



Search for Neutrinoless Double Beta Decay with GERDA

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on behalf of the GERDA Collaboration

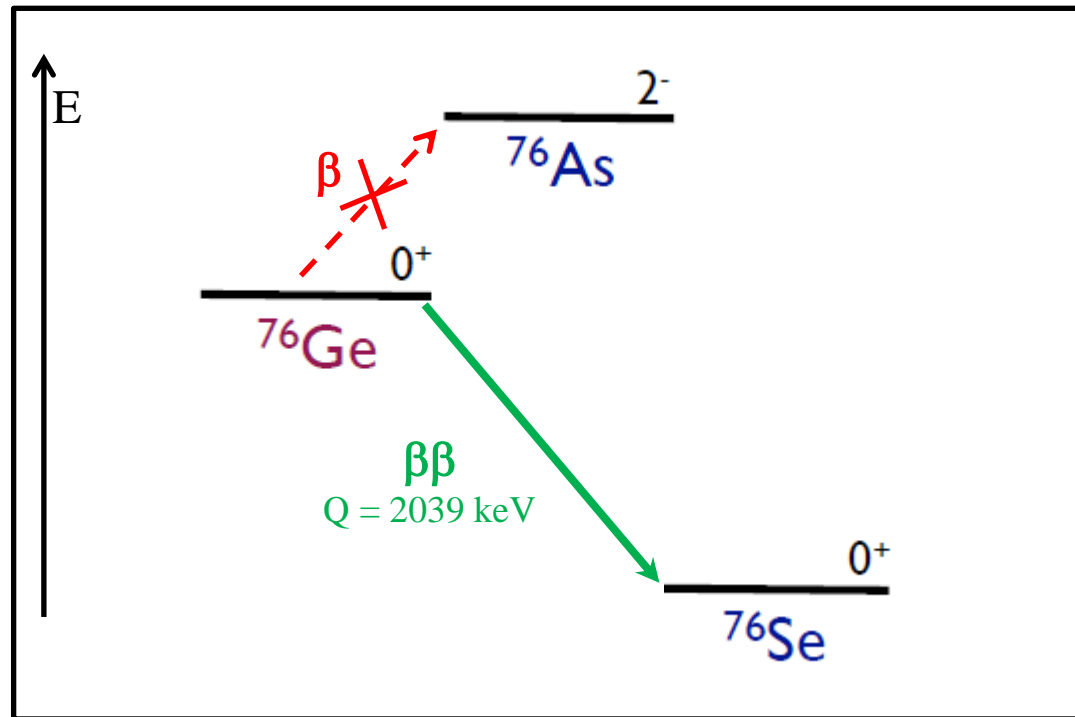
Outline



- Double beta decay
- Design and goals of GERDA
- Background reduction strategy
- GERDA latest results
- Summary

Double Beta Decay

In a number of even-even nuclei, β decay due to energy/angular momentum balance is forbidden, while double beta decay from a nucleus (A,Z) to $(A, Z+2)$ is energetically allowed.



^{48}Ca , ^{76}Ge , ^{82}Se , ^{96}Zr , ^{100}Mo , ^{116}Cd , ^{128}Te , ^{130}Te , ^{136}Xe , ^{150}Nd



$\beta\beta$ decay

GERDA design

Bkg reduction

Latest results

Summary

Double Beta Decay Modes



$\beta\beta$ decay

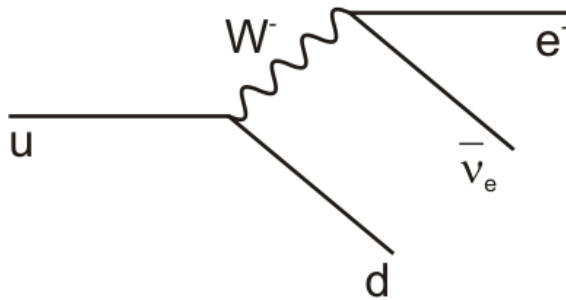
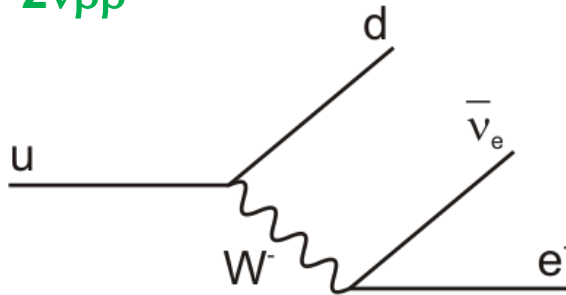
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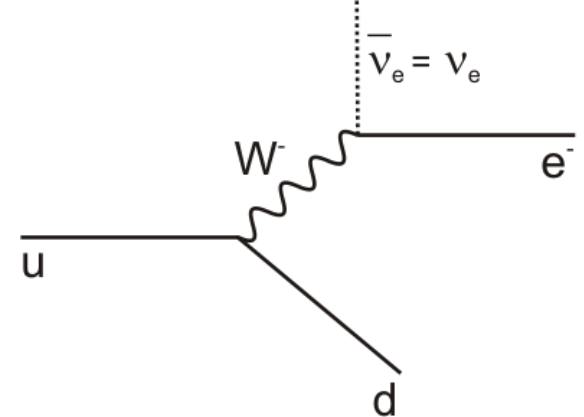
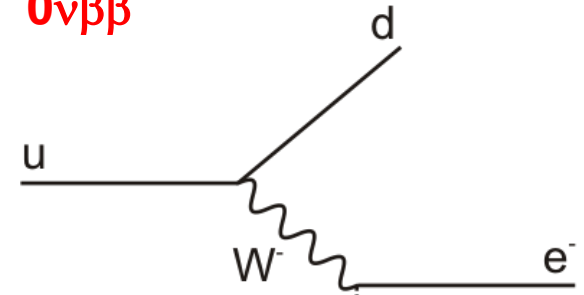
$2\nu\beta\beta$



$$\Delta L = 0$$

$$T_{1/2} \sim 10^{18} - 10^{24} \text{ yr}$$

$0\nu\beta\beta$



$$\Delta L = 2$$

$$T_{1/2}^{\text{exp}} > \sim 10^{26} \text{ yr}$$

Background Issue

No background

$$T_{1/2}(90\% CL) > \frac{\ln 2}{1.64} \frac{N_A}{A} \epsilon \cdot a \cdot M \cdot T$$

Background

$$T_{1/2}(90\% CL) > \frac{\ln 2}{1.64} \frac{N_A}{A} \epsilon \cdot a \sqrt{\frac{M \cdot T}{B \cdot \Delta E}}$$

$$\frac{1}{T_{1/2}} = G(Q, Z) \cdot |M_{nuc}|^2 \cdot \langle m_{ee} \rangle^2$$

$$\langle m_{ee} \rangle \sim \frac{1}{\sqrt{T_{1/2}}} \sim \sqrt[4]{\frac{B \cdot \Delta E}{M \cdot T}}$$

$$(M \cdot T) \uparrow \times 100 \rightarrow T_{1/2} \uparrow 10 \rightarrow \langle m_{ee} \rangle \downarrow \times \sim 3$$



ββ decay

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GERDA



ββ decay

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Summary

- GERDA (GERmanium Detector Array) has been designed to investigate neutrinoless double beta decay of ^{76}Ge ($Q_{\beta\beta} = 2039 \text{ keV}$)
 - Ge mono-crystals are very pure
 - Ge detectors have excellent energy resolution
 - Detector = source ($\varepsilon \approx 1$)
 - Enrichment required (7.4 % \rightarrow 86 %)
 - **Bare HP^{enr}Ge detectors immersed in LAr**
- Background (index) around $Q_{\beta\beta}$:
 $10^{-2} - 10^{-3} \text{ cts}/(\text{keV} \times \text{kg} \times \text{yr})$; 10 – 100 times lower compared to previous experiments (HdM/IGEX)

The GERDA Collaboration



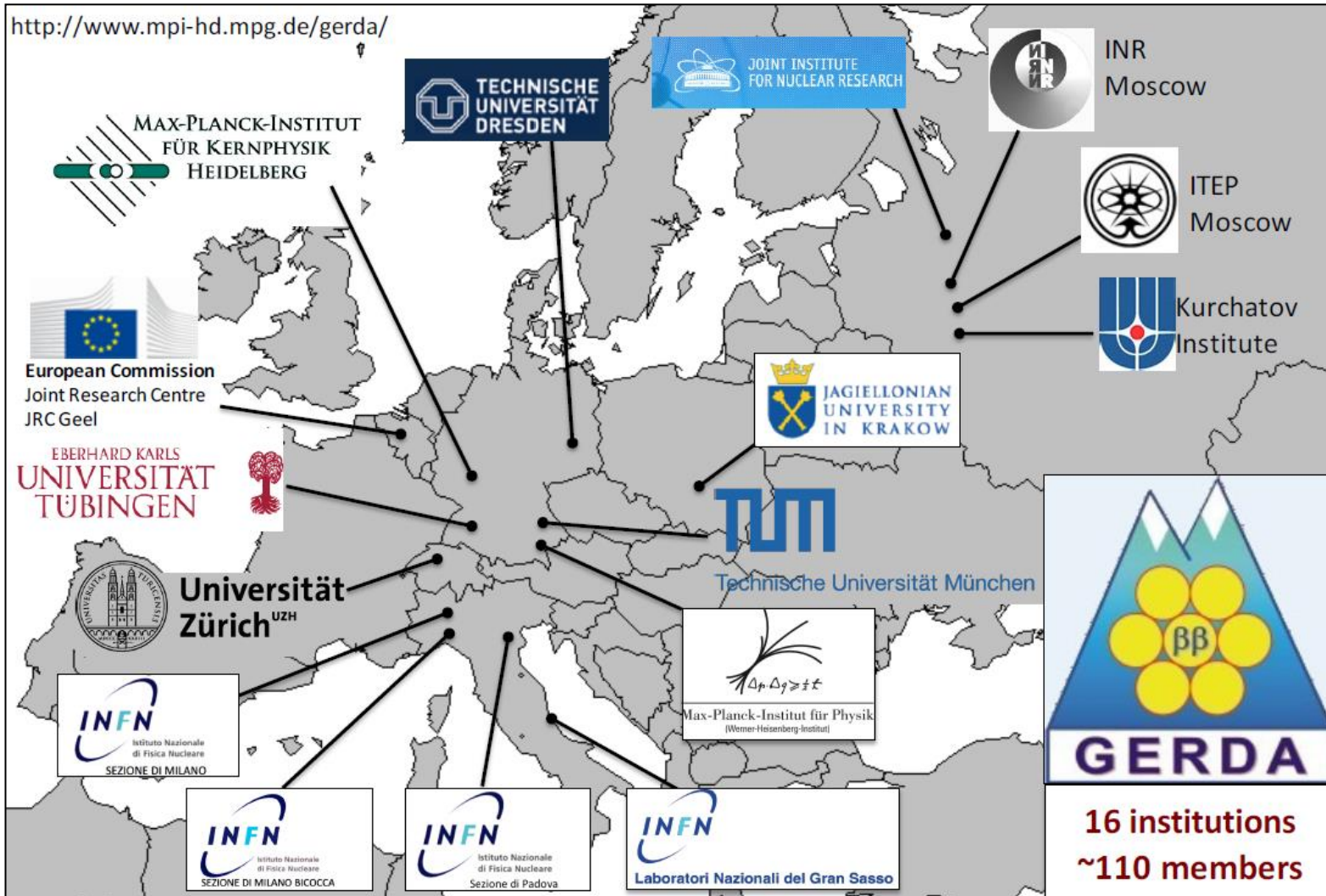
ββ decay

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GERDA at LNGS



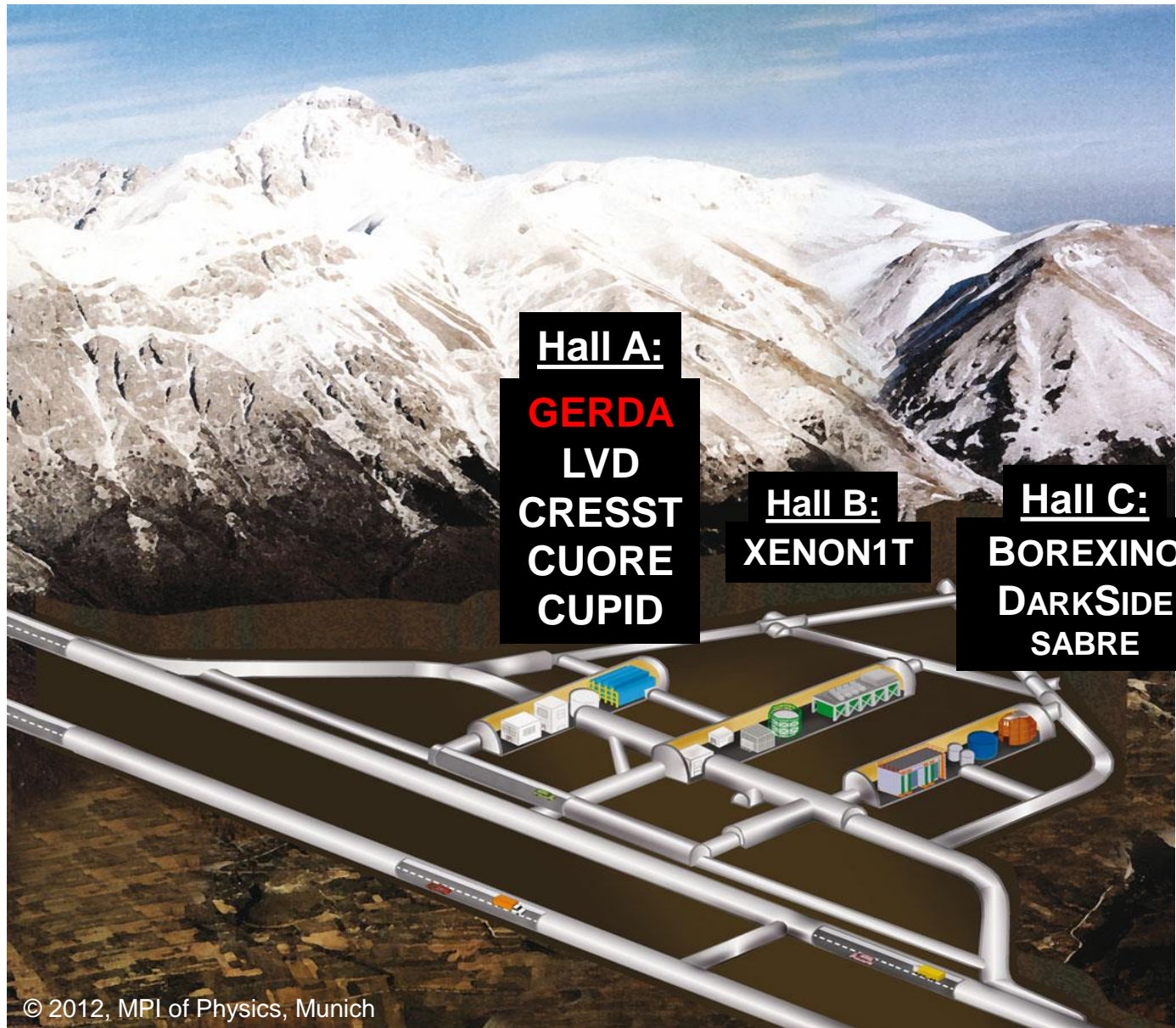
$\beta\beta$ decay

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Summary



Hall A:

GERDA
LVD
CRESST
CUORE
CUPID

Hall B:
XENON1T

Hall C:
BOREXINO
DARKSIDE
SABRE

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International Workshop on "Double Beta Decay and Underground Science" DBD18, October 21-23, Hawaii, USA

GERDA Sensitivity



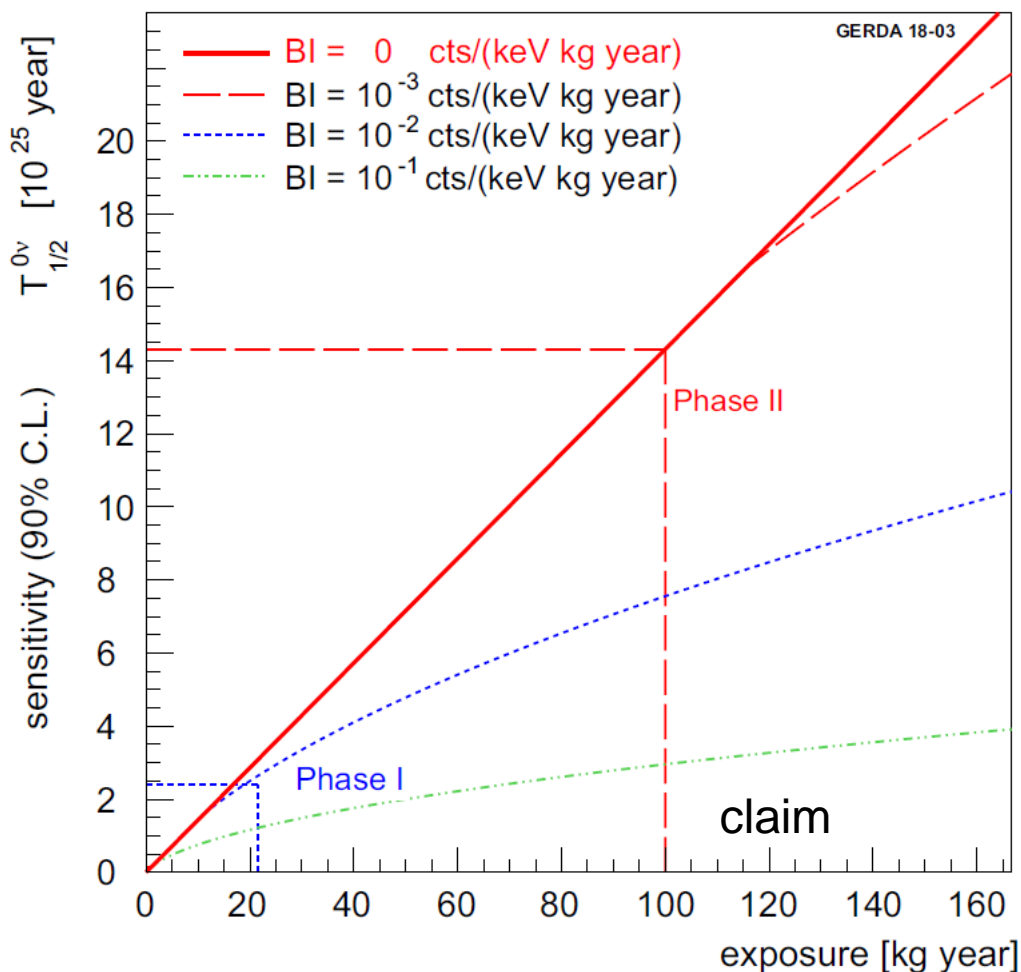
ββ decay

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LEGEND:

^{76}Ge mass ~ 1 t

BI $\approx 10^{-5}$ cts / (keV \times kg \times yr)

Sensitivity: $\sim 1 \times 10^{28}$ yr

$\langle m_{ee} \rangle \sim 10$ meV

Phase II:

Add new enr. BEGe

detectors (+20 kg, 35 kg tot.)

BI $\approx 10^{-3}$ cts / (keV \times kg \times yr)

Sensitivity after 100 kg \times yr

Phase I:

Use refurbished

HdM & IGEX (18 kg)

BI $\approx 10^{-2}$ cts / (keV \times kg \times yr)

Sensitivity after 20 kg \times yr

GERDA History



ββ decay

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Summary

- 2004 – 2005: The collaboration was formed
- 2005 – 2010: GERDA funded, designed and constructed in LNGS Hall A
- 2010 – 2011: Phase I commissioning
- June 2011: Deployment of the first string of ^{enr}Ge (3 detectors, 6.7 kg)
- 01.11.2011: Start data taking with all 8 Phase I ^{enr}Ge crystals (17.8 kg) and 1 ^{nat}Ge crystal (from GTF)
- June 2012 5 Phase II enr. BEGe detectors inserted into the cryostat
- Phase I data: 09.11.11 – 09.05.13 (21.6 kg×yr acquired)
- 2013 – 2015: upgrade to Phase II
- December 2015: Phase II data taking starts
- April – May 2018: Phase II upgrade

GERDA Phase I



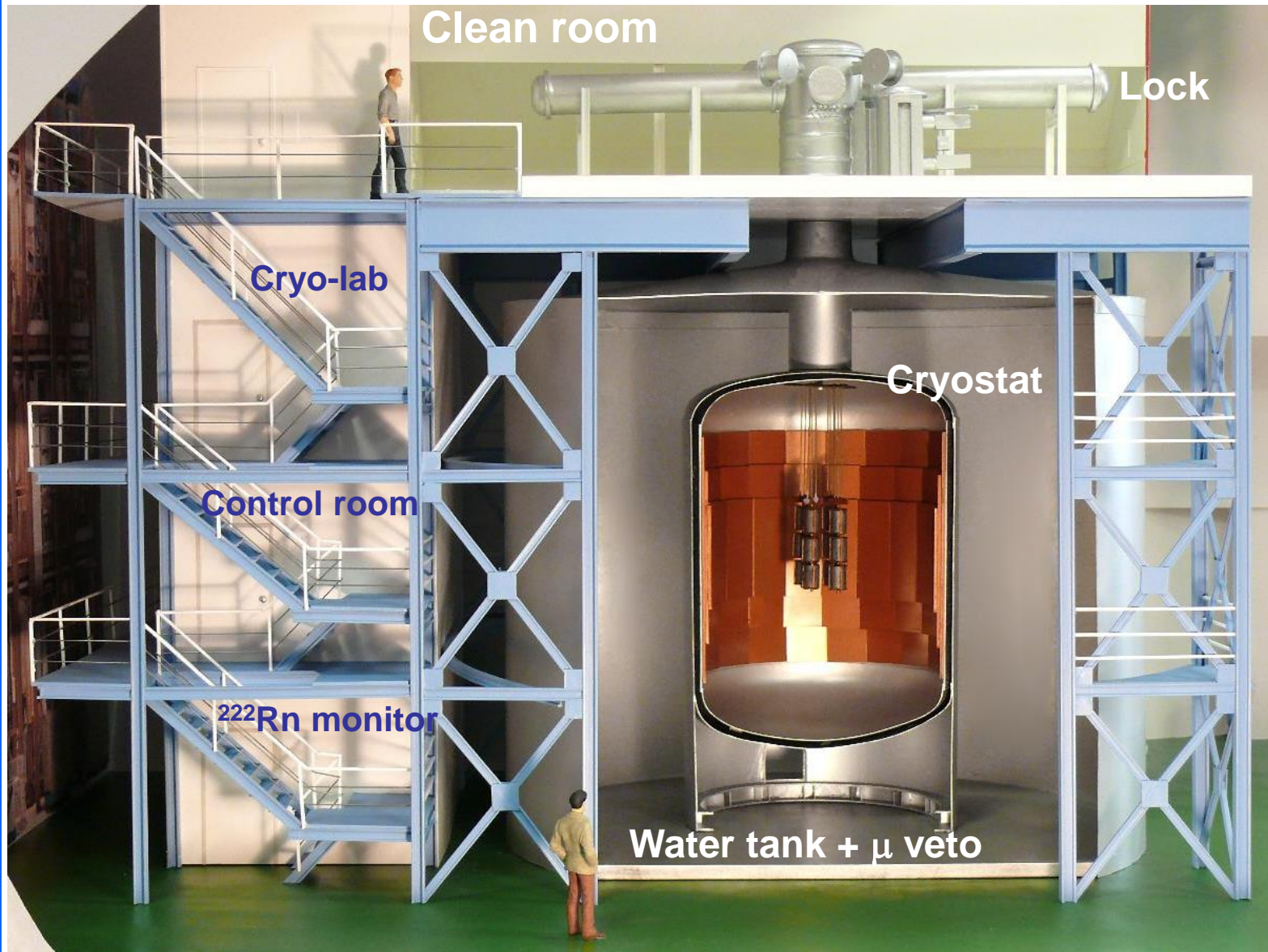
$\beta\beta$ decay

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GERDA Phase II Setup



$\beta\beta$ decay

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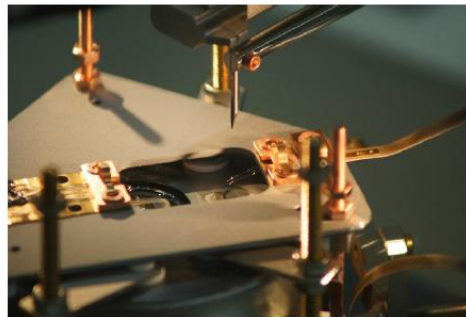
Summary



New low-mass
detector holders
(Si, Cu, PTFE)



New thick-window
BEGe detectors



New signal and HV
contacting by wire
bonding flat ribbon
cables



New TPB coated nylon mini-
shrouds to reduce attraction of
 ^{42}K ions (from decays of
 ^{42}Ar) to n^+ surface

TBP = tetraphenyl butadiene

Hybrid LAr veto: PMTs + Fibers



$\beta\beta$ decay

GERDA design

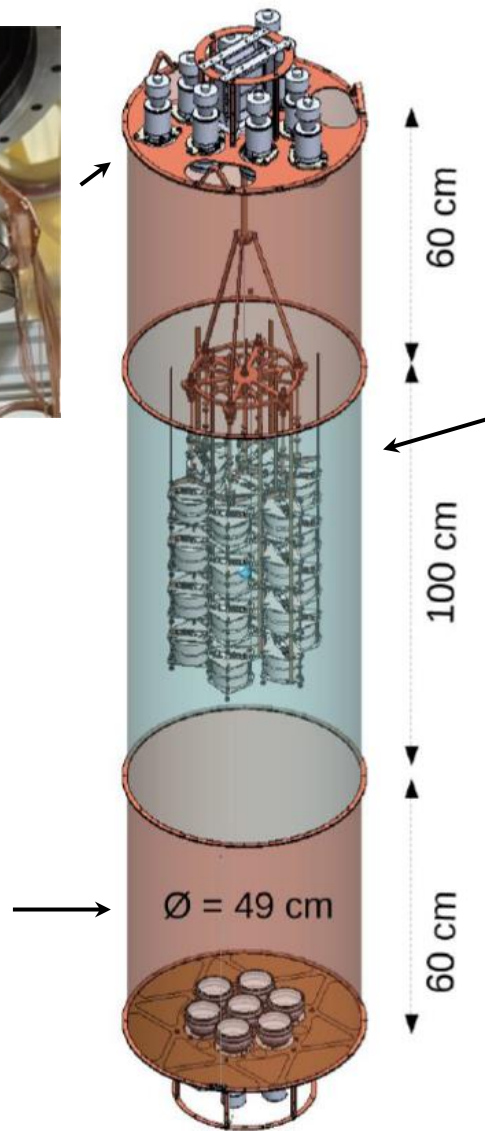
Bkg reduction

Latest results

Summary



16 3" PMTs
Cylinder with WLS
(TETRATEX foil)



810 wavelength
shifting fibers
coupled to 90 SiPMs



GERDA Phase II Array



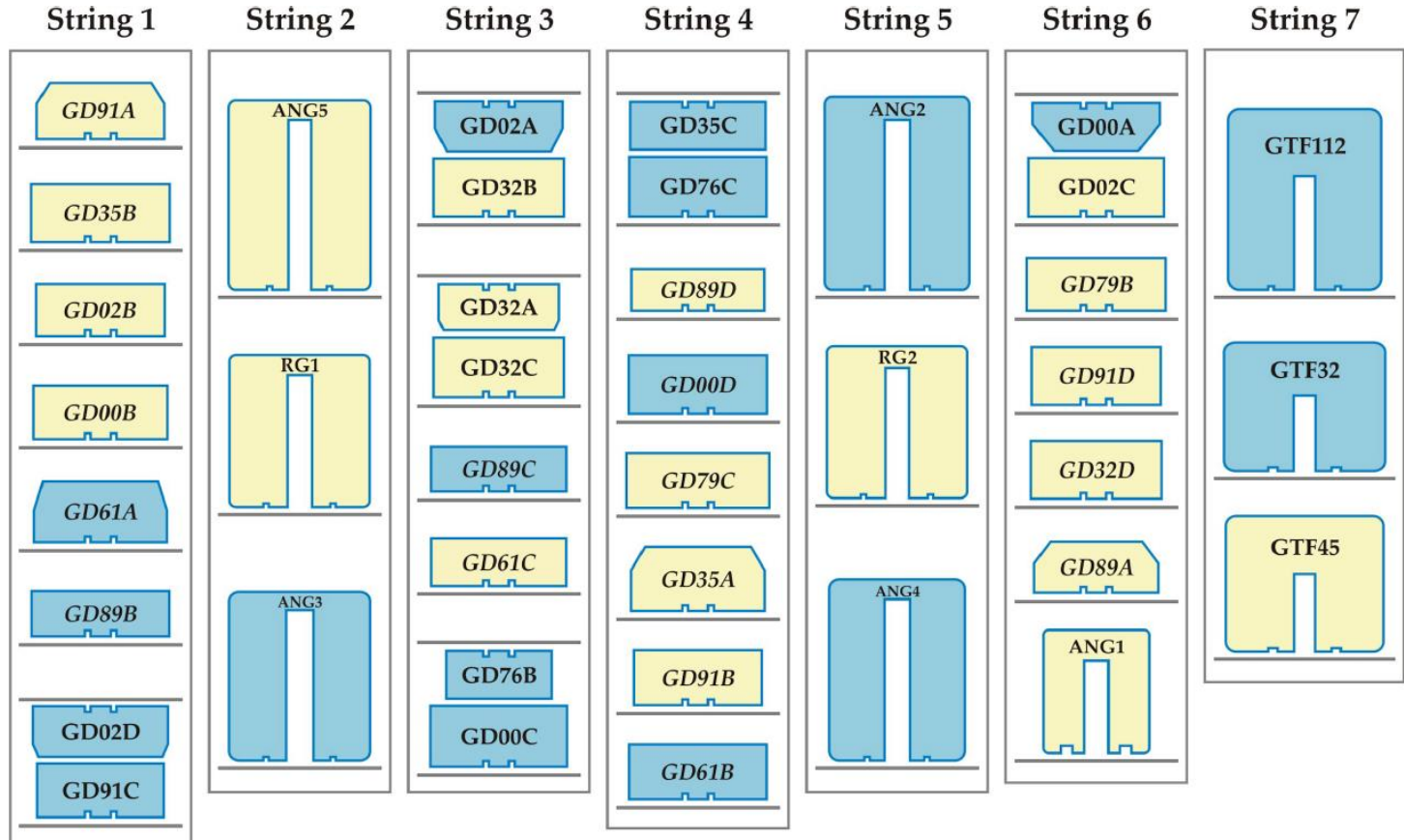
$\beta\beta$ decay

GERDA design

Bkg reduction

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Summary



GERDA Phase II (Dec 2015 -)

- 30 enriched BEGe (20.0 kg), 7 enriched coax (15.8 kg), 3 natural coax (7.6 kg)
- LAr instrumentation: 90 (SiPMs) + 16 (PMTs) channels
- BI $\sim 10^{-3}$ cts/(keV \times kg \times yr)

Upgrade of Phase II

- Natural coax replaced with 9 kg (5 detectors) enriched inverted coax type
- New LAr instrumentation: installation of denser fibre curtain and middle string curtain
- 3 Ge channels recovered
- Few detectors etched to reduce their leakage current
- Some cables replaced with lower activity version



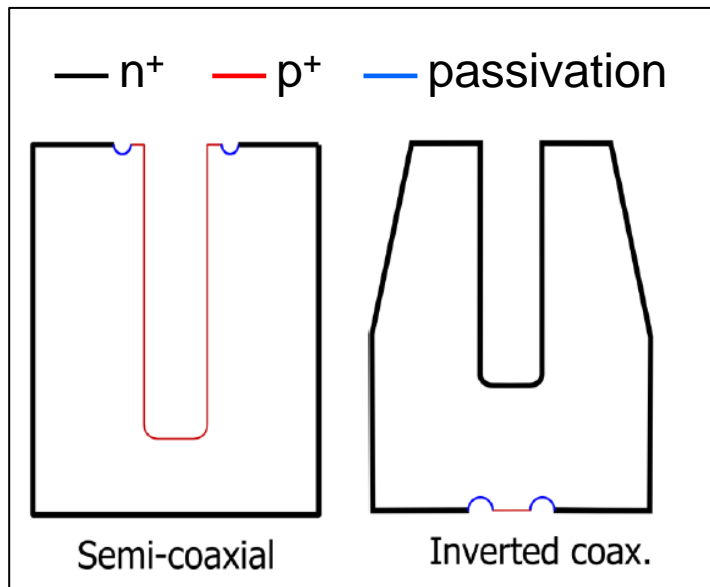
$\beta\beta$ decay

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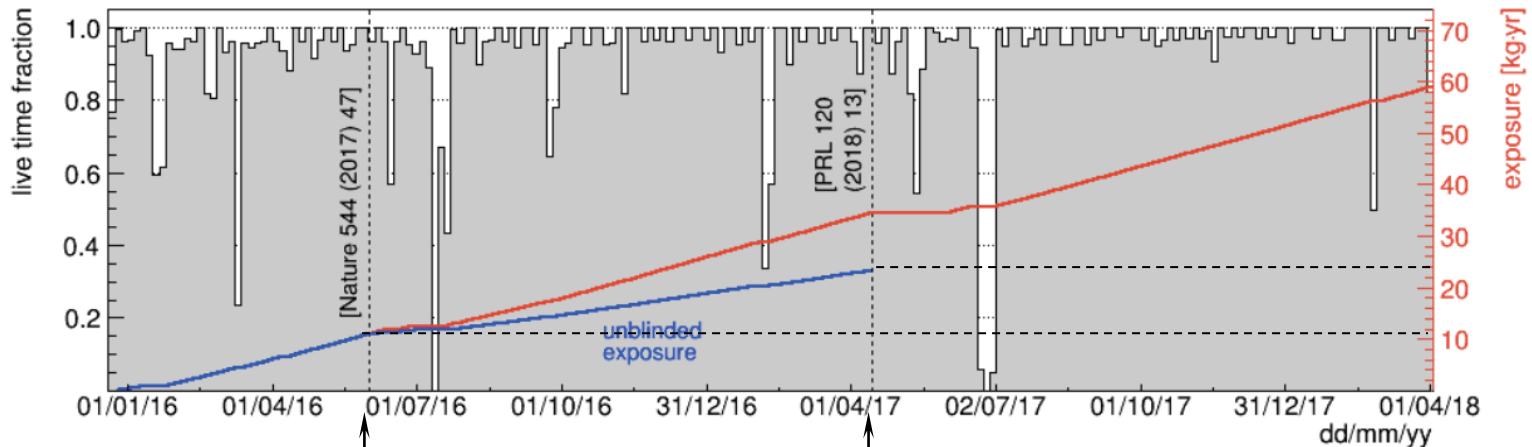


Accumulation of Data

Phase I

- 09.11.11 – 09.05.13: 21.6 kg×yr
- Additional Phase I data before upgrade: 1.9 kg×yr

Phase II



Phase II: 10.8 kg-yr

Phase II: 23.2 kg-yr

- Live time: 834.8 d between Dec. 2015 and April 2018
- Duty cycle: 92.9 %
- Data quality cut: 80.4 %
- Phase II exposure analyzed: 58.9 kg×yr
- **Total GERDA exposure (April 2018): 82.4 kg×yr**



ββ decay

GERDA design

Bkg reduction

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Energy Scale and Stability



- Detectors calibrated weekly with ^{228}Th sources
- Shifts between calibrations < 1 keV
- Every 20 s test pulse injection for gain stability measurement
- “Zero area cusp” (ZAC) filter (Eur. Phys. J. C75 (2015) 255)

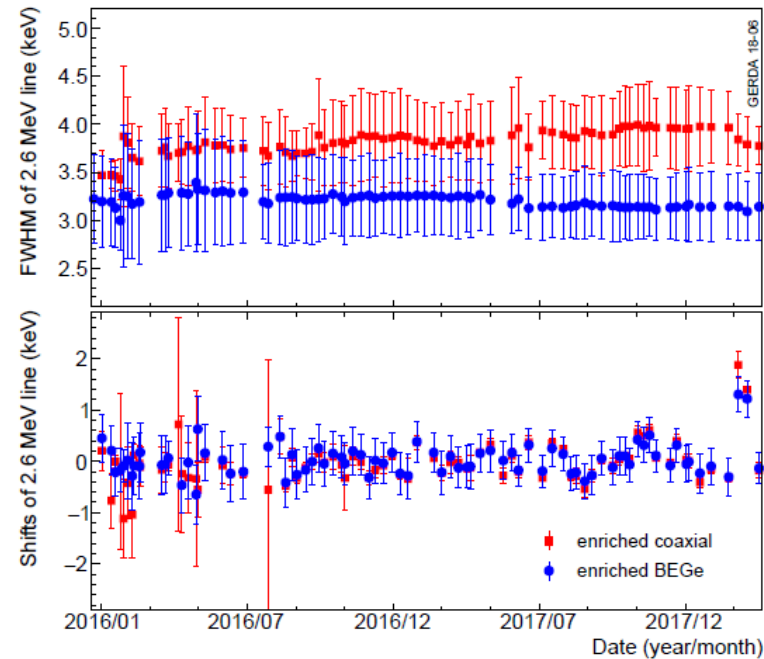
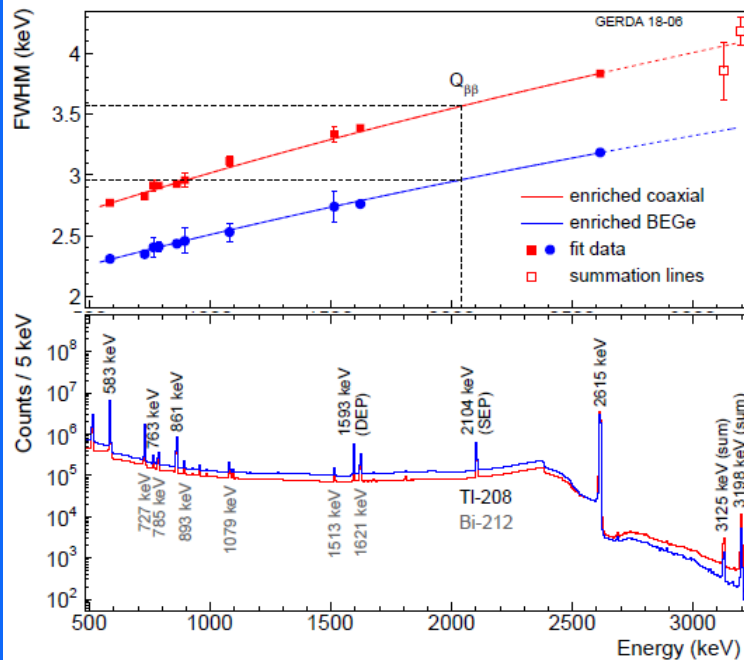
$\beta\beta$ decay

GERDA design

Bkg reduction

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Summary



**FWHM @ $Q_{\beta\beta}$: Coax: 3.6(1) keV
BEGe: 3.0(1) keV**

LAr Veto

- Channel-wise (PMT/SiPM) anti-coincidence condition
- Thresholds at ~ 0.5 P.E.
- Acceptance determined from random triggers: 97.7(1) %
- $^{40}\text{K}/^{42}\text{K}$ Compton continua completely suppressed
- γ -rays survival fractions: ^{40}K (EC) = ~ 100 %, ^{42}K (β^-) ~ 20 %
- Almost pure $2\nu\beta\beta$ spectrum after LAr veto cut (600-1300 keV)



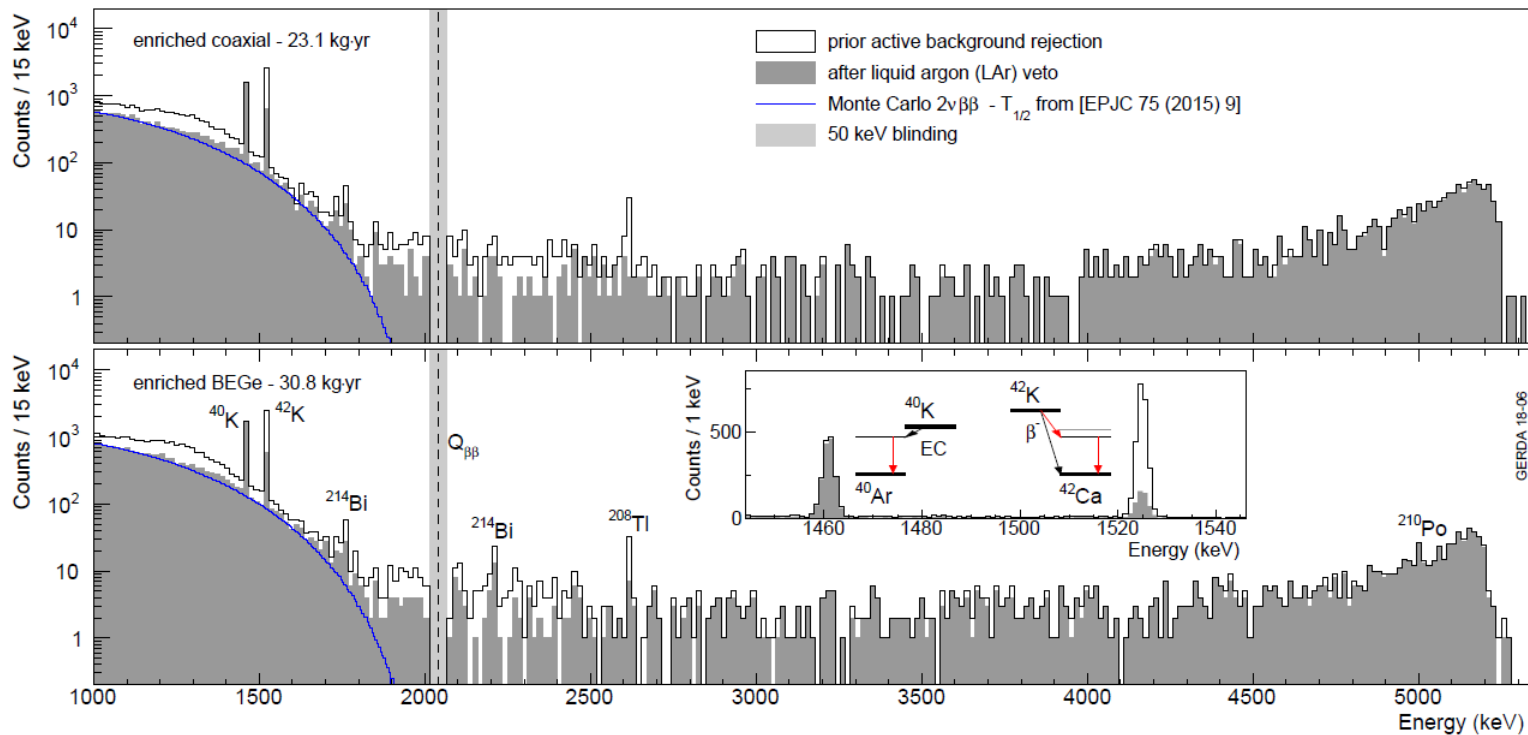
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PSD for BEGe Detectors

- Discrimination on a single A/E parameter (A – current amplitude, E – energy)
- Cut values defined from calibrations assuming 90 % DEP acceptance
- high A/E: fast events on p+ electrode (e.g. α s from ^{210}Po)
- low A/E: slow events on n+ electrode, multiple scattering



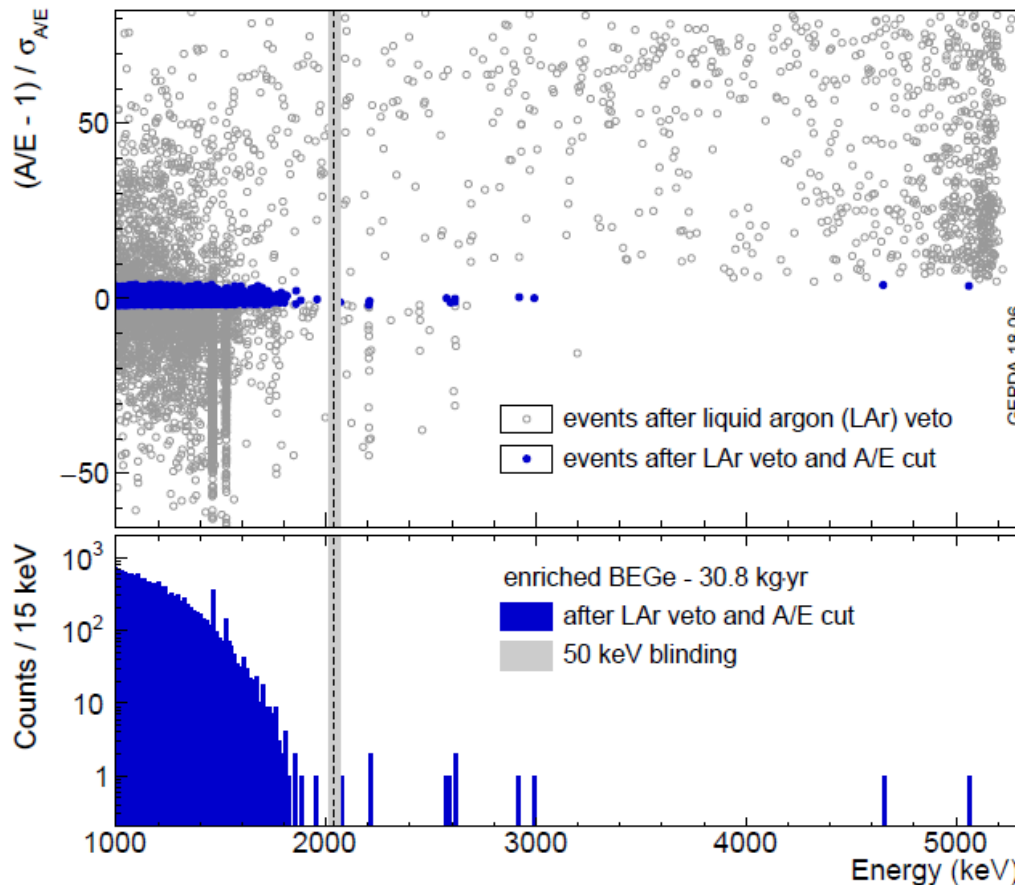
$\beta\beta$ decay

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$$\text{SF}_{\text{BW}} = 82 \%$$
$$\epsilon_{0\nu\beta\beta} = (87.6 \pm 2.5) \%$$

BW: [1930,2190] keV, excl. ± 5 keV around ^{208}Tl (SEP), ^{214}Bi (FEP) and $Q_{\beta\beta}$

PSD for Coax Detectors

- MSE rejected with ANN (EPJC 73 (2013) 2583)
- Alphas (fast surface events) rejected with ANN- α / Rise Time (RT) cut
- ANN training on calibration data DEP and FEP as proxies for SSE and MSE, respectively.
- RT optimized on the $2\nu\beta\beta$ (1 – 1.3 MeV) and α sample ($E > 3.5$ MeV)



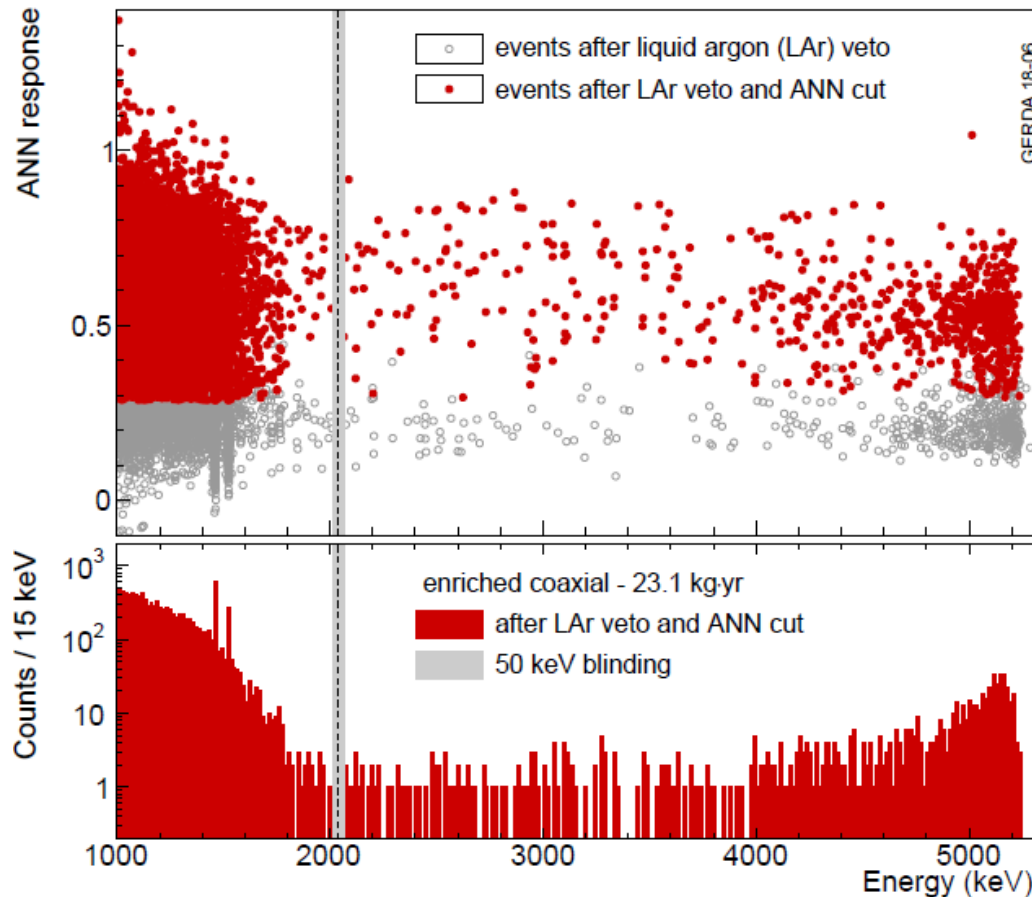
$\beta\beta$ decay

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$$\epsilon_{0\nu\beta\beta} (\text{ANN}) = (85.0 \pm 5.0) \%$$

PSD for Coax Detectors

- MSE rejected with ANN (EPJC 73 (2013) 2583)
- Alphas (fast surface events) rejected with ANN- α / Rise Time (RT) cut
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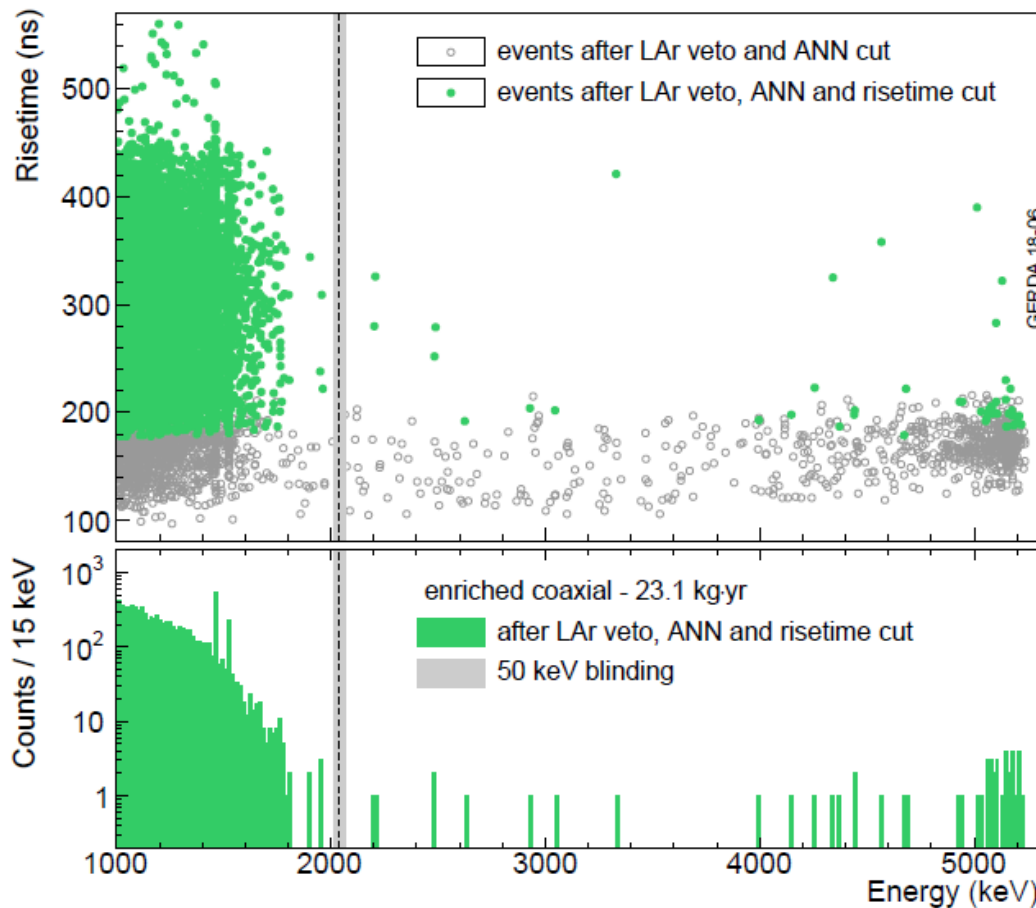
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$$\epsilon_{0\nu\beta\beta} \text{ (ANN)} = (85.0 \pm 5.0) \%$$

$$\epsilon_{0\nu\beta\beta} \text{ (RT)} = (84.3 \pm 1.1) \%$$

$$\epsilon_{0\nu\beta\beta} = (71.6 \pm 4.3) \%$$

Application of LAr veto and PSD



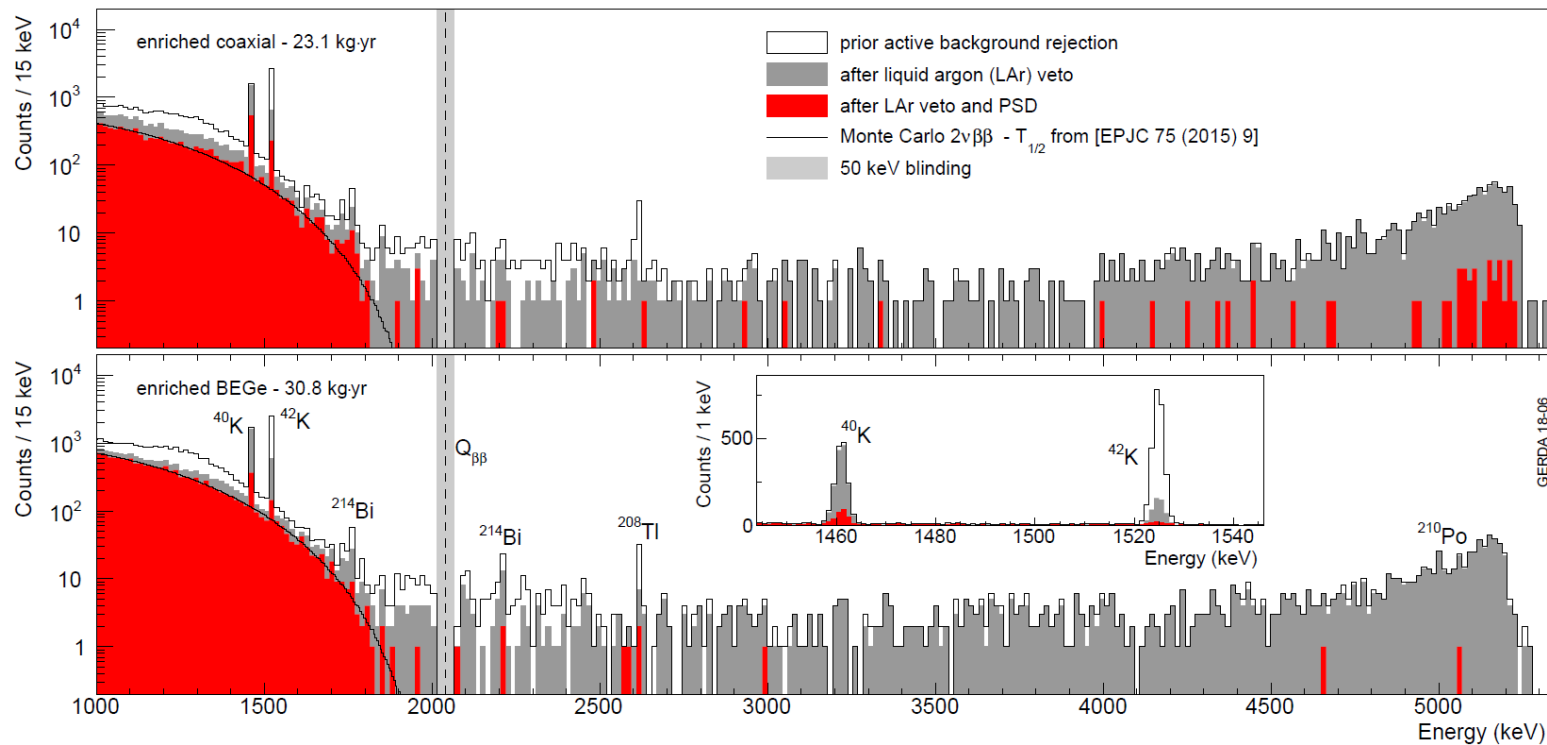
$\beta\beta$ decay

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- LAr veto and PSD are complementary
- Strong reduction of $^{40}\text{K}/^{42}\text{K}$ and α s
- Combined efficiency for the $0\nu\beta\beta$ decay:
 - 70 % for coax detectors
 - 86 % for BEGe detectors

Background Index in BW



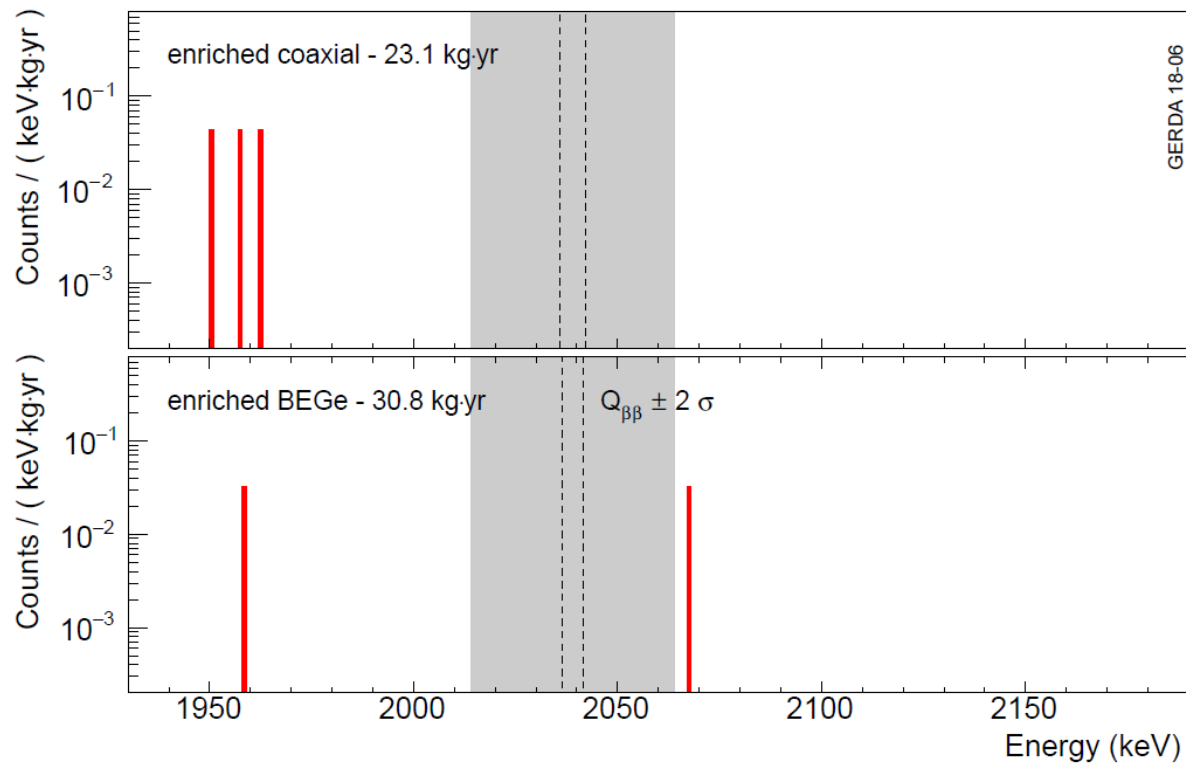
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BW: [1930, 2190] keV, excl. ± 5 keV around ^{208}Tl (SEP), ^{214}Bi (FEP) and $Q_{\beta\beta}$

$$\text{Coax: BI} = 5.7_{-2.6}^{+4.1} \cdot 10^{-4} \text{ cts}/(\text{keV}\cdot\text{kg}\cdot\text{yr})$$

$$\text{BEGe: BI} = 5.6_{-2.4}^{+3.4} \cdot 10^{-4} \text{ cts}/(\text{keV}\cdot\text{kg}\cdot\text{yr})$$

Less than 1 background event expected in ROI → background-free operation

Statistical Analysis



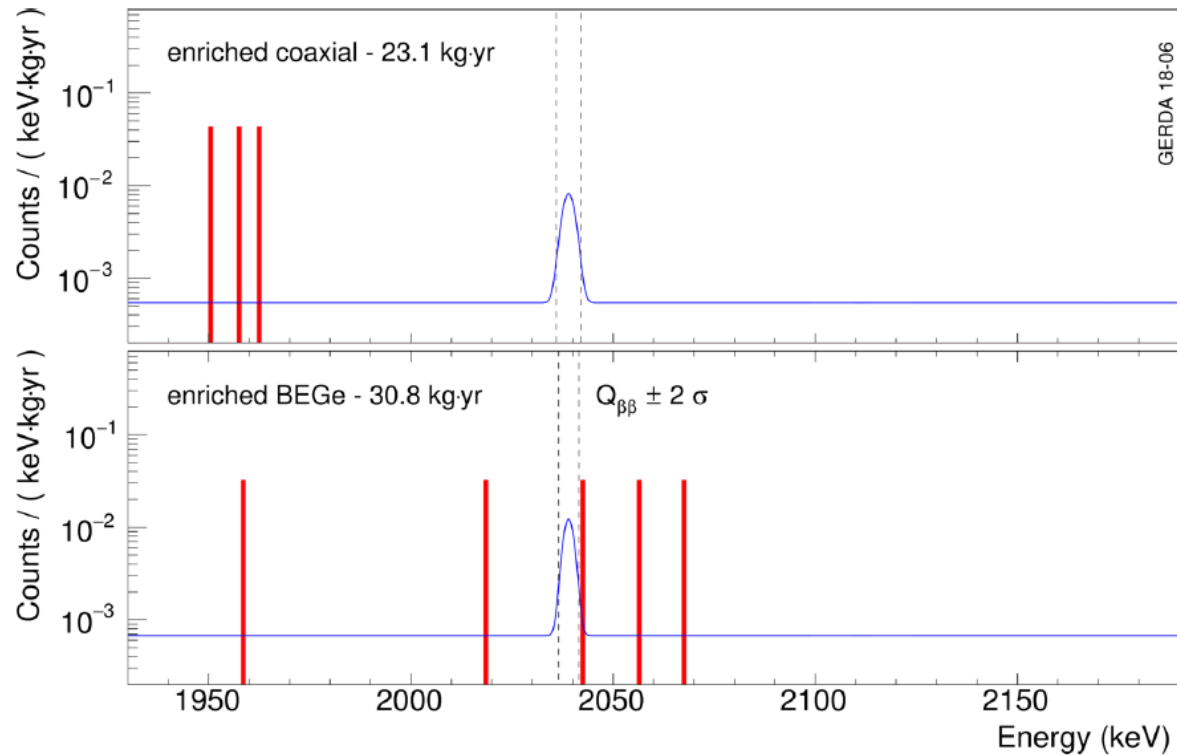
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Frequentist:

- best fit $N_{0\nu} = 0$
- $T_{1/2}(0\nu\beta\beta) > 0.9 \times 10^{26}$ yr, median sensitivity $T_{1/2}(0\nu\beta\beta) > 1.1 \times 10^{26}$ yr at 90% C.L.

Bayesian:

- $T_{1/2}(0\nu\beta\beta) > 0.8 \times 10^{26}$ yr, median sensitivity $T_{1/2}(0\nu\beta\beta) > 0.8 \times 10^{26}$ yr at 90% C.I.

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- **GERDA Phase I design goals reached:**
 - No $0\nu\beta\beta$ signal observed at $Q_{\beta\beta}$; best fit: $N^{0\nu} = 0$
 - Background index: $\sim 10^{-2}$ cts / (keV×kg×yr)
 - Exposure 21.6 kg×yr
 - $T_{1/2}(0\nu\beta\beta) > 2.1 \times 10^{25}$ yr (90% C.L.)
- **GERDA Phase II achievements:**
 - No $0\nu\beta\beta$ signal observed at $Q_{\beta\beta}$; best fit: $N^{0\nu} = 0$
 - **Background index: $\sim 5.7 \times 10^{-4}$ cts / (keV×kg×yr)**
 - Exposure 58.9 kg×yr (April 2018, 82.4 kg×yr in total)
 - **$T_{1/2}(0\nu\beta\beta) > 0.9 \times 10^{26}$ yr (90% C.L.)**
 - $m_{\beta\beta} \leq (0.11 - 0.26)$ eV
- **GERDA Phase II goals:**
 - Background index: $\sim 10^{-3}$ cts / (keV×kg×yr)
 - Exposure: ~ 100 kg×yr
 - Sensitivity: $\sim 10^{26}$ yr
- **GERDA: background-free $0\nu\beta\beta$ experiment (best sensitivity and discovery potential)**
- LEGEND – next generation experiment for $T_{1/2}^{0\nu} \sim 10^{28}$ yr
- LEGEND-200 at LNGS (GERDA technology) ready in 2020/2021

Beyond GERDA → LEGEND



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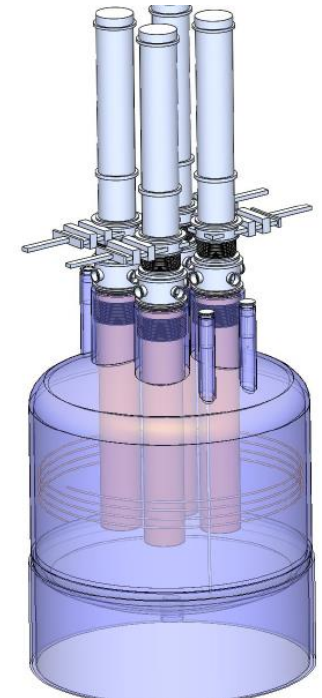


First stage:

- Based on existing GERDA infrastructure
- Up to 200 kg of ^{enr}Ge
- Approved by LNGS (Aug. 2018)
- Under preparation
- Background reduction w.r.t GERDA: ~ 3
- Anticipated start of data taking in 2021
- $T_{1/2}(0\nu\beta\beta) \geq 10^{27}$ yr

Subsequent stages:

- Up to 1000 kg of ^{enr}Ge
- Background reduction w.r.t GERDA: ~ 30
- Location to be defined
- Required depth (^{77m}Ge) under investigation



Summary



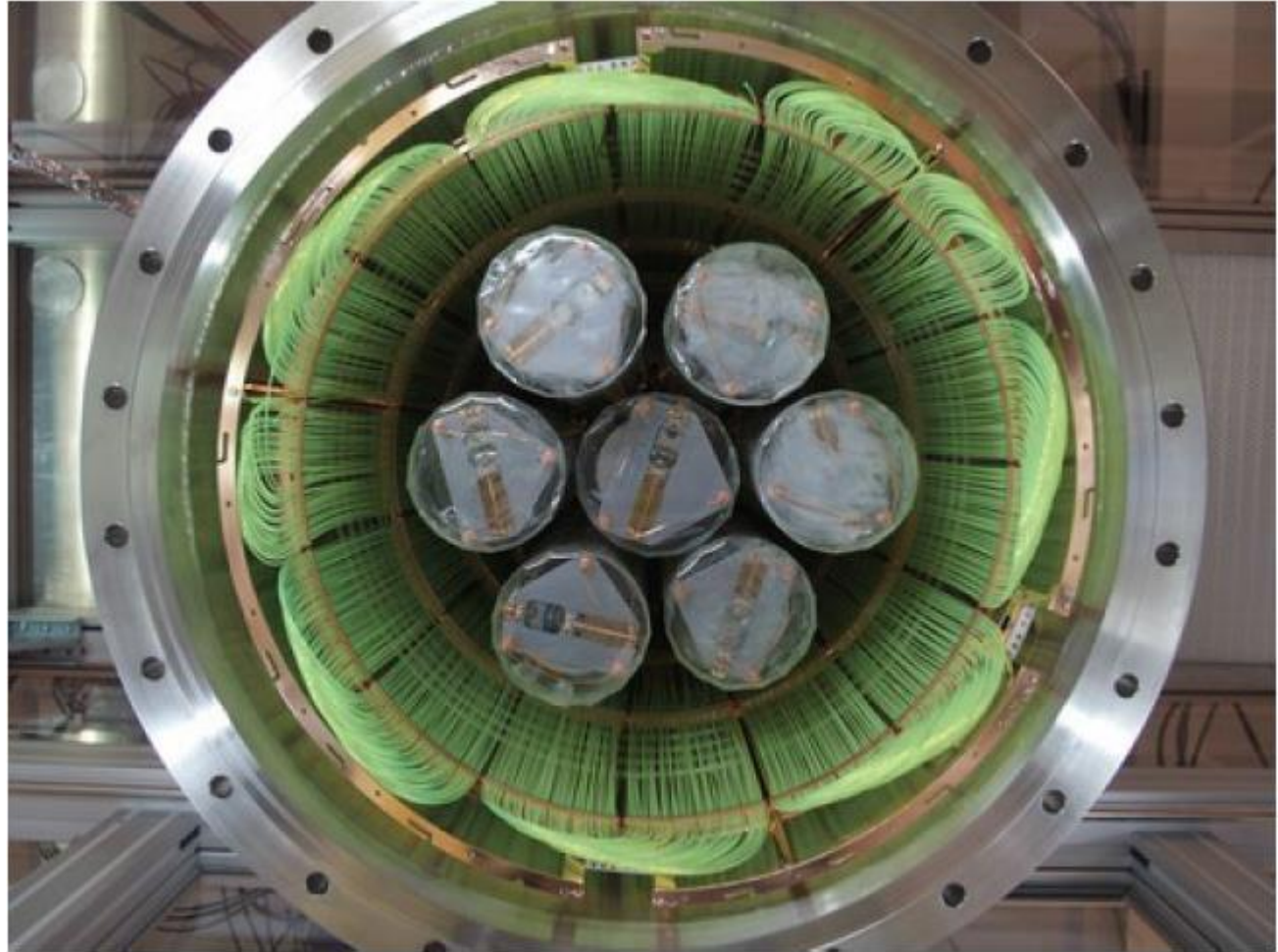
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Achieved what was envisioned in 2004