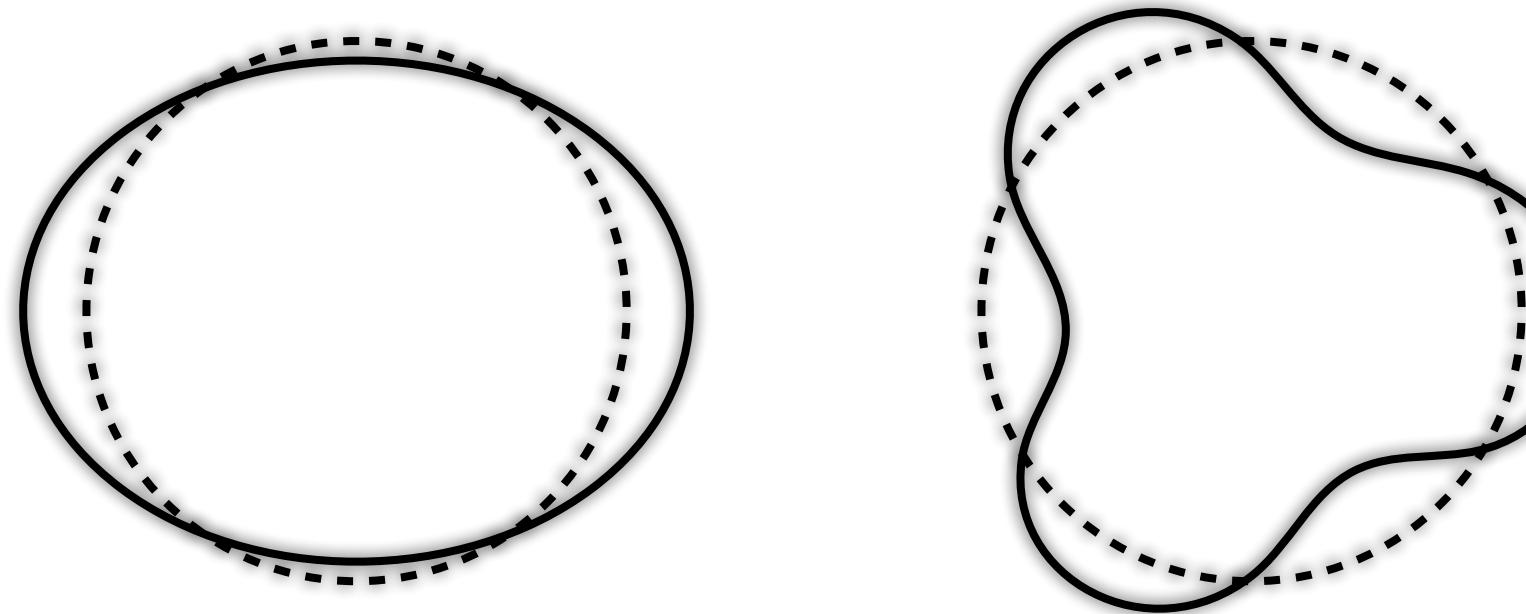


# **Spin and isospin responses in nuclei: roles of deformation and neutron excess**

**Kenichi Yoshida, RCNP**

# Giant resonances: collective mode of surface vibration

classical and intuitive picture



$L=2$ : Giant Quadrupole Resonance (GQR)

$L=3$ : High Energy Octupole Resonance (HEOR)

strongly excited by a one-body operator, exhaust a sum-rule value

$$\hat{O} = \sum_{\sigma\sigma'} \sum_{\tau\tau'} \int \vec{r} r^L Y_L(\hat{r}) \hat{\psi}^\dagger(\vec{r}\sigma\tau) \langle \sigma \left\{ \begin{array}{c} 1 \\ \vec{\sigma} \end{array} \right\} \sigma' \rangle \langle \tau \left\{ \begin{array}{c} 1 \\ \vec{\tau} \end{array} \right\} \tau' \rangle \hat{\psi}(\vec{r}\sigma'\tau')$$

space                      spin                      isospin

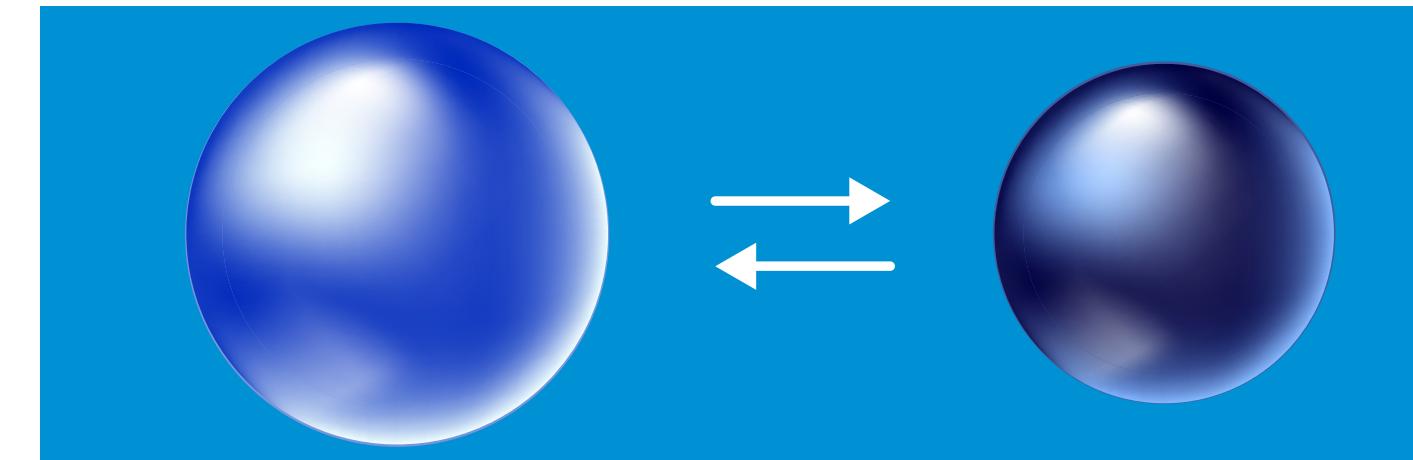
rich variety of modes depending on  $\Delta L$ ,  $\Delta S$ ,  $\Delta T$ , and  $\Delta N$

affected by many-body correlations (deformation and superfluidity)

# Giant Monopole Resonance (GMR)

$$\hat{O} = \sum_{\sigma\tau} \int d\vec{r} r^2 \psi^\dagger(\vec{r}\sigma\tau) \psi(\vec{r}\sigma\tau)$$

volume change  $\longleftrightarrow$



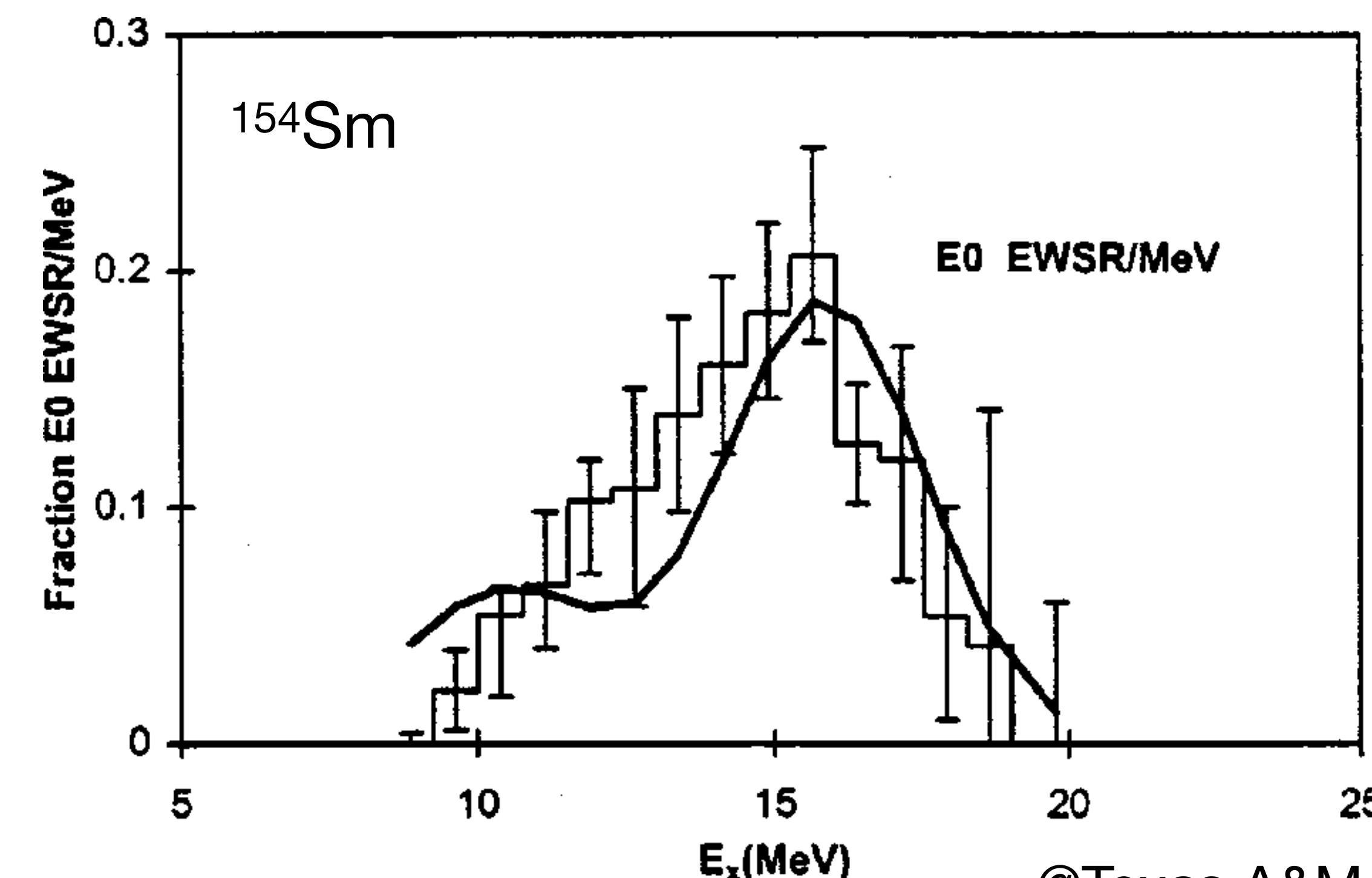
incompressibility of  
nuclear matter

Blaizot ('80)

deformation splitting?

Garg+ ('80)

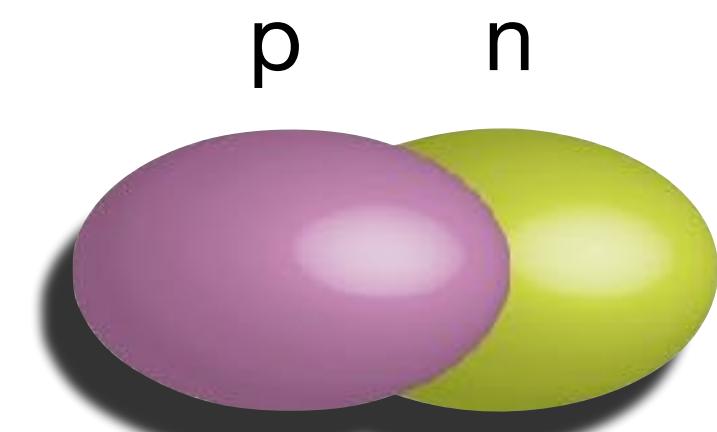
Youngblood+ ('99)



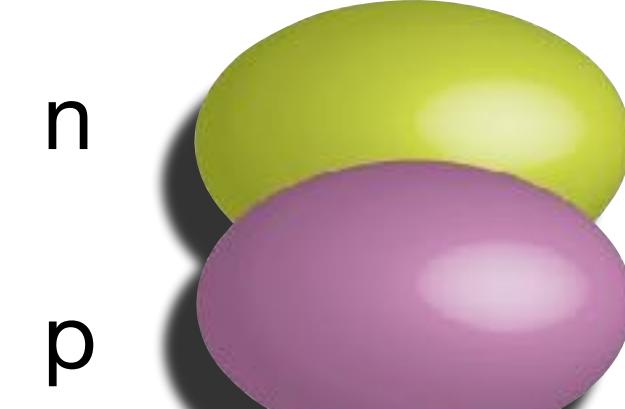
# Deformation splitting?

IVGDR

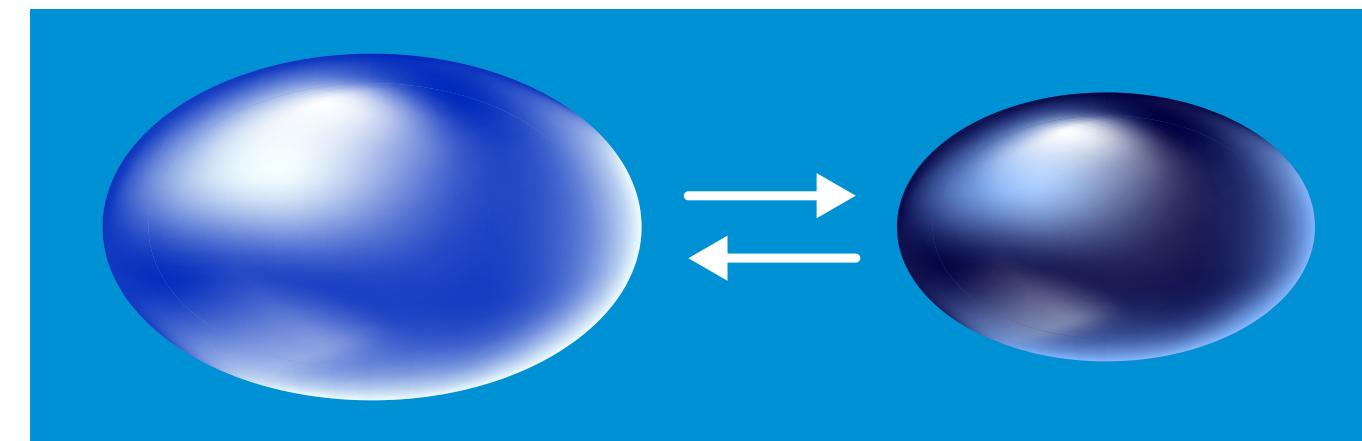
$$\omega_{\parallel} \propto \frac{1}{R_{\parallel}}$$



$$\omega_{\perp} \propto \frac{1}{R_{\perp}}$$



GMR

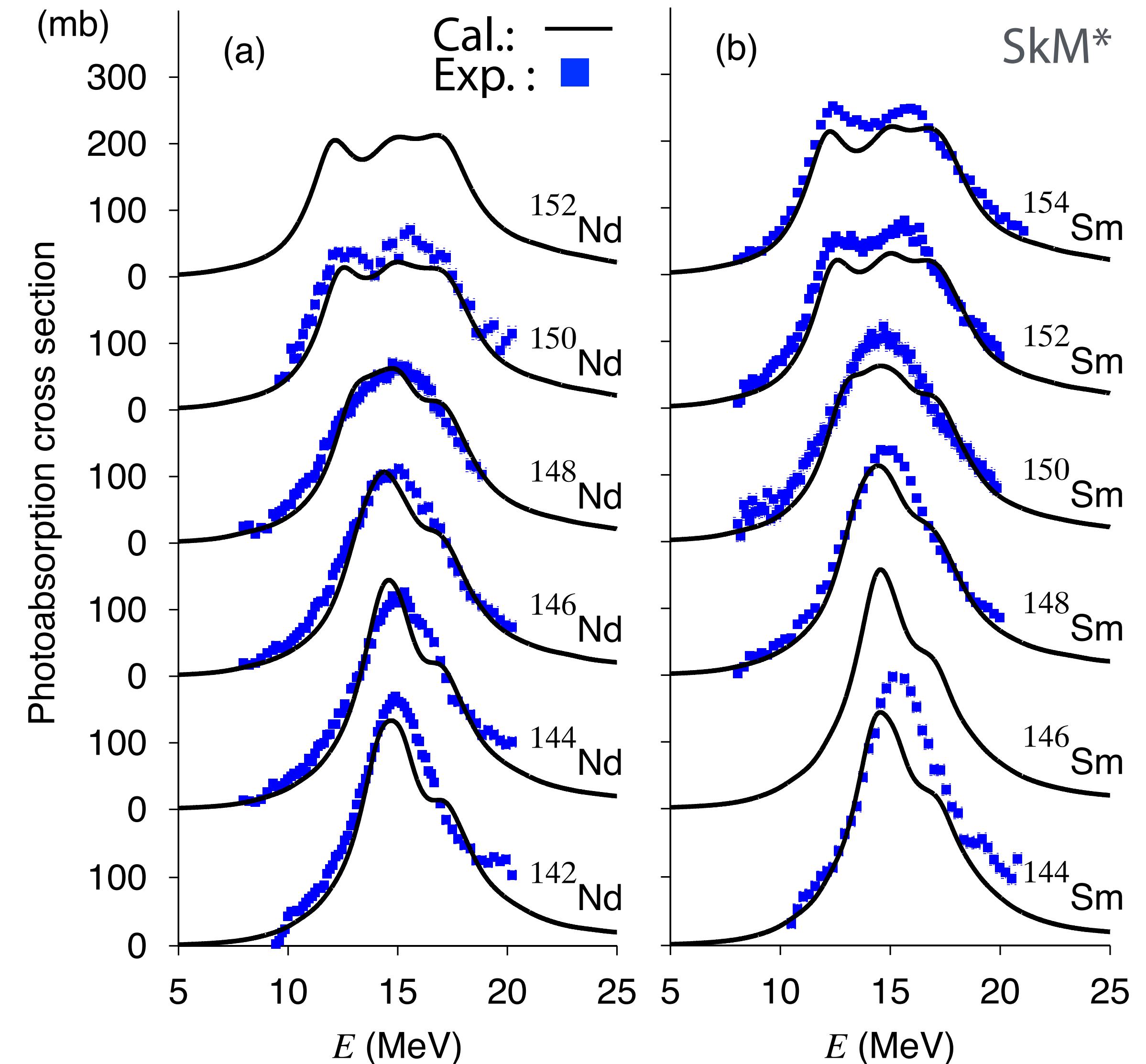


no angle dependence contrary to GDR

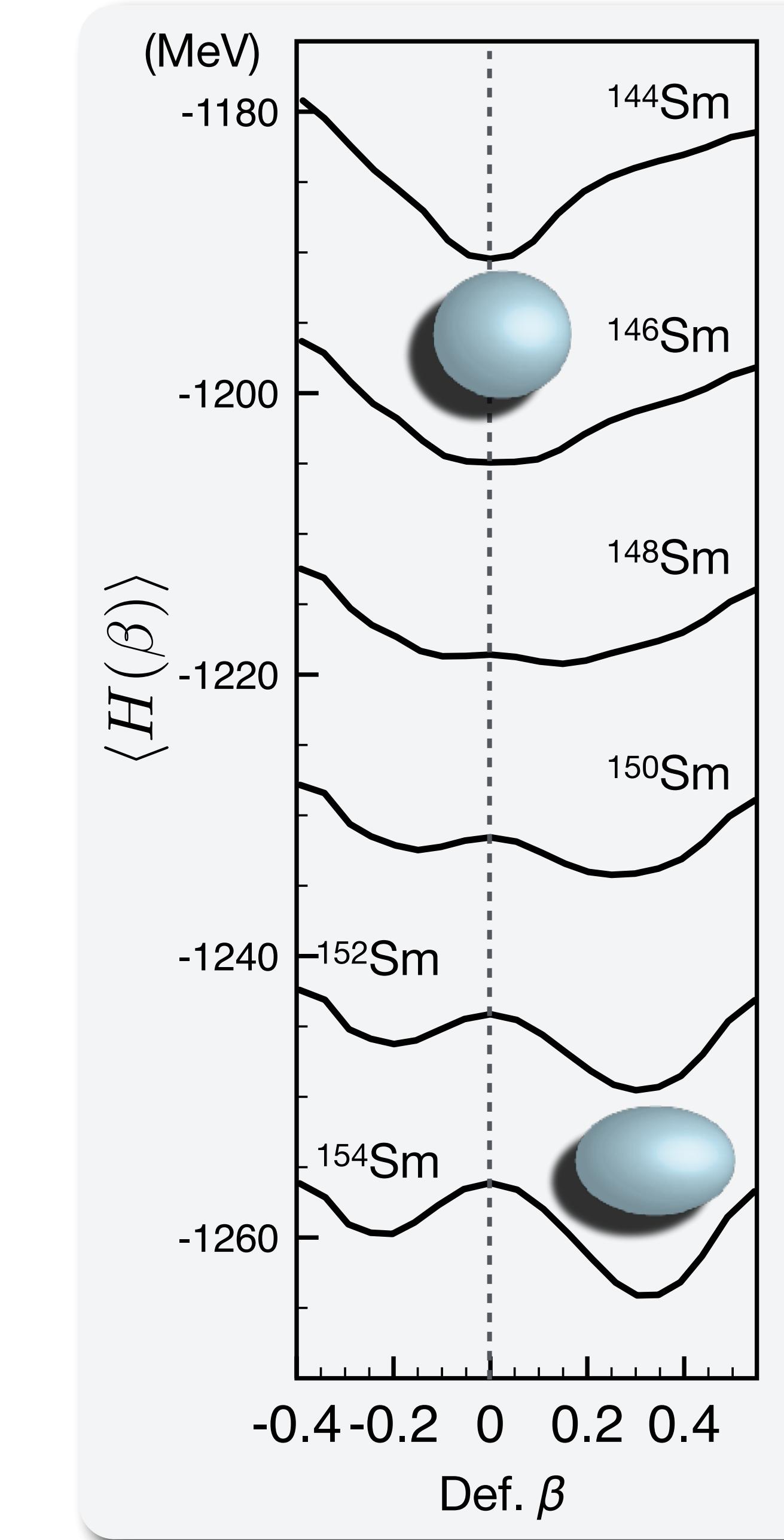
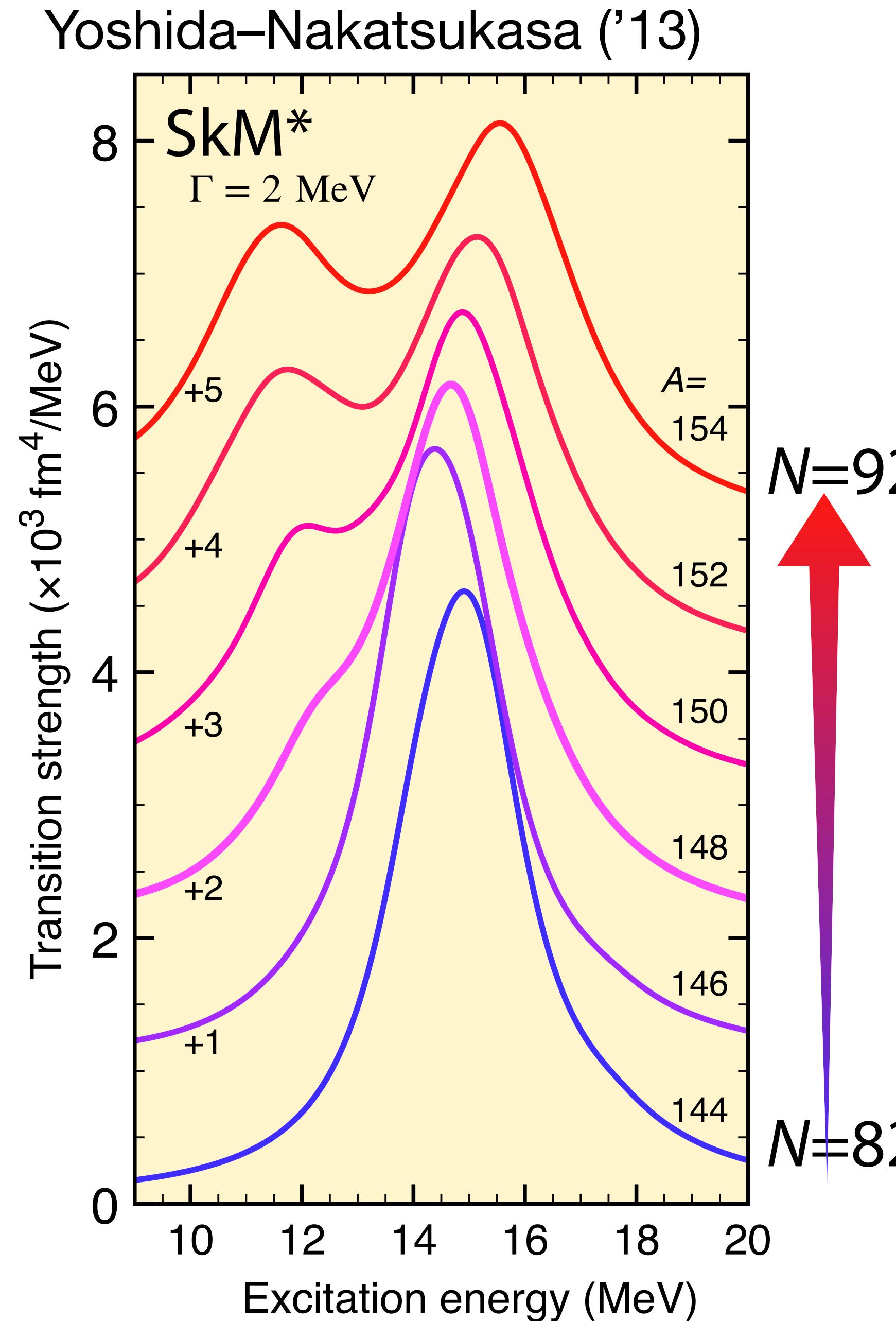
$$Y_0(\hat{r})$$

$$Y_{1K}(\hat{r})$$

Yoshida–Nakatsukasa ('11)

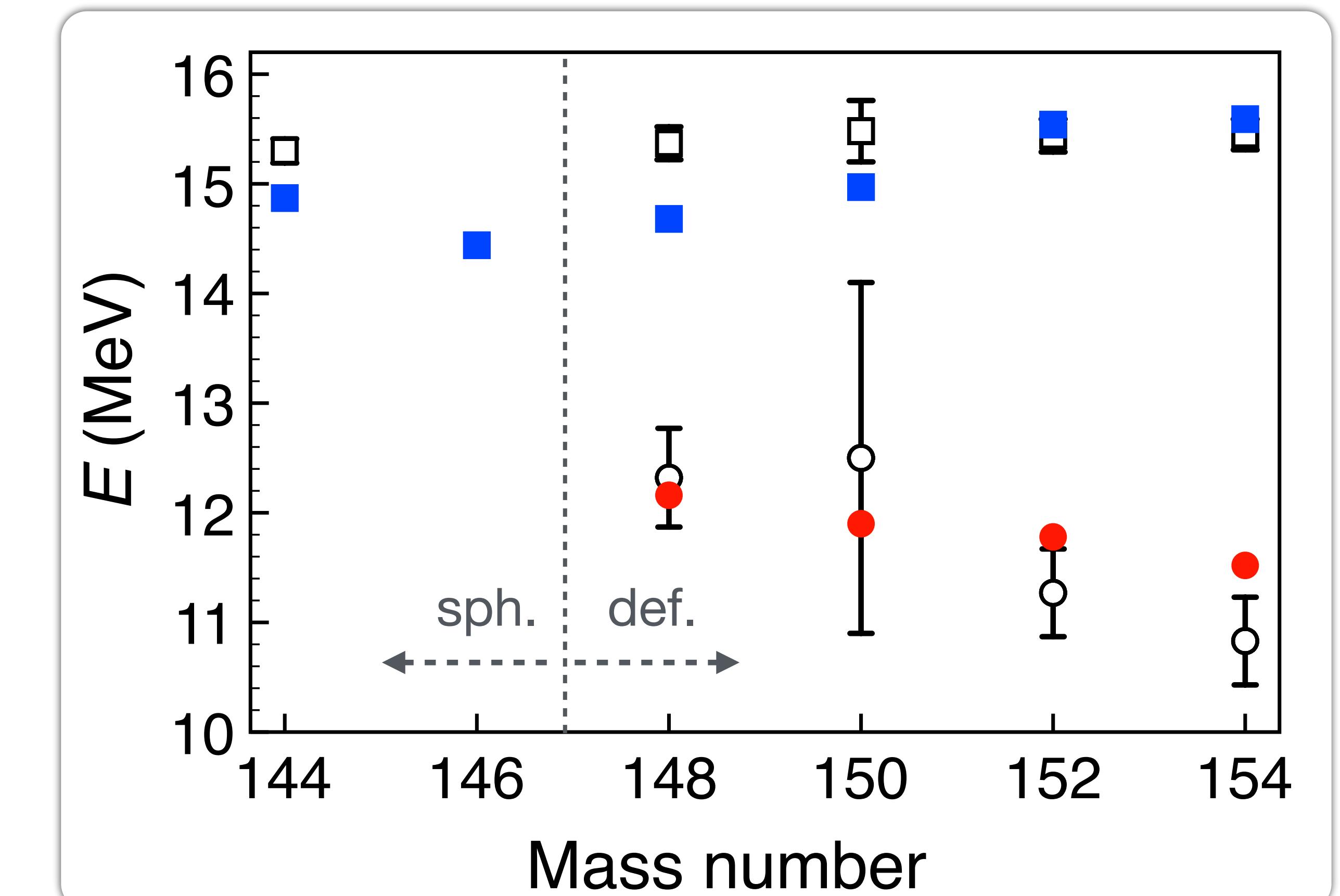
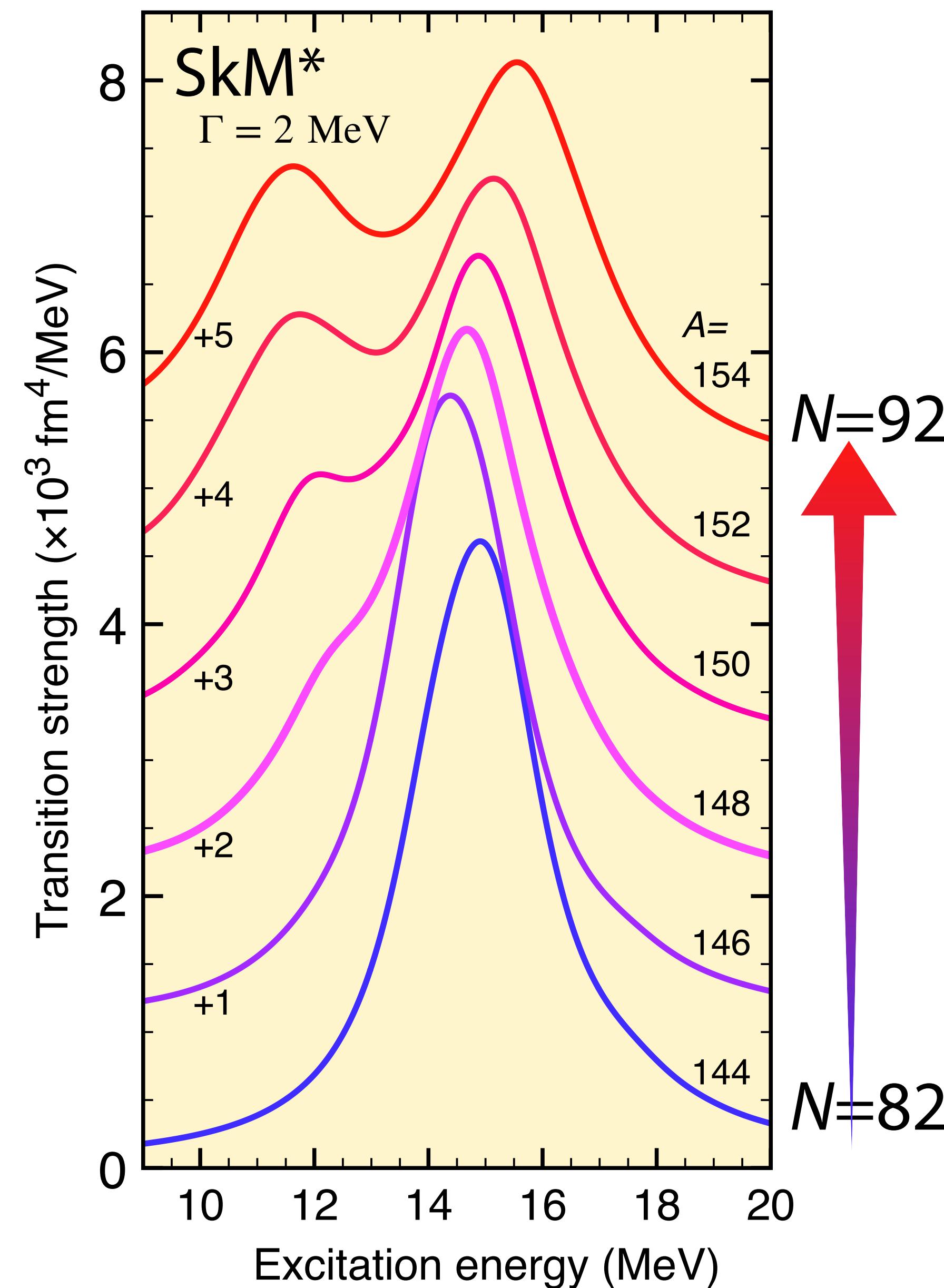


# GMR in the Sm isotopes



# GMR in the Sm isotopes

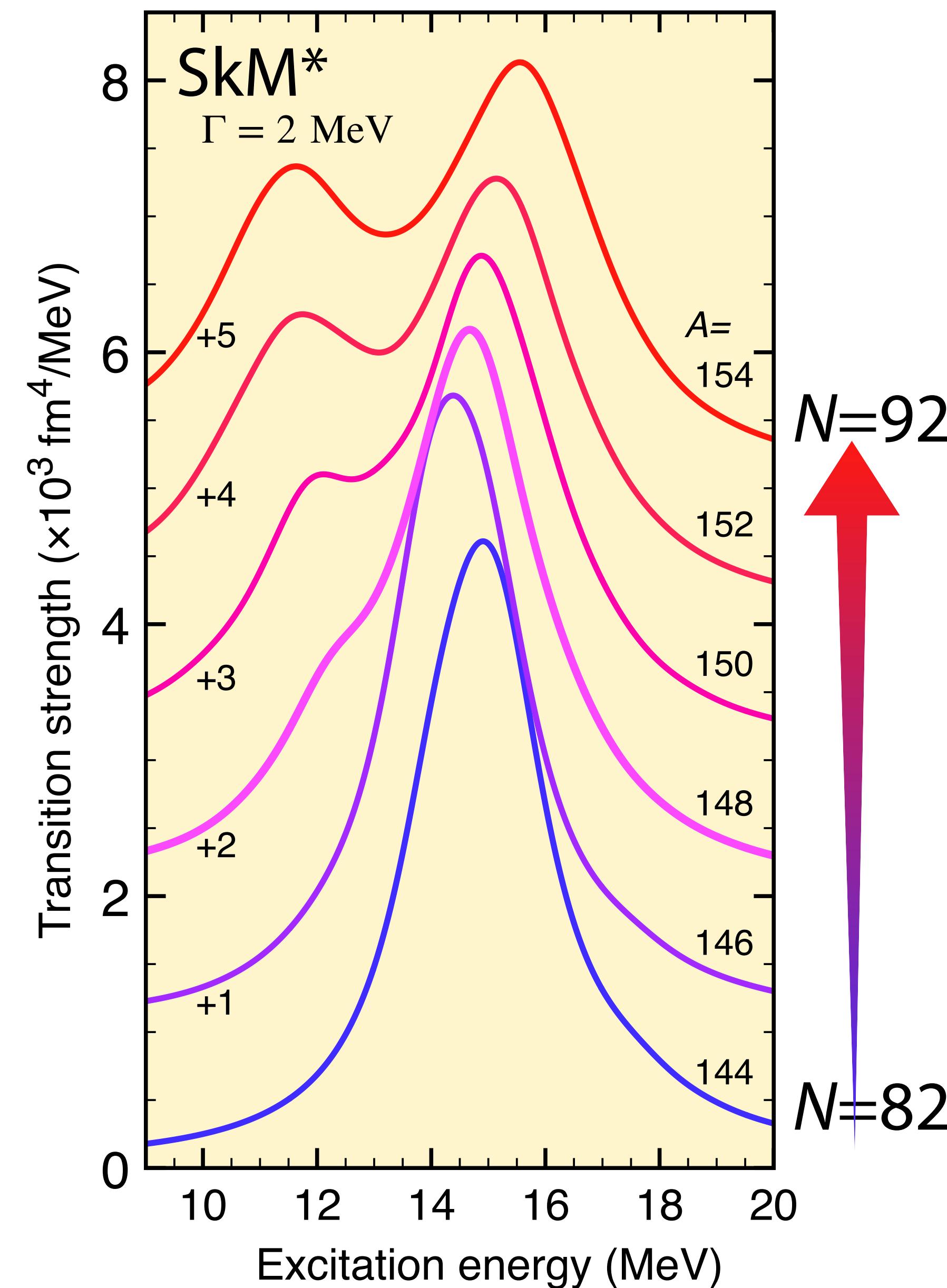
Yoshida–Nakatsukasa ('13)



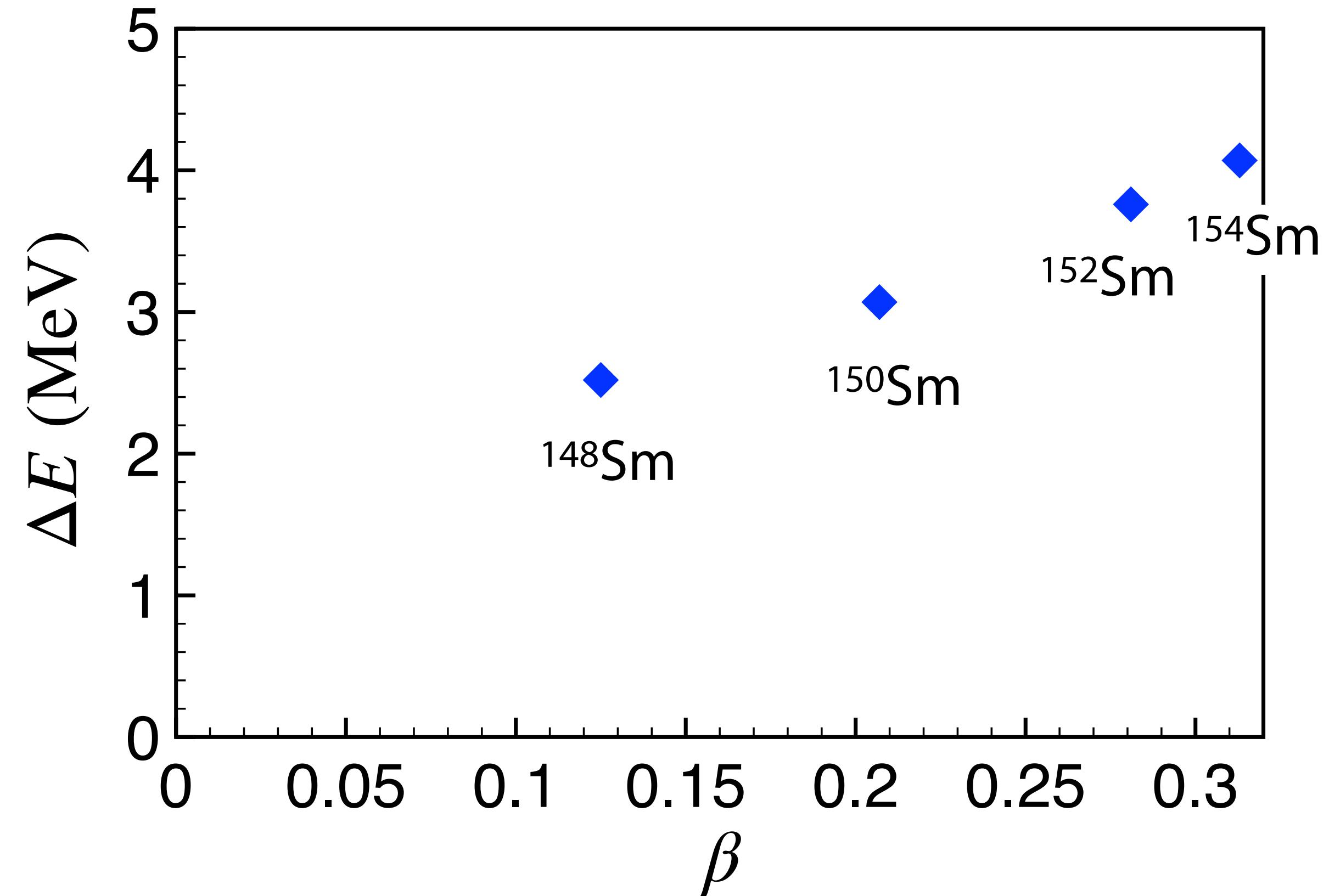
Exp.: Itoh+ ('03)

# GMR in the Sm isotopes

Yoshida–Nakatsukasa ('13)

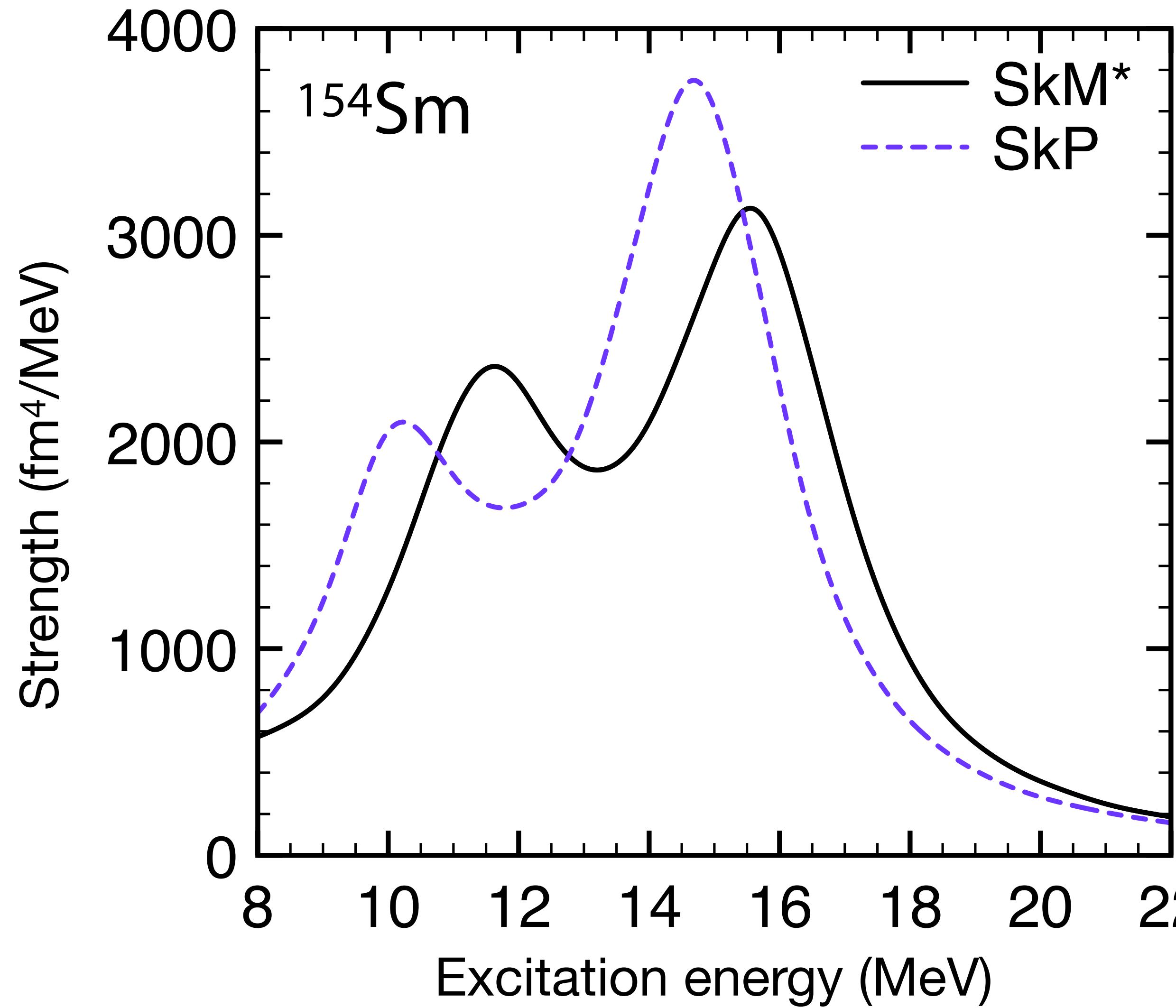


deformation splitting



# GMR in the Sm isotopes

Yoshida–Nakatsukasa ('13)



$K_0 [\text{MeV}]$

$\beta = 0.31$     217  
 $\beta = 0.29$     201

Ratio of EWS  
higher/lower

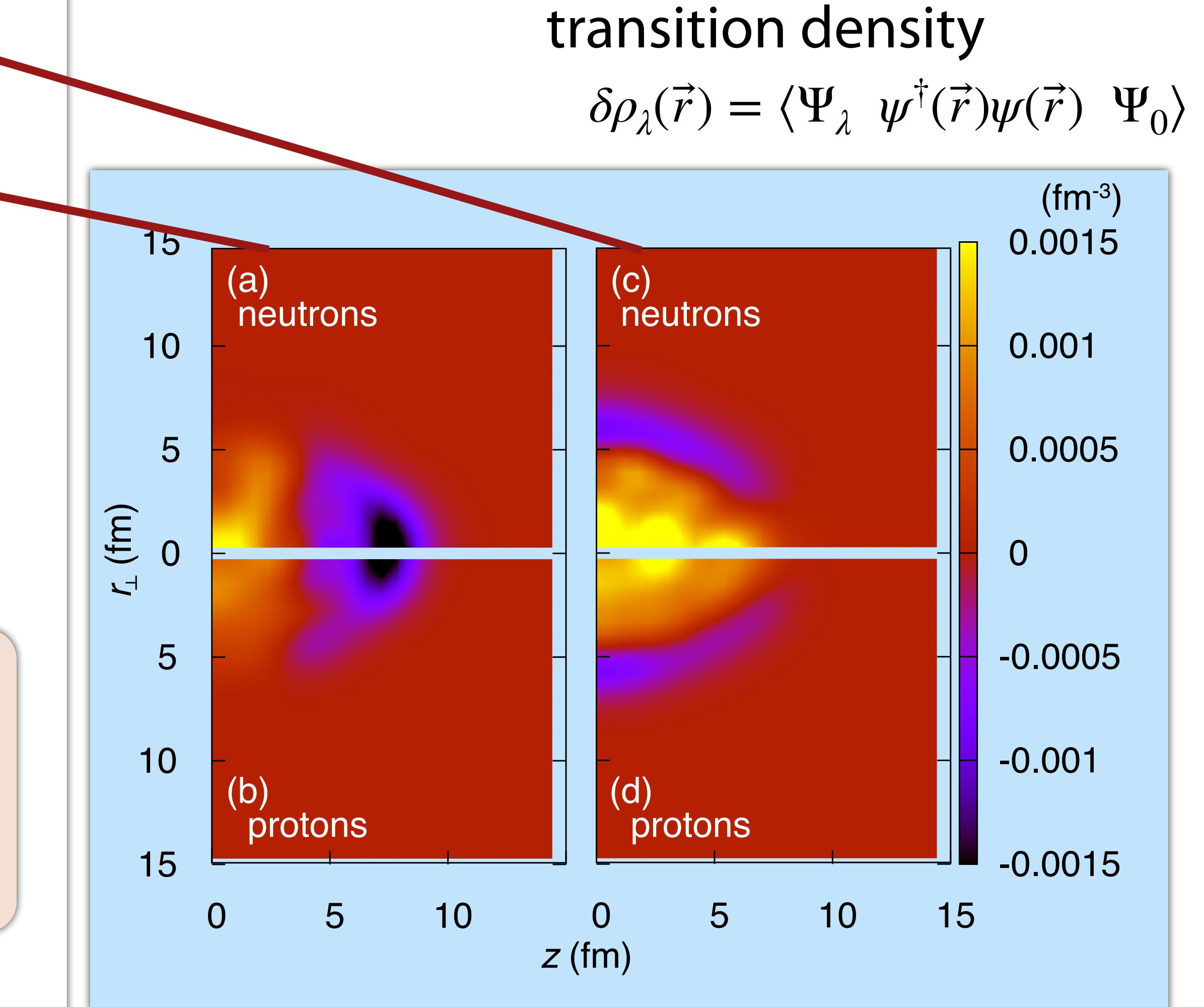
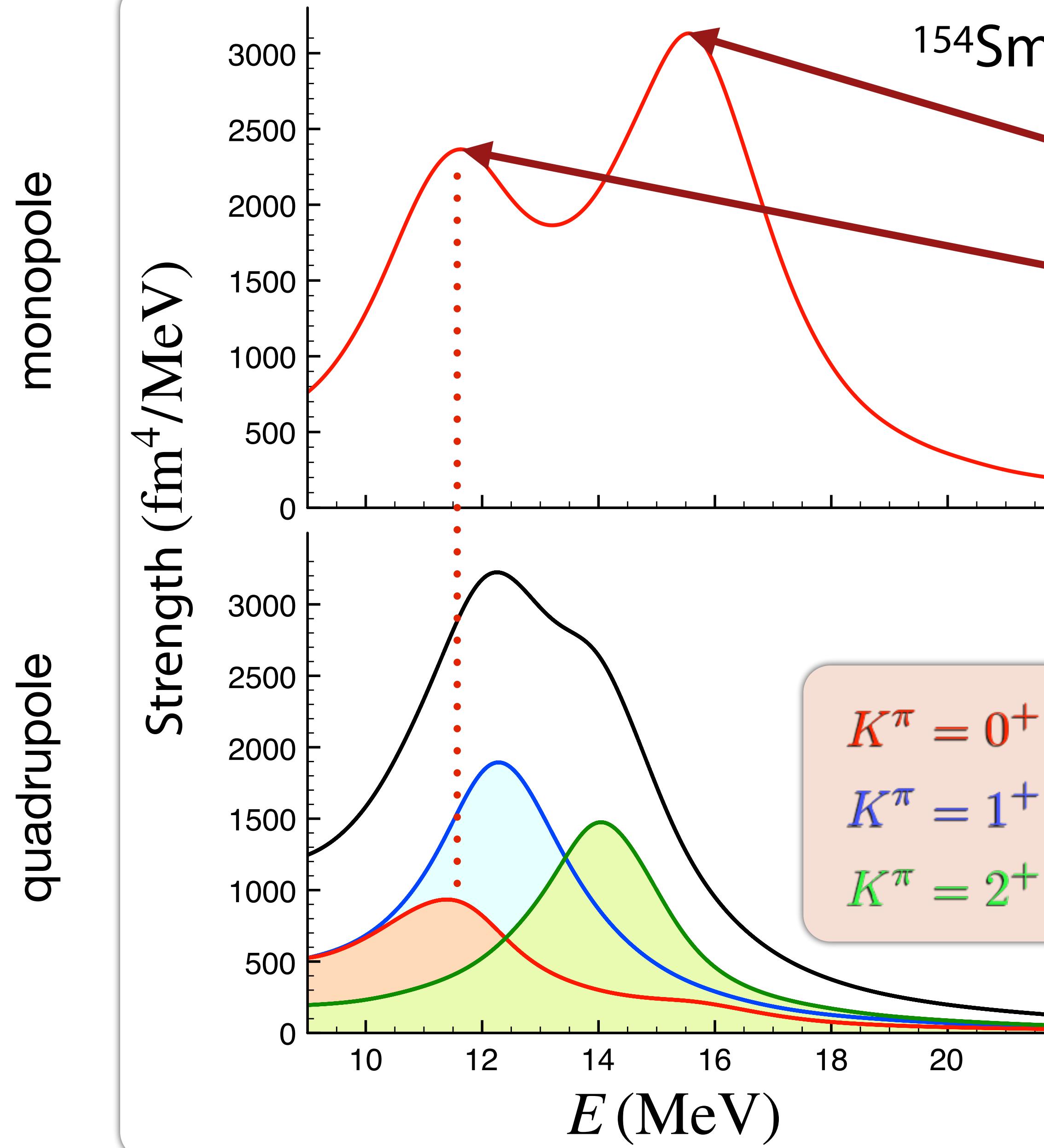
1.9  
3.2

larger strengths in the lower peak  
in a strongly-deformed nucleus

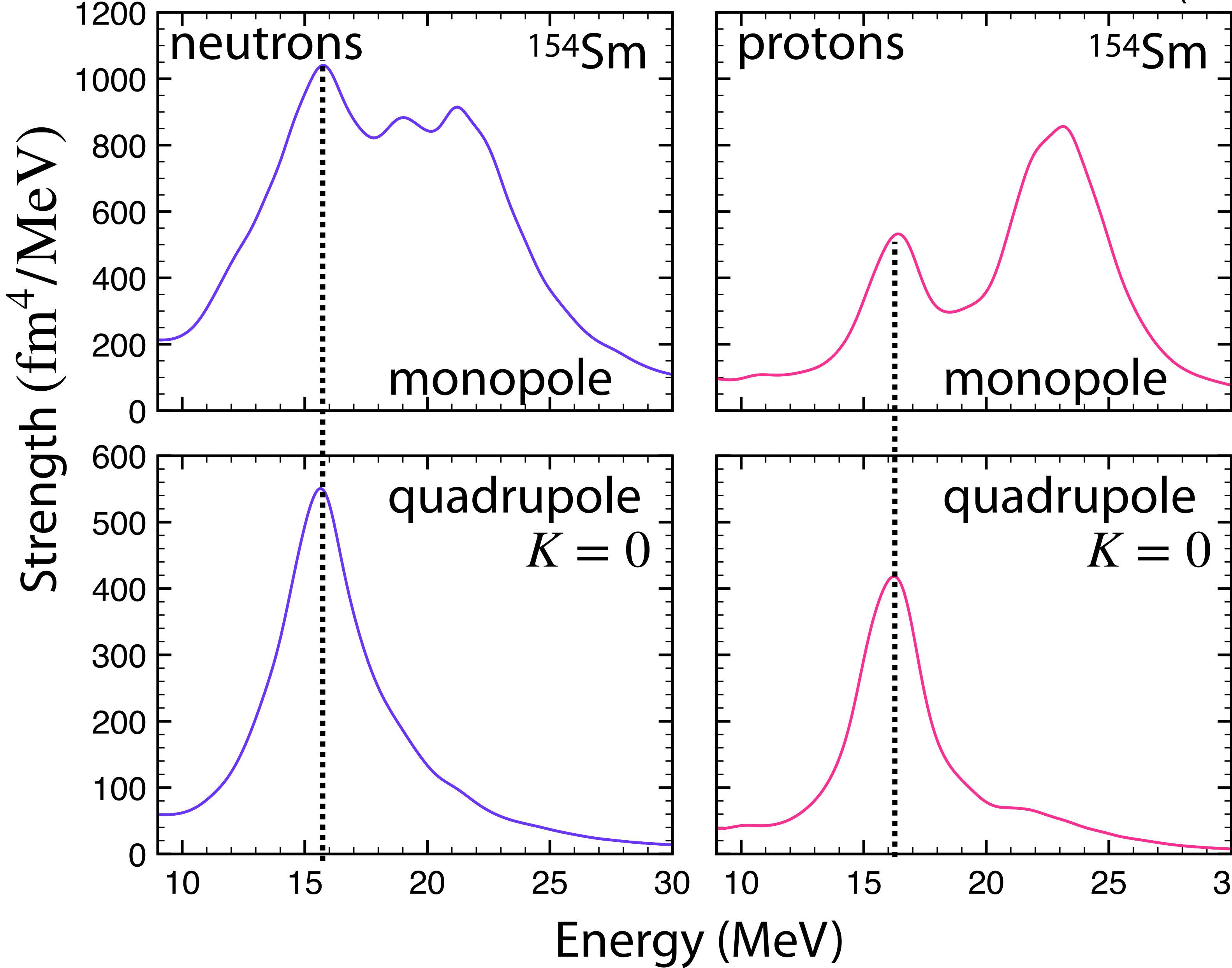
stronger coupling between GMR and GQR  
as deformation increases

splitting energy  
ratio of strengths

# Coupling between GMR and GQR



# Coupling at the static level



Yoshida ('21)

Unperturbed strengths  
w/o the RPA (dynamic) correlations

deformation-induced coupling

static effect

Peaks

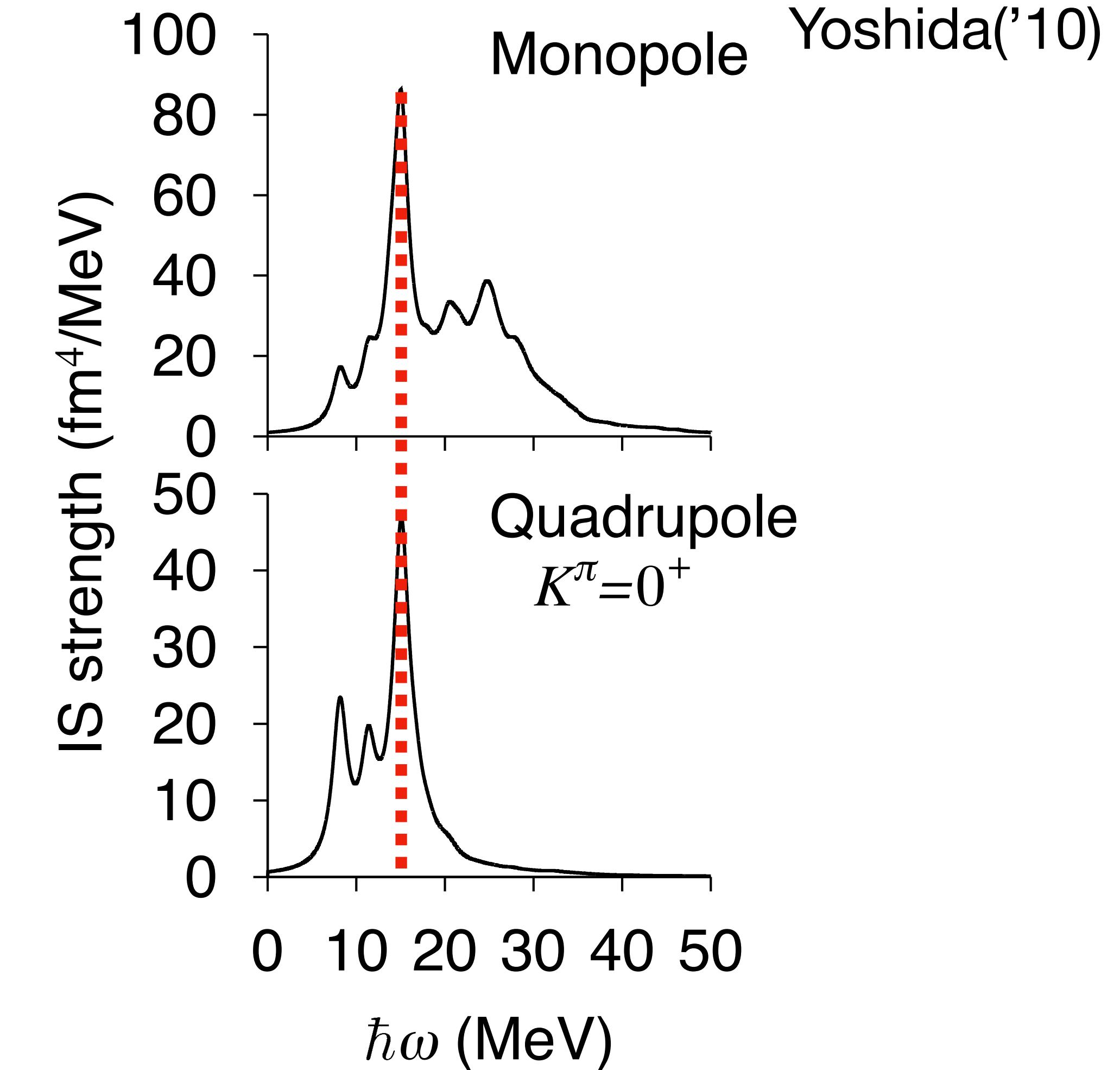
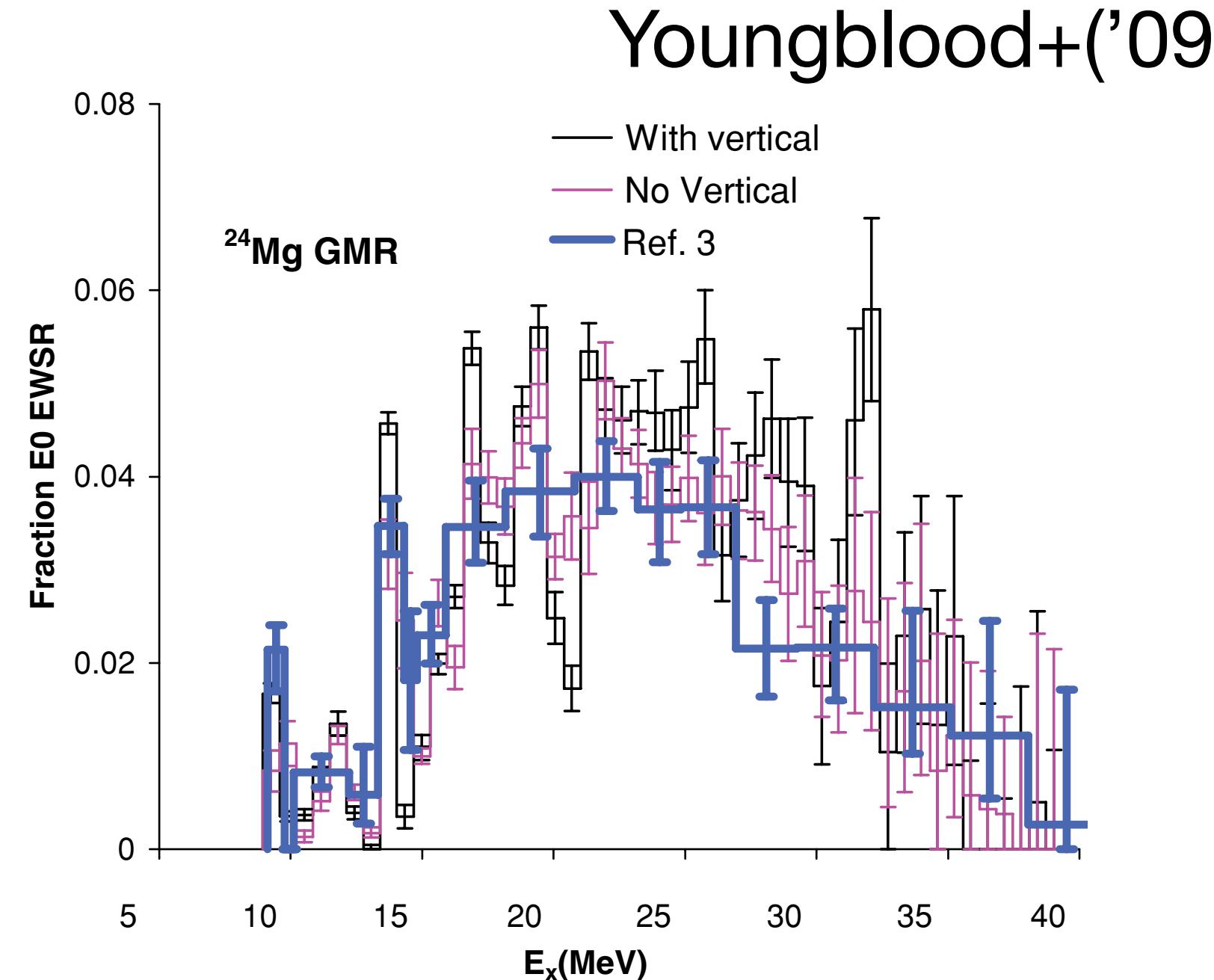
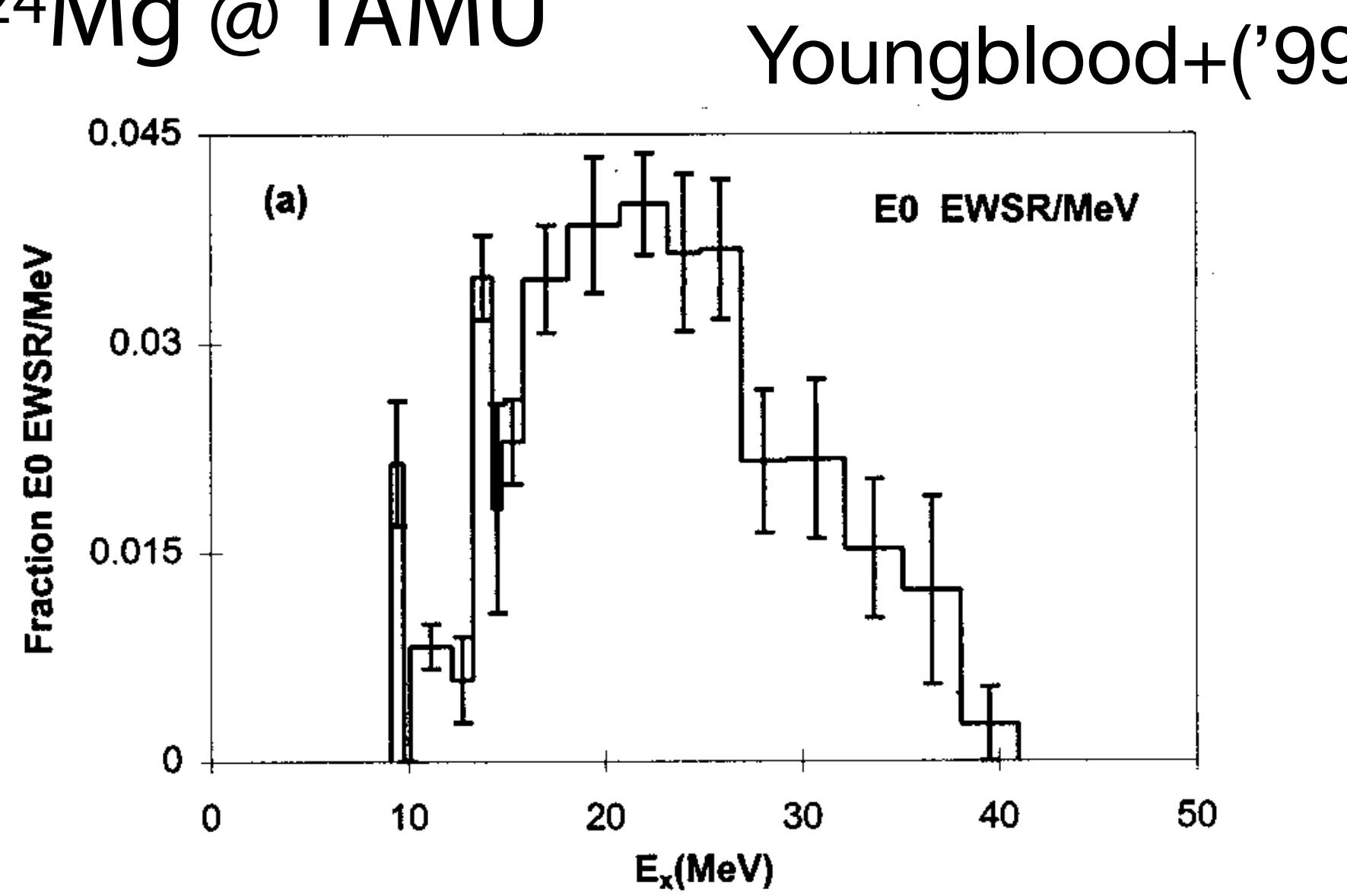
monopole and  $K=0$  quadrupole coincide in energy

residual interactions

Coexistence persists

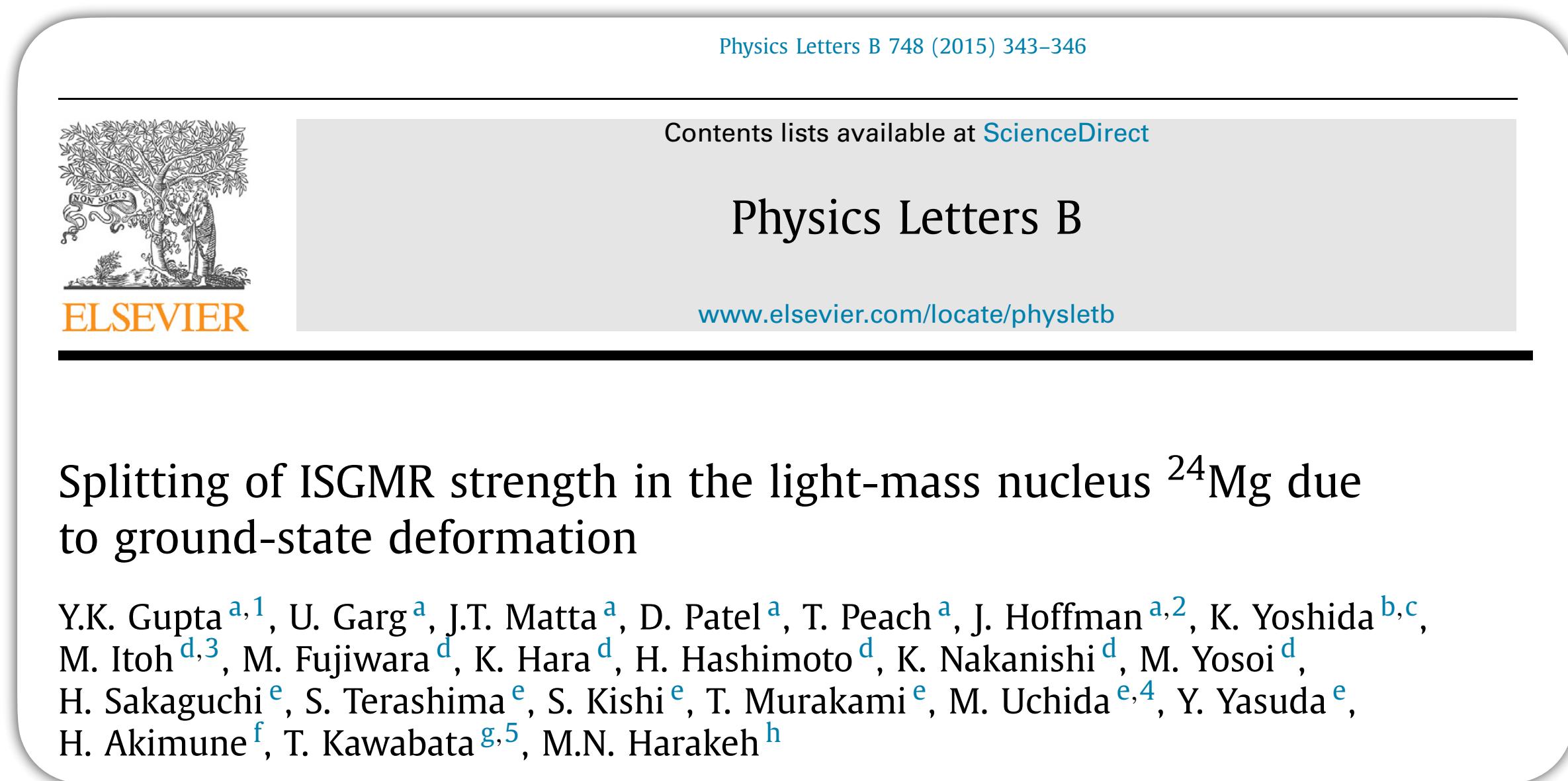
# Deformation effect on GMR in light nuclei: universality

$^{24}\text{Mg}$  @ TAMU



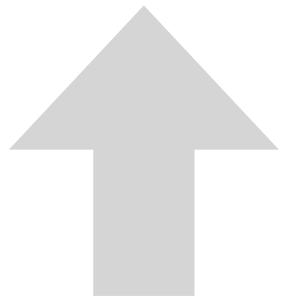
occurrence of the “lower-energy ( $\sim 15$  MeV)” peak due to coupling to the  $K=0$  of GQR

# Deformation splitting in a light nucleus



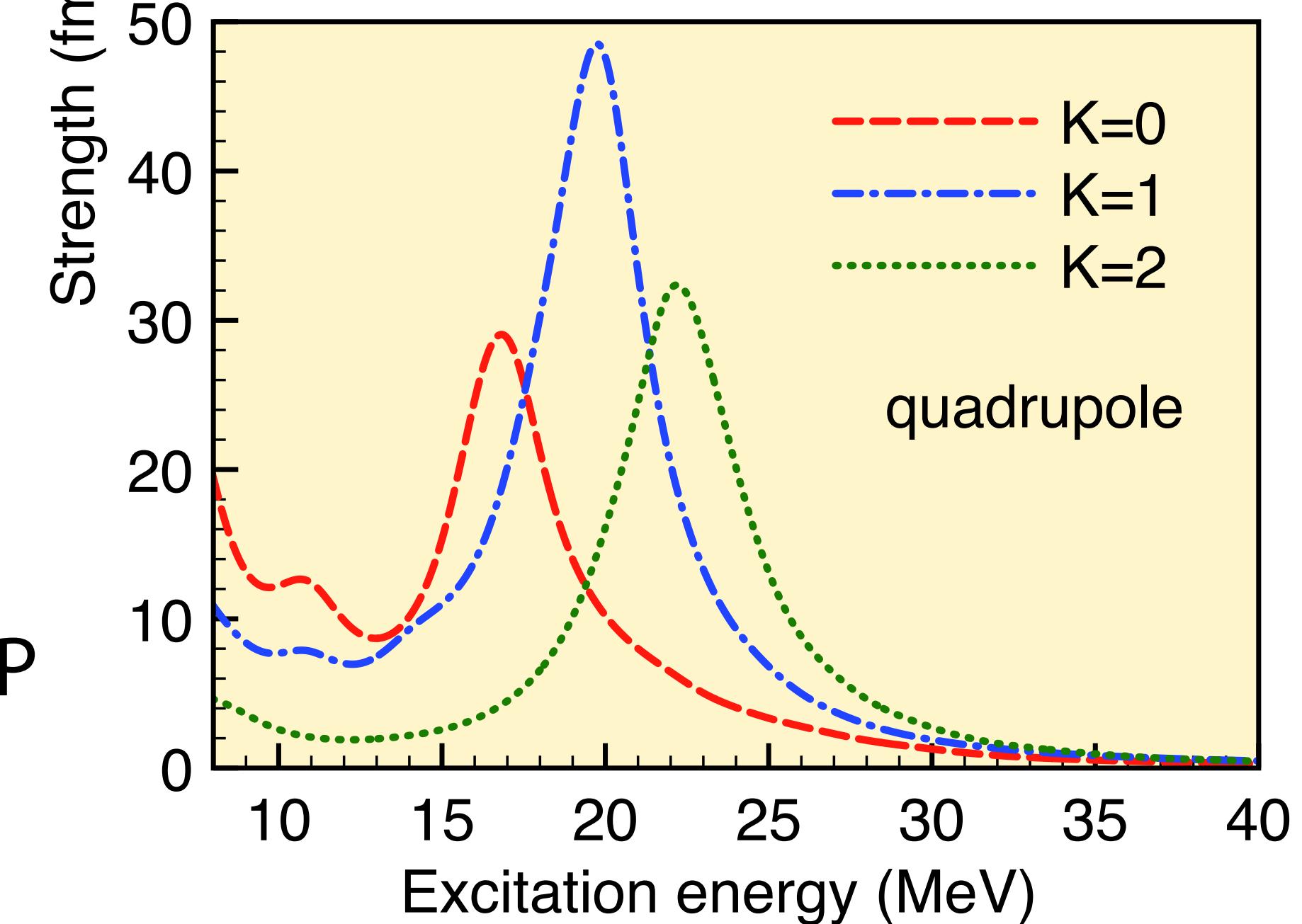
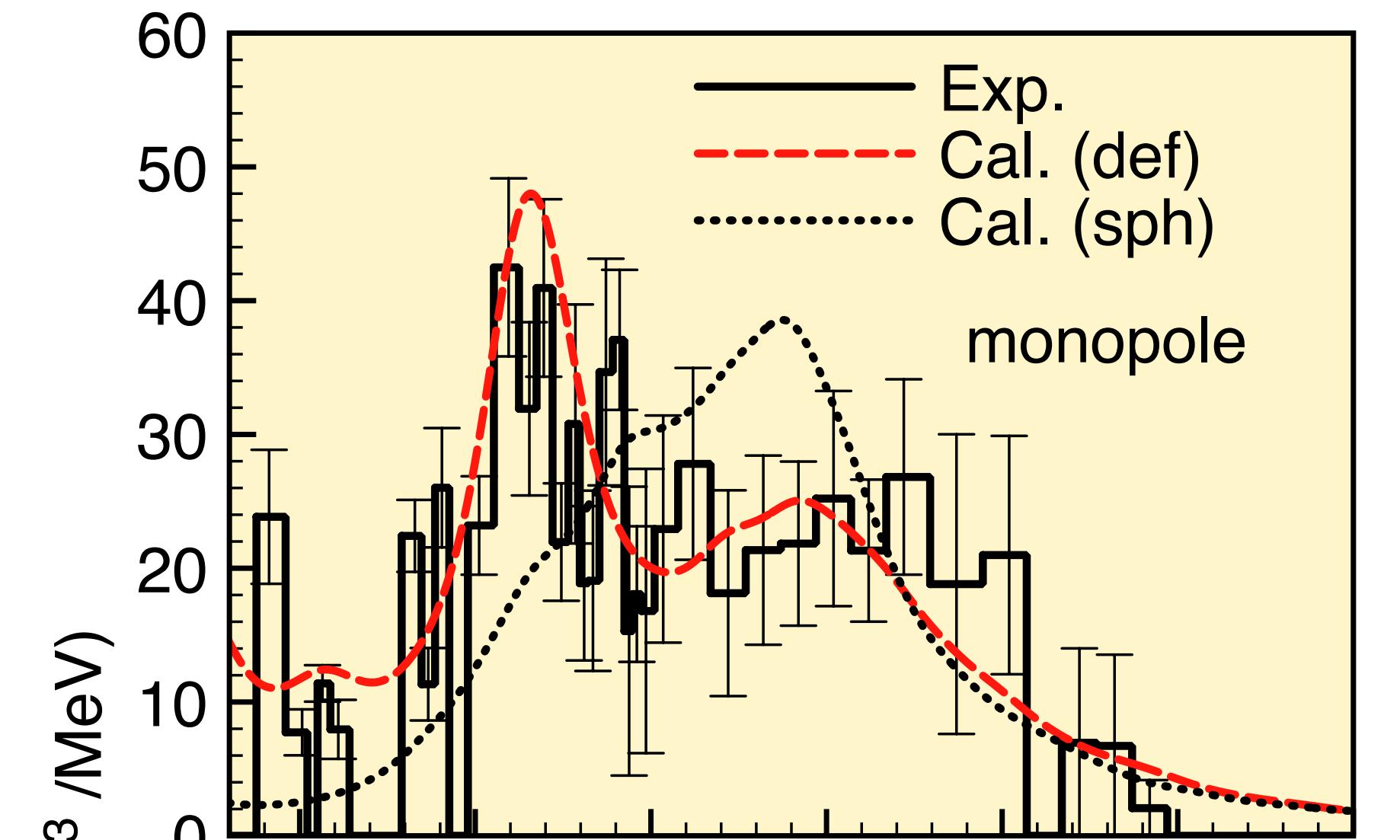
First observation of the splitting of GMR strengths in a light system

**universal feature in deformed nuclei**

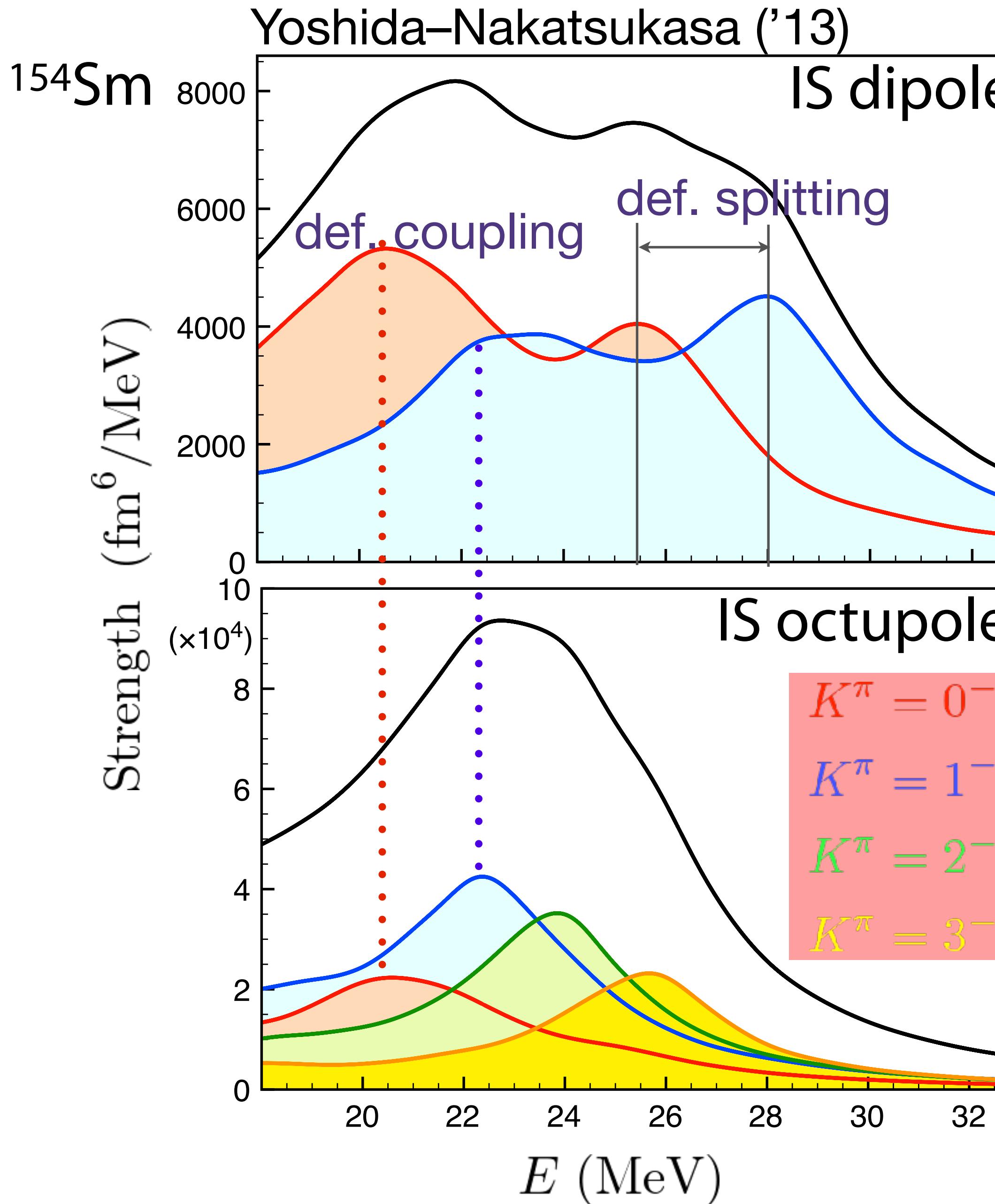


background-free high-resolution experiment @RCNP

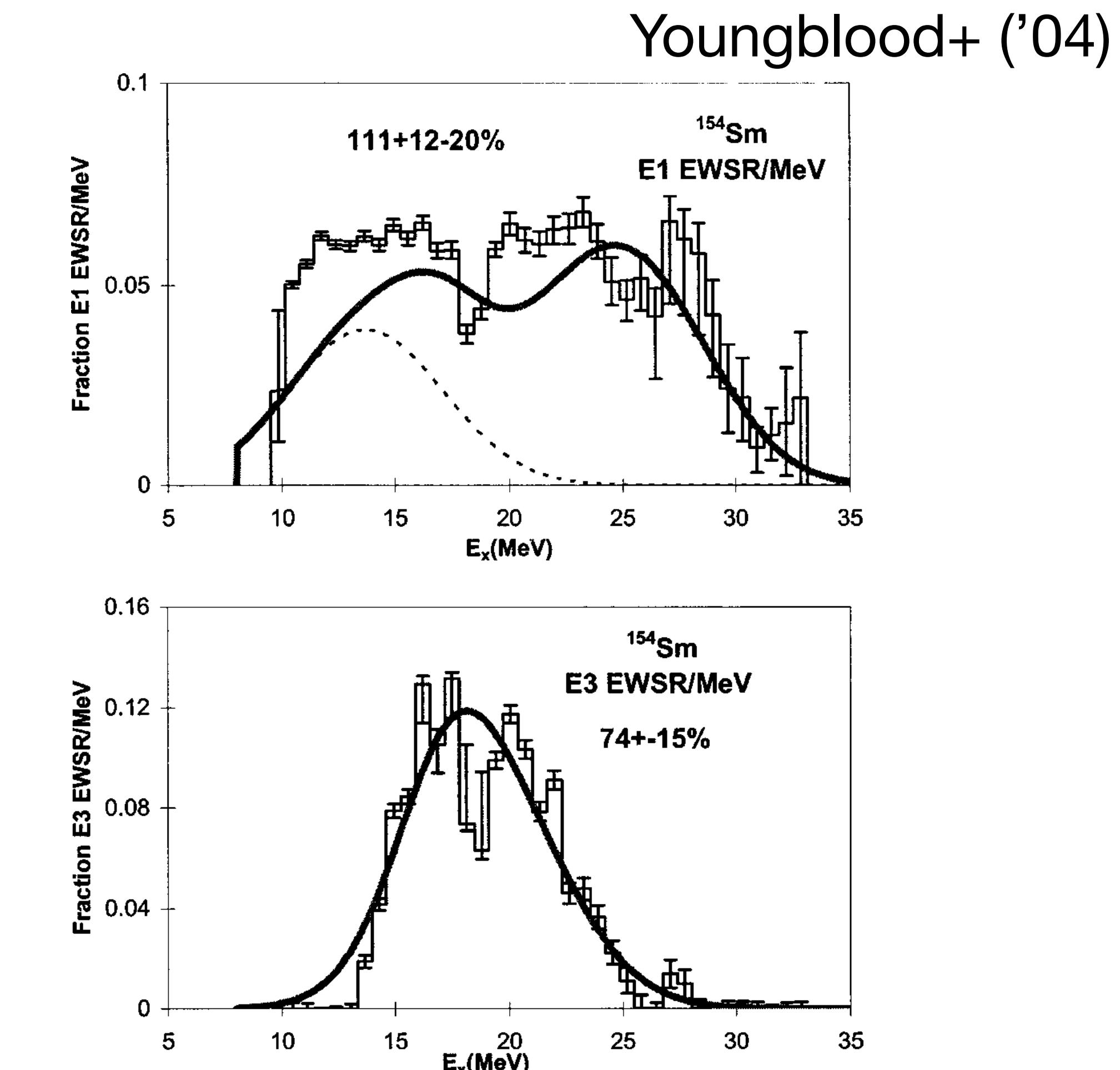
parameter-free nuclear DFT calculation



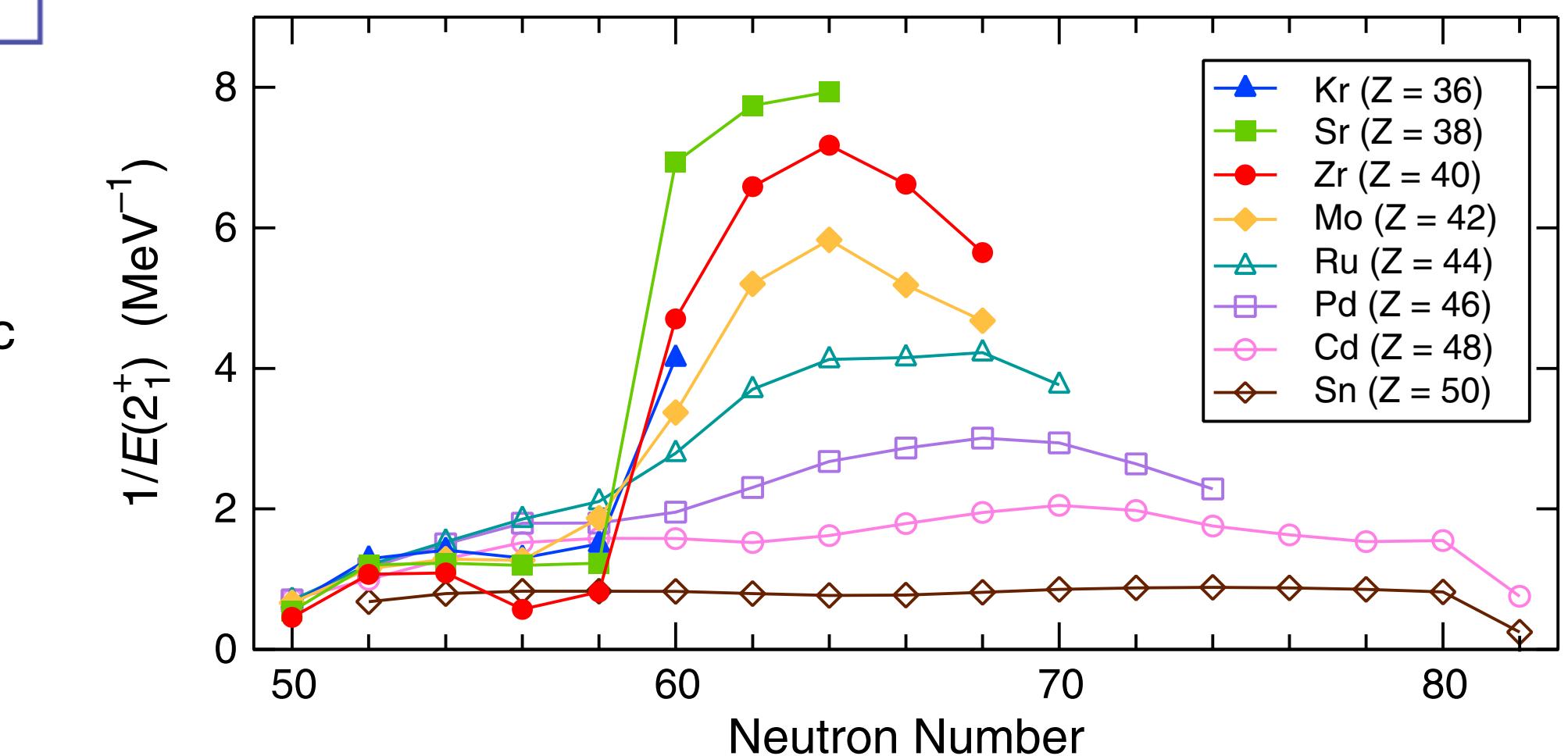
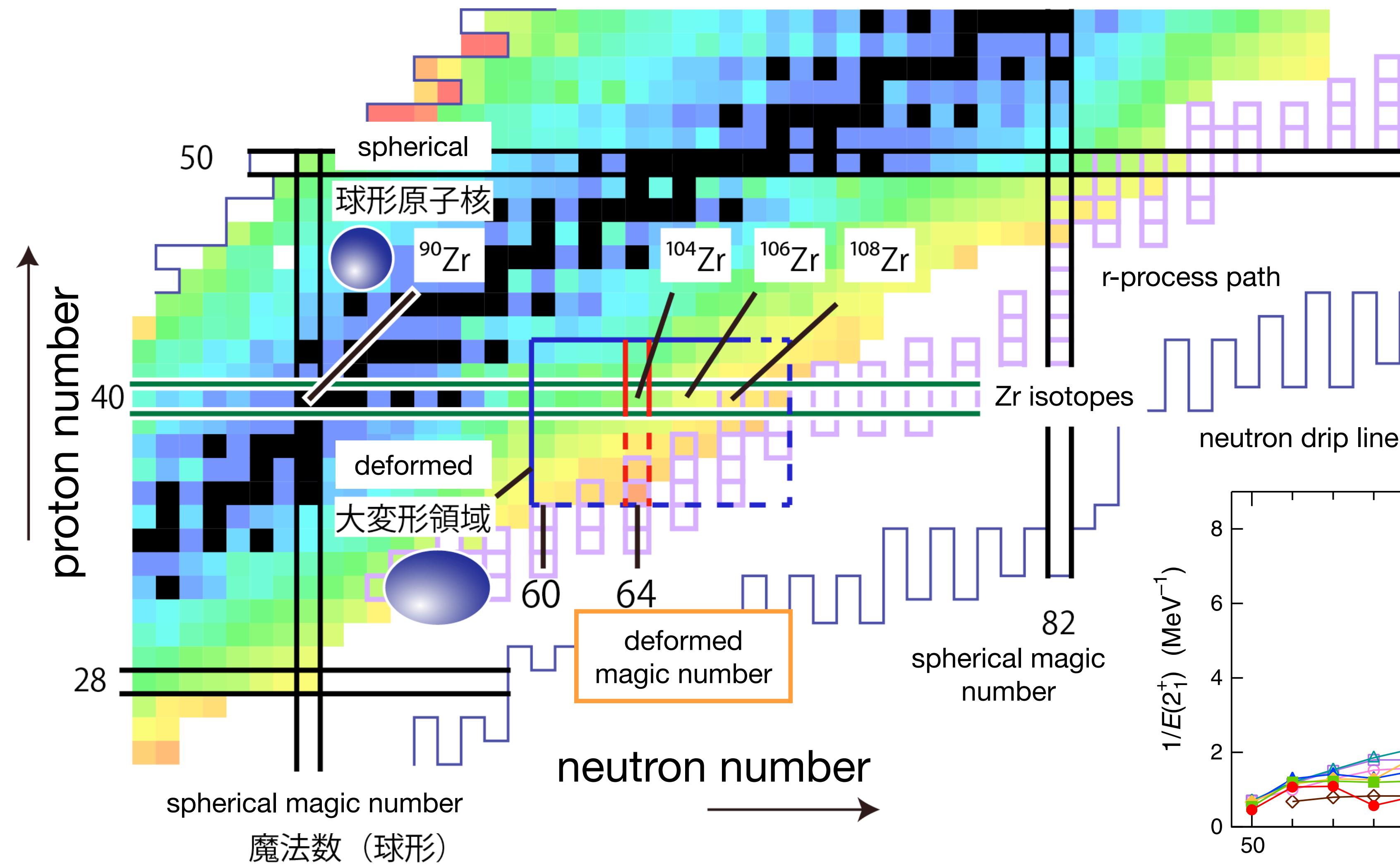
# Coupling between $\Delta L = 2$



leading to a large width of the ISGDR

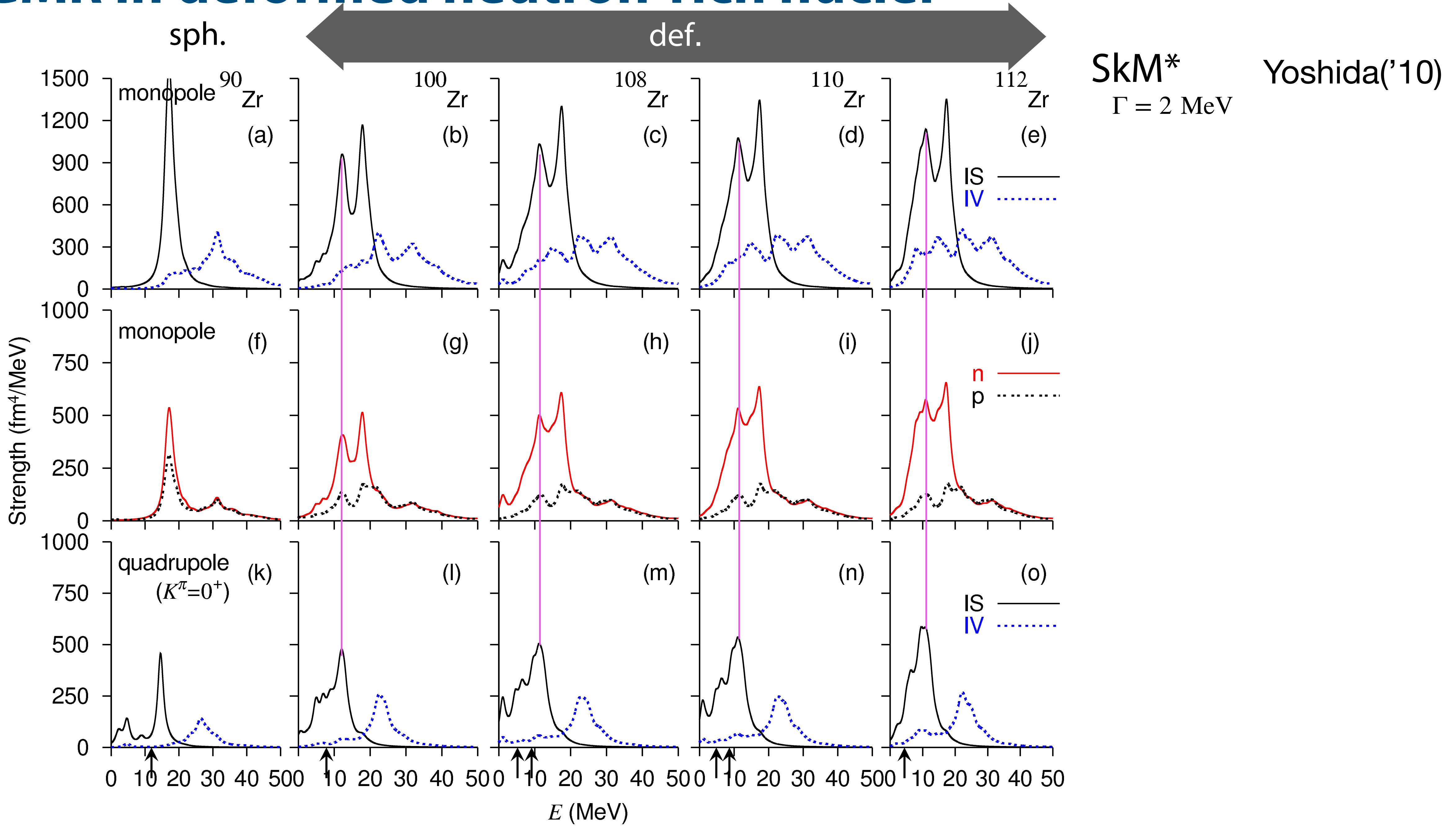


# Large deformation of neutron-rich Zr isotopes

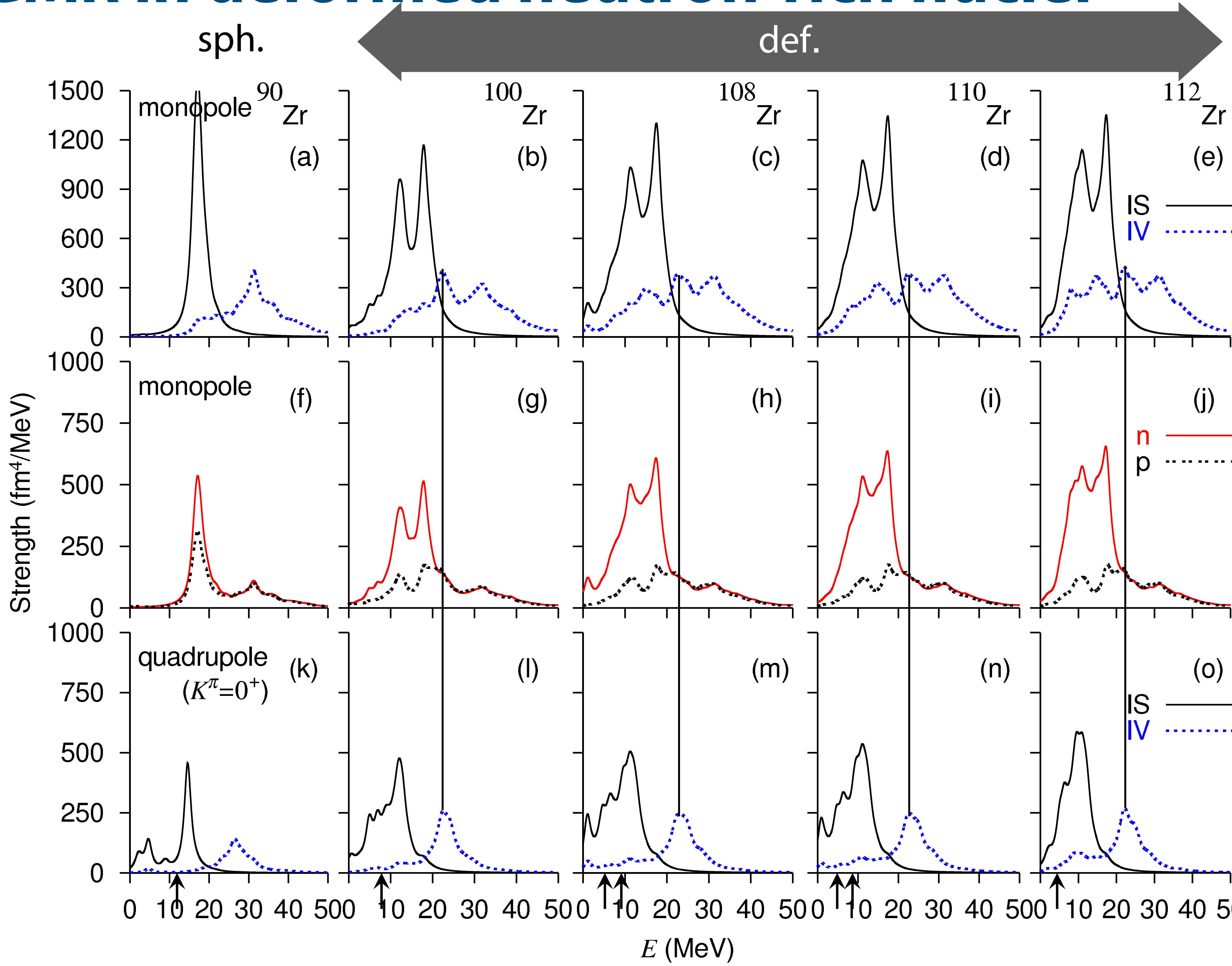


Sumikama+ ('11)  
Figure taken from RIDAI-RIKEN press release

# GMR in deformed neutron-rich nuclei



# GMR in deformed neutron-rich nuclei



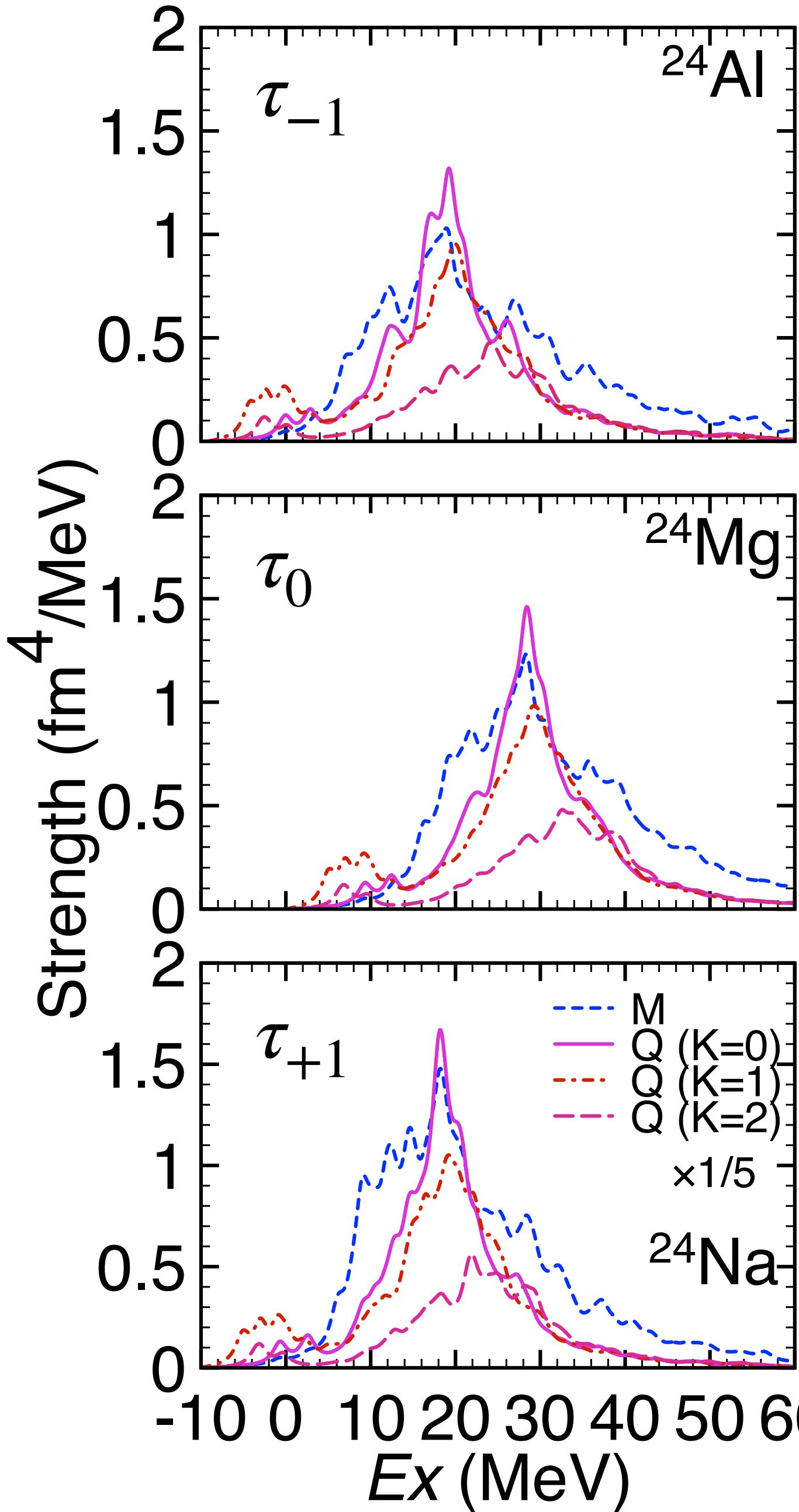
SkM\*  
 $\Gamma = 2 \text{ MeV}$

Yoshida('10)

IV strengths in low energy  
excitation of neutrons  
deformation splitting  
in IVGMR

# IV GMR and GQR in $N = Z$ deformed nuclei

Yoshida('21)



$$O = \int d\vec{r} f(\vec{r}) \psi^\dagger(\vec{r}\tau) \langle \tau \tau_\mu \tau' \rangle \psi(\vec{r}\tau') \quad \begin{array}{ll} f = r^2 & \text{monopole} \\ f = r^2 Y_{2K} & \text{quadrupole} \end{array}$$

**The shape of distributions are similar for  $\mu = 0, \pm 1$**   
degeneracy of isotriplet states

NEWSR:  $S_- - S_+ \propto N\langle r^4 \rangle_\nu - Z\langle r^4 \rangle_\pi$

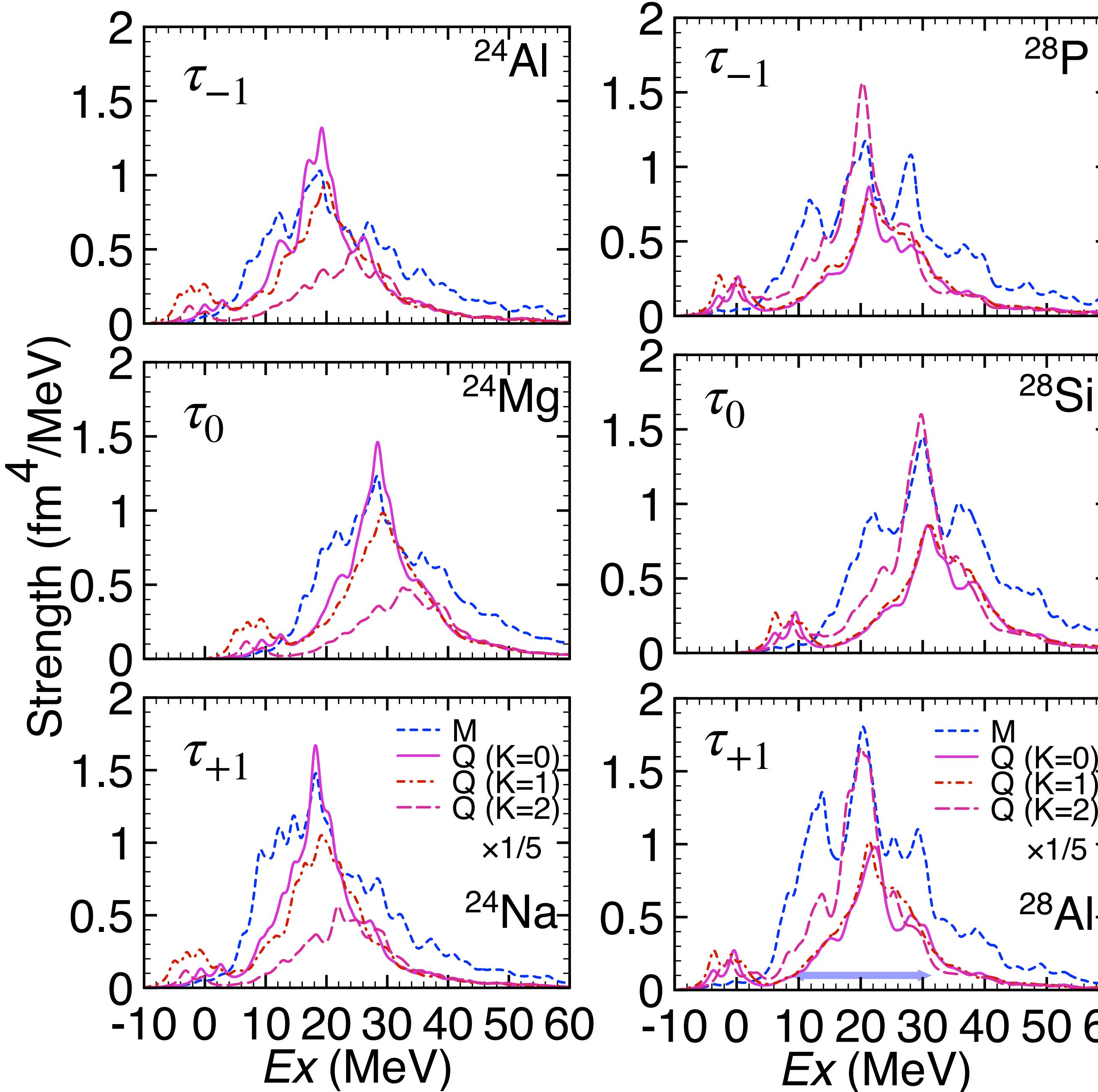
A simple RPA analysis assuming a single mode by BM

$$\frac{1}{2}(S_- + S_+) = S_0 \left[ 1 + \mathcal{O} \left( \frac{N-Z}{A} \right) \right]$$

The present self-consistent cal. agrees well with this estimation.

**The K-splitting for IVGQR is similar to that for ISGQR.**

# IV GMR and GQR in $N = Z$ deformed nuclei



$^{24}\text{Mg}$ : prolate deformation:  $\beta_2 = 0.39$

$E(K = 0) < E(K = 1) < E(K = 2)$

$^{24}\text{Si}$ : oblate deformation:  $\beta_2 = -0.22$

$E(K = 2) < E(K = 1) \simeq E(K = 0)$

universal feature:

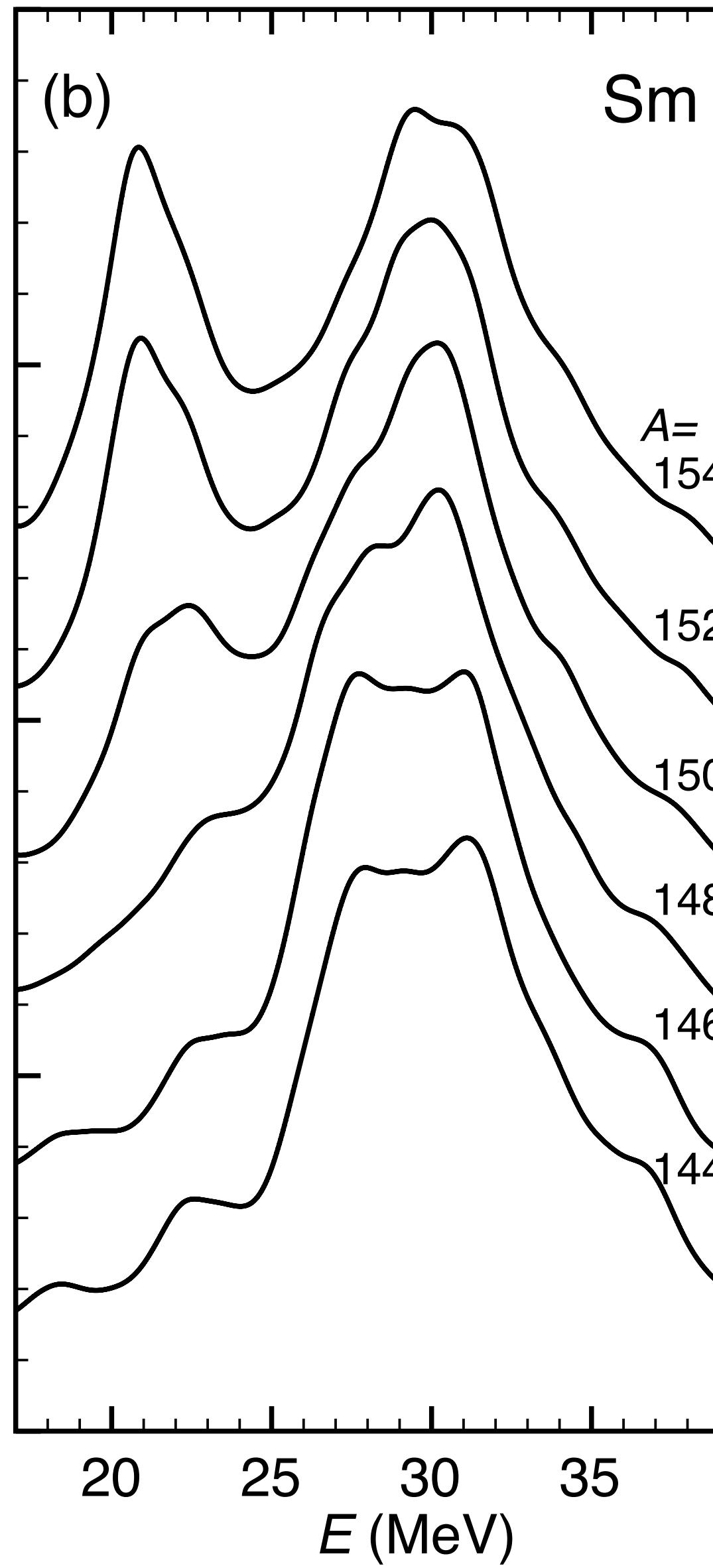
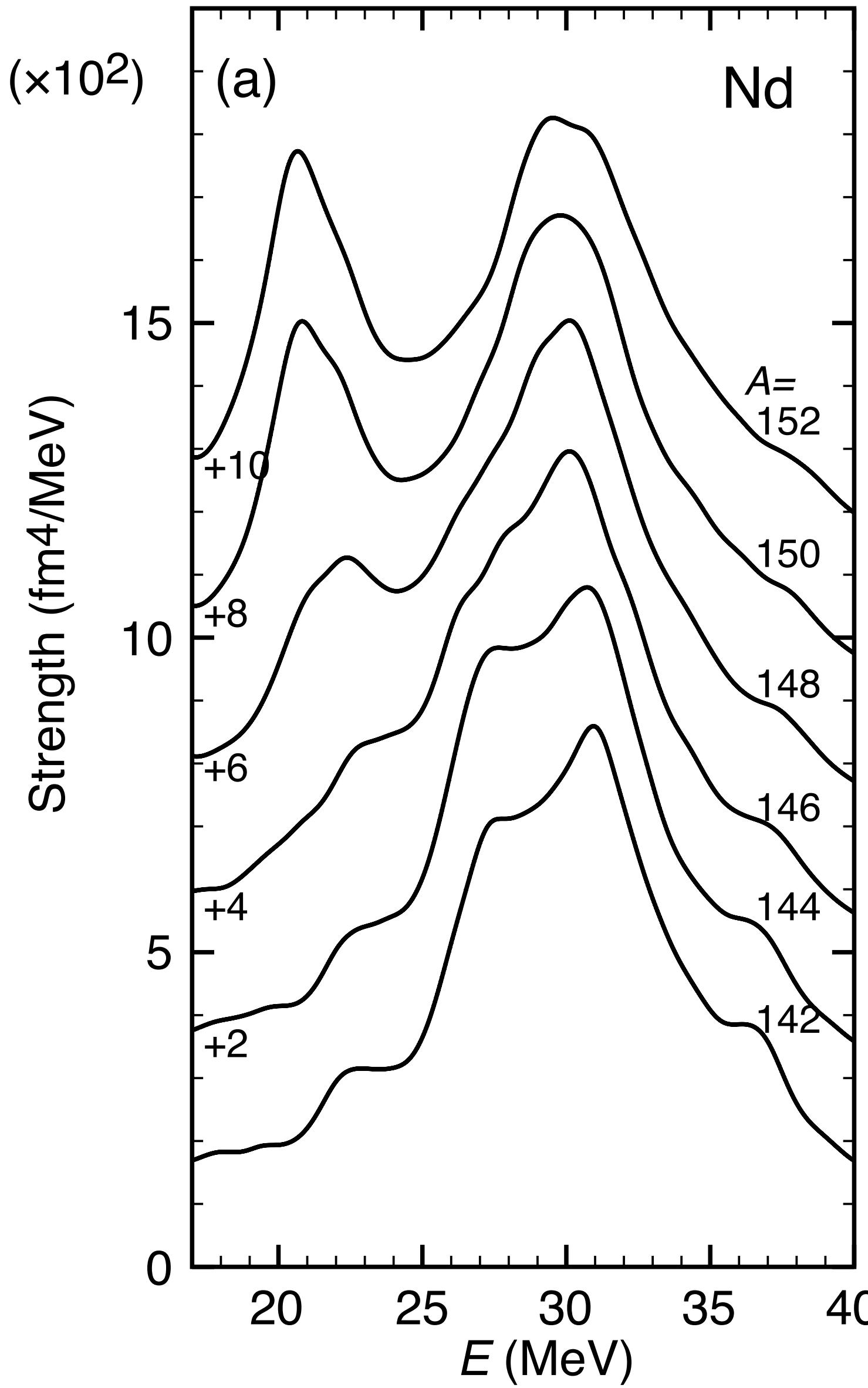
coupling of IVGMR and  $K=0$  component of IVGQR

$^{28}\text{Si}({}^{10}\text{Be}, {}^{10}\text{B}^*)$

Scott, Zegers+, PRL118 (2017)

# Isovector (IV)-GMR in deformed nuclei

Yoshida–Nakatsukasa ('13)



$$O = \int d\vec{r} r^2 \psi^\dagger(\vec{r}\tau) \langle \tau \tau_0 \tau' \rangle \psi(\vec{r}\tau')$$

emergence of  
deformation “splitting”

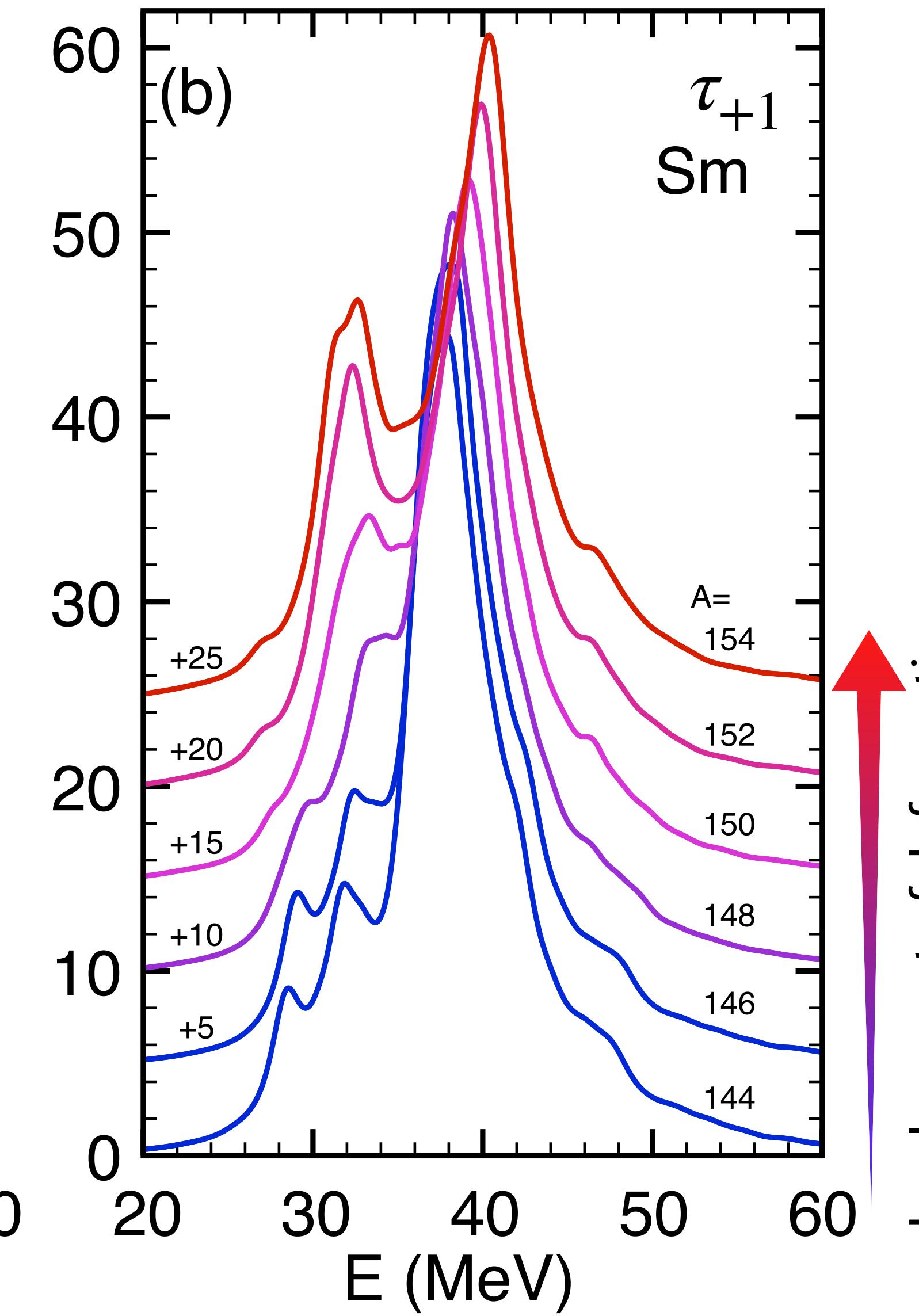
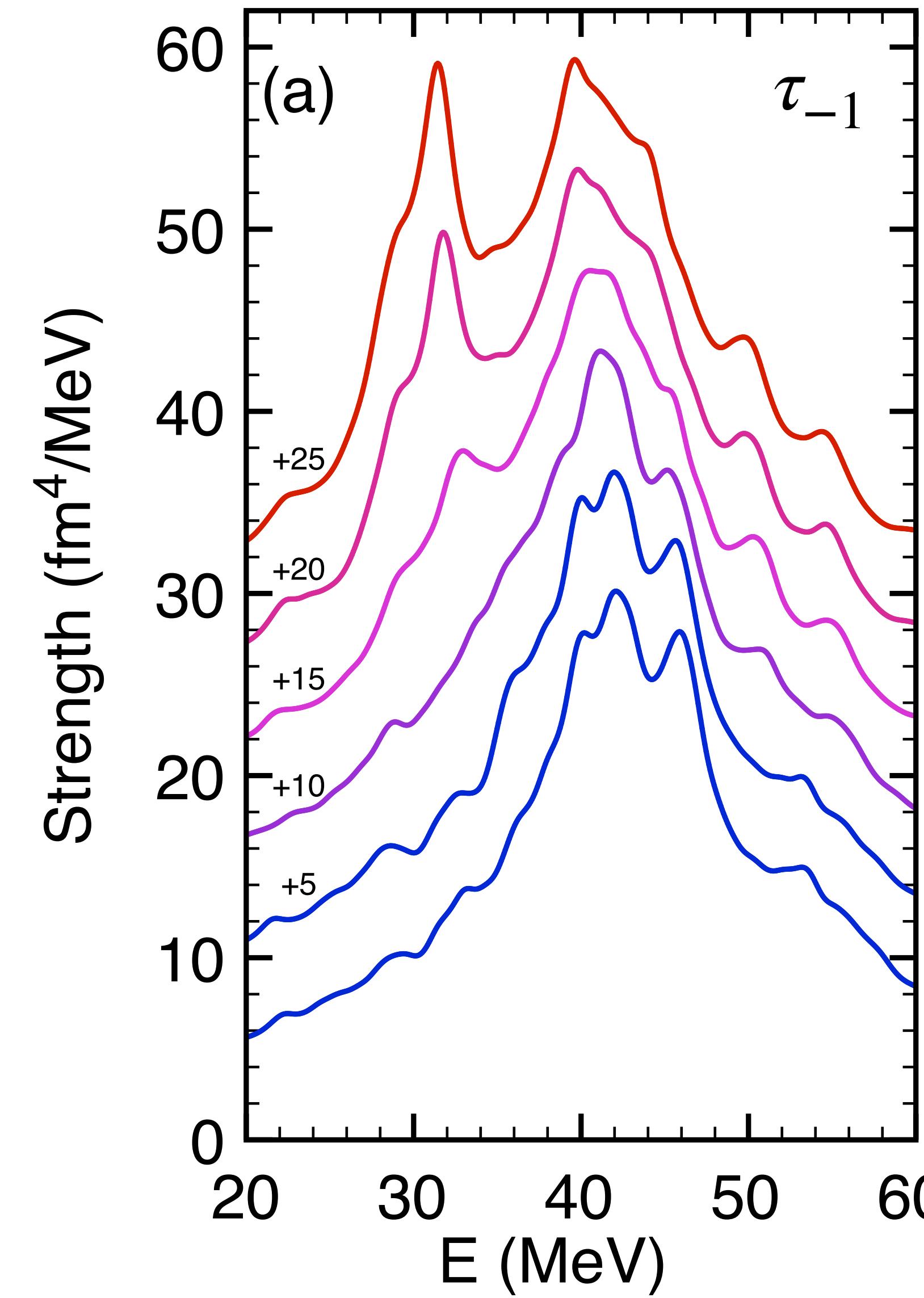
$\Delta E \sim 10 \text{ MeV} @ {}^{154}\text{Sm}$   
 $\sim 2 \times \Delta E(\text{ISGMR})$

due to the coupling to  
the  $K = 0$  of IV-GQR

development of deformation

# Isovector (IV)-GMR in deformed nuclei

Yoshida ('21)



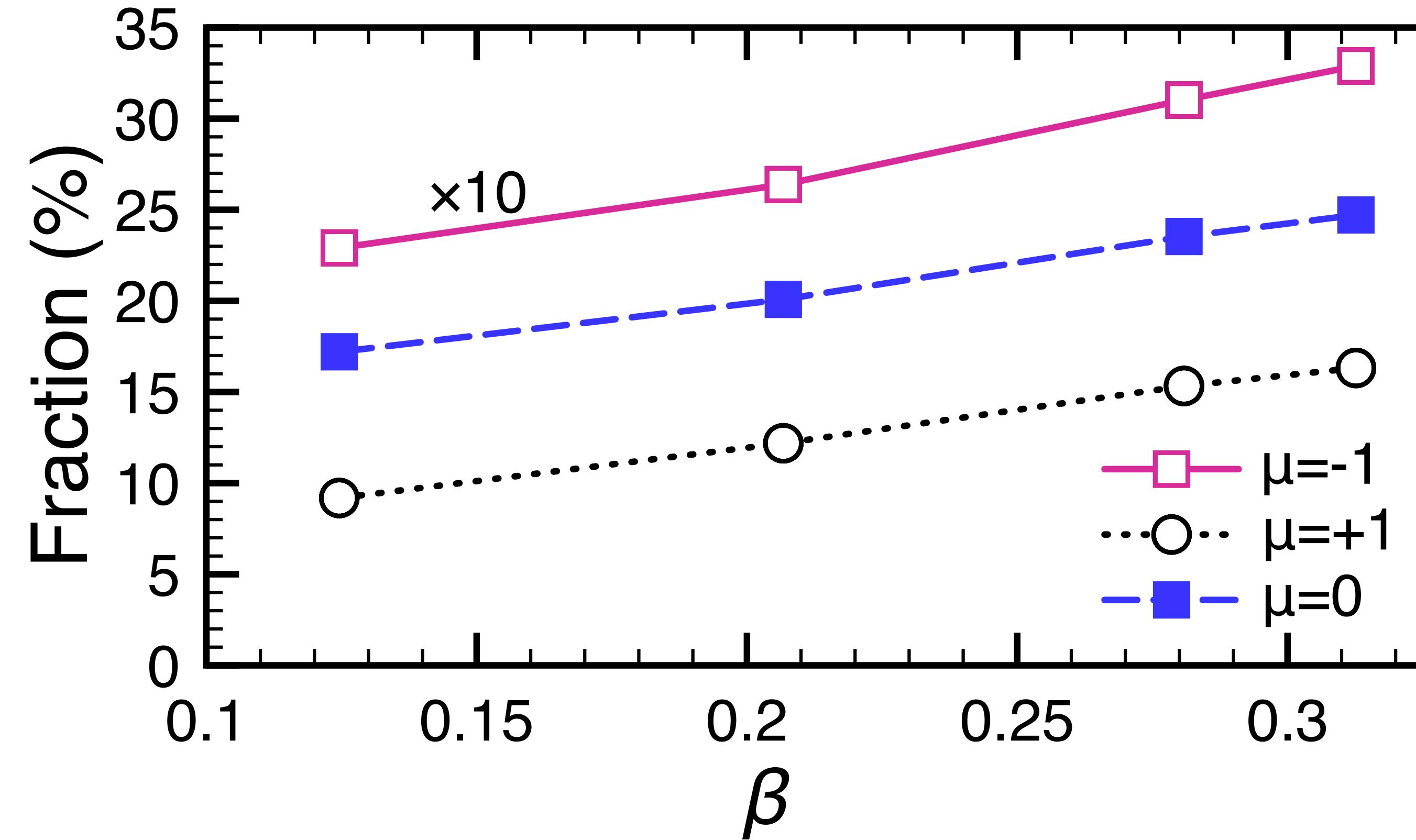
$$O = \int d\vec{r} r^2 Y_0(\hat{r}) \psi^\dagger(\vec{r}\tau) \langle \tau | \tau_{\pm 1} | \tau' \rangle \psi(\vec{r}\tau')$$

emergence of  
deformation “splitting”  
 $\Delta E \sim 10$  MeV @  $^{154}\text{Sm}$

universal in IV excitations  
 $\mu_\tau = -1, 0, +1$

# Isovector (IV)-GMR in deformed nuclei

coupling of IVGMR and K=0 component of IVGQR in the strengths



\* For  $\mu = -1$ , most of the strengths concentrate on the IAS.

# IV dipole excitation: $K$ and $\Delta T_z$ splittings

Isospin symmetry

degeneracy for  $\mu$  for  $N=Z$  nuclei  
w/o the Coulomb int.

broken by the Coulomb int.

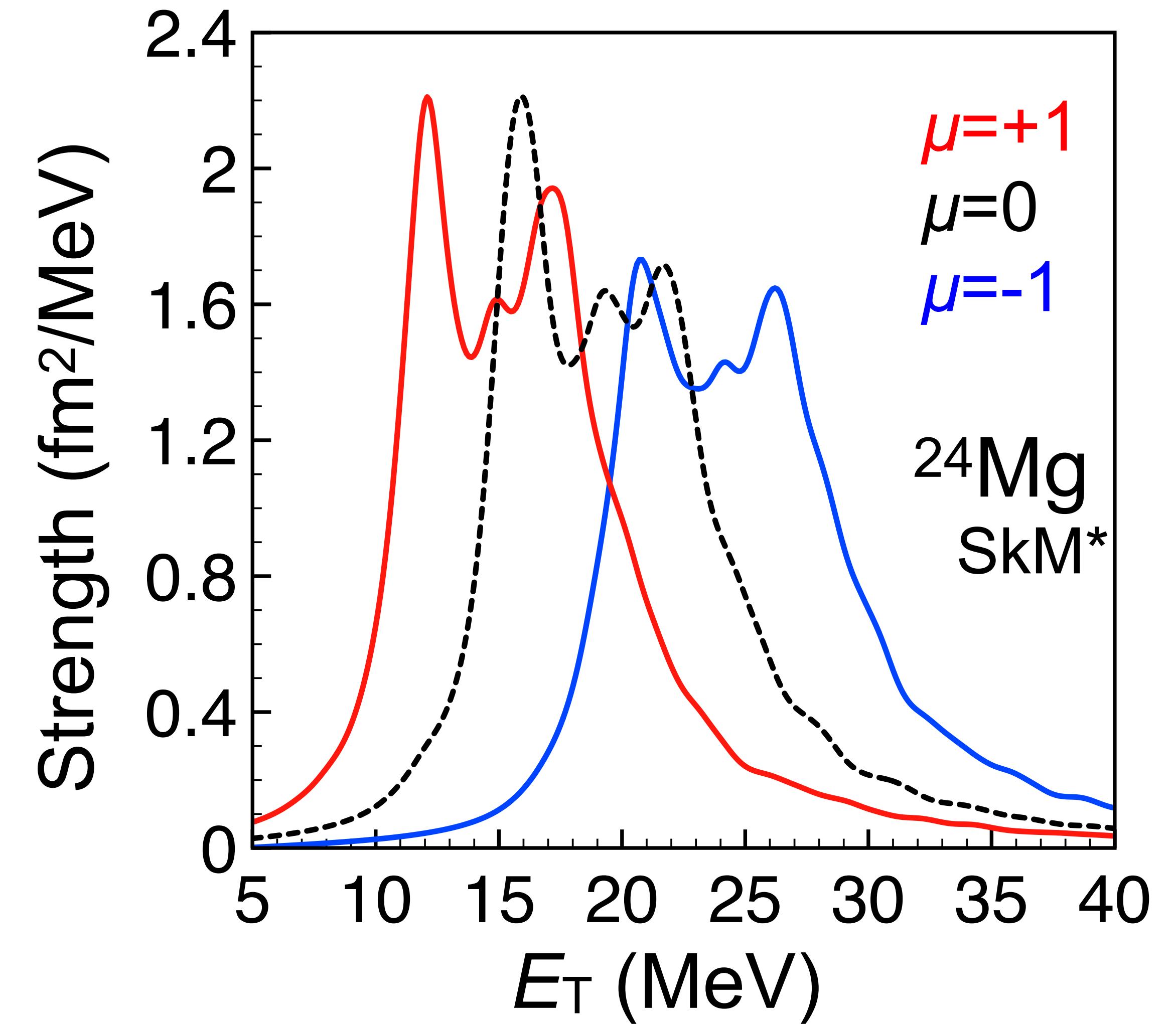
$$\langle r^2 \rangle_\nu = 9.0 \text{ fm}^2$$

$$\langle r^2 \rangle_\pi = 9.2 \text{ fm}^2$$

$$S_- - S_+ \propto N\langle r^2 \rangle_\nu - Z\langle r^2 \rangle_\pi$$

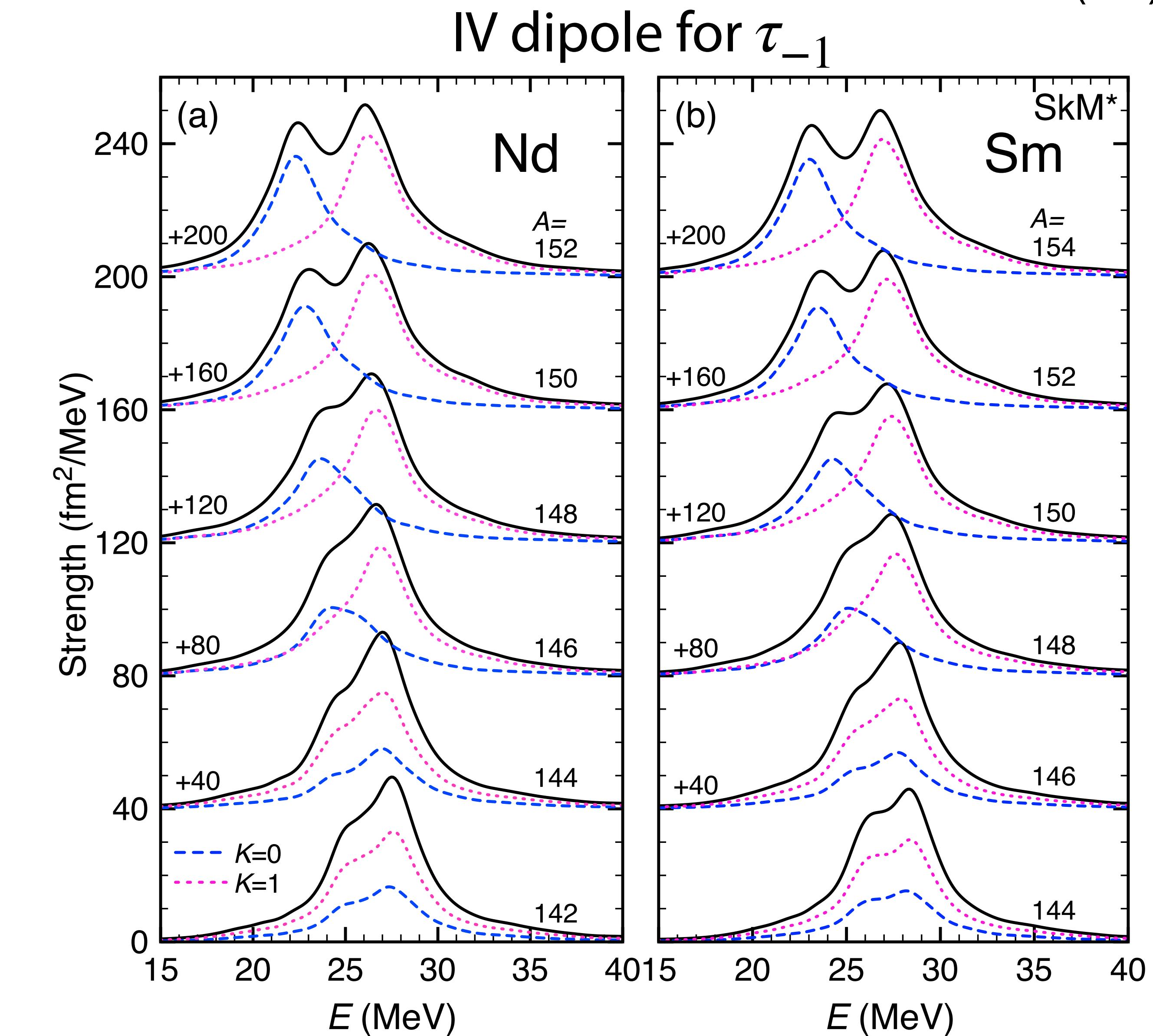
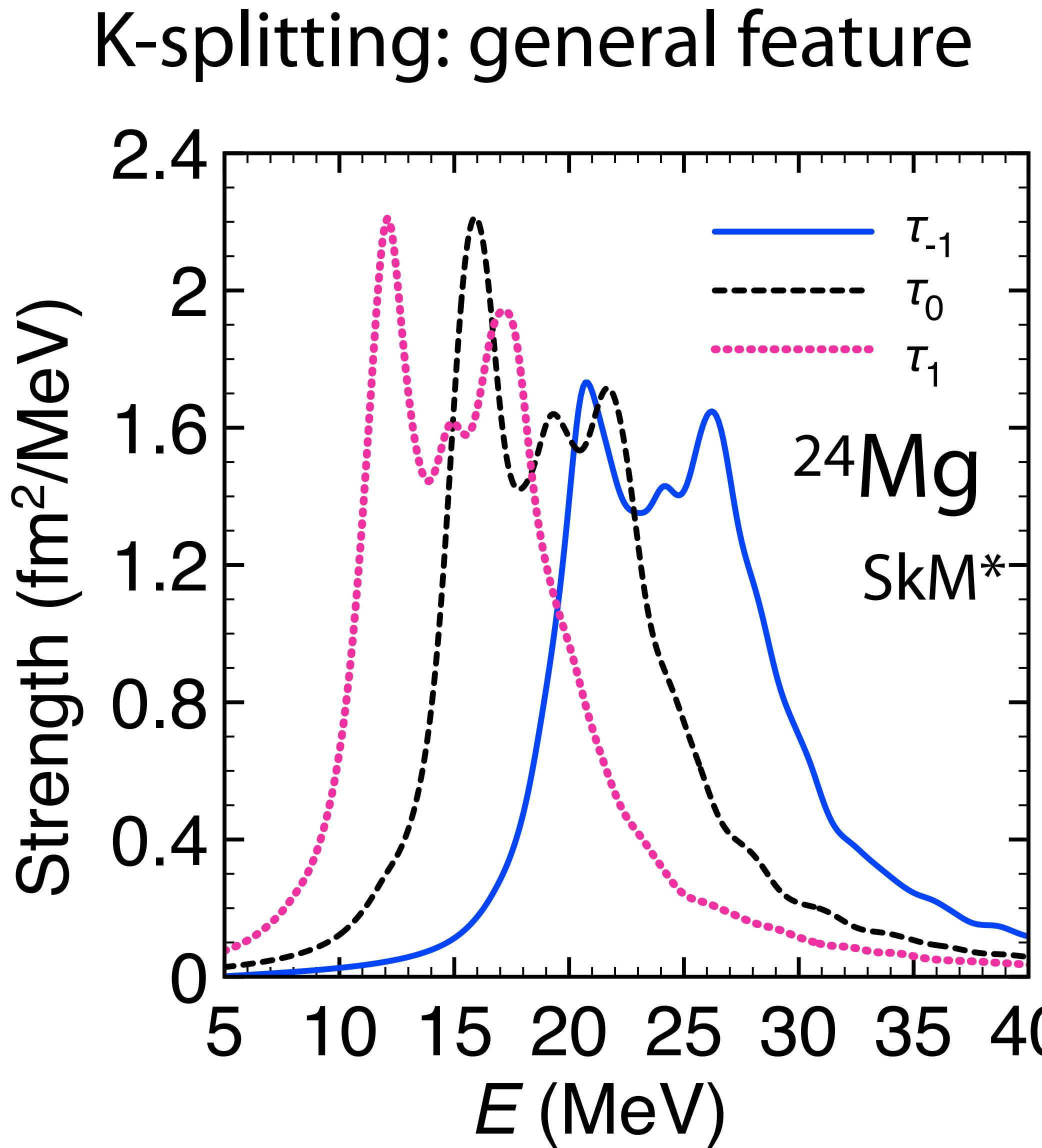
Shape deformation effect  
 $K$ -splitting

Yoshida('20)



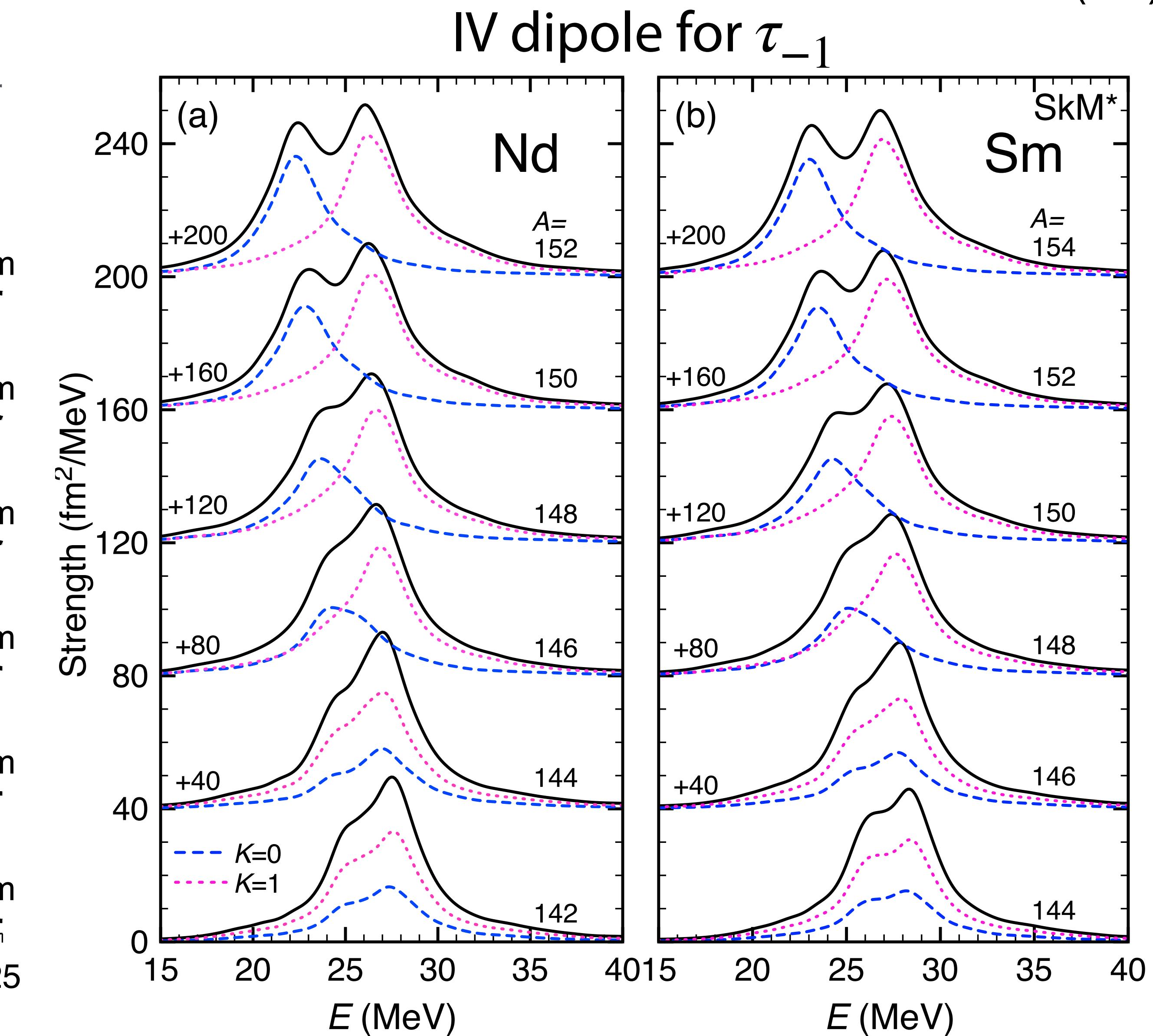
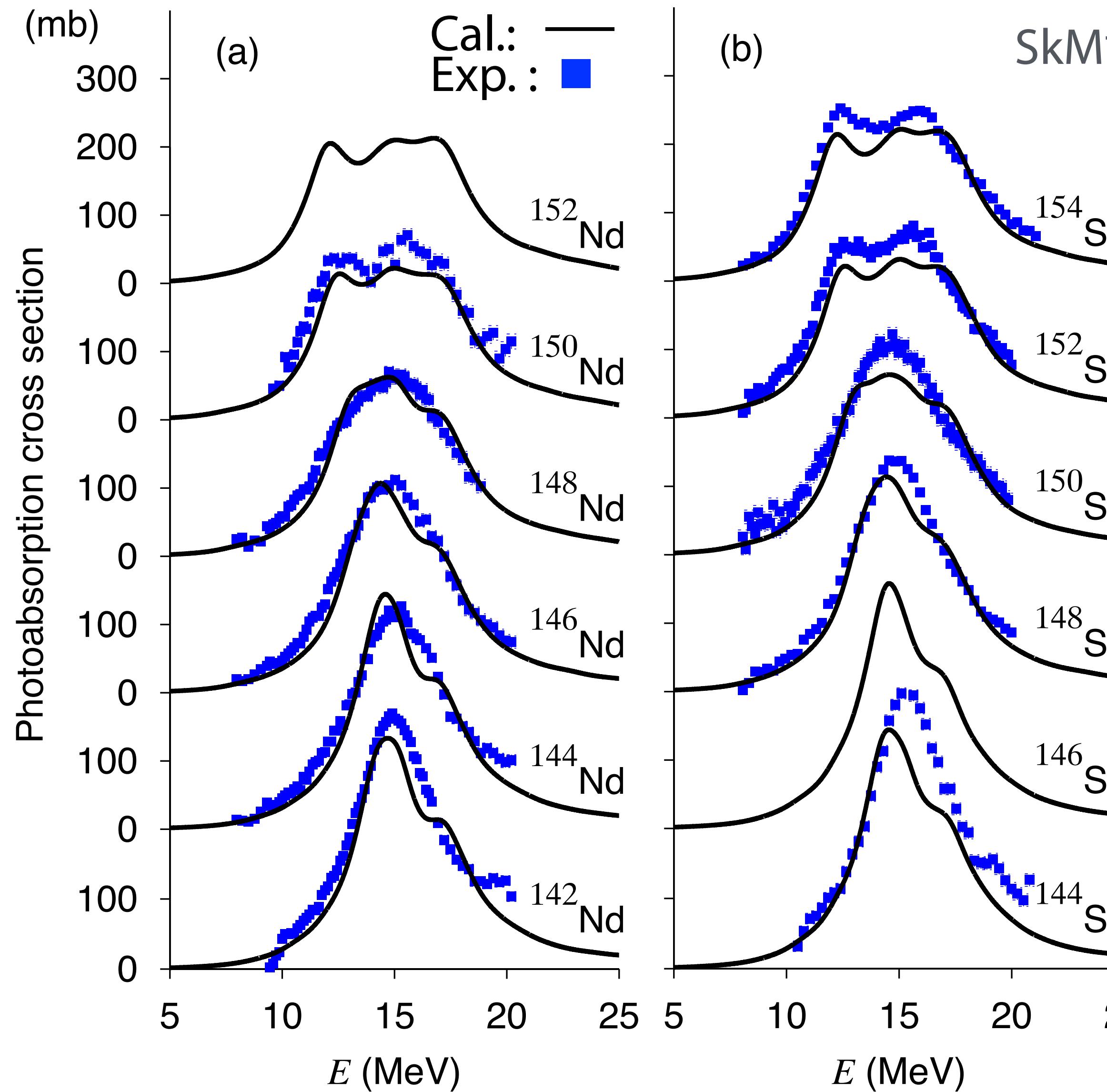
# Deformation effects in IV excitations for $\tau_{\pm 1}$

Yoshida('20)



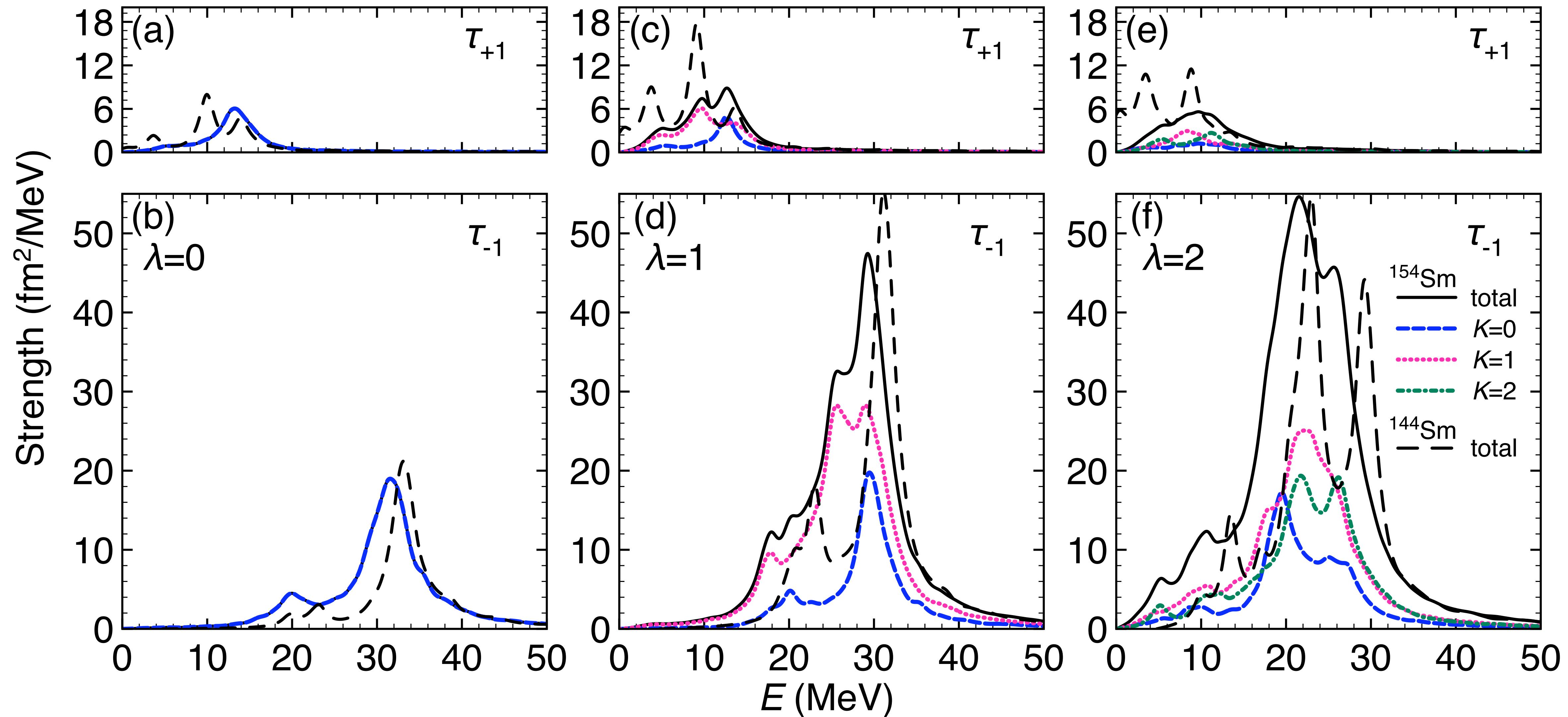
# Deformation effects in IV excitations for $\tau_{\pm 1}$

Yoshida('20)



# Deformation effects in spin-dipole excitations

$$r[Y_1 \otimes \sigma]^\lambda \tau_{\pm 1}$$



# Deformation effects in spin-dipole excitations

Sum rule:

$$\int dE [S_{\lambda K}^-(E) - S_{\lambda K}^+(E)]$$

$$= \frac{1}{2\pi} [N\langle r^2 \rangle_N - Z\langle r^2 \rangle_Z]$$

for  $\lambda = 1$

$$= \begin{cases} 2\frac{3}{8\pi} [N\langle r_\perp^2 \rangle_N - Z\langle r_\perp^2 \rangle_Z] & K = 0 \\ 4\frac{3}{16\pi} [N\langle r_\perp^2 + 2z^2 \rangle_N - Z\langle r_\perp^2 + 2z^2 \rangle_Z] & K = 1 \end{cases}$$

for  $\lambda = 2$

$$= \begin{cases} 2\frac{1}{8\pi} [N\langle r_\perp^2 + 4z^2 \rangle_N - Z\langle r_\perp^2 + 4z^2 \rangle_Z] & K = 0 \\ 4\frac{3}{16\pi} [N\langle r_\perp^2 + 2z^2 \rangle_N - Z\langle r_\perp^2 + 2z^2 \rangle_Z] & K = 1 \\ 4\frac{3}{8\pi} [N\langle r_\perp^2 \rangle_N - Z\langle r_\perp^2 \rangle_Z] & K = 2 \end{cases}$$

to first order in deformation

$$-\frac{1}{6\pi} [N\langle r^2 \rangle_N - Z\langle r^2 \rangle_Z] \delta \quad \nearrow$$

$$+\frac{1}{6\pi} [N\langle r^2 \rangle_N - Z\langle r^2 \rangle_Z] \delta \quad \nearrow$$

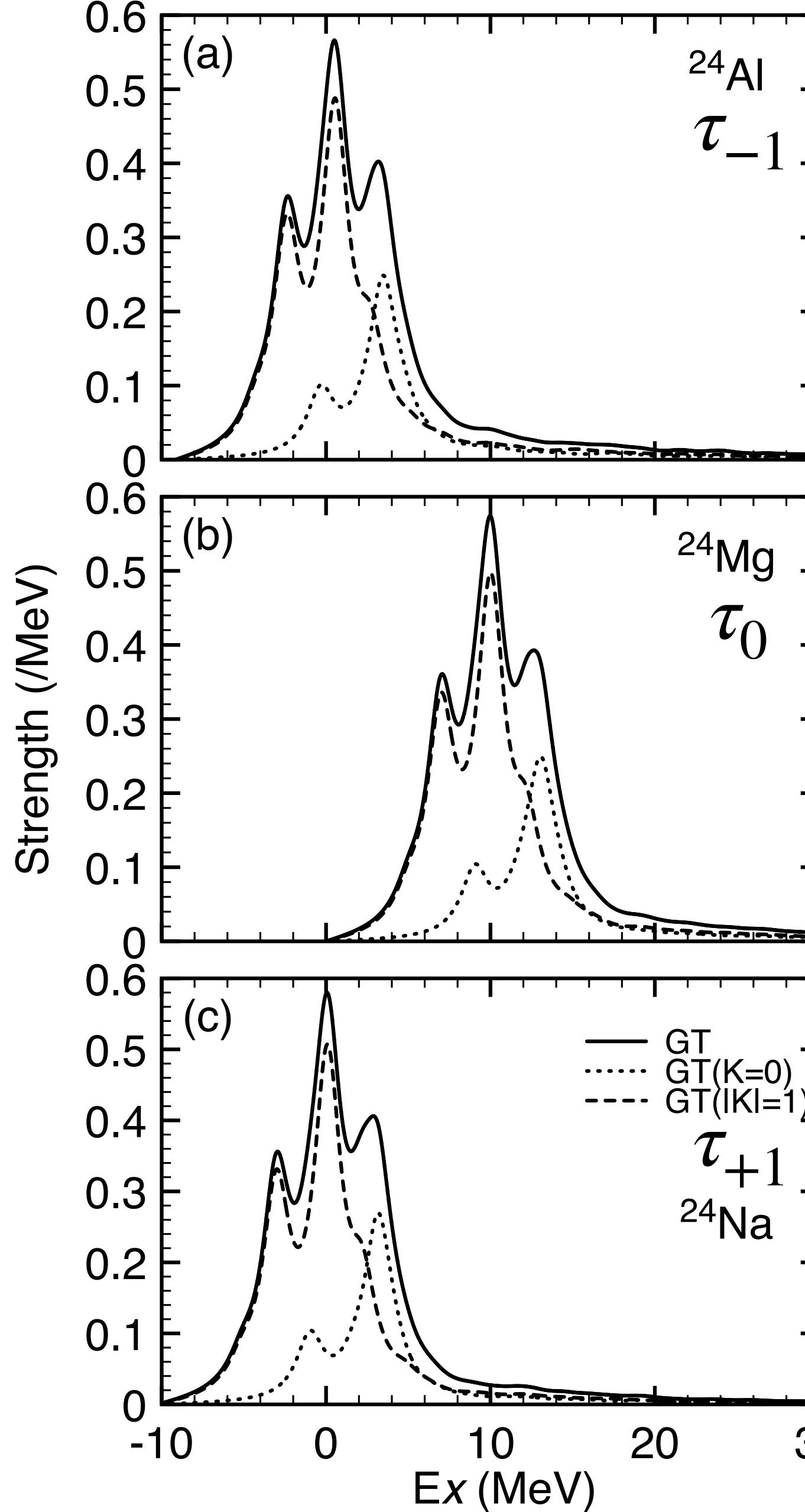
$$+\frac{1}{6\pi} [N\langle r^2 \rangle_N - Z\langle r^2 \rangle_Z] \delta \quad \nearrow$$

$$+\frac{1}{6\pi} [N\langle r^2 \rangle_N - Z\langle r^2 \rangle_Z] \delta \quad \nearrow$$

$$-\frac{2}{6\pi} [N\langle r^2 \rangle_N - Z\langle r^2 \rangle_Z] \delta \quad \searrow$$

# Gamow–Teller and spin M1: $K$ and $\Delta T_z$ splittings

Yoshida('21)



$$O = \int d\vec{r} \psi^\dagger(\vec{r}\tau) \langle \tau | \tau_\mu | \tau' \rangle \psi(\vec{r}\tau')$$

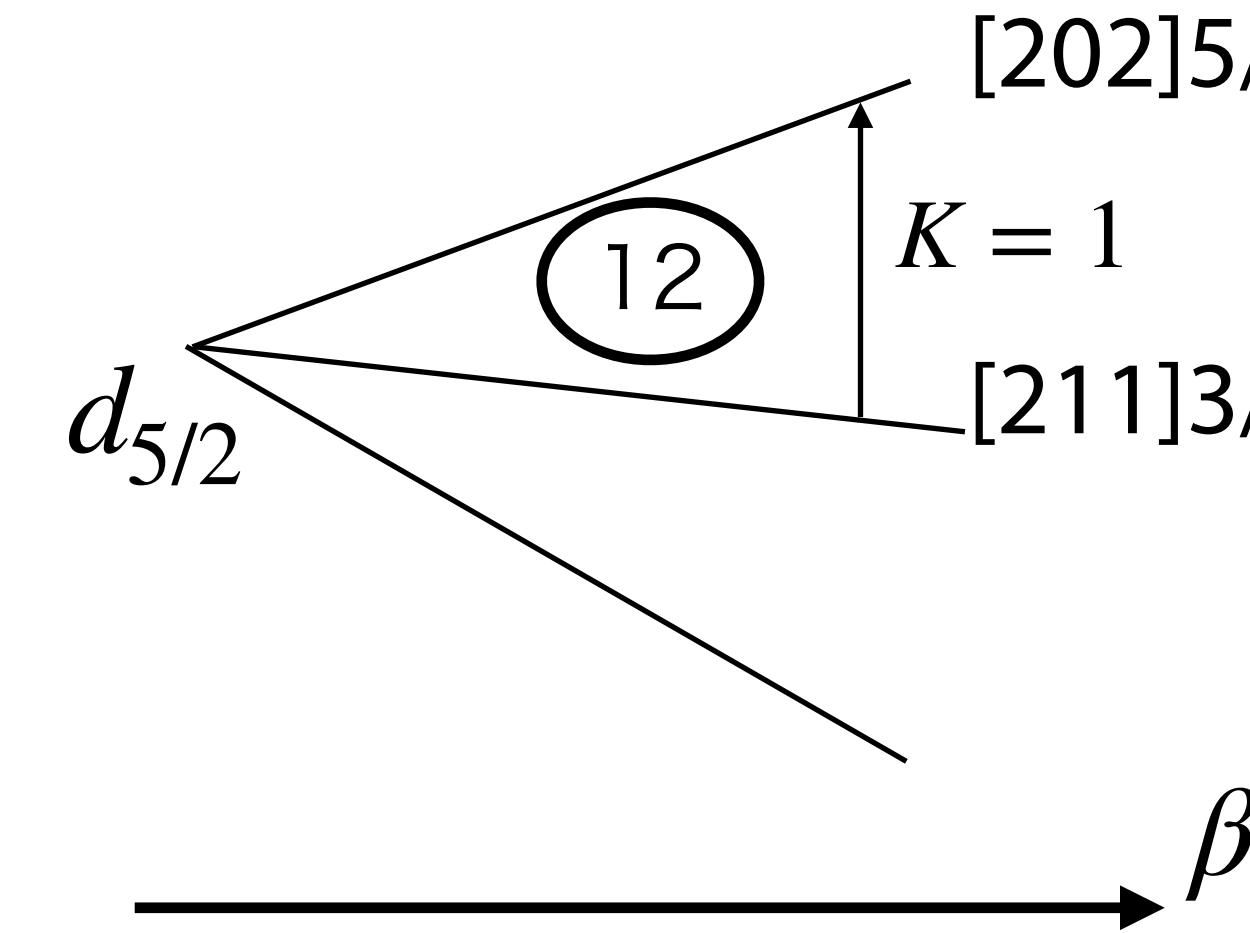
**The shape of distributions are similar for  $\mu = 0, \pm 1$**

insensitive to the spatial structure of the single-particle wfs

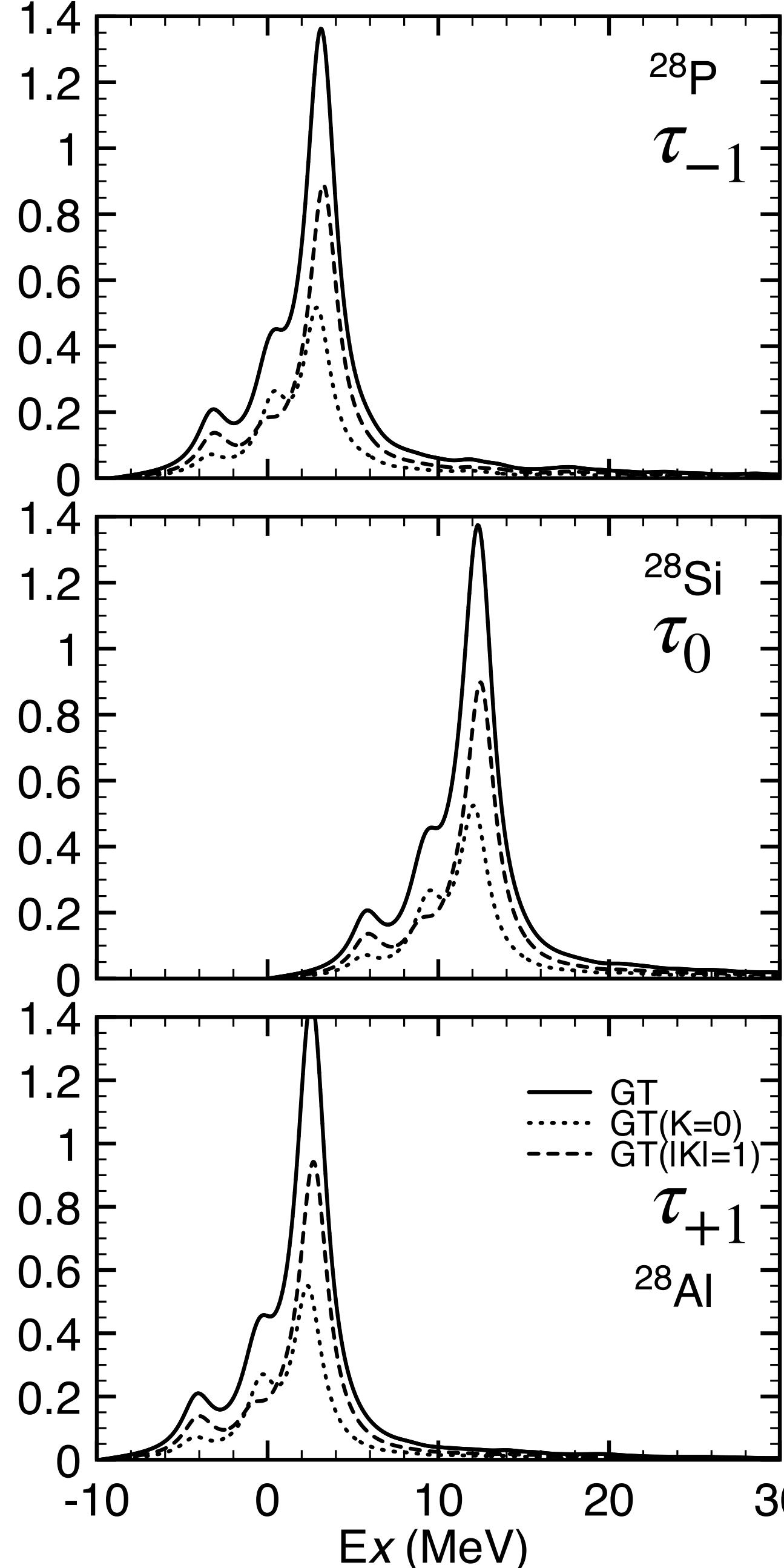
**The  $K$  splitting due to deformation**

is not the collective effect but the shell effect.

$K=1$  appears lower in energy:

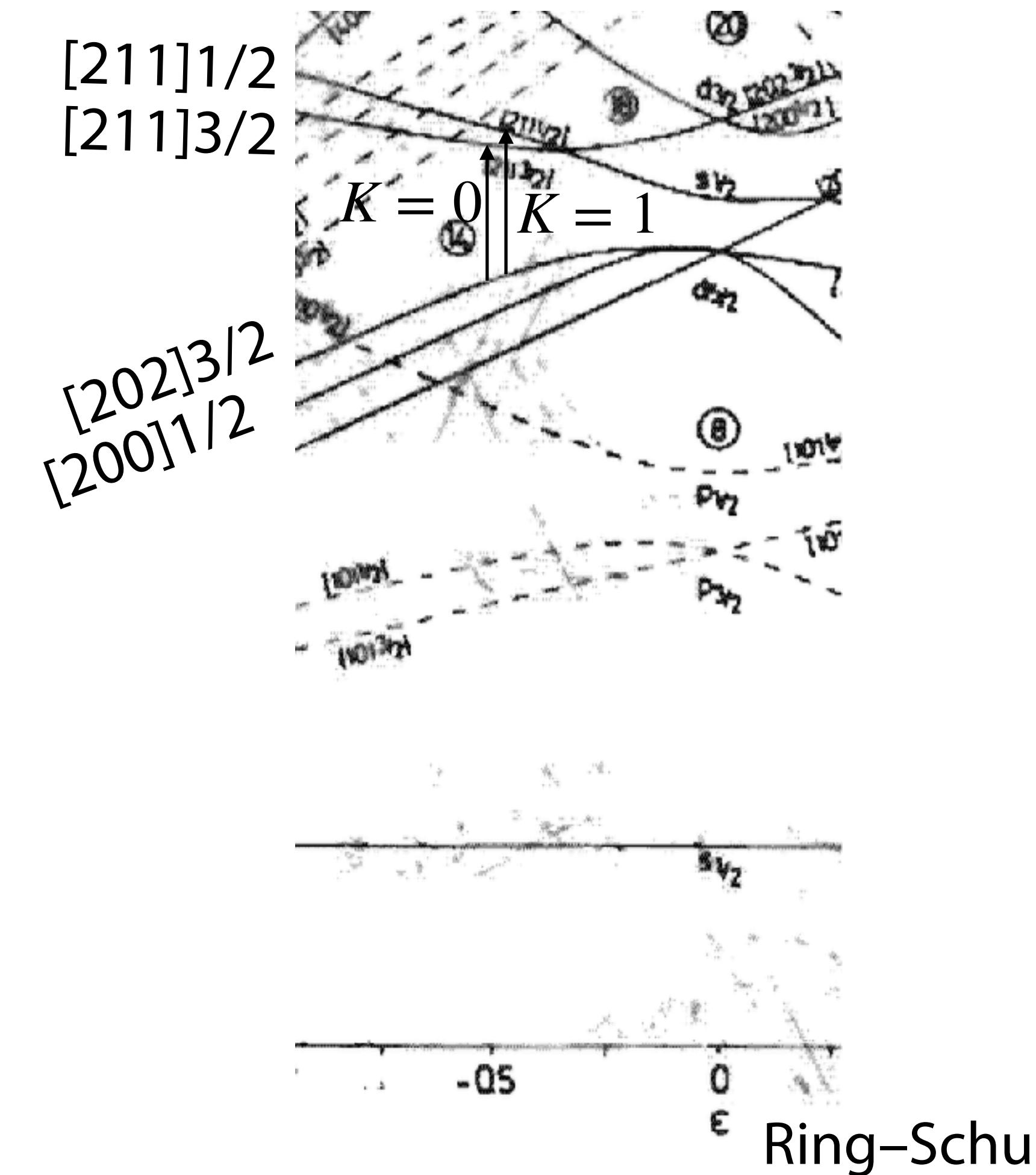


# Gamow–Teller and spin M1: $K$ and $\Delta T_z$ splittings

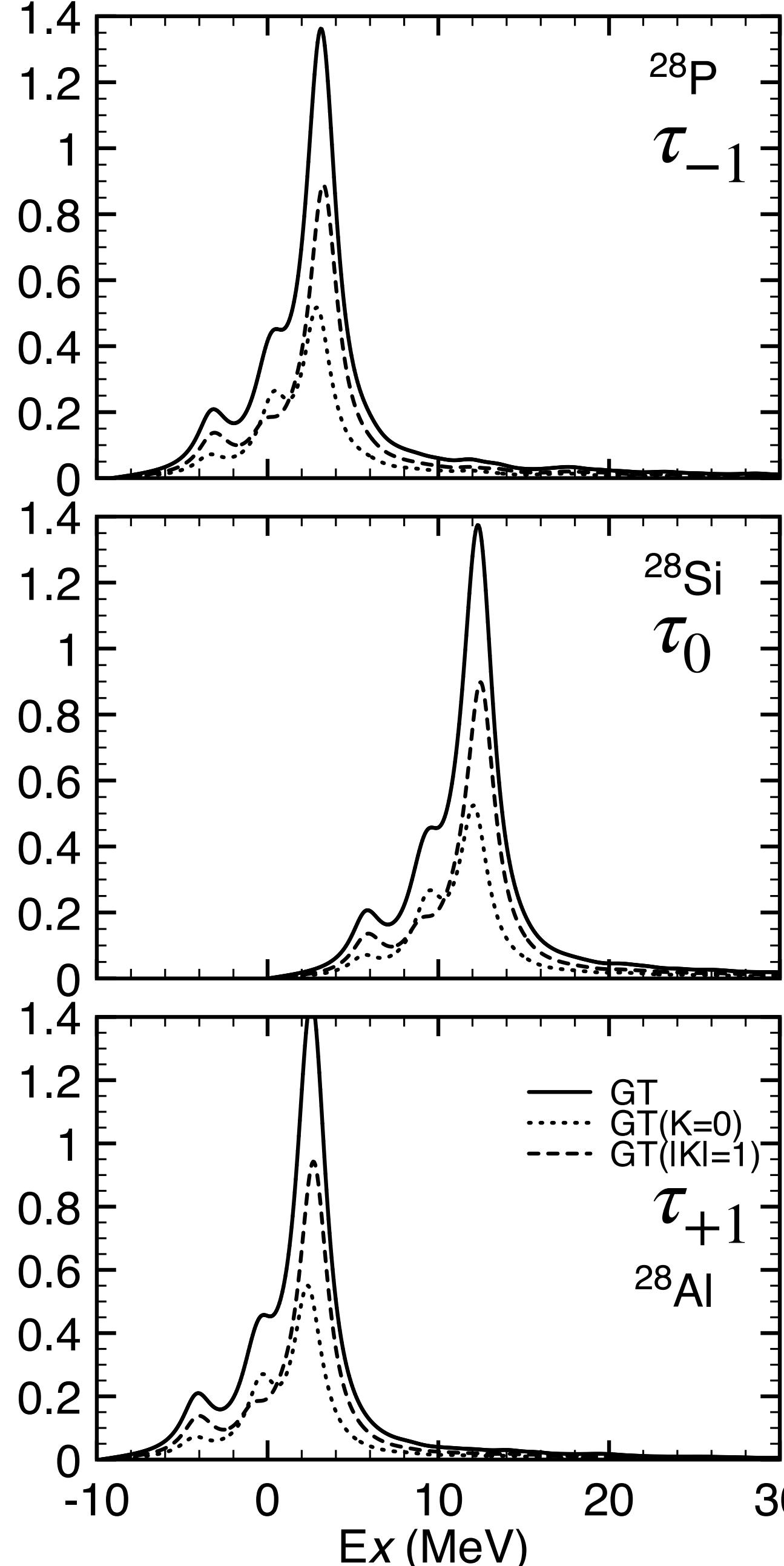


The shape of distributions are similar for  $\mu = 0, \pm 1$

The  $K$  splitting is weak

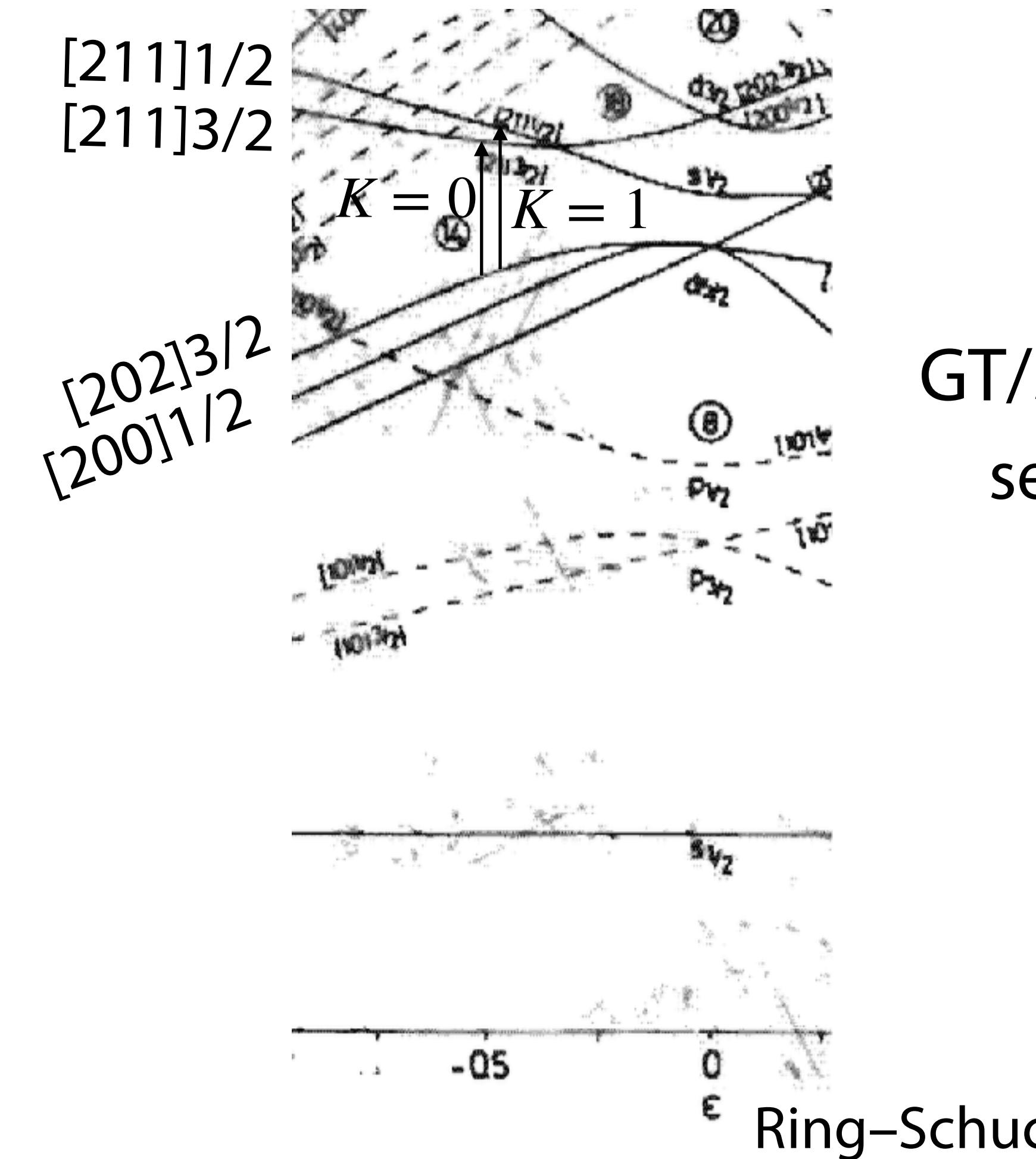


# Gamow–Teller and spin M1: $K$ and $\Delta T_z$ splittings



The shape of distributions are similar for  $\mu = 0, \pm 1$

The  $K$  splitting is weak



GT/spin-M1:  $0\hbar\omega$  excitation  
sensitive to details of the shell structure

# Evolution of collectivity of GT/spin-M1 excitations

Sum-rule-based approach for the systematic investigation

Isovector magnetic susceptibility

Yoshida ('21)

$$\chi_z = 2\mu^2 \sum_i \frac{\langle i | S_z T_z | 0 \rangle^2}{\omega_i}$$

$$S_z = \mu \int d\vec{r} \sum_{ss'} \psi^\dagger(\vec{r}s) \psi(\vec{r}s') \langle s | \frac{\sigma_z}{2} | s' \rangle \quad \text{IV spin M1}$$

$$\chi_\perp = \mu^2 \left[ \sum_i \frac{\langle i | S_z T_- | 0 \rangle^2}{\omega_i} + \sum_i \frac{\langle i | S_z T_+ | 0 \rangle^2}{\omega_i} \right]$$

Gamow-Teller

$$S_z T_\pm = \mu \int d\vec{r} \sum_{ss'} \sum_{tt'} \psi^\dagger(\vec{r}st) \psi(\vec{r}s't') \langle s | \frac{\sigma_z}{2} | s' \rangle \langle t | \tau_\pm | t' \rangle$$

# Response function and static susceptibility

$$R_F(\omega) = \sum_n \left[ \frac{\langle n | F^\dagger | 0 \rangle^2}{\omega - (E_n - E_0) + i\epsilon} - \frac{\langle n | F | 0 \rangle^2}{\omega + (E_n - E_0) + i\epsilon} \right]$$

IV spin M1       $F = F^\dagger = S_z = \int d\vec{r} \sum_{ss'} \psi^\dagger(\vec{r}s) \psi(\vec{r}s') \langle s | \frac{\sigma_z}{2} | s' \rangle$

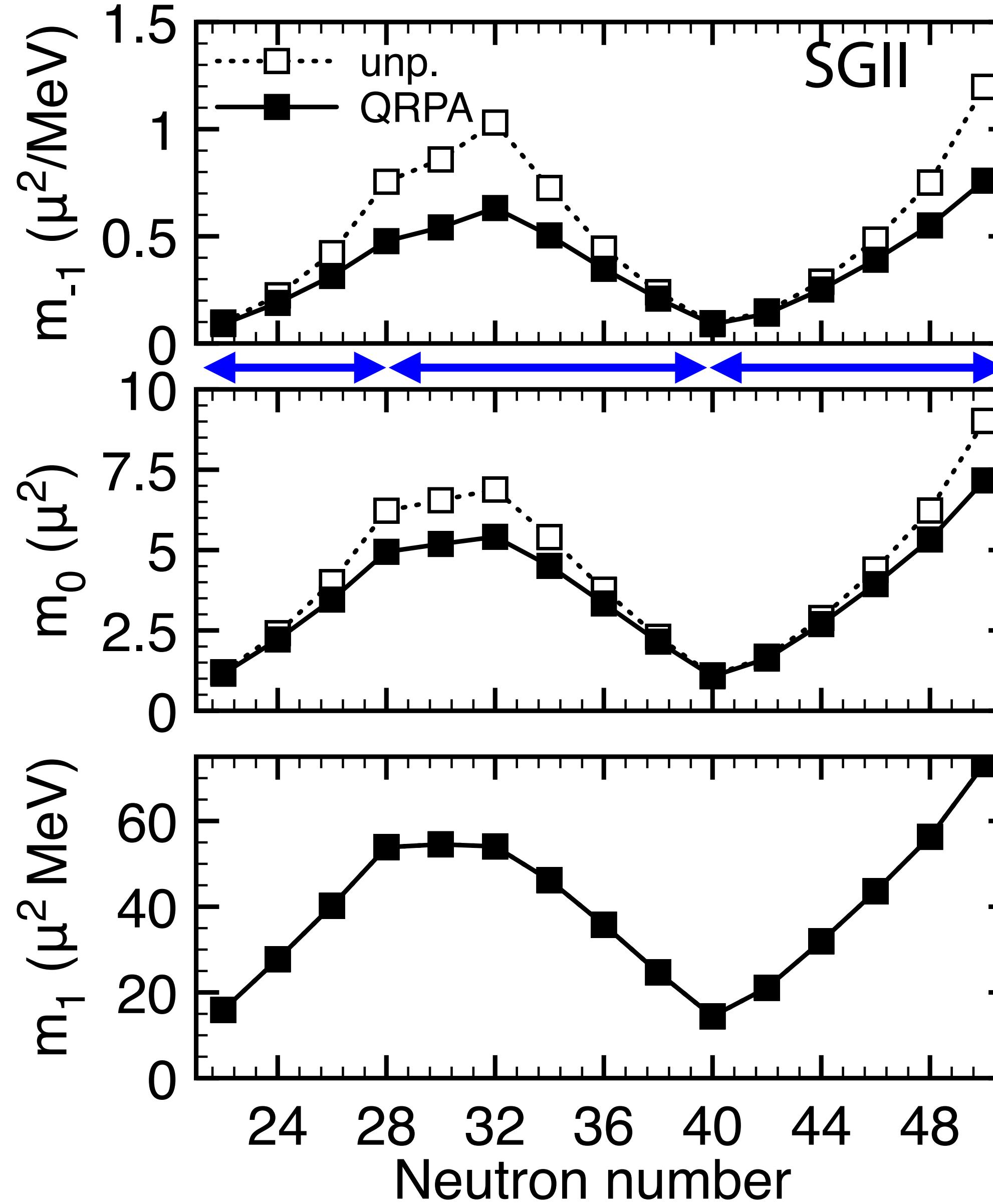
$$\chi_z = -R_{S_z}(0) = 2\mu^2 \sum_i \frac{\langle i | S_z T_z | 0 \rangle^2}{\omega_i}$$

Gamow–Teller       $F = S_z T_- = \mu \int d\vec{r} \sum_{ss'} \sum_{tt'} \psi^\dagger(\vec{r}st) \psi(\vec{r}s't') \langle s | \frac{\sigma_z}{2} | s' \rangle \langle t | \tau_{-1} | t' \rangle$

$$F^\dagger = S_z T_+ = \mu \int d\vec{r} \sum_{ss'} \sum_{tt'} \psi^\dagger(\vec{r}st) \psi(\vec{r}s't') \langle s | \frac{\sigma_z}{2} | s' \rangle \langle t | \tau_{+1} | t' \rangle$$

$$\chi_\perp = -R_{S_z T_-}(0) = \mu^2 \left[ \sum_i \frac{\langle i | S_z T_- | 0 \rangle^2}{\omega_i} + \sum_i \frac{\langle i | S_z T_+ | 0 \rangle^2}{\omega_i} \right]$$

# Systematics: isovector spin-M1 in Ca isotopes



moments:

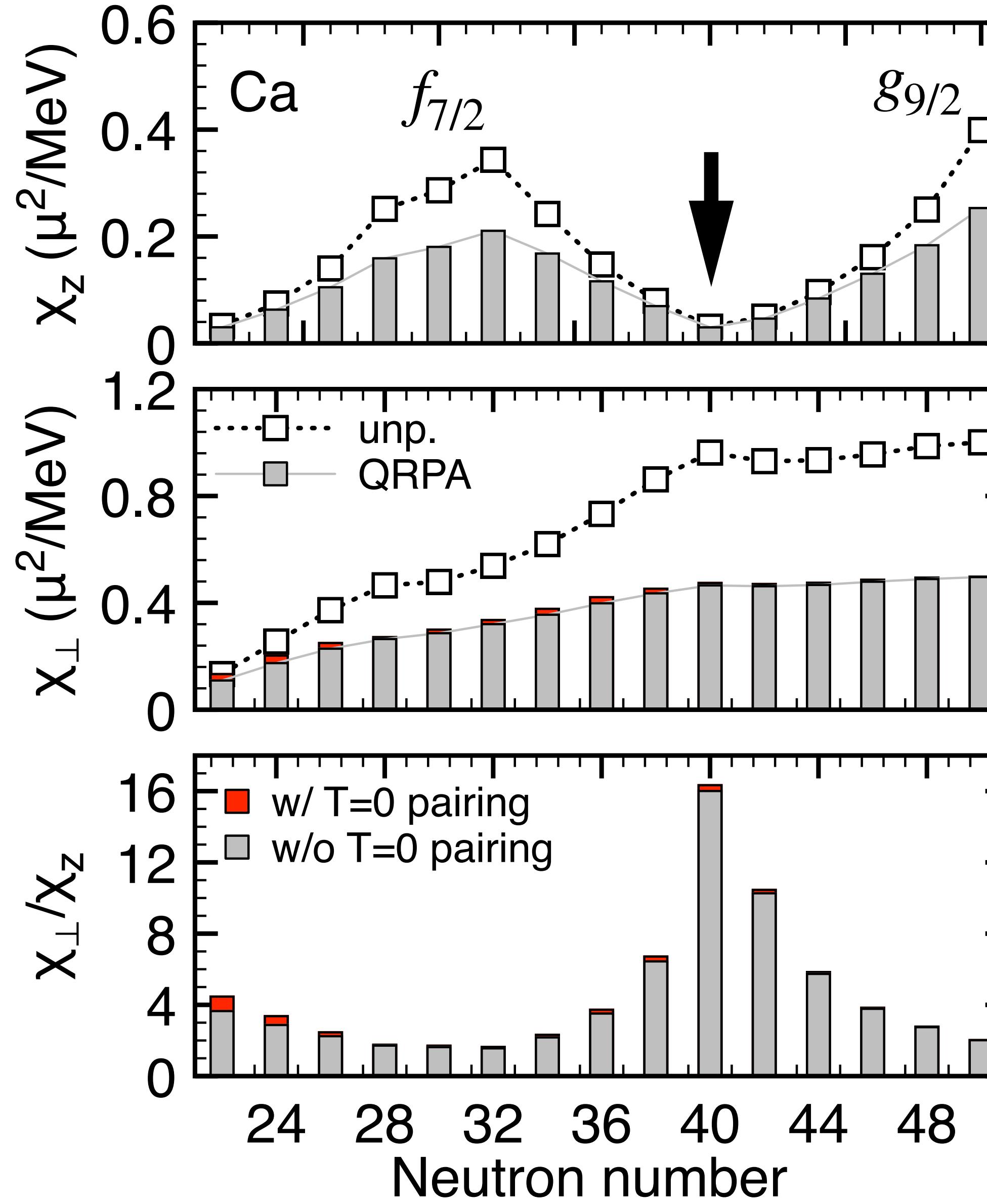
isotopic evolution of collectivity of spin-M1

shell effect

effect of the RPA correlations clearly seen in  $m_{-1}$

$$\chi_z = \frac{m_{-1}}{3}$$

# Magnetic property: isovector spin susceptibility in Ca isotopes



stronger collectivity:  $j_>$  orbital is occupied

(sub)-shell effect at  $N=40$

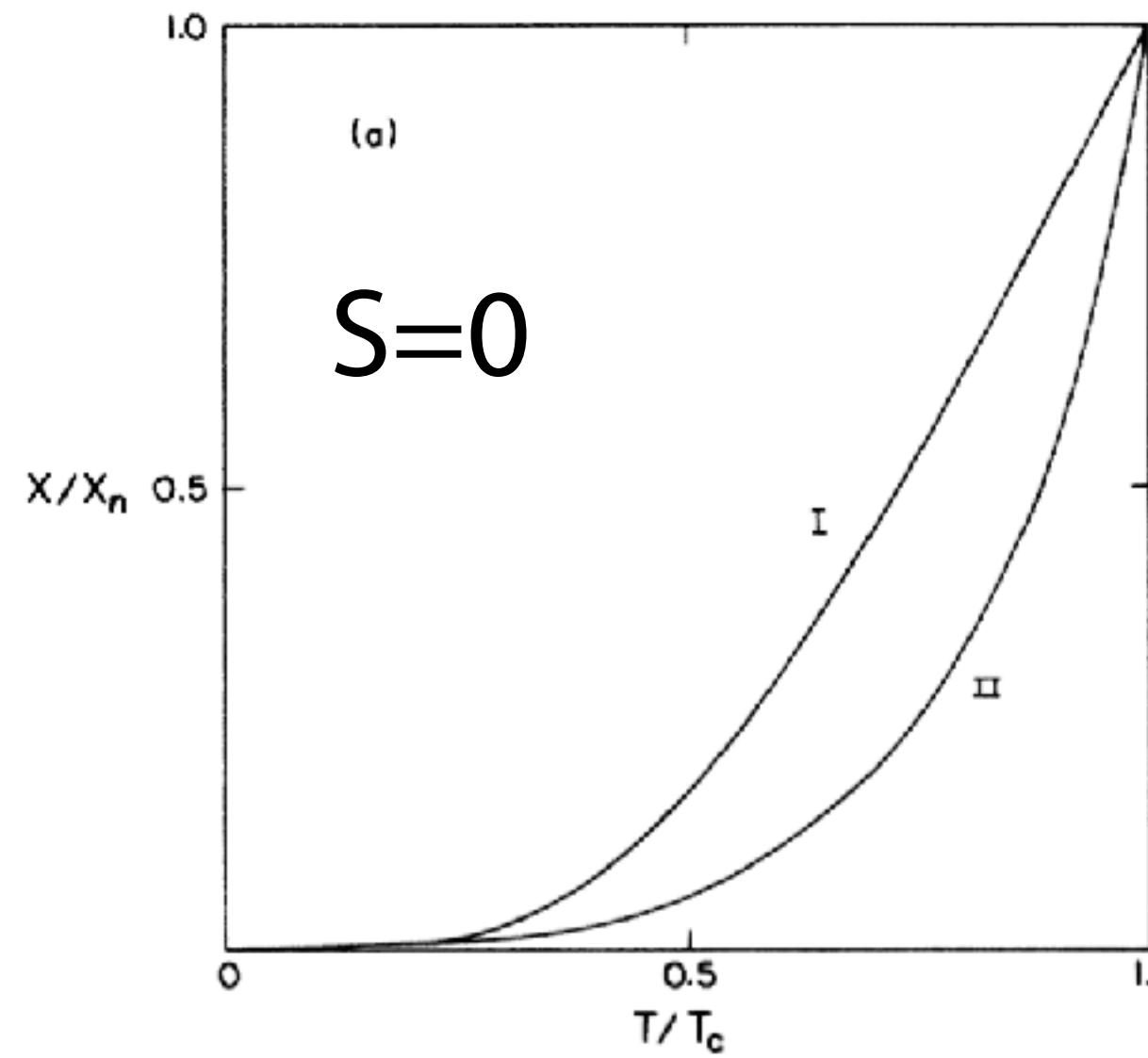
stronger collectivity in neutron-rich nuclei

"saturation" due to the appearance of  $-1 \hbar \omega_0$   
excitations in very neutron-rich nuclei

shell effect in  $\chi_z$  dominates the isotopic dep.

role of  $S=1$  pn-pair int. around (sub)-shell closures

# Magnetic susceptibility as evidence of spin-triplet pairing

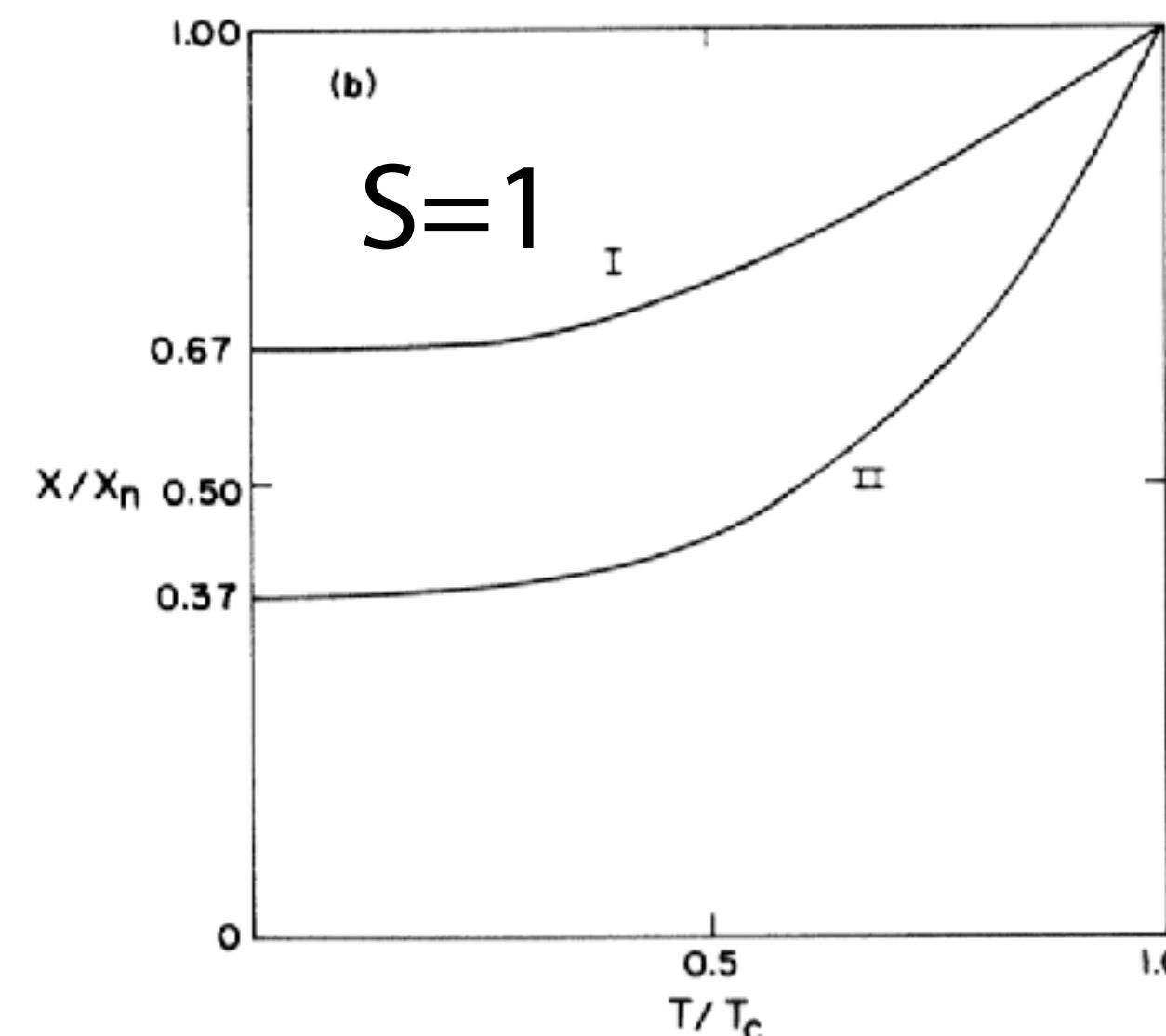


A. J. Leggett, PRL14 ,536 (1965)

$$\chi(T) = \frac{\chi_0 f_{\text{eff}}(T)}{1 + f_{\text{eff}}(T) Z_0 / 4},$$

Yosida function:  $f_{\text{eff}}(T)$   
Z<sub>0</sub> : Landau parameter

$$G_0 = \frac{Z_0}{4}$$



$$\chi = 2\mu^2 \sum_i -\frac{\langle i | S_z | 0 \rangle^2}{\omega_i}$$
$$S_z = \int d\vec{r} \sum_{\sigma\sigma'} \psi^\dagger(\vec{r}\sigma)\psi(\vec{r}\sigma') \langle \sigma | \frac{\sigma_z}{2} | \sigma' \rangle$$

“isoscalar” magnetic susceptibility

# Isovector magnetic susceptibility for the spin-triplet pairing

$$\chi_z = \frac{1 + \frac{1}{3}F_0}{1 + G'_0} \chi_0$$

$$\chi_{\perp} = \frac{1 + \frac{1}{3}F_0}{1 + G'_0 + V_{S=1}} \chi_0$$

repulsive spin-spin int.      attractive int.

$$\frac{\chi_{\perp}}{\chi_z} = \frac{1 + G'_0}{1 + G'_0 + V_{S=1}} > 1$$

enhancement due to  $V_{S=1}$  ?

In the actual calculations (based on the mean-field approx.),  
it is not so obvious to see the effect of the  $S=1$  pairing

# Summary

DFT approach for nuclear responses: revealing various properties of nuclear structure

- nuclear deformation effect

well establish for IVGDR, and well known for ISGMR

coupling between the monopole  
and the  $K=0$  quadrupole

universal for IVGDR with charge exchange

as well as for IVGMR

cannot be seen in GT/spin-M1

complicated in spin-dipole excitations

- spin susceptibility

the systematic trend in the collectivity of the spin excitation, spin fluctuation  $\delta\langle \vec{s} \rangle$

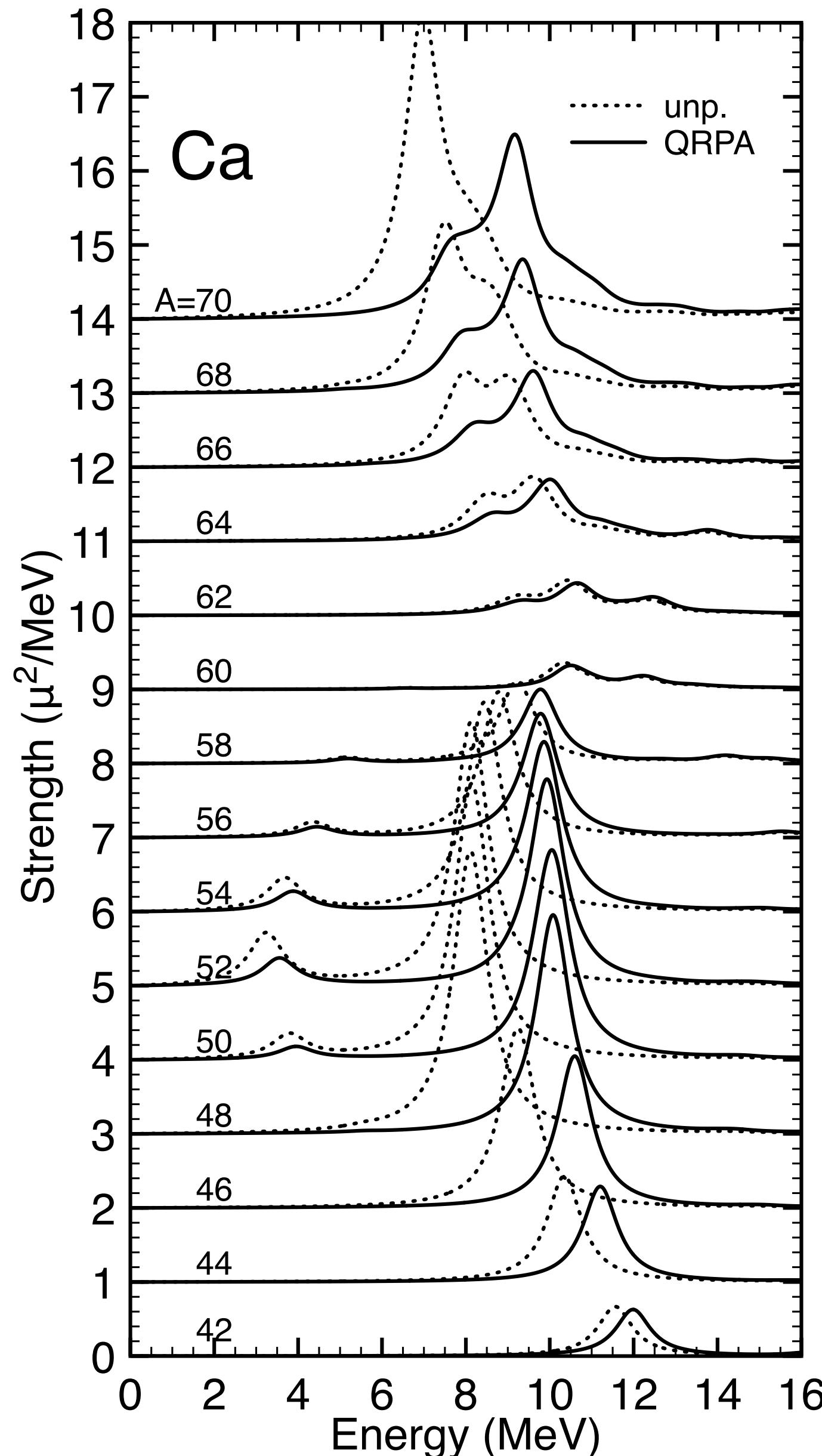
spin-triplet pairing: needs more work

# References

- K. Yoshida, PRC82(2010)034324
- K. Yoshida, T. Nakatsukasa, PRC83(2011)021304R
- K. Yoshida, T. Nakatsukasa, PRC88(2013)034309
- K. Yoshida, PRC102(2020)054336
- K. Yoshida, PRC104(2021)014309
- K. Yoshida, PRC104(2021)044309

# Backups

# Neutral channel: isovector spin-M1



$$\vec{F}_z = \int d\vec{r} \sum_{\sigma\sigma'} \psi^\dagger(\vec{r}\sigma\tau)\psi(\vec{r}\sigma'\tau') \langle\sigma \vec{\sigma} \sigma' \rangle \langle\tau \vec{\tau} \tau_z \tau'\rangle$$

SGII + T=1 pairing

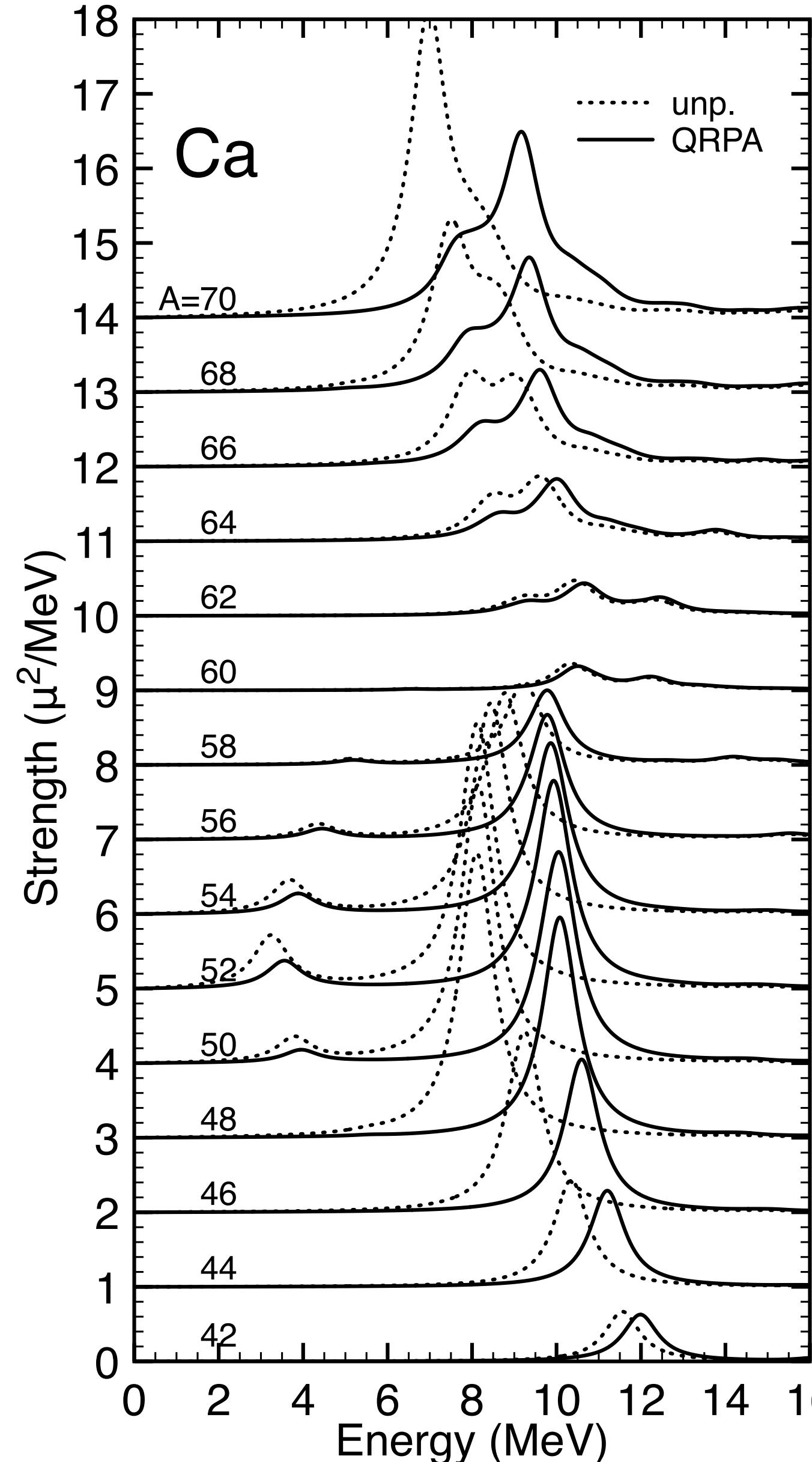
$^{42}\text{Ca} - ^{48}\text{Ca}$

generated dominantly by  $n1f_{7/2} \rightarrow n1f_{5/2}$   
gradual increase in the occupation of  $n1f_{7/2}$   
neutrons pairing

stronger effect of the RPA correlations  
diagonal matrix element:  $\propto u_{f_{5/2}}^2 v_{f_{7/2}}^2$

repulsive spin-isospin int.

# Neutral channel: isovector spin-M1



SGII + T=1 pairing

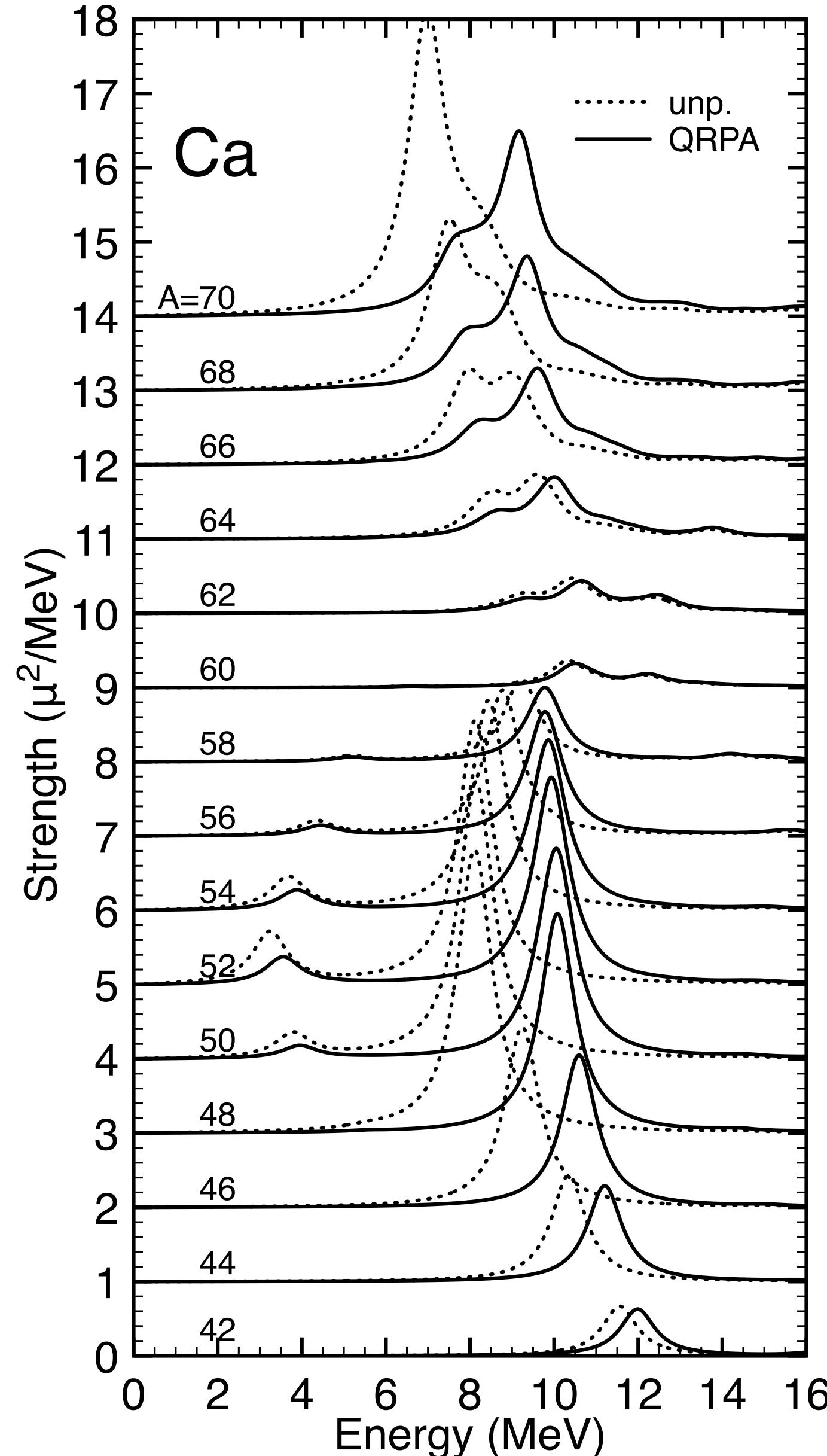
$^{50}\text{Ca}$ — $^{60}\text{Ca}$

appearance of low-lying state: generated by  $n1p_{3/2} \rightarrow n1p_{1/2}$   
gradual increase in the occupation of  $n1f_{5/2}$   
weaker effect of the RPA correlations

diagonal matrix element:  $\propto (1 - v_{f_{5/2}}^2)v_{f_{7/2}}^2$

separation energy of  $f_{5/2}$  is 1.4 MeV

# Neutral channel: isovector spin-M1



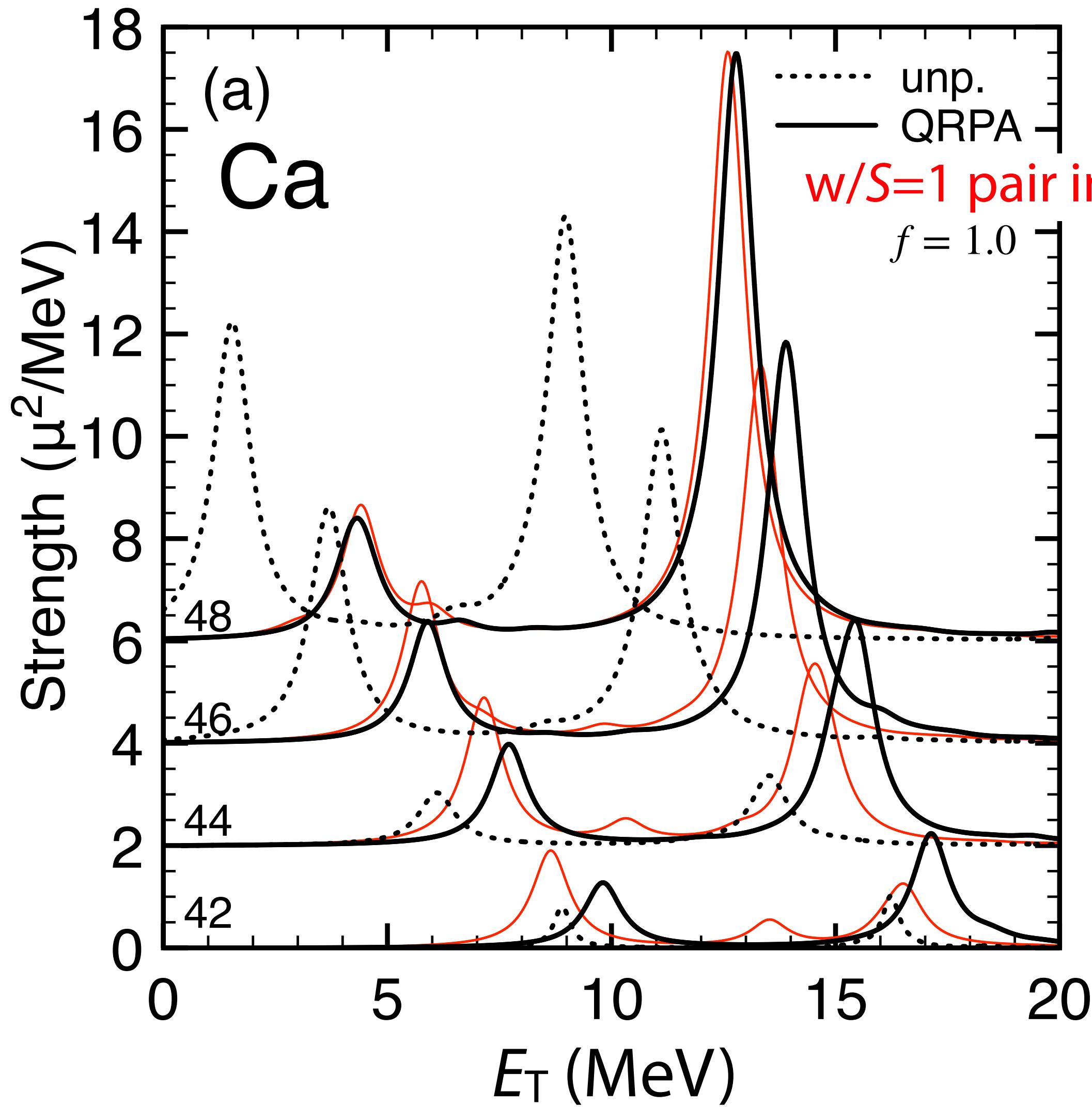
SGII + T=1 pairing

$^{62}\text{Ca} - ^{70}\text{Ca}$

M1 resonance generated dominantly by  $n1g_{9/2} \rightarrow n1g_{7/2}$   
unbound

2qp excitations in the continuum

# Charge-exchange channel: Gamow–Teller



SGII + T=1 pairing

low-lying state generated mainly by  $n1f_{7/2} \rightarrow p1f_{7/2}$

c.f.:  $(n1f_{7/2})^2$  is prohibited in spin M1 excitations

$S=1$  pn-pair int. increases the collectivity

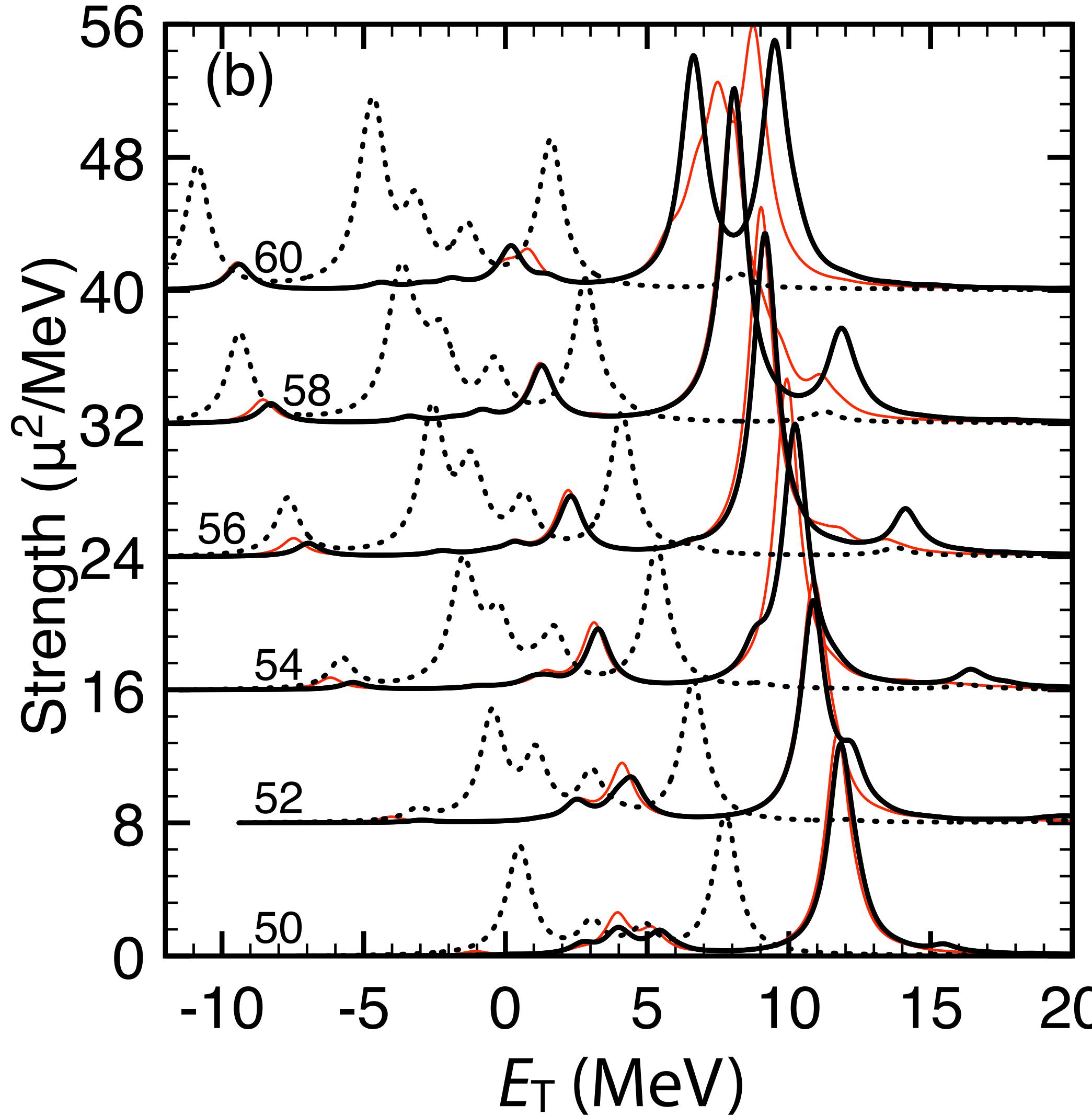
$n1f_{7/2} \rightarrow p1f_{7/2}$

$n1f_{7/2} \rightarrow p1f_{5/2}$

particle-particle excitations

large matrix elements of pairing

# Charge-exchange channel: Gamow–Teller



low-lying states:  $n2p_{3/2} \rightarrow p2p_{3/2}$

in  $N \geq 34$ :  $n1f_{5/2} \rightarrow p1f_{7/2}$

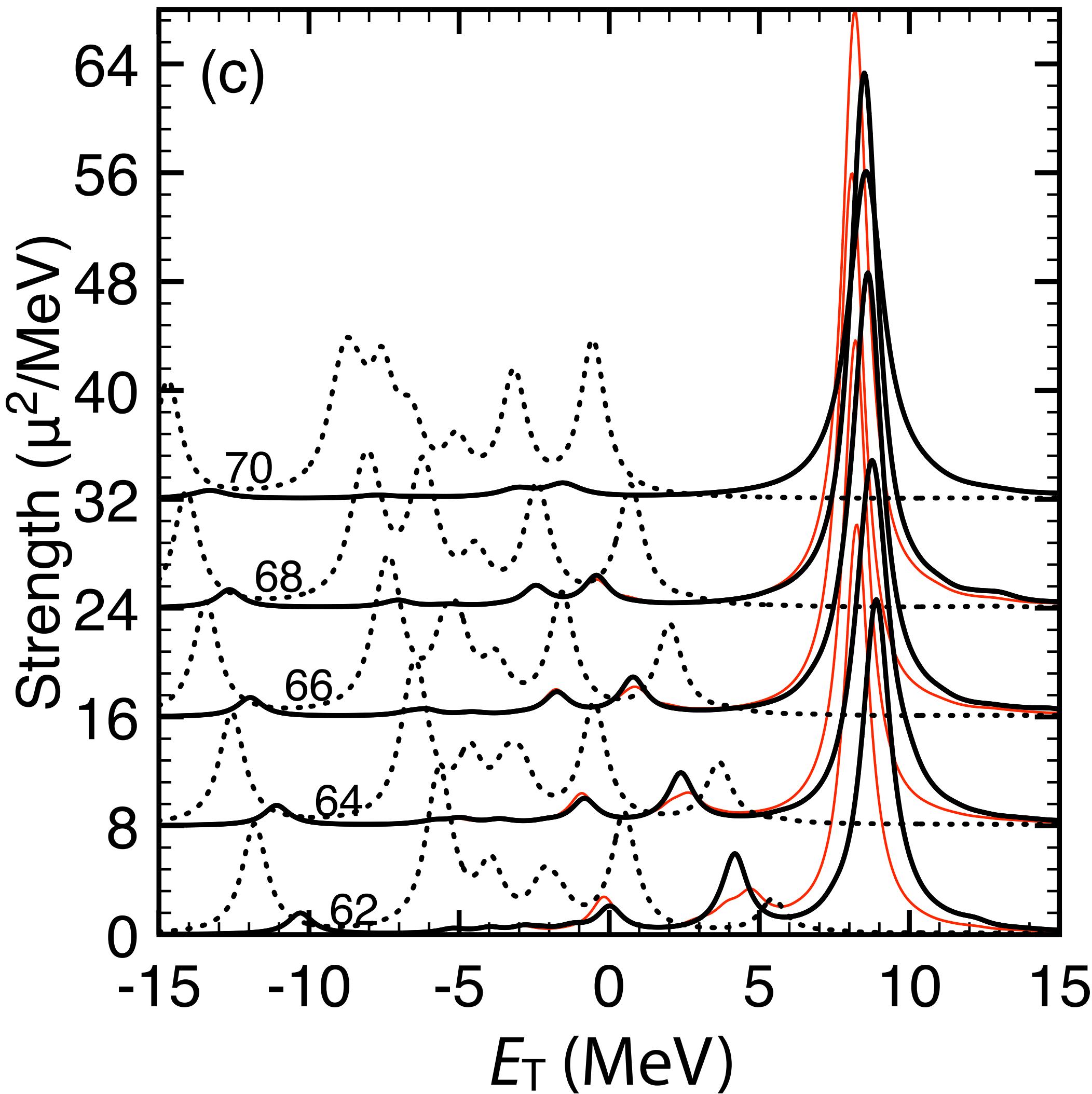
$S=1$  pn-pair int. slightly affects the state

high-lying states around  $N=40$ :  $n1g_{9/2} \rightarrow p1p_{9/2}$

particle-particle excitations

large matrix elements of pairing

# Charge-exchange channel: Gamow–Teller



high-lying states around  $N=40$ :  $n1g_{9/2} \rightarrow p1g_{9/2}$   
particle-particle excitations  
large matrix elements of pairing  
most of the strengths are concentrated in GR  
many p-h/2qp excitations available  
strong collectivity in neutron-rich nuclei