

# Neutrinoless double beta decay, Leptogenesis, and gauged $U(1)_{\mu - \tau}$ models.

Koichi Hamaguchi (University of Tokyo)

“Double beta decay and underground science” (DBD18)

October 21-23, 2018 @Hawaii



Neutrinoless double beta decay,

# Leptogenesis,

*(mostly review)*

and gauged  $U(1)_{\mu-\tau}$  models.

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# Plan

- Leptogenesis

- ▶ Baryon Asymmetry of the Universe
- ▶ Why “Lepto”genesis?
- ▶ Seesaw and Leptogenesis in a “big picture”

90% of the talk  
= review

cf. Murayama-san's  
talk on Sunday

- Leptogenesis and  $0\nu\beta\beta$  decay
- gauged  $U(1)_{\mu-\tau}$
- Summary

# Plan

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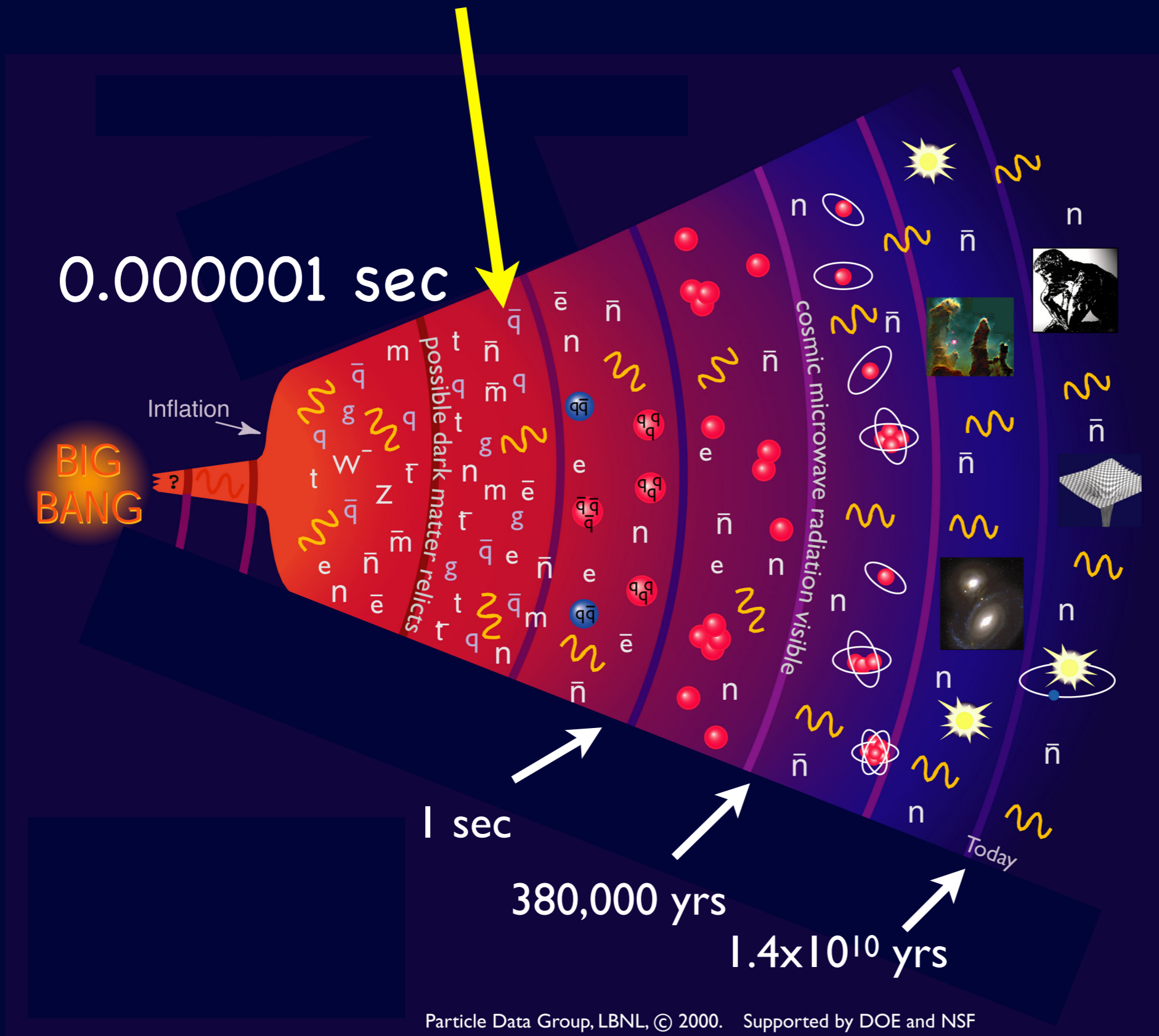
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# Baryon Asymmetry of the Universe

# In the very early Universe,....



In the very early Universe,...

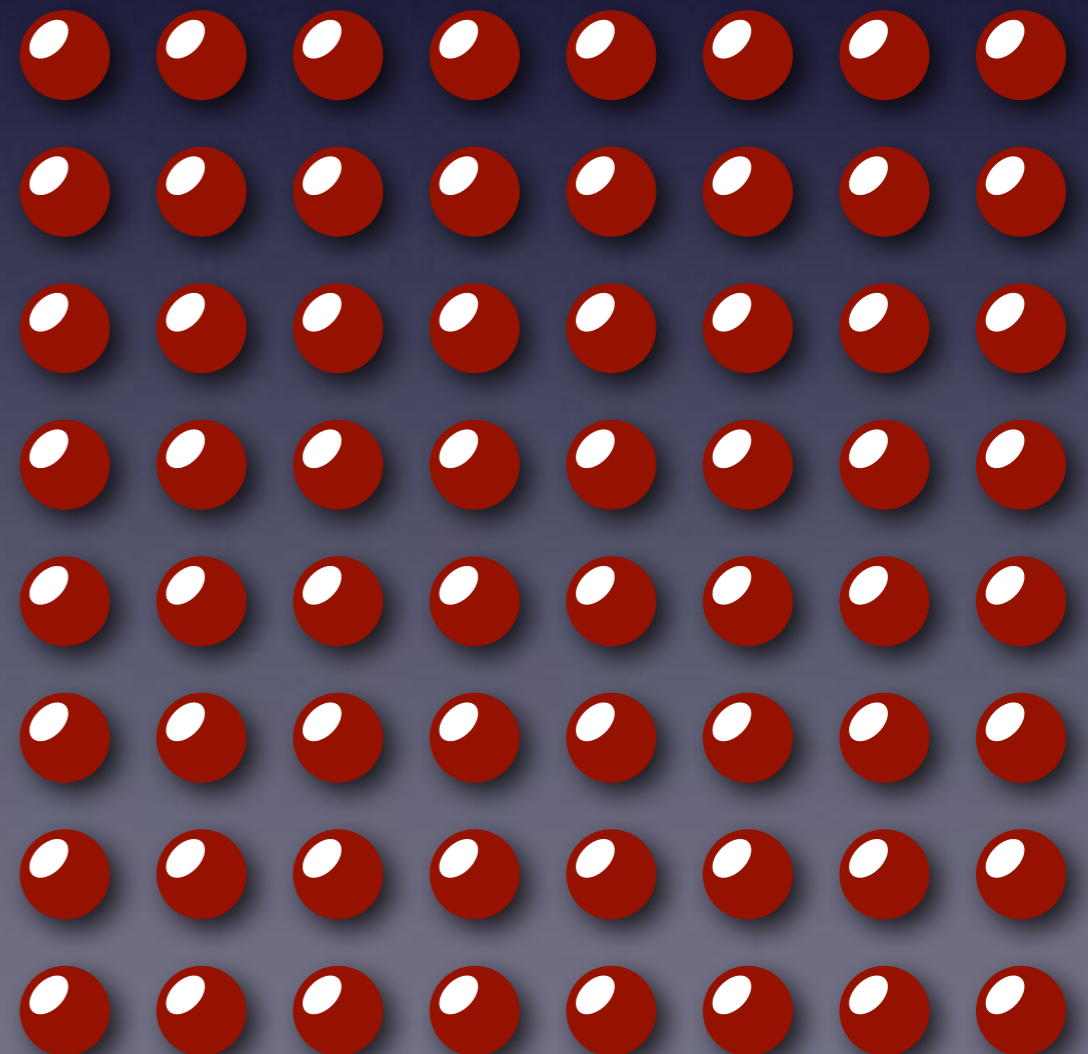
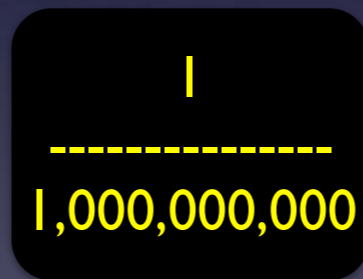
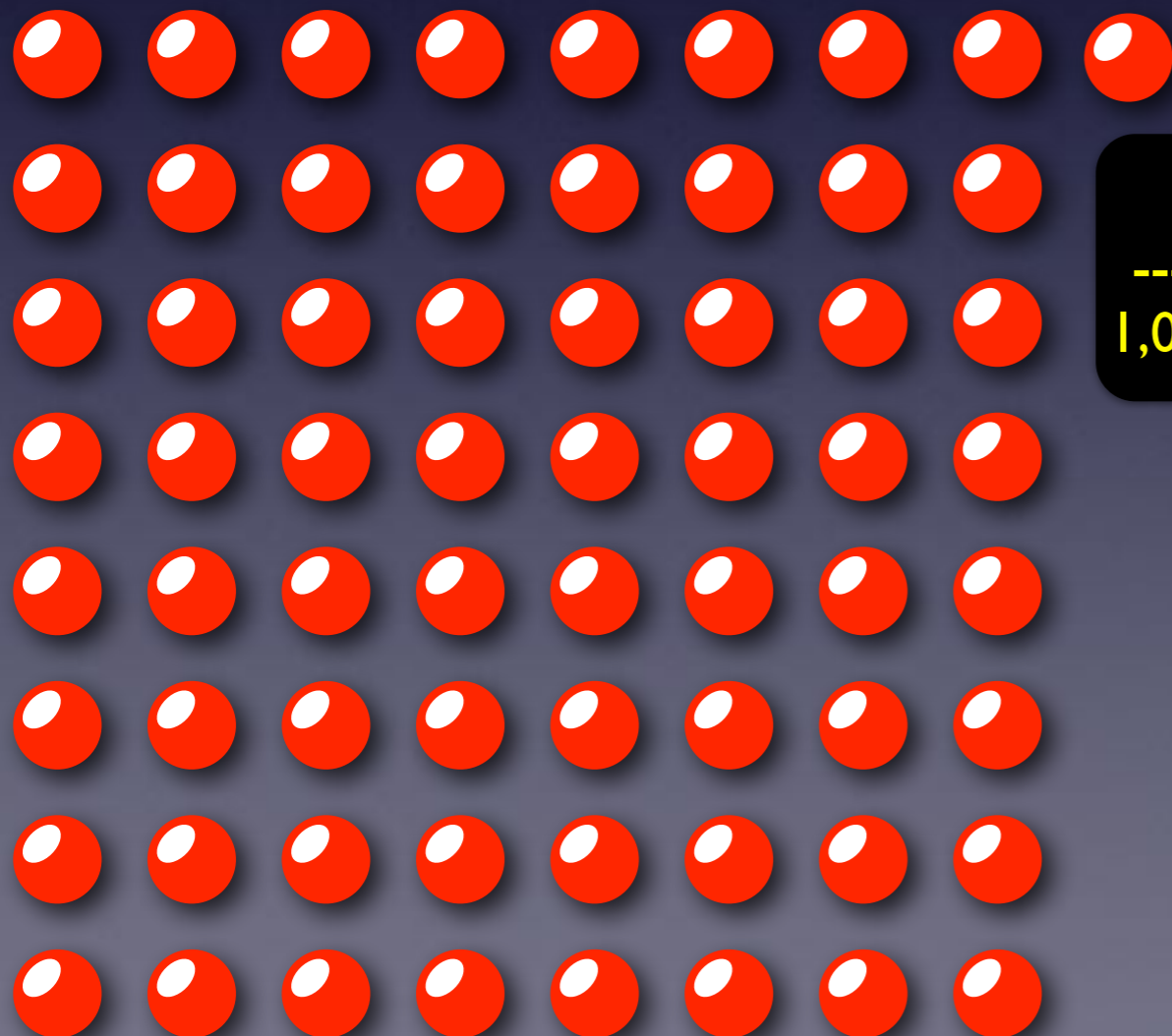
The number of particles and anti-particles were almost the same.

But there was tiny excess of matter over anti-matter.



matter

antimatter



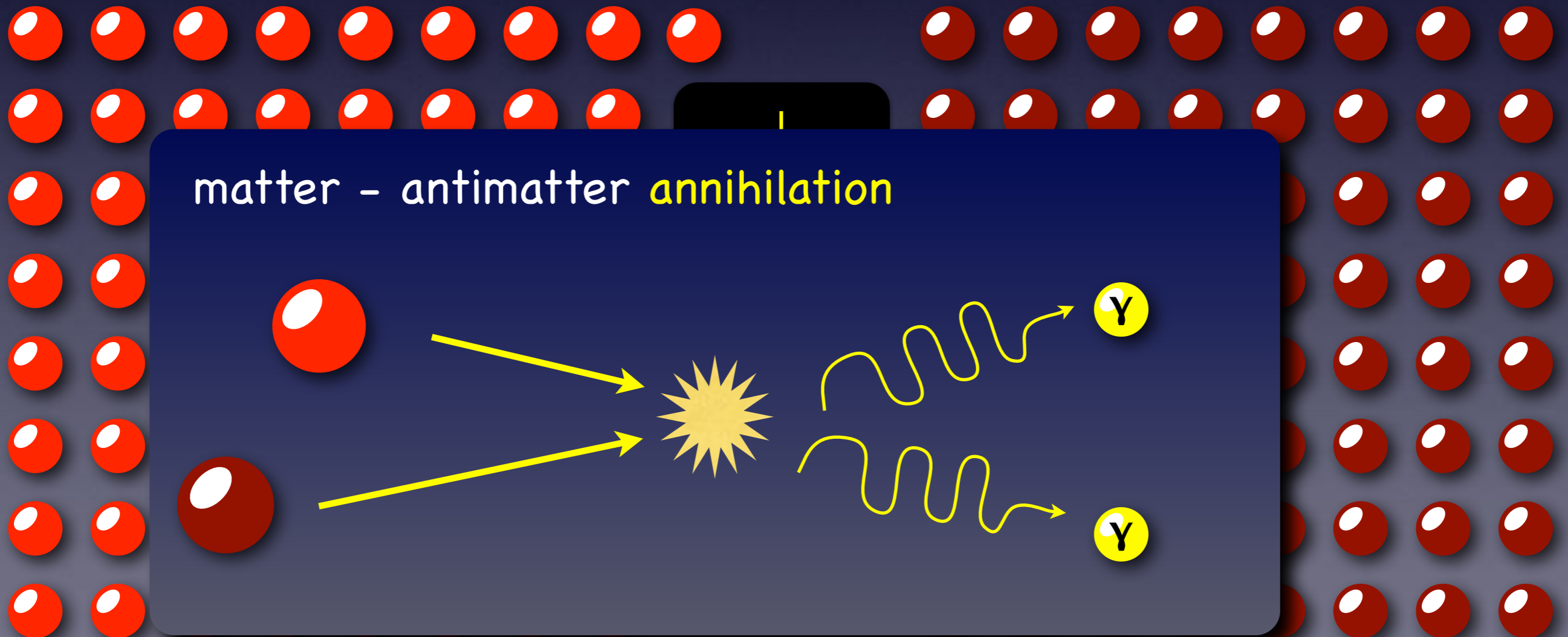
In the very early Universe,...

The number of particles and anti-particles were almost the same.

When the Universe got cooler, they **pair-annihilated**,..

matter

antimatter





In the very early Universe,....

The number of particles and anti-particles were almost the same.

When the Universe got cooler, they **pair-annihilated**,..

only matter remains



(no antimatter)



All of us (Galaxy, the Earth, the human beings,...)

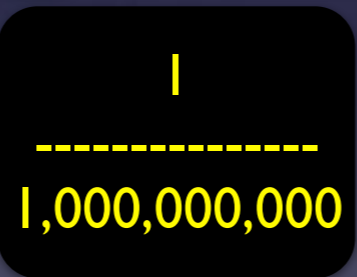
are made from this leftover matter.

# Puzzle

How was the initial excess of matter created ?

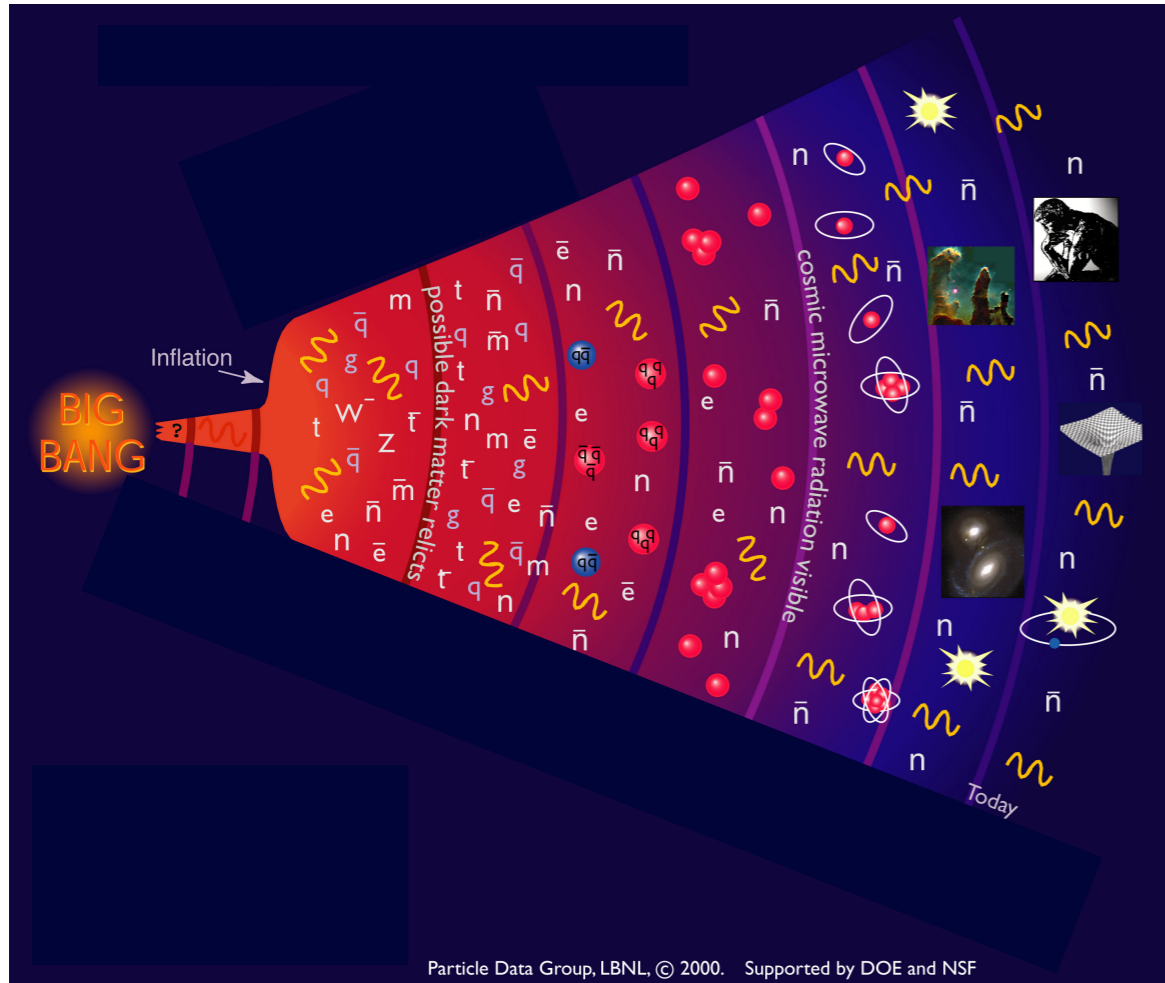
matter

antimatter

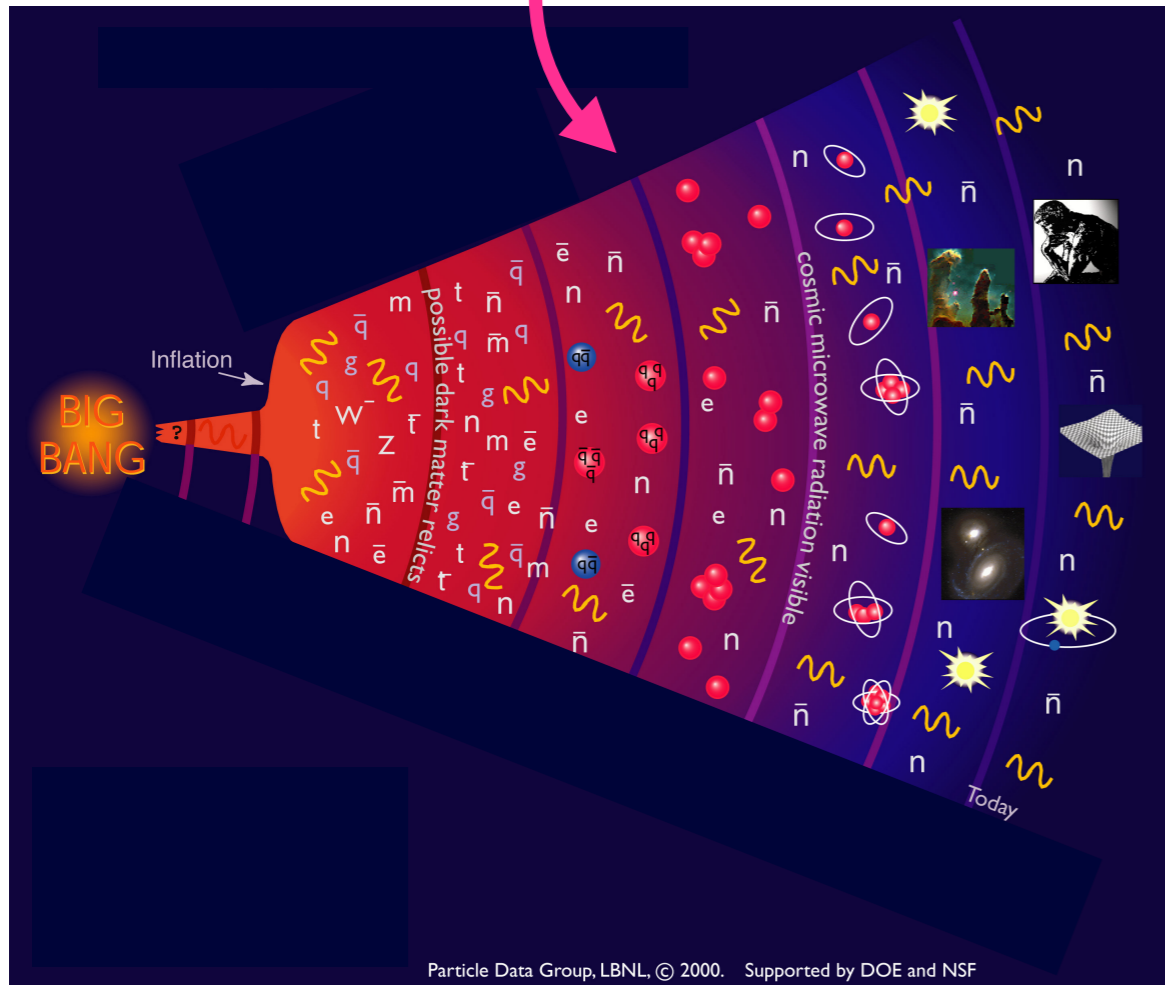


Something beyond the Standard Model is necessary.

# Observations (two independent evidences)



# Observations (two independent evidences)



## (1) Big Bang Nucleosynthesis (BBN) (cosmic time about 1 sec)

$$5.8 \leq \eta_{10} \leq 6.6 \text{ (95\% CL).}$$

$$\longleftrightarrow 0.021 \leq \Omega_b h^2 \leq 0.024 \text{ (95\% CL).}$$

24. Big-Bang nucleosynthesis 3

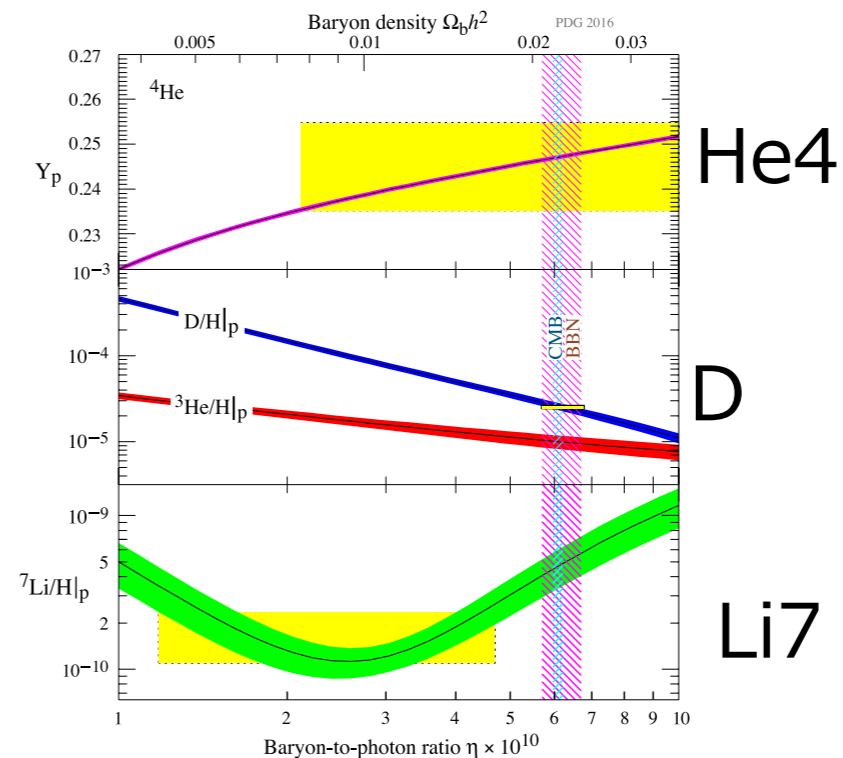
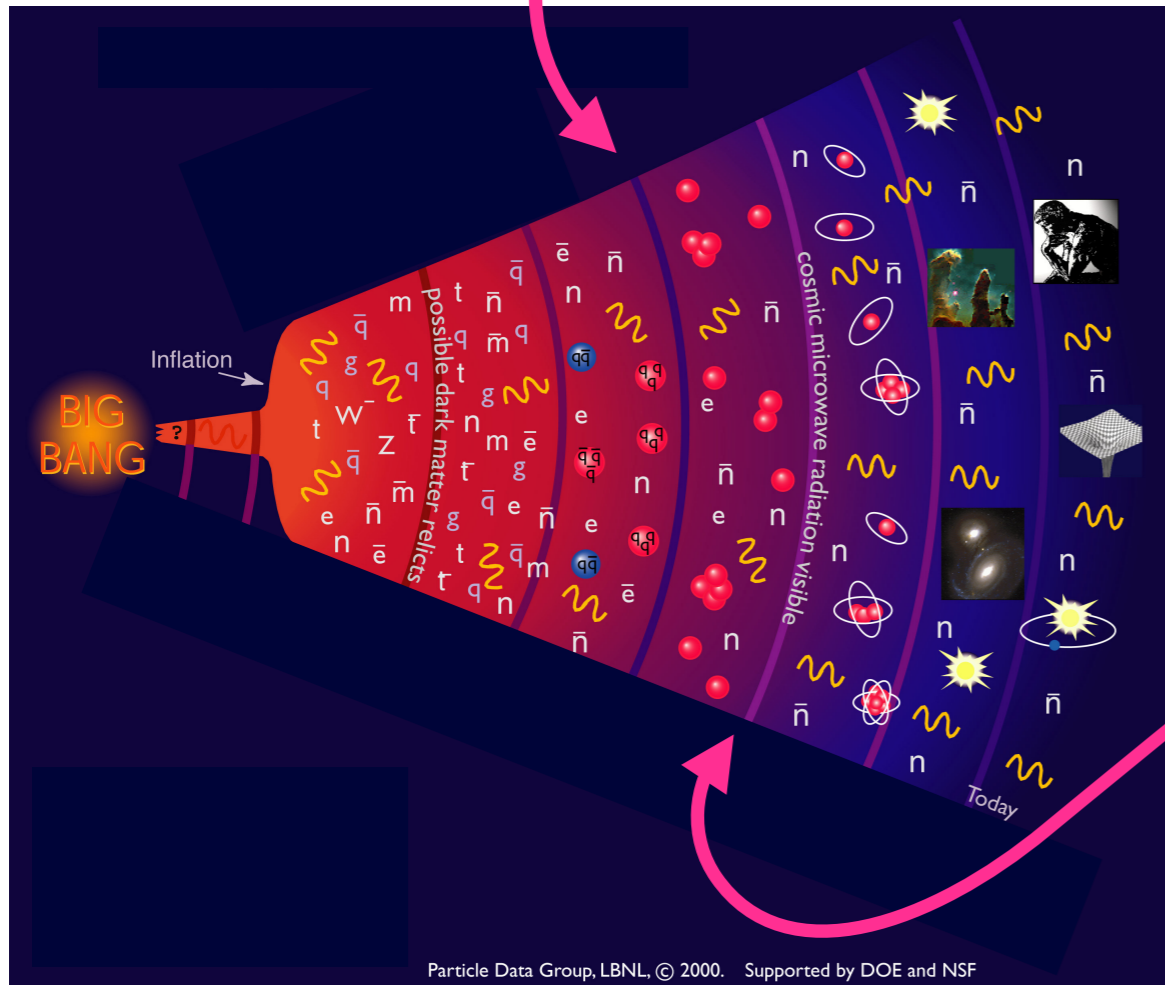


Figure 24.1: The primordial abundances of  ${}^4\text{He}$ ,  $\text{D}$ ,  ${}^3\text{He}$ , and  ${}^7\text{Li}$  as predicted by the standard model of Big-Bang nucleosynthesis—the bands show the 95% CL range [5]. Boxes indicate the observed light element abundances. The narrow vertical band indicates the CMB measure of the cosmic baryon density, while the wider band indicates the BBN concordance range (both at 95% CL).

[Particle Data Group]

# Observations (two independent evidences)



**(1) Big Bang Nucleosynthesis (BBN)**  
(cosmic time about 1 sec)

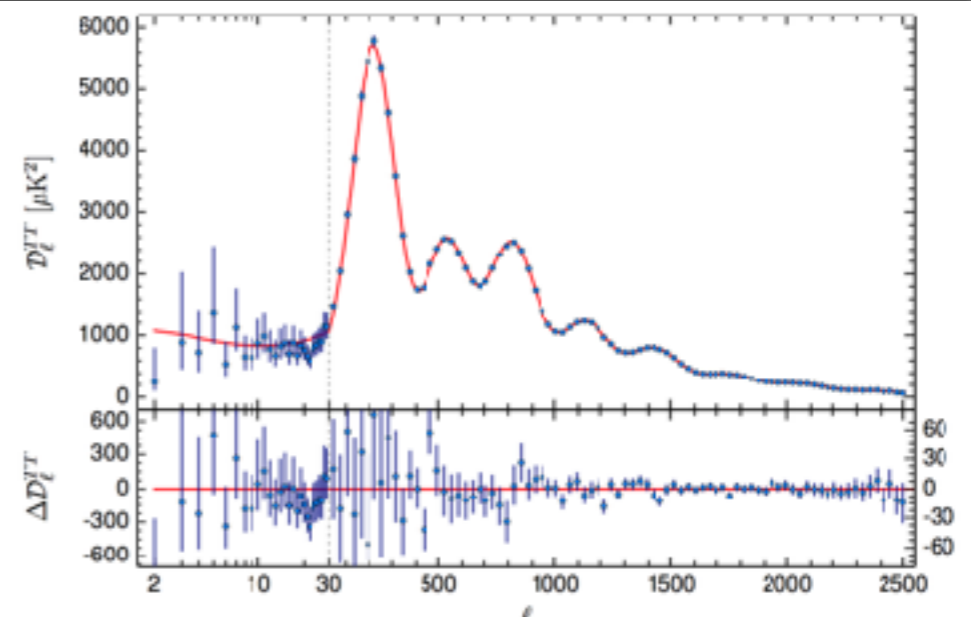
$$5.8 \leq \eta_{10} \leq 6.6 \text{ (95\% CL).}$$

$$\longleftrightarrow 0.021 \leq \Omega_b h^2 \leq 0.024 \text{ (95\% CL).}$$

**(2) Cosmic Microwave background**  
(cosmic time about 400,000 yrs)

$$\Omega_b h^2 \dots 0.02222 \pm 0.00023 \text{ (68\%)}$$

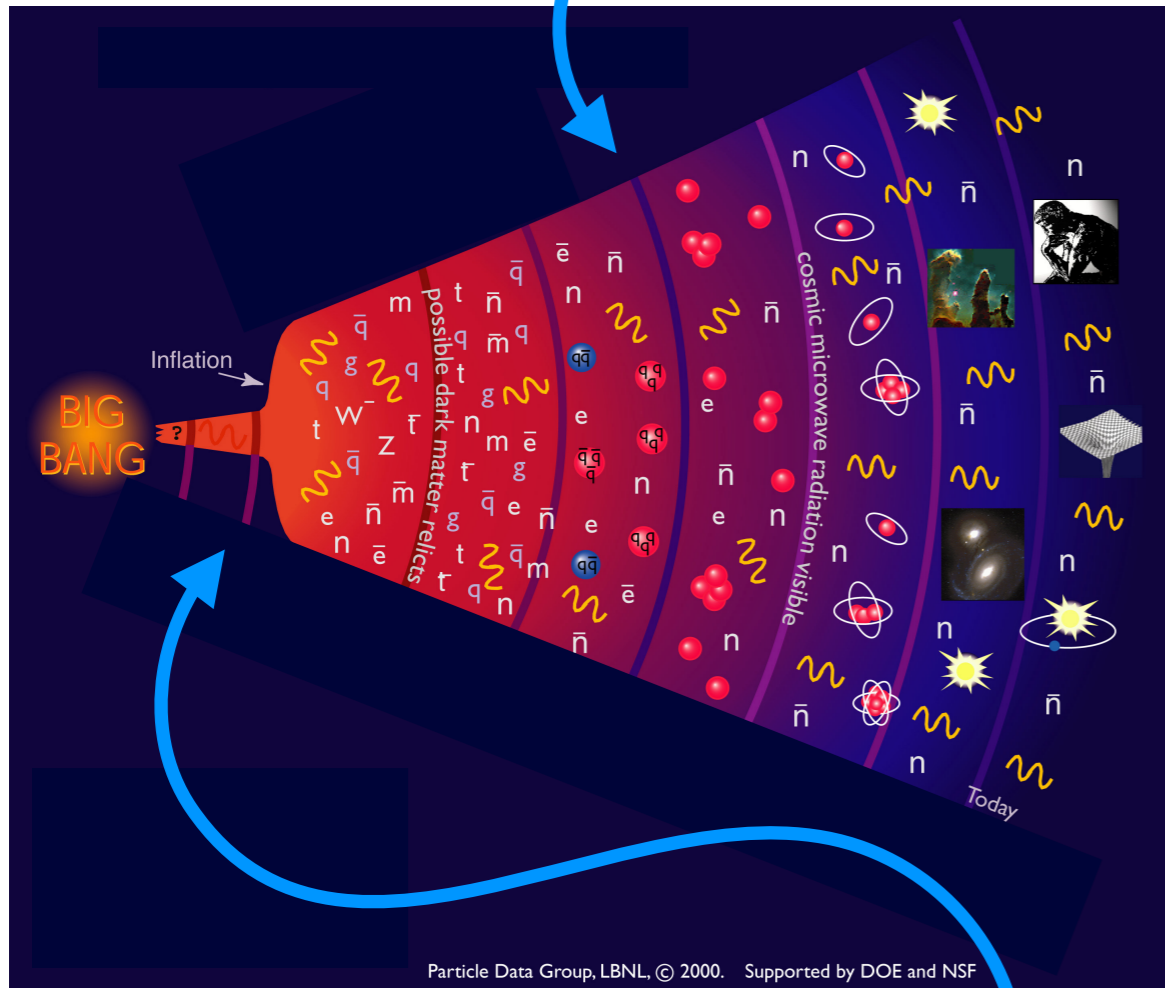
[Planck 2015]



They are consistent  
(2) has better precision.

**When** was the **Baryon Asymmetry of the Universe** generated?

# When was the **Baryon Asymmetry of the Universe** generated?



## At latest, before the BBN

(before 1 sec, temperature  $> 1$  MeV.)

It is difficult to generate the BAU just before the BBN, so usually much earlier time (much higher temperature) is considered.

(An example at a relatively low temperature:  
Electroweak Baryogenesis, @  $T \sim 100$  GeV.)

## At earliest, after the Inflation

because the inflation dilutes everything.

(An example just after the inflation: Non-thermal Leptogenesis.)

The inflaton decays into right-handed neutrinos, which then lead Leptogenesis.

# When was the Baryon Asymmetry of the Universe generated?

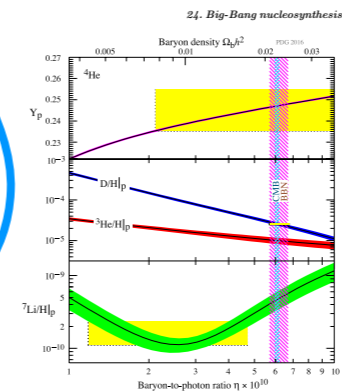
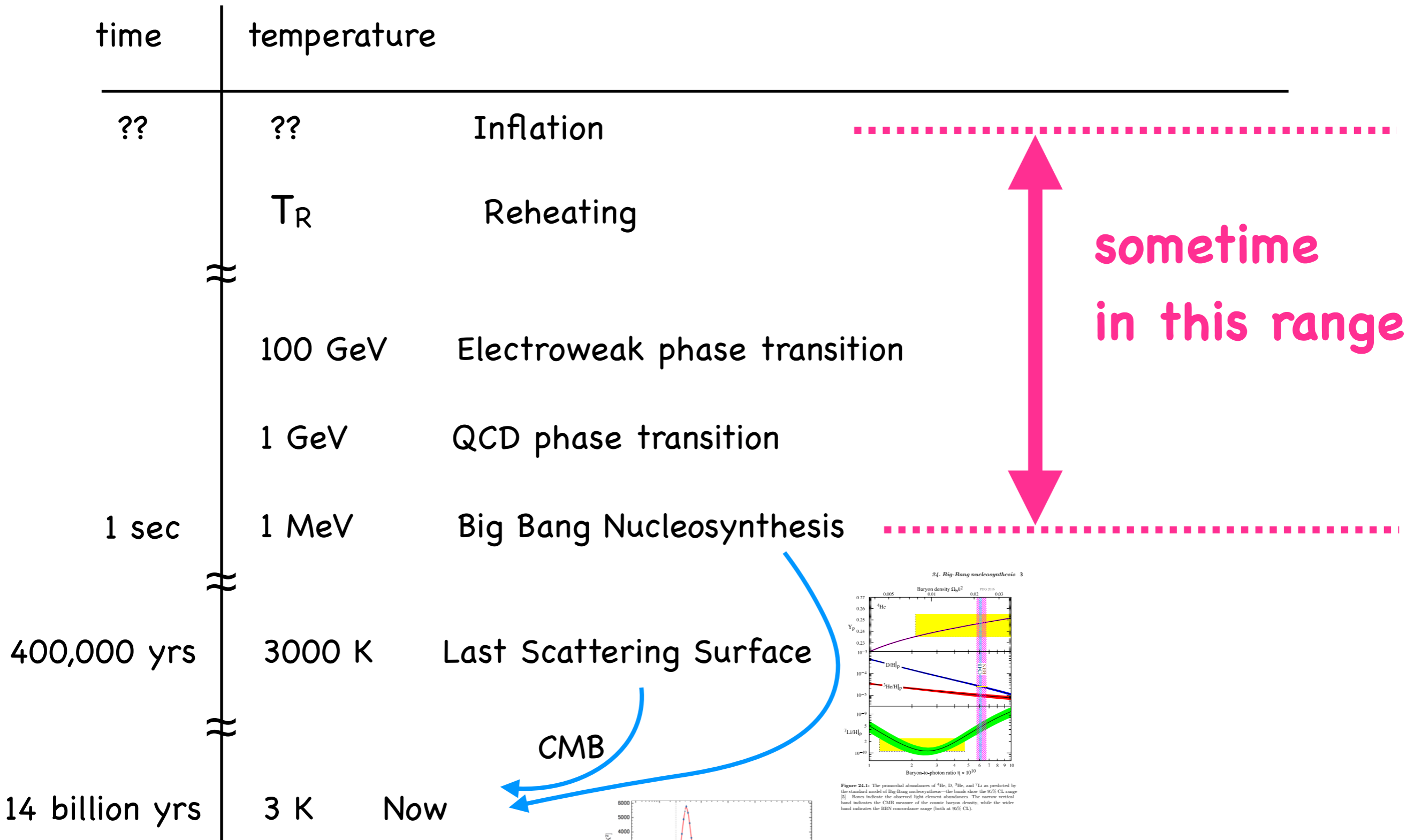
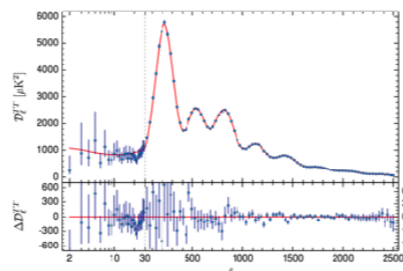
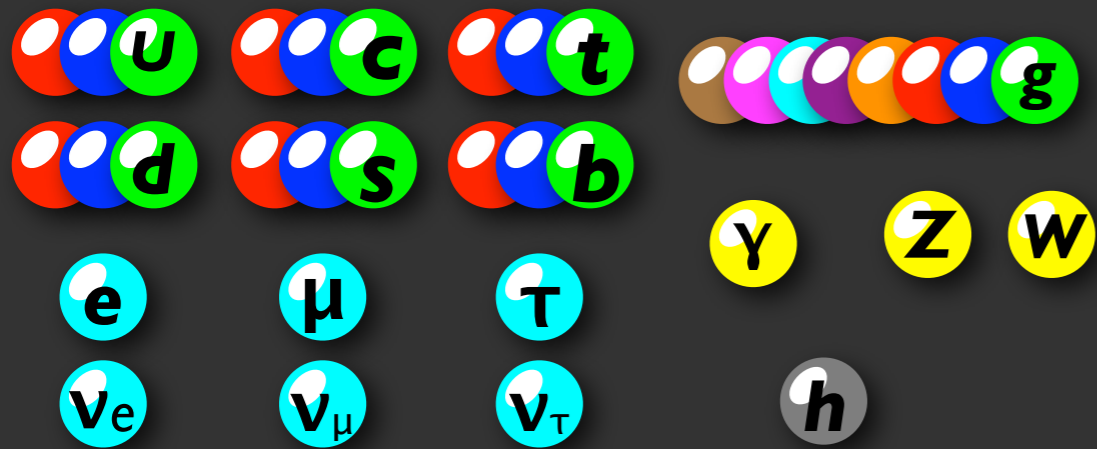


Figure 24.1: The primordial abundances of  $^4\text{He}$ ,  $\text{D}$ ,  $^3\text{He}$ , and  $^7\text{Li}$  as predicted by the standard model of Big Bang nucleosynthesis—the bands show the 95% CL range [5]. Boxes indicate the observed light element abundances. The narrow vertical band indicates the CMB measure of the cosmic baryon density, while the wider band indicates the BBN concordance range (both at 95% CL).





## Standard Model



... does not work.

Sakharov's 3 conditions [Sakharov 1967]

- Baryon number violation
- CP-violation ... (but too small)
- out-of-equilibrium

Something beyond the Standard Model is necessary.

# Plan

- Leptogenesis

- ▶ Baryon Asymmetry of the Universe

- ▶ Why “Lepto”genesis?

- ▶ Seesaw and Leptogenesis in a “big picture”

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Why “Lepto”genesis?

# Why “Lepto”genesis?

## Within the Standard Model,...

Both Baryon # (B) and Lepton # (L) are conserved at classical level.

$$\partial_\mu J_B^\mu = \partial_\mu J_L^\mu = 0$$

However, B and L are violated at quantum level! [’t Hooft, ’76]

$$\partial_\mu J_B^\mu = \partial_\mu J_L^\mu = N_f \frac{g_2^2}{32\pi^2} \epsilon_{\mu\nu\rho\sigma} \text{Tr} F^{\mu\nu} F^{\rho\sigma} \neq 0$$

**Note:** B-L is conserved

$$\partial_\mu (J_B^\mu - J_L^\mu) = 0$$

Although there is essentially no effect at low energy,...

$$\Gamma_{B,L} \sim e^{-16\pi^2/g_2^2} \sim 10^{-170}$$

# Why “Lepto”genesis?

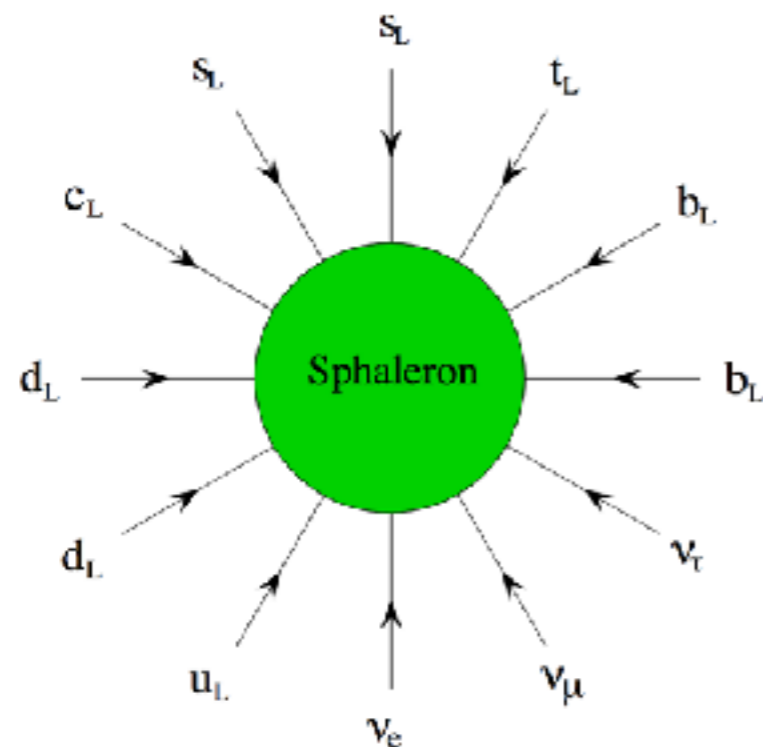
## Within the Standard Model,...

At high temperature,  $T \gg 100 \text{ GeV}$ ,

**B and L violating processes (sphaleron)**

**become very rapid, and in thermal equilibrium!**

[Kuzmin, Rubakov, Shaposhnikov,'85]



### Sphaleron process

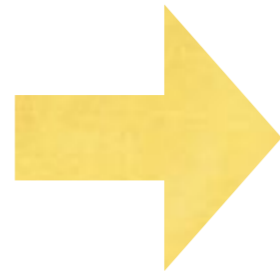
processes involving 9 quarks ( $B=3$ ) and 3 leptons ( $L=3$ ).

Note that  $B-L$  is conserved.

Figure 1: One of the 12-fermion processes which are in thermal equilibrium in the high-temperature phase of the Standard Model.

Therefore, if the Baryon asymmetry is generated via a B-L conserving process,...

e.g, GUT baryogenesis

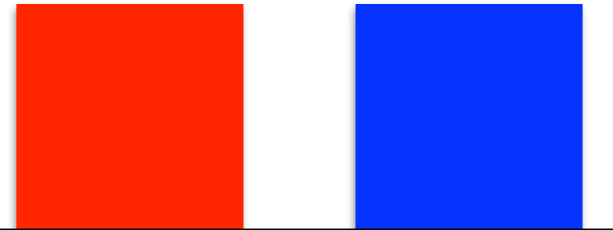


$B=0$

$L=0$

$B=100$

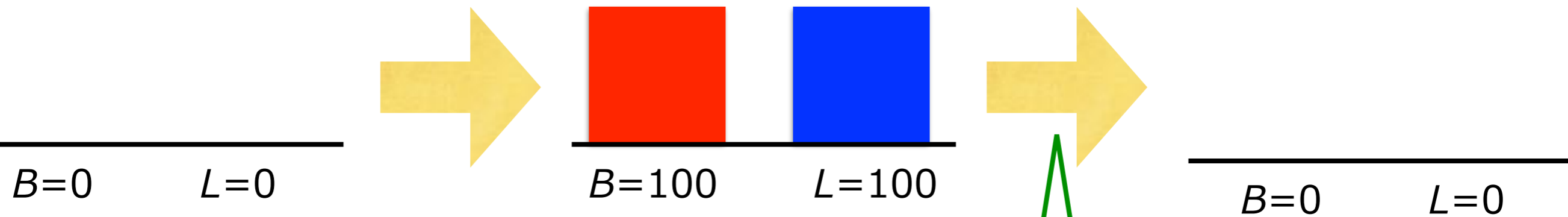
$L=100$



Therefore, if the Baryon asymmetry is generated via a B-L conserving process,...

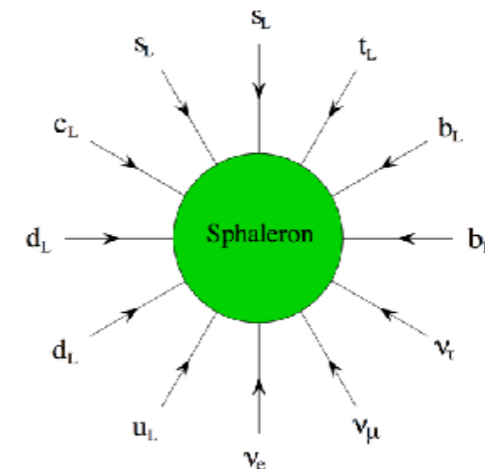
Finally  $B=0$  at equilibrium.

e.g, GUT baryogenesis



sphaleron process

**B-L violating process  
is necessary.**



## Sakharov's 3 conditions

- ~~Baryon number (B) violation~~

**B-L violation**

- C and CP violation

- Out-of-equilibrium

Baryogenesis can work, not only via B-violation, but also via **L-violation**.

and L-violation implies,...

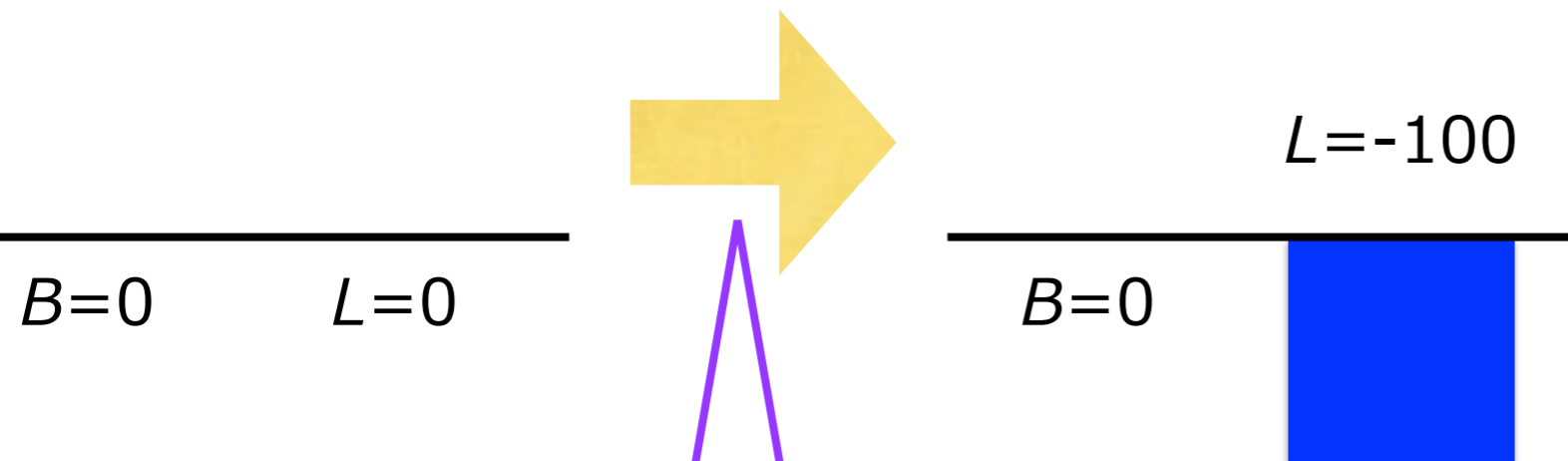
**Majorana neutrino, and  $0\nu\beta\beta$  decay!!**



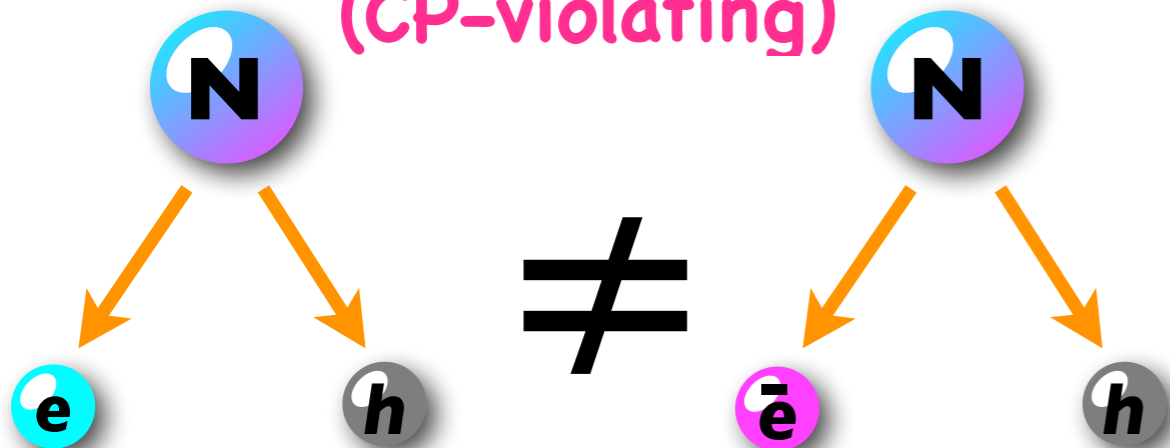
# Lepgogenesis

[Fukugita, Yanagida, '86]

generate Lepton asymmetry



right-handed neutrino decay  
(CP-violating)

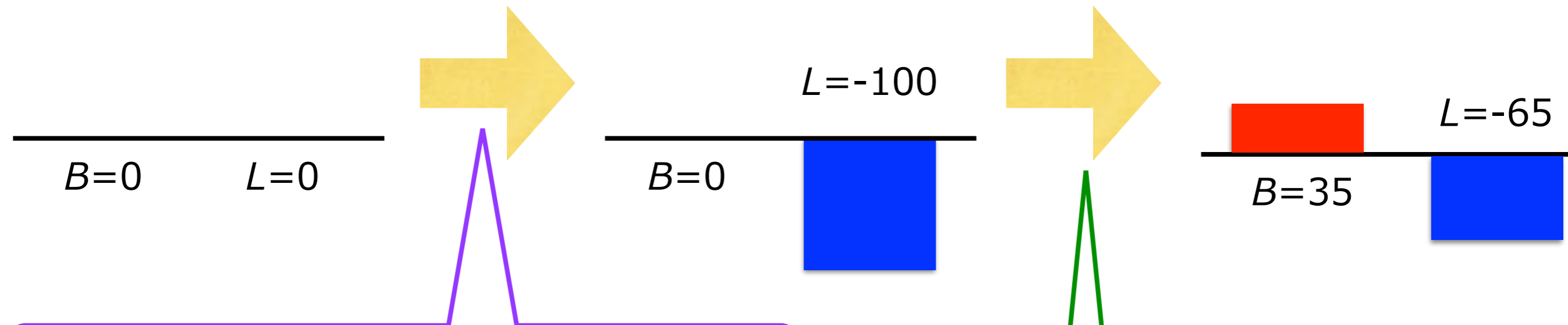


# Lepgogenesis

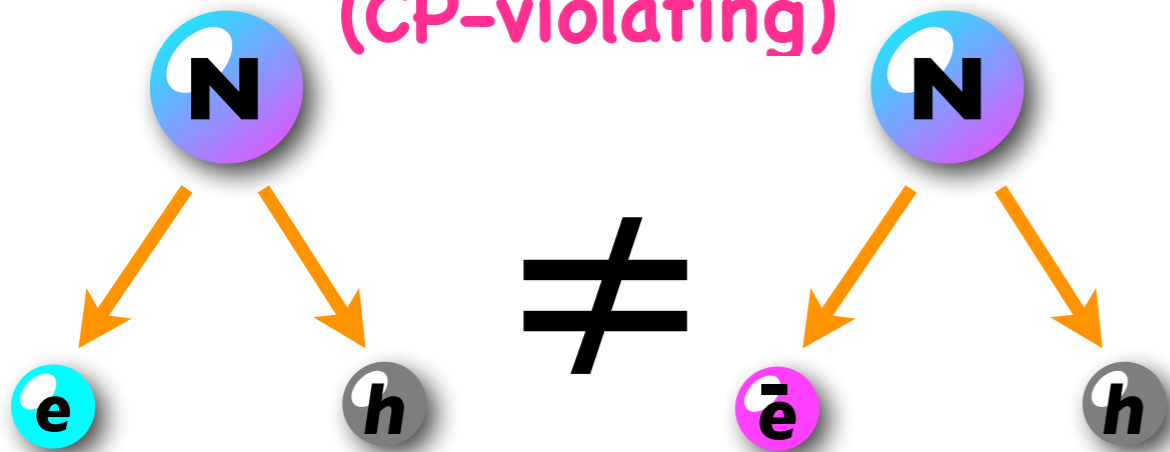
[Fukugita, Yanagida, '86]

generate Lepton asymmetry

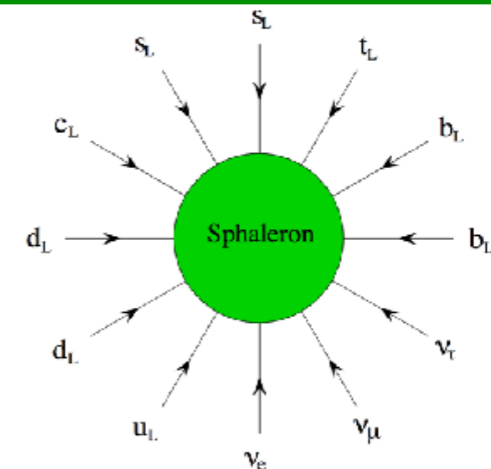
Then,  $B \neq 0$  remains at equilibrium!



right-handed neutrino decay  
(CP-violating)



sphaleron process



# Leptogenesis

[Fukugita, Yanagida, '86]

## There are various versions...

- Thermal Leptogenesis

[Fukugita, Yanagida, '86, ..... Buchmuller, Plumacher, Di Bari,.....]

- Leptogenesis from Inflaton Decay

[..... Asaka, KH, Kawasaki, Yanagida, '99.....]

- Leptogenesis from R.H. Sneutrino dominated Universe

[Murayama, Yanagida, '93, ..... KH, Murayama, Yanagida, '01.....]

[Murayama, Suzuki, Yanagida, Yokoyama, '93, ... .. ]

- Affleck-Dine Leptogenesis

[Murayama, Yanagida, '93, ..... Asaka, Fujii, KH, Yanagida, '00, Fujii, KH, Yanagida, '01, .....]

- via R.H.N oscillation ( $\nu$ MSM)

[Akhmedov, Rubakov, Smirnov, '98, Asaka, Shasposhnikov, '05.....]

(+ many others ...)

and also recent progresses... See e.g., arXiv:1711.02861~ 1711.02866.

**All of them require L-number violation,  
and predict  $0\nu\beta\beta$  decay!!**

Exception: "Dirac leptogenesis".  
[Dick, Lindner, Ratz, Wright, 99,  
Murayama, Pierce, 02]

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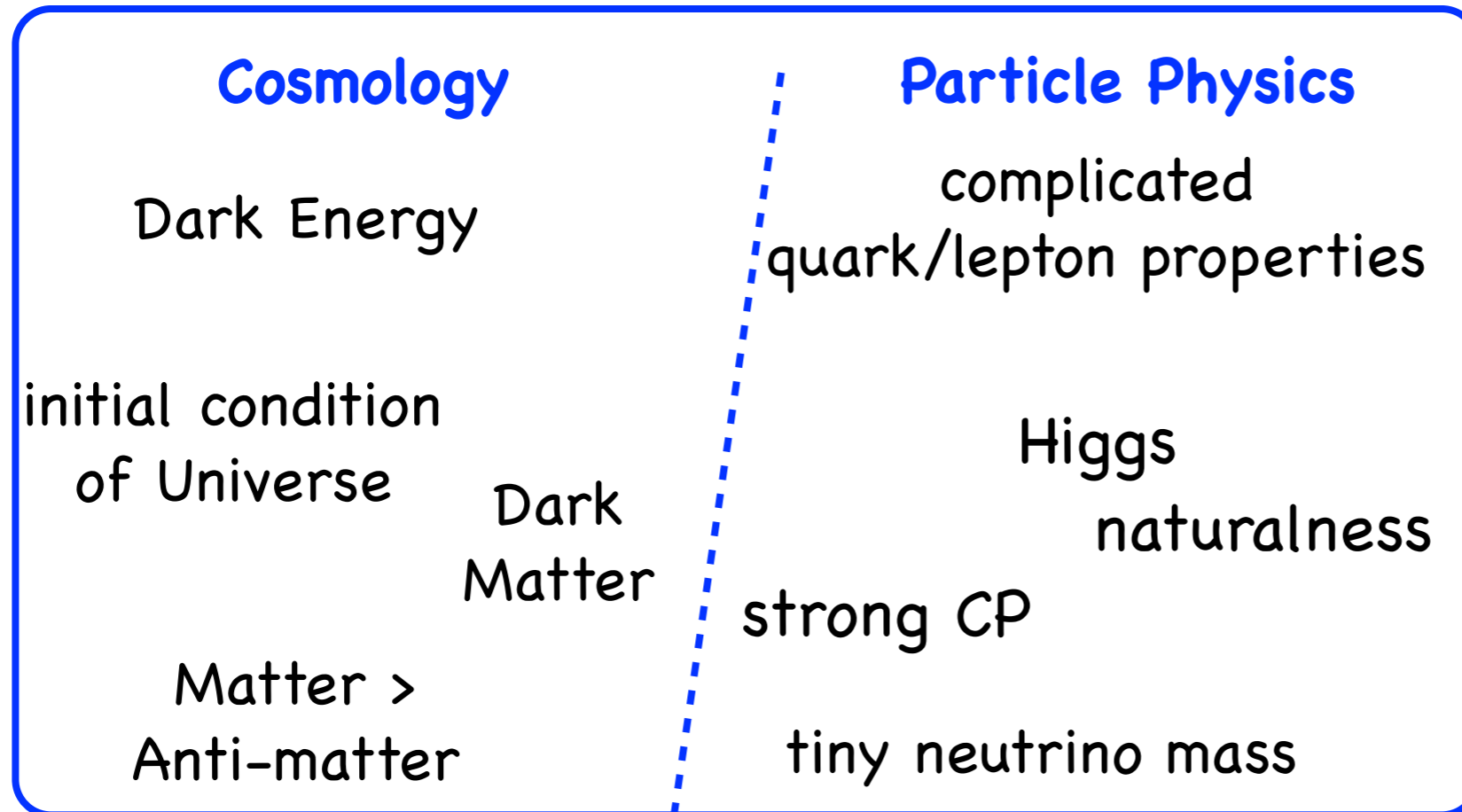
- Summary

Seesaw and Leptogenesis in a “big picture”

# Seesaw and Leptogenesis in a "big picture"

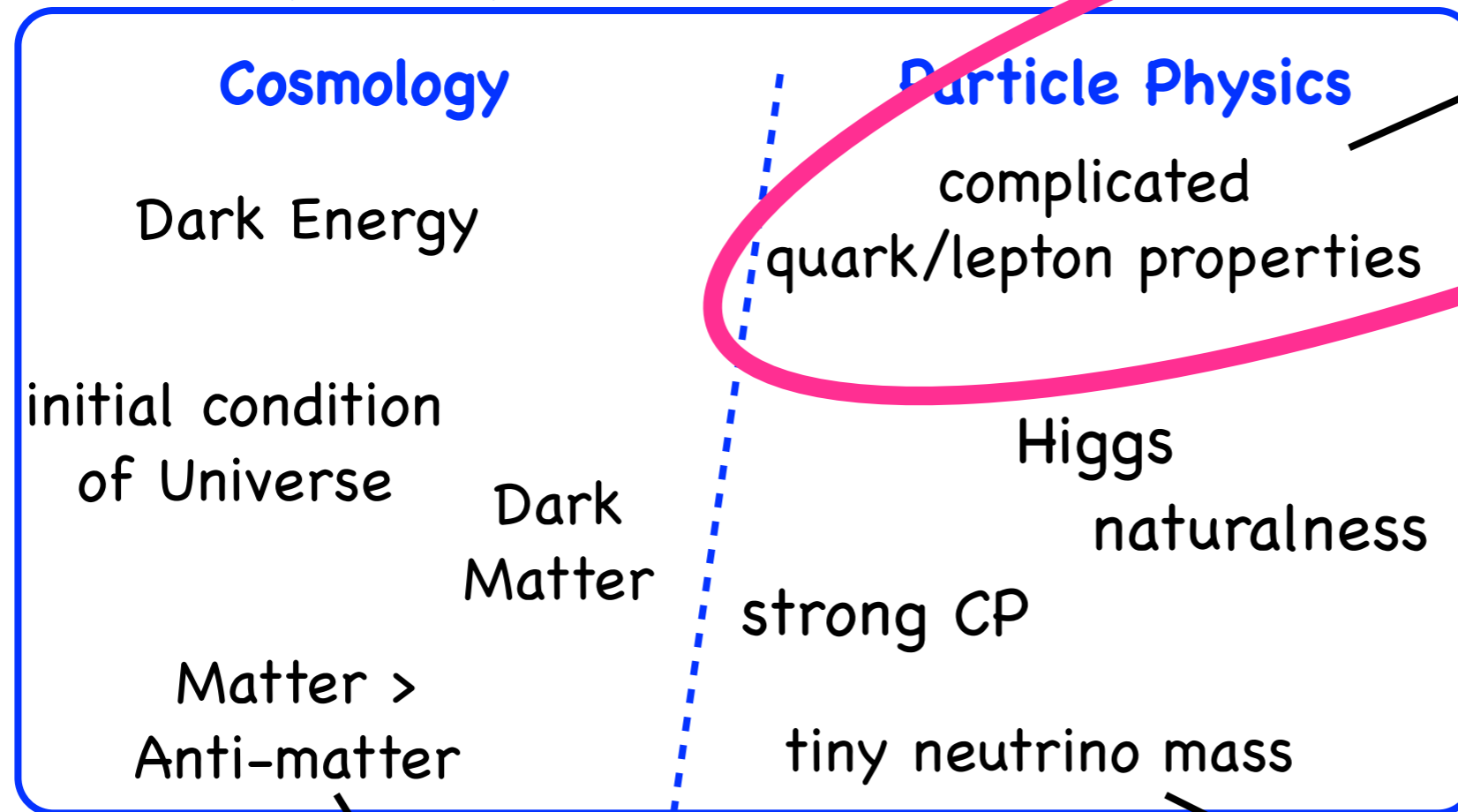
Puzzles in the Standard Model

= Hints of Physics beyond the Standard Model



# Seesaw and Leptogenesis in a "big picture"

Puzzles in the Standard Model  
= Hints of Physics beyond the Standard Model



**Grand Unified Theory**

**Leptogenesis**  
baryogenesis

**(heavy) Right-handed neutrino**

**$0\nu\beta\beta$  decay!!**

# Standard Model

left-handed  
quark

right-handed  
up quark

right-handed  
down quark

left-handed  
lepton

right-handed  
lepton

$$\begin{pmatrix} u \\ d \end{pmatrix}_L$$

$$(3, 2)_{+1/6}$$

$$u_R$$

$$(\bar{3}, 1)_{-2/3}$$

$$d_R$$

$$(\bar{3}, 1)_{+1/3}$$

$$\begin{pmatrix} e \\ \nu_e \end{pmatrix}_L$$

$$(1, 2)_{-1/2}$$

$$e_R$$

$$(1, 1)_{+1}$$

hyper charge

Feel strong  
force

Don't feel  
strong force

Feel weak force

Don't feel weak force

... very complicated !!

Q: any simple, unified theory to explain it?



# Standard Model

$$\begin{pmatrix} u \\ d \end{pmatrix}_L \quad \begin{pmatrix} u \\ d \end{pmatrix}_R \quad \begin{pmatrix} u \\ d \end{pmatrix}_R \quad \begin{pmatrix} e \\ \nu_e \end{pmatrix}_L \quad \begin{pmatrix} e \end{pmatrix}_R$$

$$(3,2)_{+1/6} \quad (\bar{3},1)_{-2/3} \quad (\bar{3},1)_{+1/3} \quad (1,2)_{-1/2} \quad (1,1)_{+1}$$

Q: any simple, unified theory to explain it?

electronic  
magnetic

electro-  
magnetic  
weak

electroweak

Grand Unified  
Theory !!

Standard Model

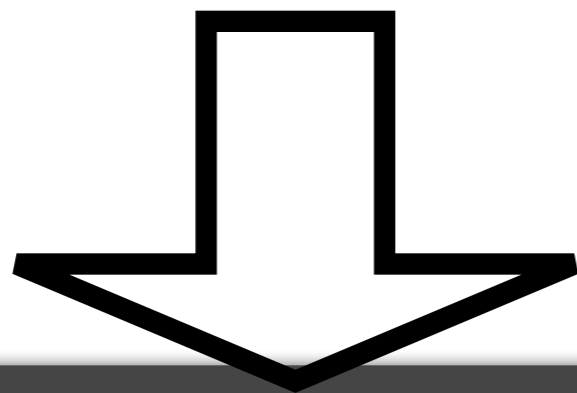
strong

# Standard Model

$$\begin{pmatrix} u \\ d \end{pmatrix}_L \quad \begin{pmatrix} u \\ d \end{pmatrix}_R \quad \begin{pmatrix} d \end{pmatrix}_R \quad \begin{pmatrix} e \\ \nu_e \end{pmatrix}_L \quad \begin{pmatrix} e \end{pmatrix}_R$$

$$(3,2)_{+1/6} \quad (\bar{3},1)_{-2/3} \quad (\bar{3},1)_{+1/3} \quad (1,2)_{-1/2} \quad (1,1)_{+1}$$

Q: any simple, unified theory to explain it?



$$1/3 + 1/3 + 1/3 - 1/2 - 1/2 = 0$$

Complicated numbers are naturally explained !

# Grand Unified Theory

[ SU(5) case ]

$$\begin{pmatrix} u \\ d \\ u \\ d \\ e \end{pmatrix}_L \quad \begin{pmatrix} u \\ d \end{pmatrix}_R \quad \begin{pmatrix} e \end{pmatrix}_R \quad \begin{pmatrix} e \\ \nu_e \\ d \end{pmatrix}_R$$

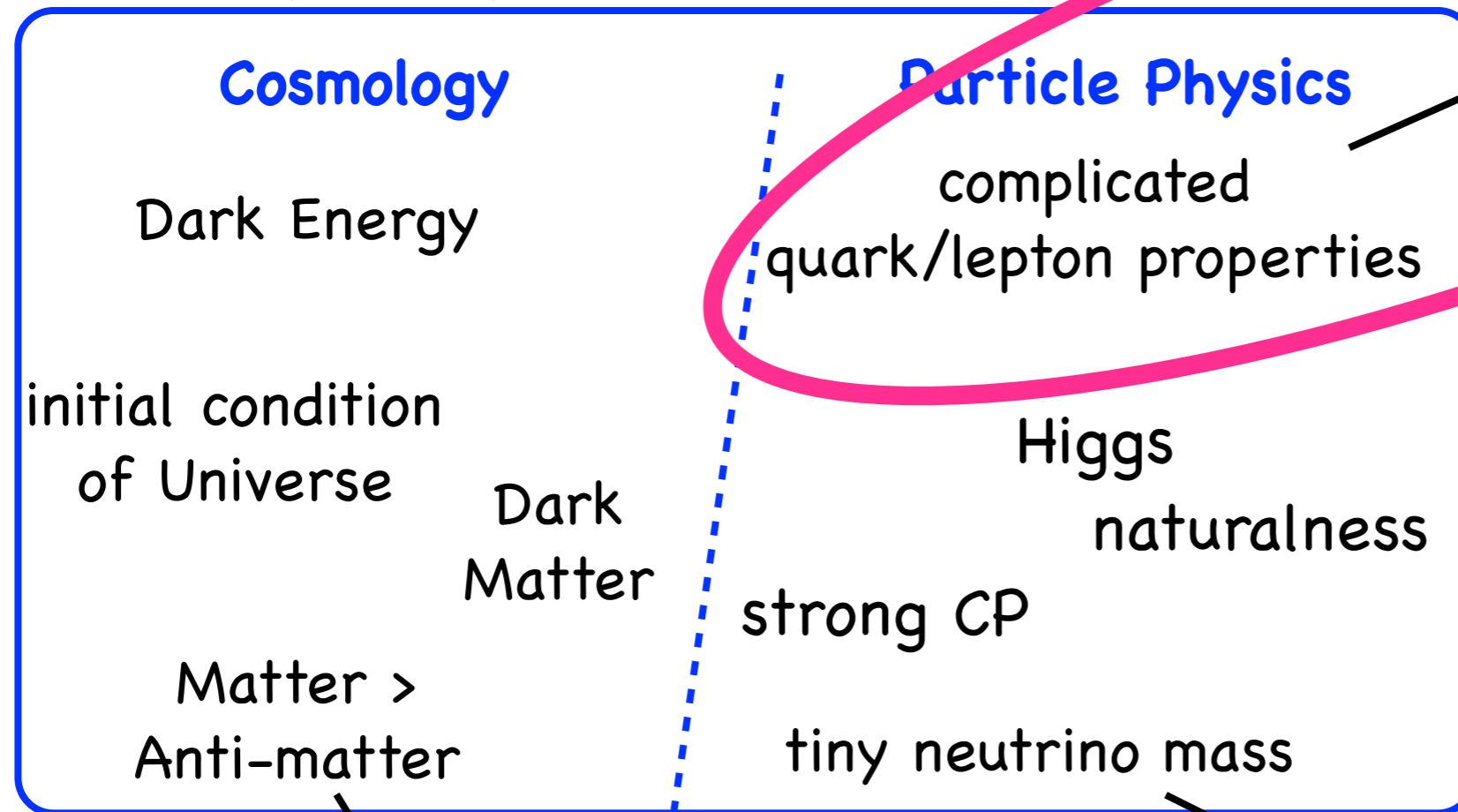
$$10 \quad \bar{5}$$

... beautifully unified into simple SU(5) representations !

[Georgi, Glashow 1974]

# Seesaw and Leptogenesis in a "big picture"

Puzzles in the Standard Model  
= Hints of Physics beyond the Standard Model



Grand Unified Theory

baryogenesis

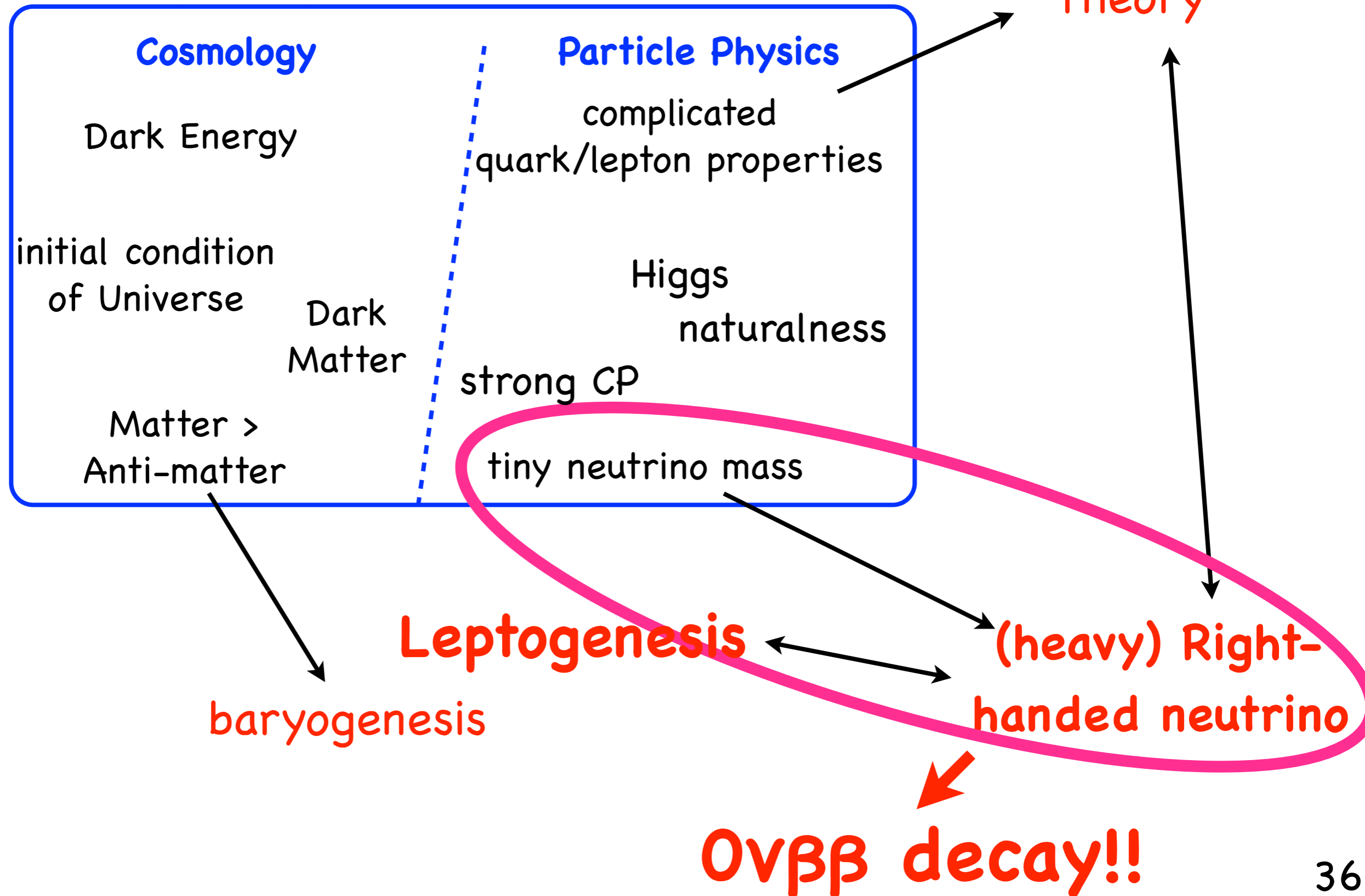
Leptogenesis

(heavy) Right-handed neutrino

$0\nu\beta\beta$  decay!!

# Seesaw and Leptogenesis in a "big picture"

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# puzzle: neutrino masses

$$\begin{array}{ccccc}
 \left( \begin{array}{c} \text{u} \\ \text{d} \end{array} \right)_L & \left( \text{u} \right)_R & \left( \text{d} \right)_R & \left( \begin{array}{c} \text{e} \\ \nu_e \end{array} \right)_L & \left( \text{e} \right)_R \\
 (3, 2)_{+1/6} & (\bar{3}, 1)_{-2/3} & (\bar{3}, 1)_{+1/3} & (1, 2)_{-1/2} & (1, 1)_{+1}
 \end{array}$$

left-handed  
quark

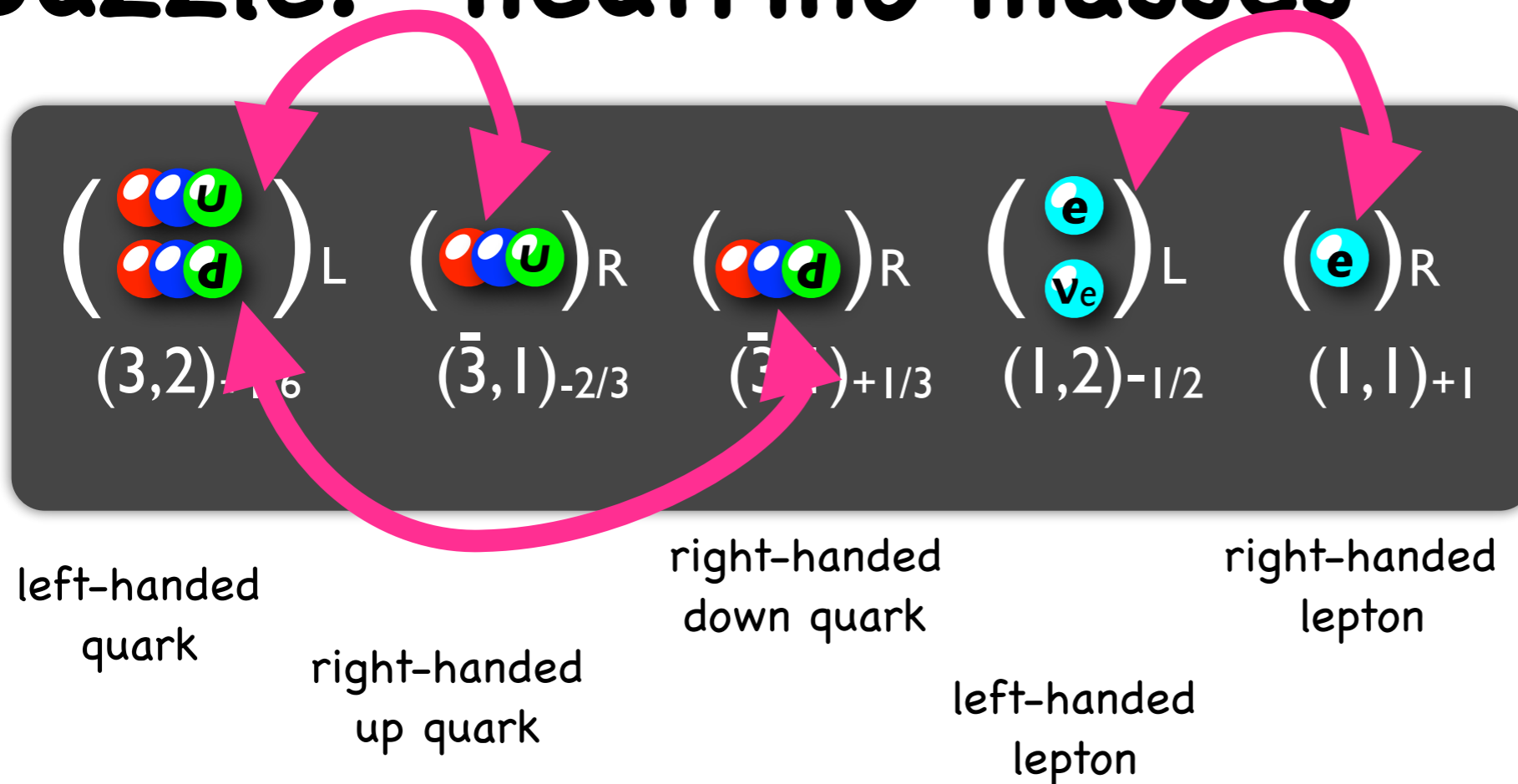
right-handed  
up quark

right-handed  
down quark

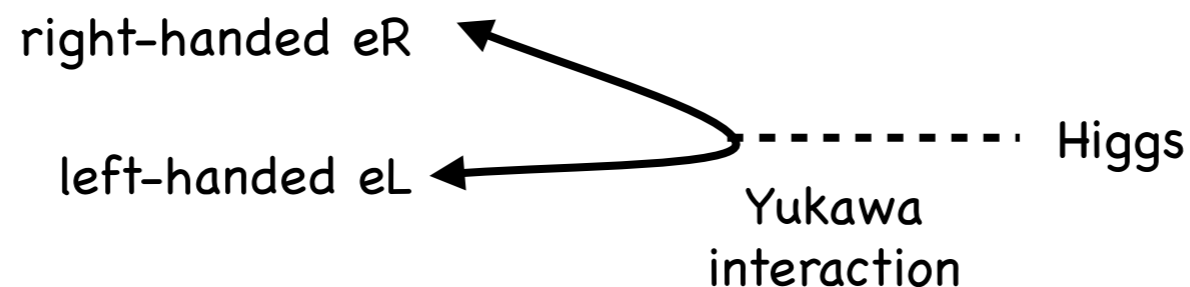
left-handed  
lepton

right-handed  
lepton

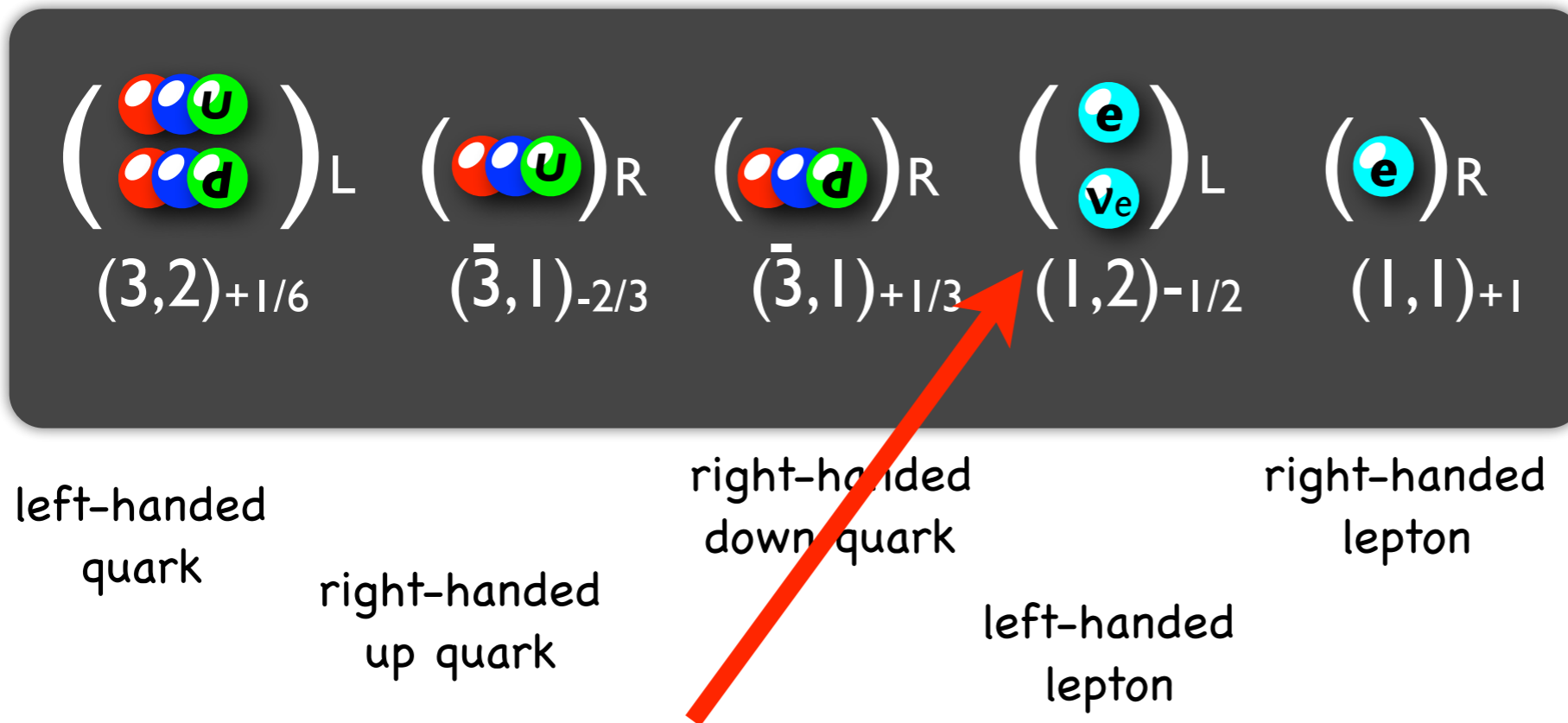
# puzzle: neutrino masses



Quarks and leptons have masses by combining left- and right-handed components (via Higgs, Yukawa interaction).



# puzzle: neutrino masses

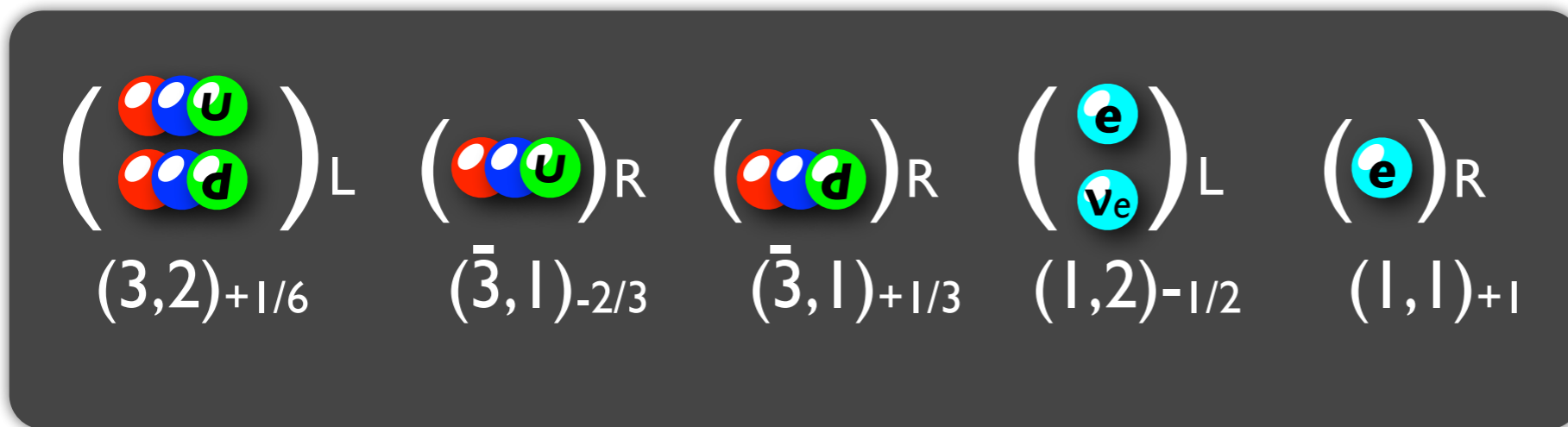


The neutrino has only left-handed component.

**==> Massless !!**

But the neutrino masses are confirmed by neutrino oscillations!

# puzzle: neutrino masses



left-handed  
quark

right-handed  
up quark

right-handed  
down quark

left-handed  
lepton

right-handed  
lepton



right-handed  
neutrino

a solution is ...

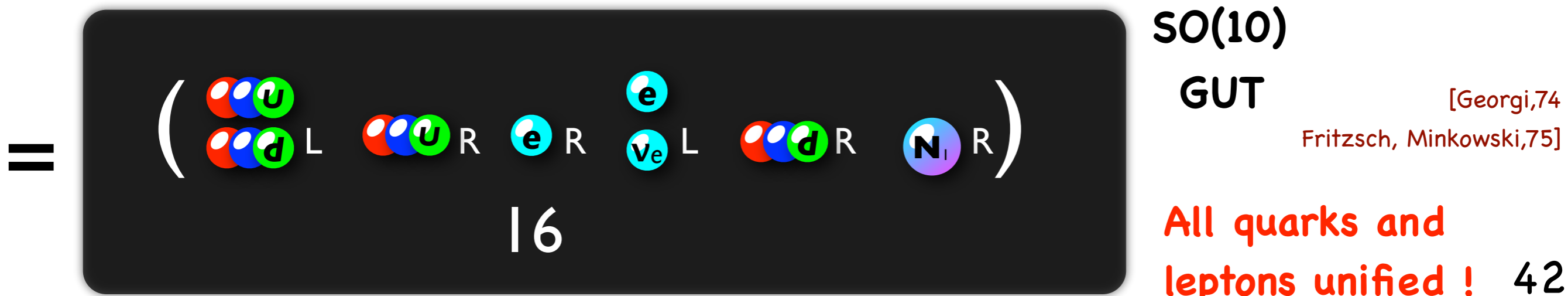
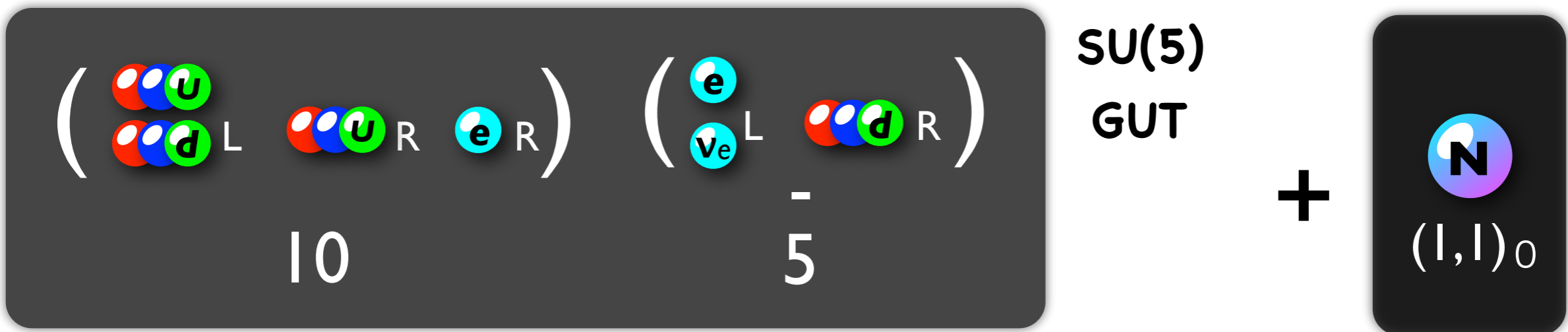
To add a right-handed neutrino !!



The right-handed neutrino   
plays a triple role.

# The right-handed neutrino plays a triple role.

① quarks and leptons completely unified



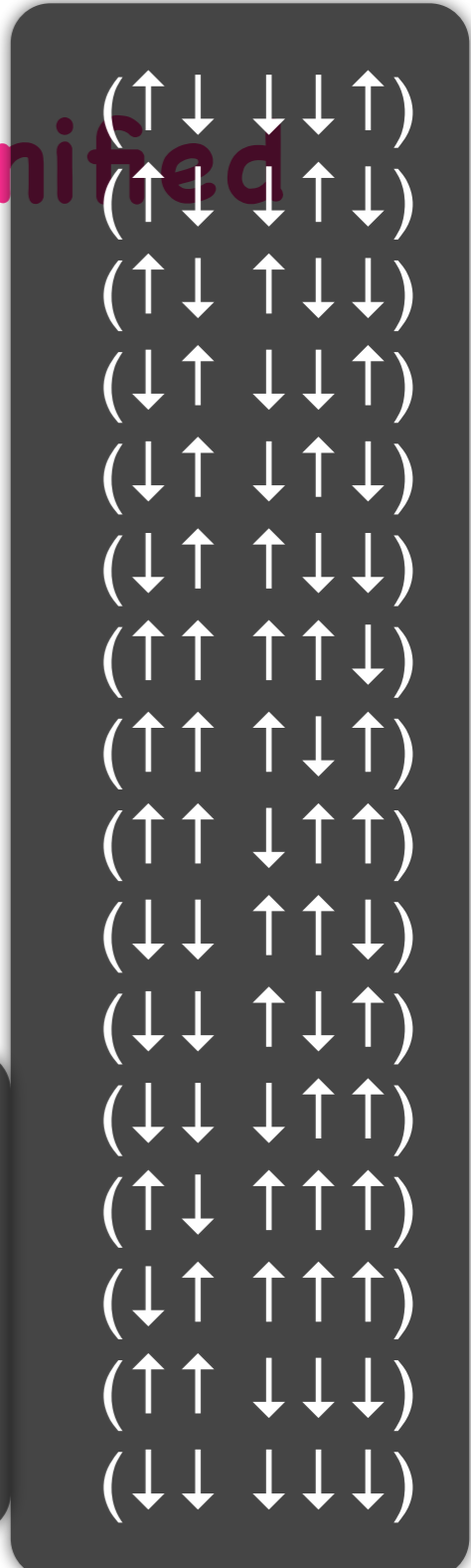
# The right-handed neutrino plays a triple role.

[Review of Particle Physics]

① quarks and leptons completely unified

$$= \left( \begin{array}{cccccc} \begin{array}{c} \color{red}{\bullet} \color{blue}{\bullet} \color{green}{\bullet} \\ \color{red}{\bullet} \color{blue}{\bullet} \color{green}{\bullet} \end{array} \begin{array}{c} u \\ d \end{array} L & \begin{array}{c} \color{red}{\bullet} \color{blue}{\bullet} \color{green}{\bullet} \\ \color{red}{\bullet} \color{blue}{\bullet} \color{green}{\bullet} \end{array} \begin{array}{c} u \\ d \end{array} R & \begin{array}{c} \color{cyan}{\bullet} \\ \color{cyan}{\bullet} \end{array} \begin{array}{c} e \\ \nu_e \end{array} R & \begin{array}{c} \color{cyan}{\bullet} \\ \color{cyan}{\bullet} \end{array} \begin{array}{c} e \\ \nu_e \end{array} L & \begin{array}{c} \color{red}{\bullet} \color{blue}{\bullet} \color{green}{\bullet} \\ \color{red}{\bullet} \color{blue}{\bullet} \color{green}{\bullet} \end{array} \begin{array}{c} u \\ d \end{array} R & \begin{array}{c} \color{purple}{\bullet} \\ \color{purple}{\bullet} \end{array} \begin{array}{c} N_1 \\ N_2 \end{array} R \end{array} \right) =$$

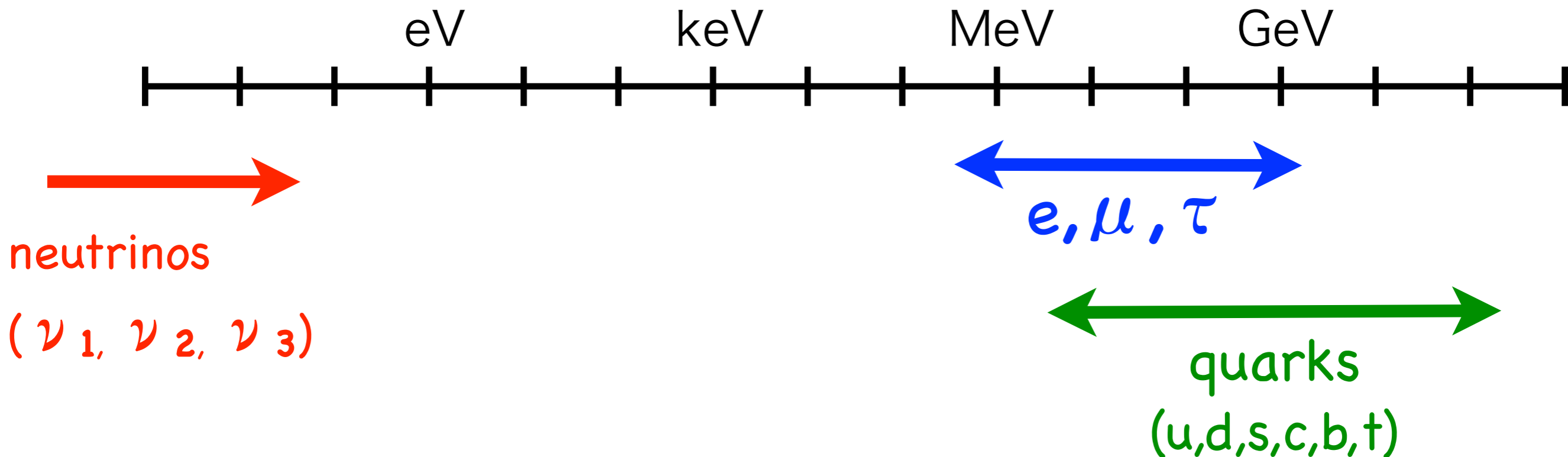
16



# The right-handed neutrino plays a triple role.

② explains tiny neutrino mass

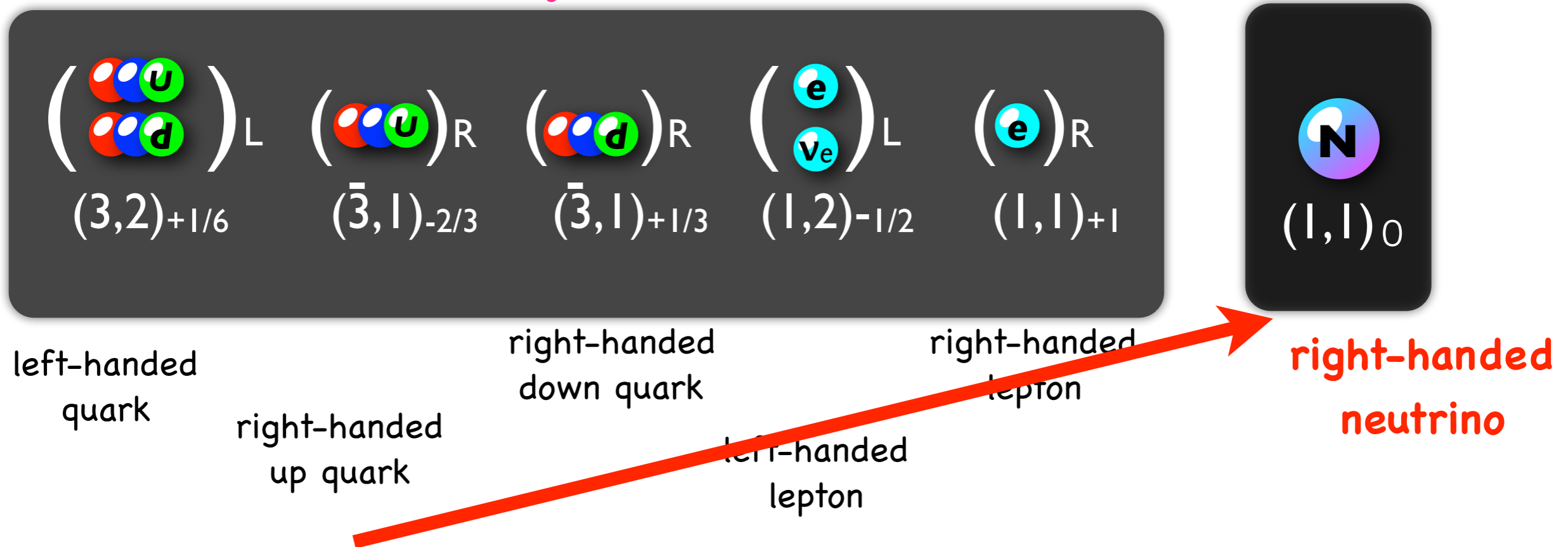
masses of quarks and leptons



- • • why neutrino masses are so small??

# The right-handed neutrino plays a triple role.

② explains tiny neutrino mass

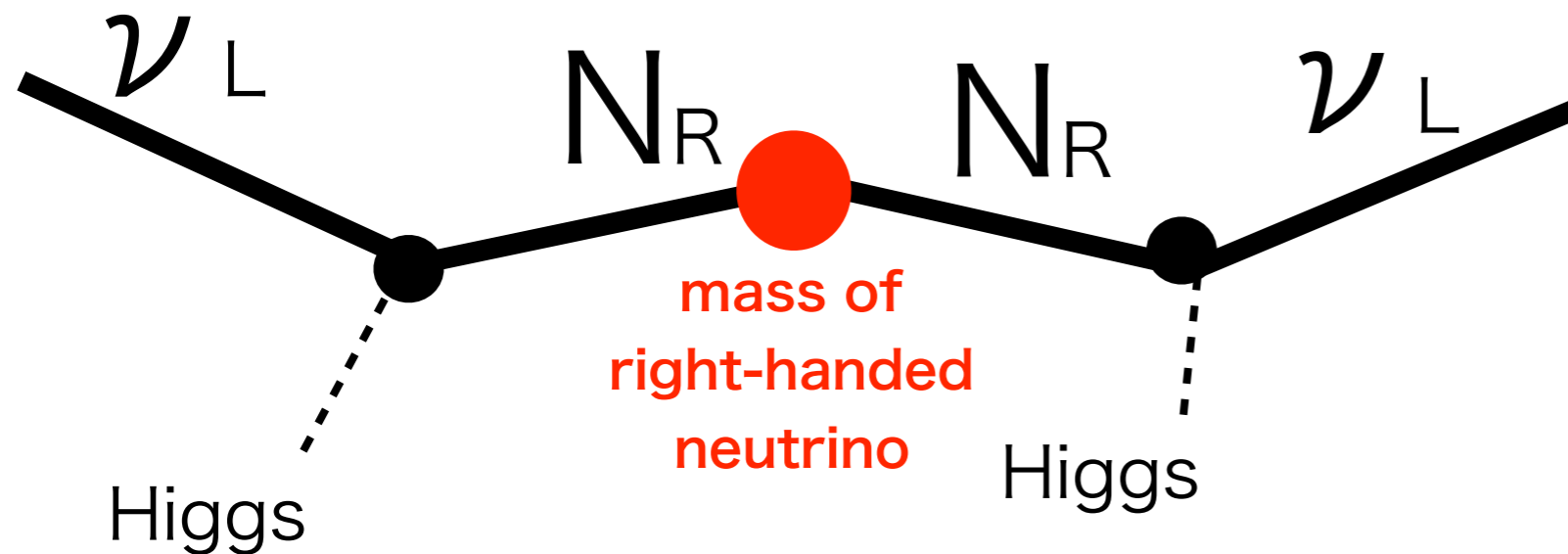


**This guy is special .... singlet** (feels none of three (EM, weak, and strong) forces.)

- it has no charge.
- it can be its own anti-particle.
- it can have a mass without Higgs.

# The right-handed neutrino plays a triple role.

② explains tiny neutrino mass

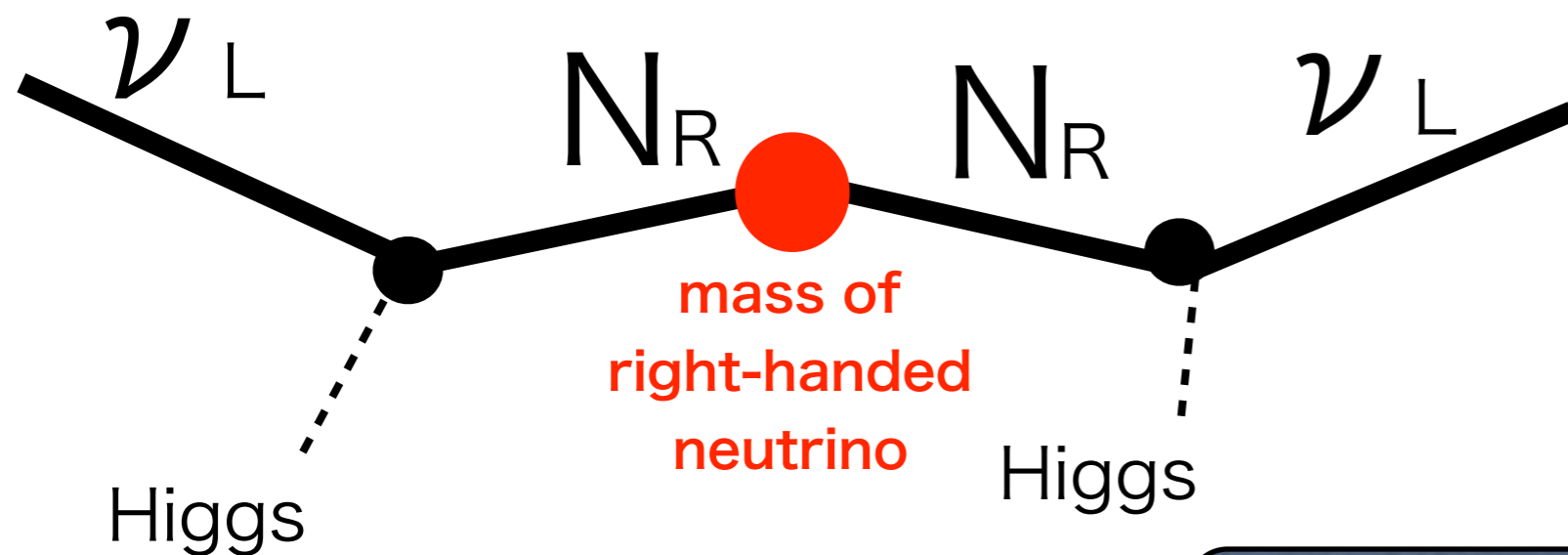


$$\text{Neutrino mass (seen e.g., by oscillation exp.)} = \frac{(\sim \text{other quark/lepton mass})^2}{\text{mass of right-handed neutrino}}$$

heavy R.H.  $\nu \rightarrow$  small neutrino masses ("see-saw mechanism")

# The right-handed neutrino plays a triple role.

② explains tiny neutrino mass



Neutrino mass

**0.01 eV**

**e.g.  $(100 \text{ GeV})^2$**

(~ other quark/lepton mass)<sup>2</sup>

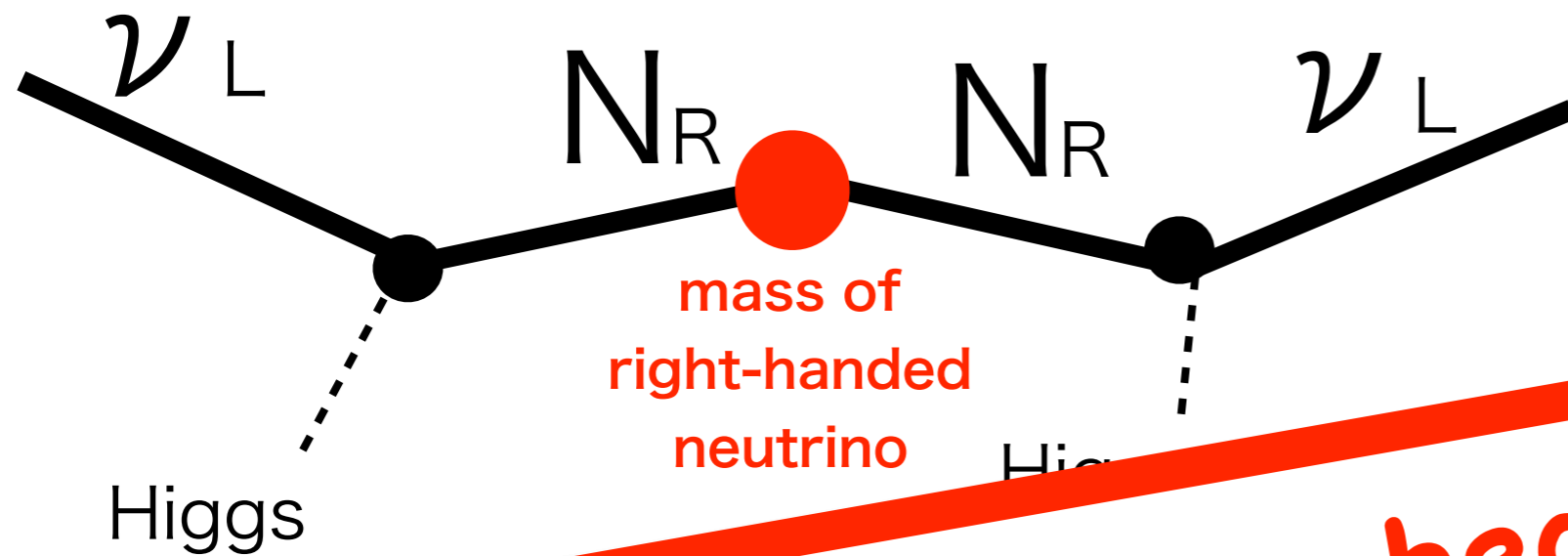
mass of right-handed neutrino

**e.g.  $10^{15} \text{ GeV}$**

heavy R.H.  $\nu \rightarrow$  small neutrino masses ("see-saw mechanism")

The right-handed neutrino  plays a triple role.

② explains tiny neutrino mass



... and neutrinos become Majorana  
-> predicts  $0\nu\beta\beta$  decay!!

heavy R.H.  $\nu \rightarrow$  small neutrino masses ("see-saw mechanism")



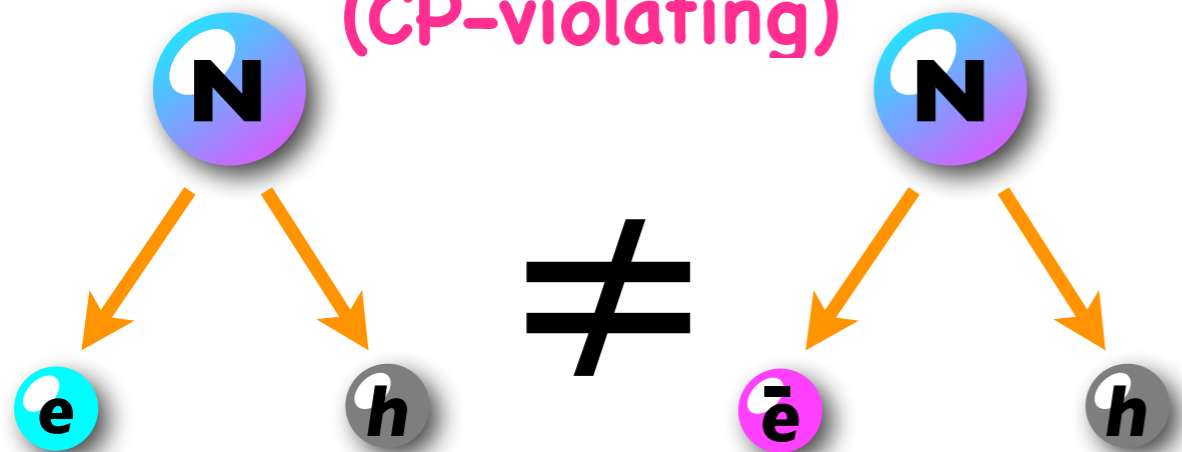
The right-handed neutrino  plays a triple role.

③ explains matter > anti-matter asymmetry of the universe.

-----> **Leptogenesis !!**

right-handed neutrino decay

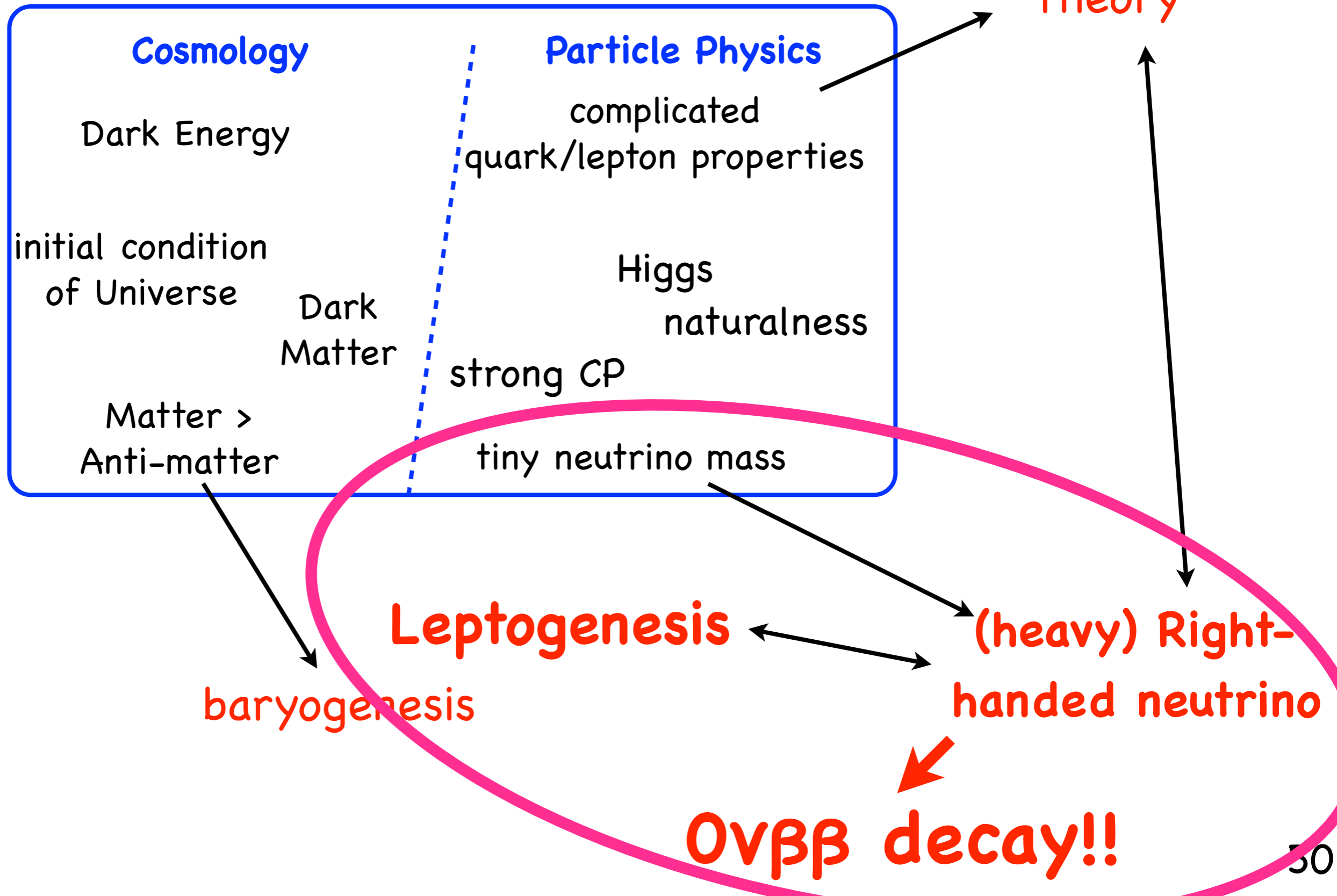
(CP-violating)



# Seesaw and Leptogenesis in a "big picture"

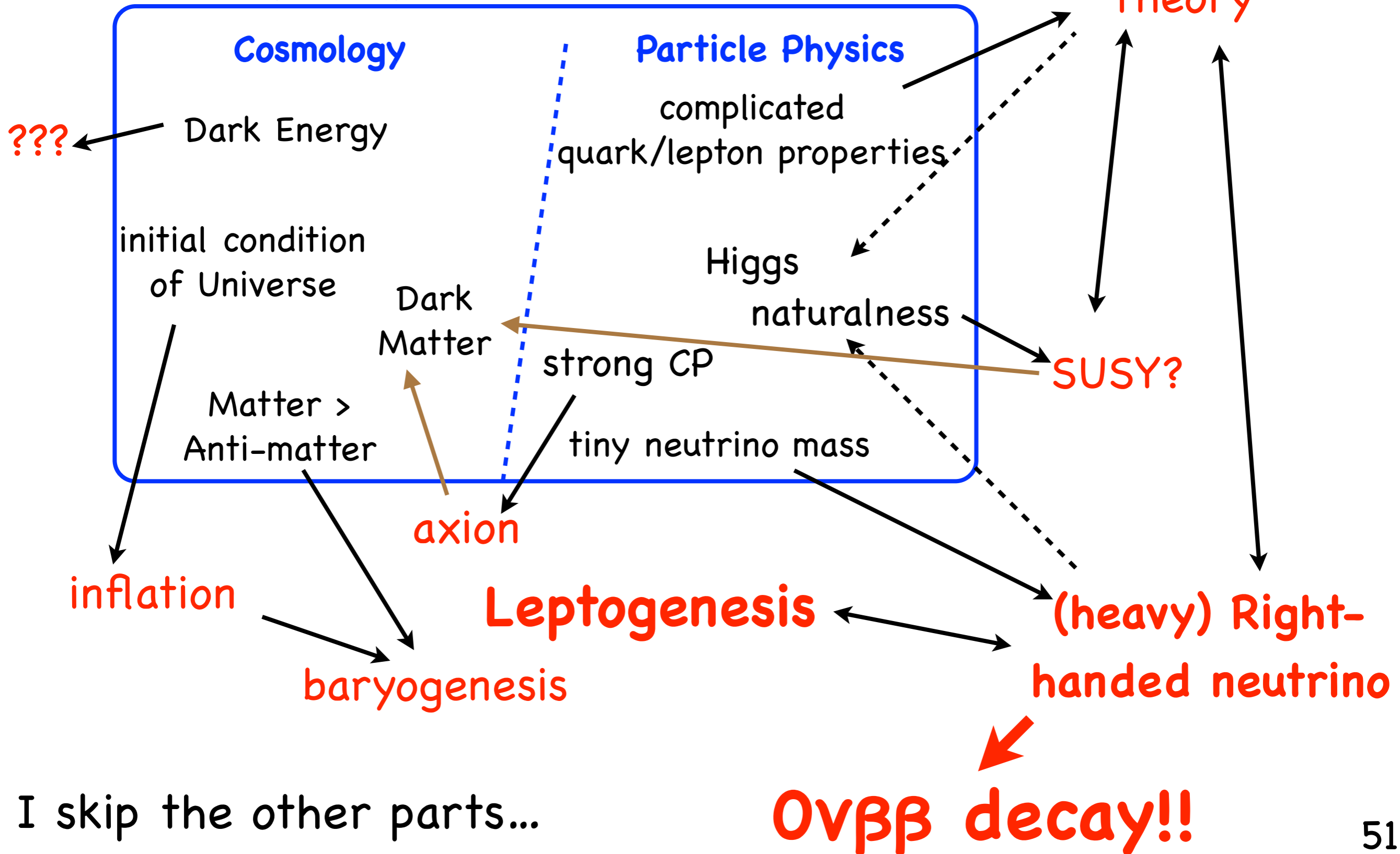
Puzzles in the Standard Model  
= Hints of Physics beyond the Standard Model

Grand Unified Theory



# Seesaw and Leptogenesis in a "big picture"

Puzzles in the Standard Model  
= Hints of Physics beyond the Standard Model



I skip the other parts...

**$0\nu\beta\beta$  decay!!**

# Plan

- Leptogenesis

- ▶ Baryon Asymmetry of the Universe

- ▶ Why “Lepto”genesis?

- ▶ Seesaw and Leptogenesis in a “big picture”

- Leptogenesis and  $0\nu\beta\beta$  decay

- gauged  $U(1)_{\mu-\tau}$

- Summary

What if  $0\nu\beta\beta$  decay is discovered ??

# What if $0\nu\beta\beta$ decay is discovered ??

---

- ● Majorana neutrino !!
- Lepton number violation !!

→ Strong support for  
 $Seesaw$  and  $Leptogenesis$  !!

But,... any quantitative information  
about high energy theory ?

# What if $0\nu\beta\beta$ decay is discovered ??

---

The same Lagrangian.

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \frac{1}{2}\overline{N_R}(i\cancel{\partial} + M_R)N_R + y_\nu\overline{N_R}\ell_L H + h.c.$$

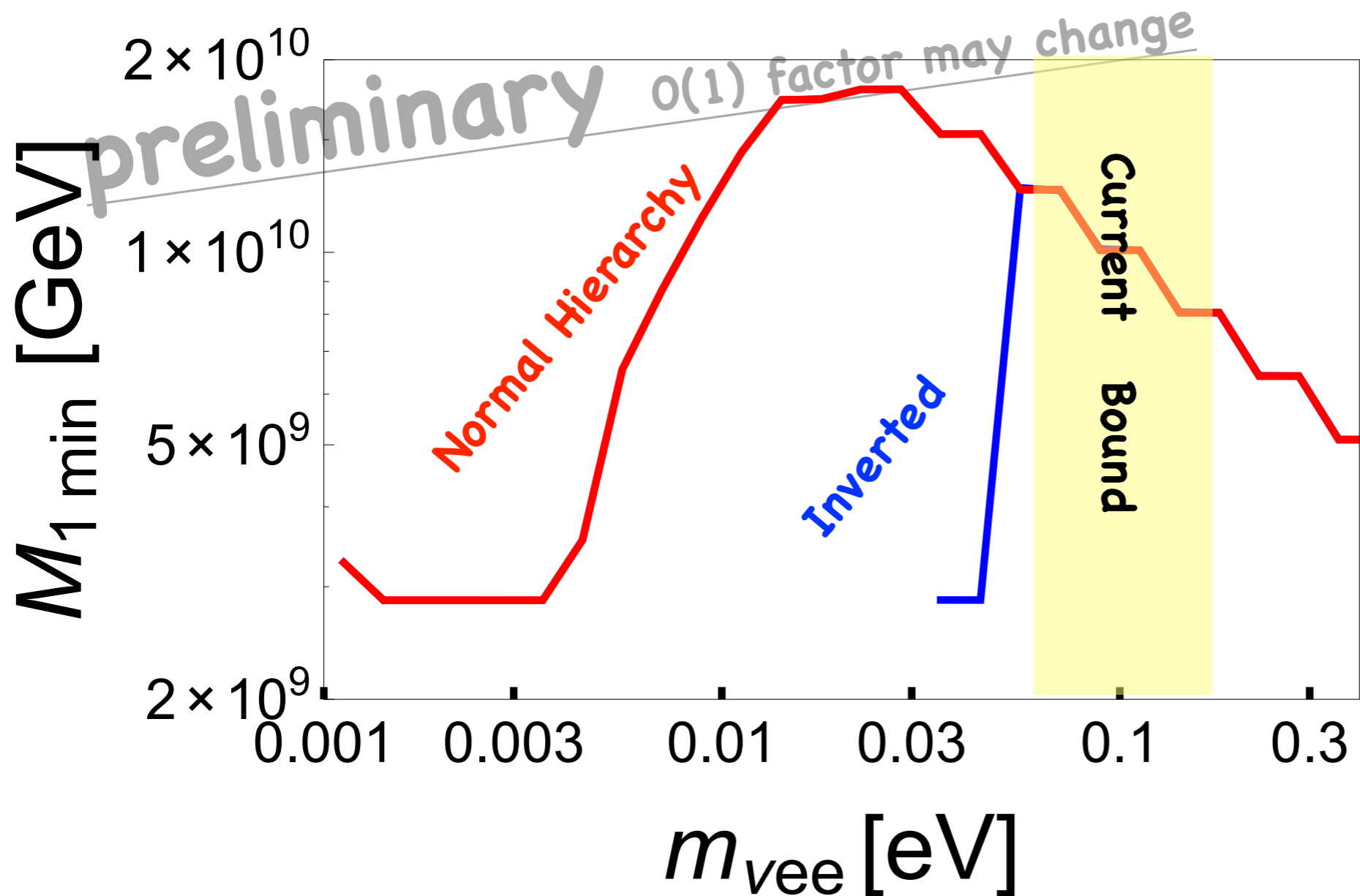
Leptogenesis

Can one obtain  
any information ?

$m_{\nu ee}$

$0\nu\beta\beta$  decay

# What if $0\nu\beta\beta$ decay is discovered ??



K.Hamaguchi, K.Shimada,  
in preparation.

(Assuming  $M_1 \ll M_{2,3}$ .  
With flavor effect.  
Neglecting scattering and  
spectator effects for simplicity.)

Depending on  $m_{\nu ee}$ , stronger lower bound on  $M_1$  (R.H. $\nu$  mass) can be obtained! than Davidson-Ibarra bound



# Plan

- Leptogenesis

- ▶ Baryon Asymmetry of the Universe

- ▶ Why “Lepto”genesis?

- ▶ Seesaw and Leptogenesis in a “big picture”

- Leptogenesis and  $0\nu\beta\beta$  decay

- gauged  $U(1)_{\mu-\tau}$

- Summary

# Predictions for the neutrino parameters

in the minimal **gauged  $U(1)_{\mu-\tau}$  model.**

K. Asai, K. Hamaguchi, N. Nagata,

[arXiv:1705.00419] Eur.Phys.J. C77 (2017) 763

$$\underbrace{U_{\text{PMNS}}^\dagger \begin{pmatrix} m_1 & & \\ & m_2 & \\ & & m_3 \end{pmatrix} U_{\text{PMNS}}^*}_{\text{low energy}} = \underbrace{\begin{pmatrix} m_{\nu ee} & m_{\nu e\mu} & m_{\nu e\tau} \\ m_{\nu\mu e} & m_{\nu\mu\mu} & m_{\nu\mu\tau} \\ m_{\nu\tau e} & m_{\nu\tau\mu} & m_{\nu\tau\tau} \end{pmatrix}}_{m_\nu} = - \underbrace{\mathcal{M}_D^T \mathcal{M}_R^{-1} \mathcal{M}_D}_{\text{high energy}}$$

heavy RHν  
↓

Take inverse

$$U_{\text{PMNS}} \begin{pmatrix} m_1^{-1} & & \\ & m_2^{-1} & \\ & & m_3^{-1} \end{pmatrix} U_{\text{PMNS}}^T = m_\nu^{-1} = - (\mathcal{M}_D^{-1})^T \mathcal{M}_R \mathcal{M}_D^{-1}$$

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$$\begin{pmatrix} * & * & * \\ * & 0 & * \\ * & * & 0 \end{pmatrix}$$

predictions for low-E neutrino parameters

$$\begin{pmatrix} * & & \\ & * & \\ & & * \end{pmatrix}$$

diagonal

$$\begin{pmatrix} * & * & * \\ * & 0 & * \\ * & * & 0 \end{pmatrix}$$

two zeros

$$\begin{pmatrix} * & & \\ & * & \\ & & * \end{pmatrix}$$

predictions for low-E neutrino parameters

# Predictions for the neutrino parameters

in the minimal gauged  $U(1)_{\mu-\tau}$  model.

K. Asai, K. Hamaguchi, N. Nagata,

e.g., Dirac CP

... and large  $m_{\nu ee}$  !!

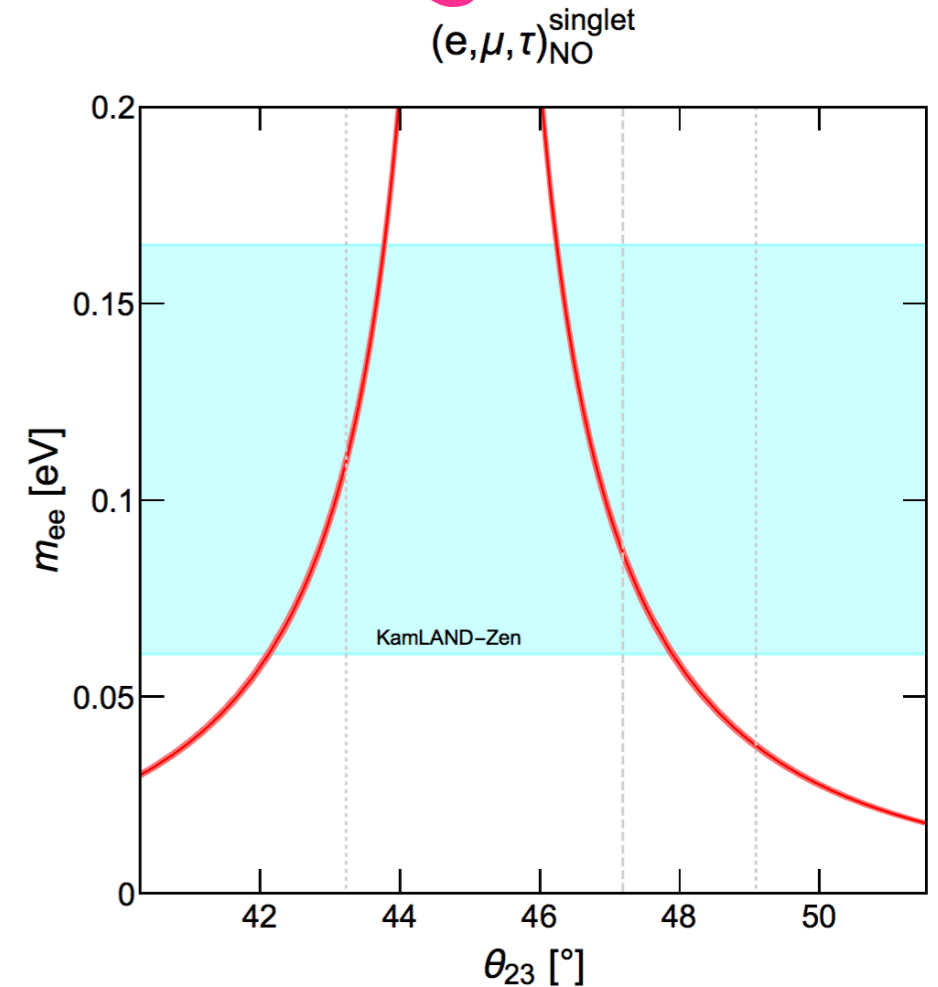
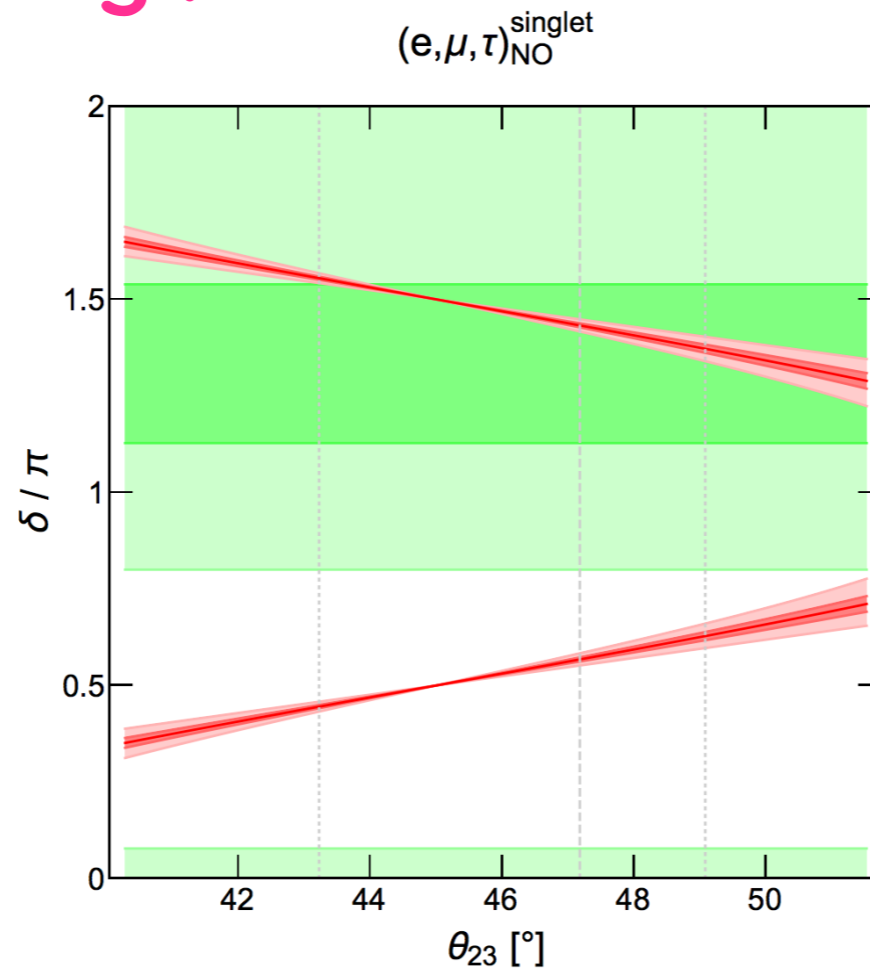
$$U_{\text{PMNS}}^\dagger \begin{pmatrix} m_1 & & \\ & m_2 & \\ & & \gamma \end{pmatrix}$$

low energy

Take inverse

$$U_{\text{PMNS}} \begin{pmatrix} m_1^{-1} & & \\ & m_2^{-1} & \\ & & \end{pmatrix}$$

$$\begin{pmatrix} * & * & * \\ * & 0 & * \\ * & * & 0 \end{pmatrix}$$



K. Asai, KH, N. Nagata, '17, revised with new data.

But, ... it also predicts  $\sum_i m_{\nu i} \gtrsim 0.12 \text{ eV}$

in tension with the latest Planck data...

(We are working on other extensions.)

# Predictions for the neutrino parameters

in the minimal **gauged  $U(1)_{\mu-\tau}$**  model.

K. Asai, K. Hamaguchi, N. Nagata,

$$\underbrace{U_{\text{PMNS}}^\dagger \begin{pmatrix} m_1 & & \\ & m_2 & \\ & & m_3 \end{pmatrix} U_{\text{PMNS}}^*}_{\text{low energy}} =$$

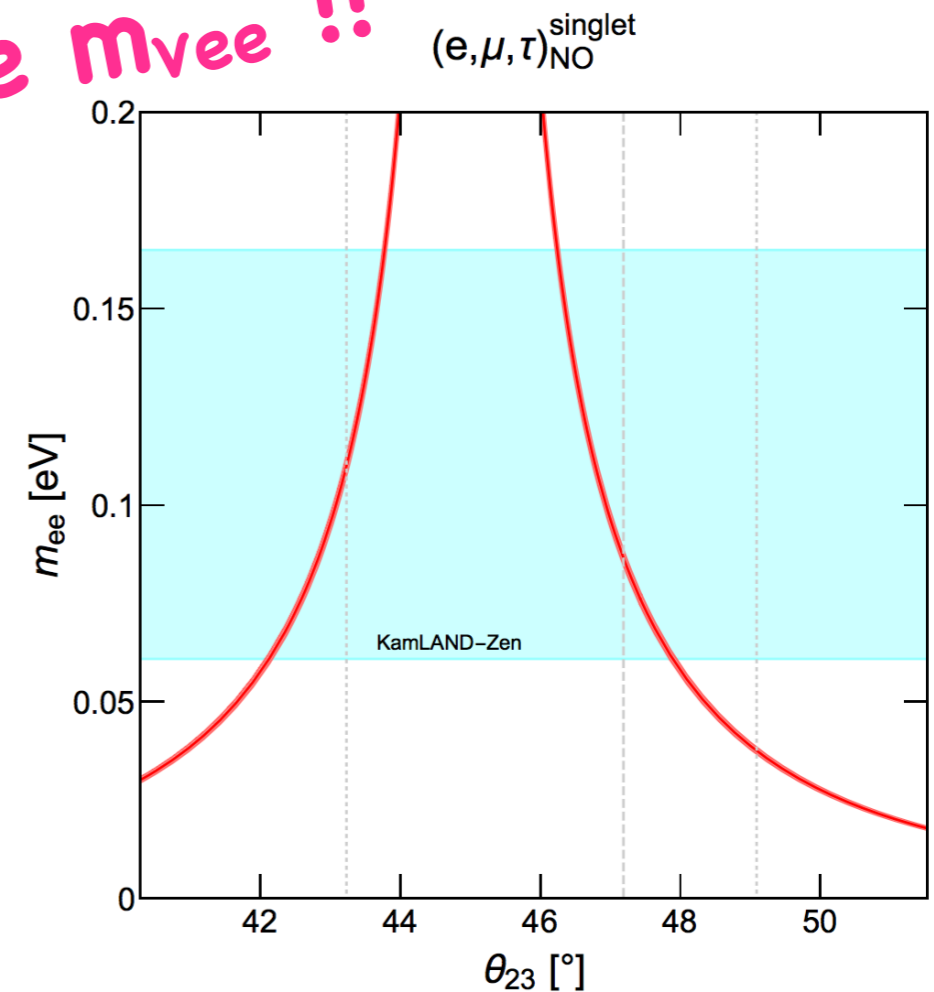
Take inverse

$$U_{\text{PMNS}} \begin{pmatrix} m_1^{-1} & & \\ & m_2^{-1} & \\ & & m_3^{-1} \end{pmatrix} U_{\text{PMNS}}^T$$

$$\begin{pmatrix} * & * & * \\ * & 0 & * \\ * & * & 0 \end{pmatrix}$$

**prediction**

**large  $m_{\text{vee}}$  !!**



K. Asai, KH, N. Nagata, '17,  
revised with new data

**But...** it also predicts

$$\sum_i m_{\nu i} \gtrsim 0.12 \text{ eV}$$

tension with the latest Planck data....

(We are working on other extensions.)

# Summary

- **Right-handed neutrino (with large Majorana mass) plays a triple role.**
  - (1). **Unification** of all quarks and leptons. (16 rep. of  $SO(10)$ .)
  - (2). **Small neutrino masses.** (seesaw)
  - (3). **Leptogenesis.** (matter-antimatter asymmetry)
- ... and it predicts  **$0\nu\beta\beta$  decay !!**
- Discovery of  $0\nu\beta\beta$  decay will strongly support this “big picture”.
- $0\nu\beta\beta$  decay may also give a quantitative implication for leptogenesis (e.g., RHV mass).
- $0\nu\beta\beta$  decay will also test various other new particle physics models (e.g., gauged  $U(1)_{\mu-\tau}$  model).

Backup

**What** is necessary

to generate the **Baryon Asymmetry of the Universe?**



# What is necessary

to generate the **Baryon Asymmetry of the Universe?**

## Sakharov's 3 conditions [Sakharov 1967]

• Baryon number (B) violation

• C and CP violation

• Out-of-equilibrium

# What is necessary

to generate the **Baryon Asymmetry of the Universe?**

## Sakharov's 3 conditions [Sakharov 1967]

- Baryon number (B) violation

If all processes conserve B, then impossible to have  $n_B = 0 \rightarrow n_B \neq 0$ .

- C and CP violation

- Out-of-equilibrium

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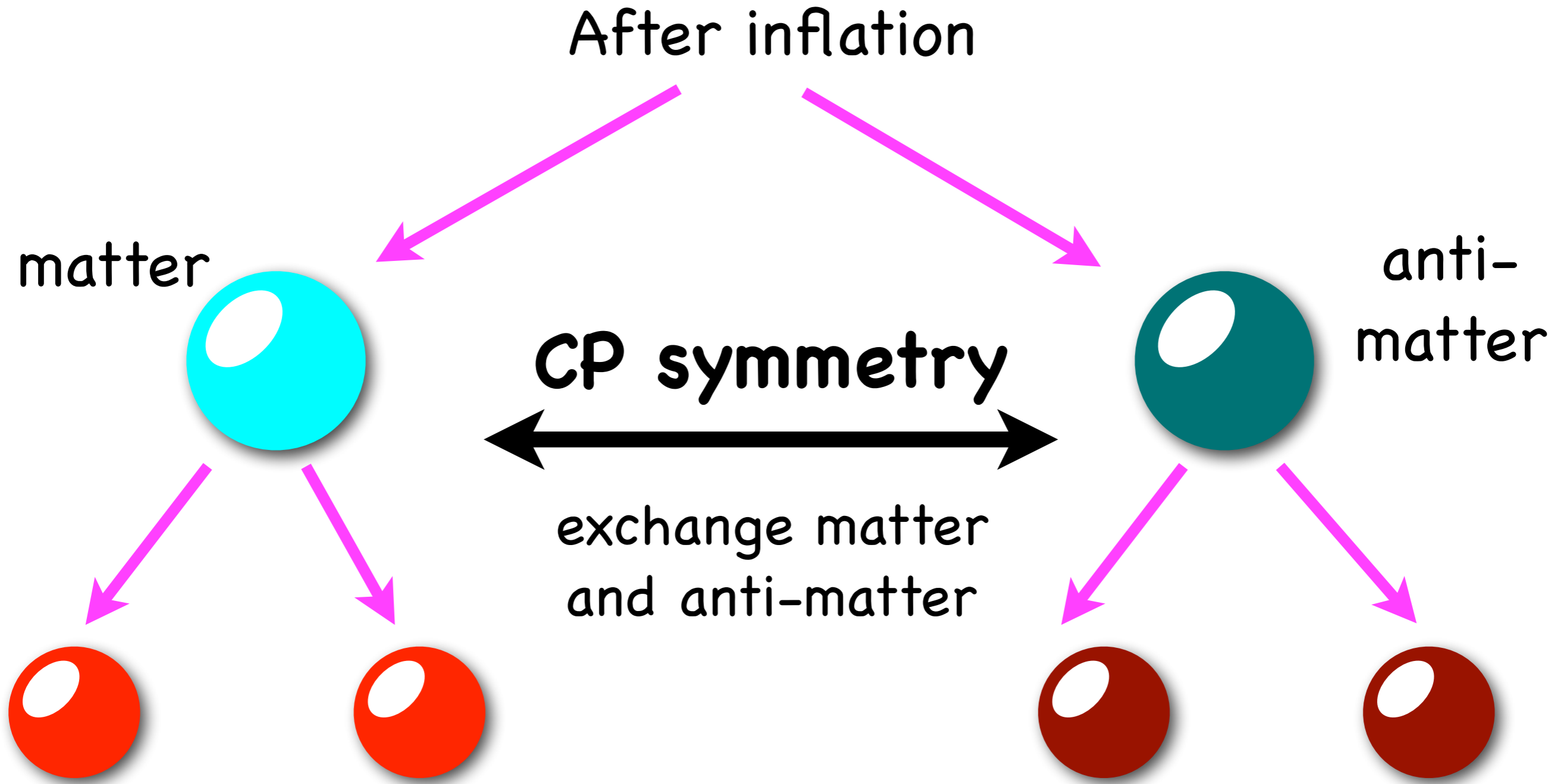
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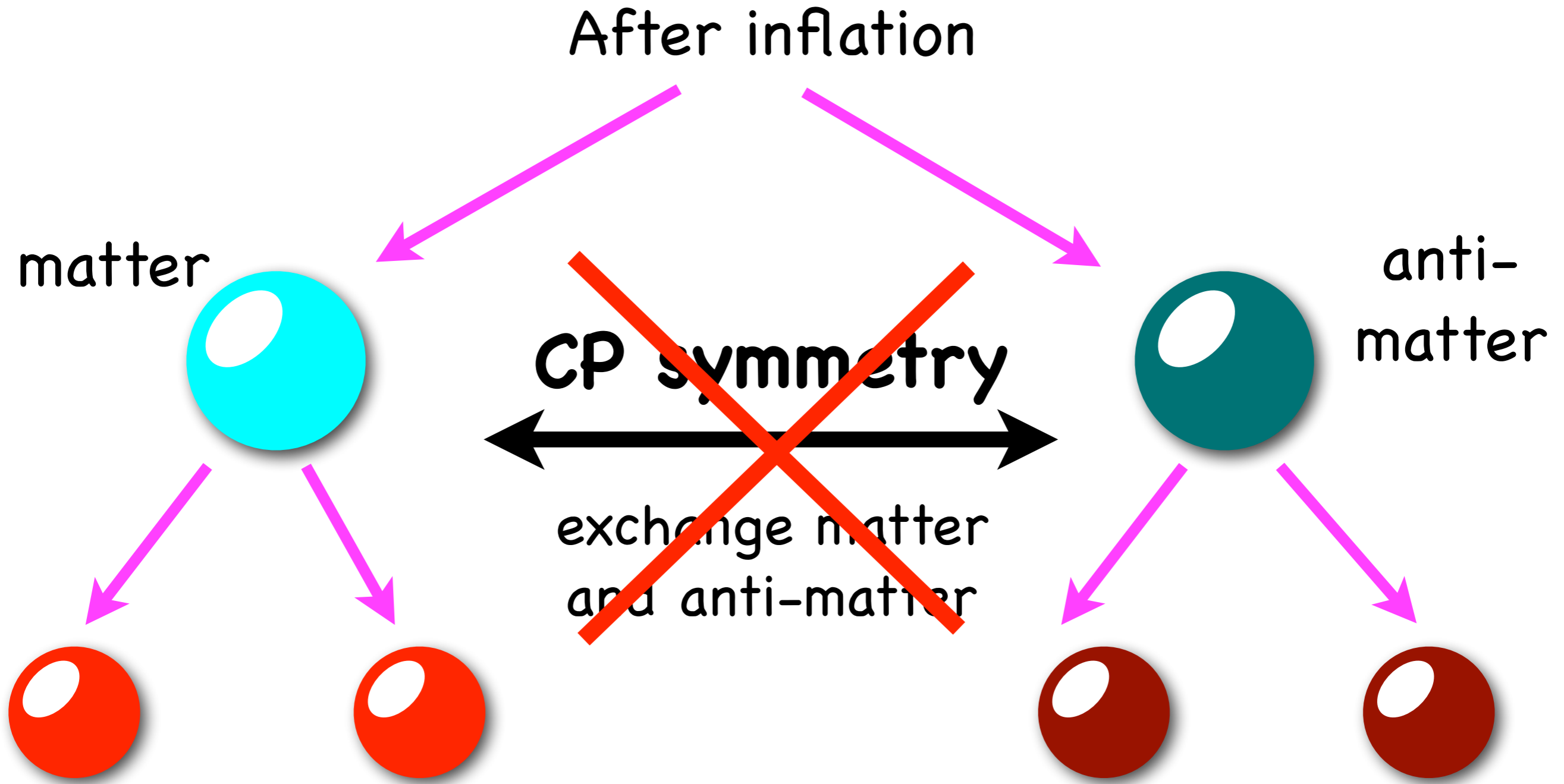
- C and CP violation

If all processes conserve CP, then impossible to have  $n_B = 0 \rightarrow n_B \neq 0$ .

- Out-of-equilibrium



As far as **CP is conserved**, there is no difference between matter and anti-matter.



**If CP is violated, there can be a difference between matter and anti-matter.**

# What is necessary

to generate the **Baryon Asymmetry of the Universe?**

## Sakharov's 3 conditions [Sakharov 1967]

- Baryon number (B) violation

If all processes conserve B, then impossible to have  $n_B = 0 \rightarrow n_B \neq 0$ .

- C and CP violation

If all processes conserve CP, then impossible to have  $n_B = 0 \rightarrow n_B \neq 0$ .

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If all processes conserve B, then impossible to have  $n_B = 0 \rightarrow n_B \neq 0$ .

- C and CP violation

If all processes conserve CP, then impossible to have  $n_B = 0 \rightarrow n_B \neq 0$ .

- Out-of-equilibrium

If the processes  $n_B < 0 \leftrightarrow n_B = 0 \leftrightarrow n_B > 0$  are in thermal equilibrium, then the system arrives at the equilibrium state ( $n_B = 0$ ).

The system must be out-of-equilibrium, such that  $n_B = 0 \xrightarrow{\hspace{2cm}} n_B > 0$

# Leptogenesis



# Leptogenesis

[ Fukugita, Yanagida, 1986 ]

**Model:** Standard Model + R.H.  $\nu$

**Cosmology:** Standard thermal cosmology

**Extremely simple!**

**No complicated model/cosmology required.**

# Leptogenesis

[ Fukugita, Yanagida, 1986 ]

scenario

# Leptogenesis

[ Fukugita, Yanagida, 1986 ]

## scenario

temperature      RH  $\nu$ 's mass

**step 1:**  $T > M_R$  :  are in thermal bath.

# Leptogenesis

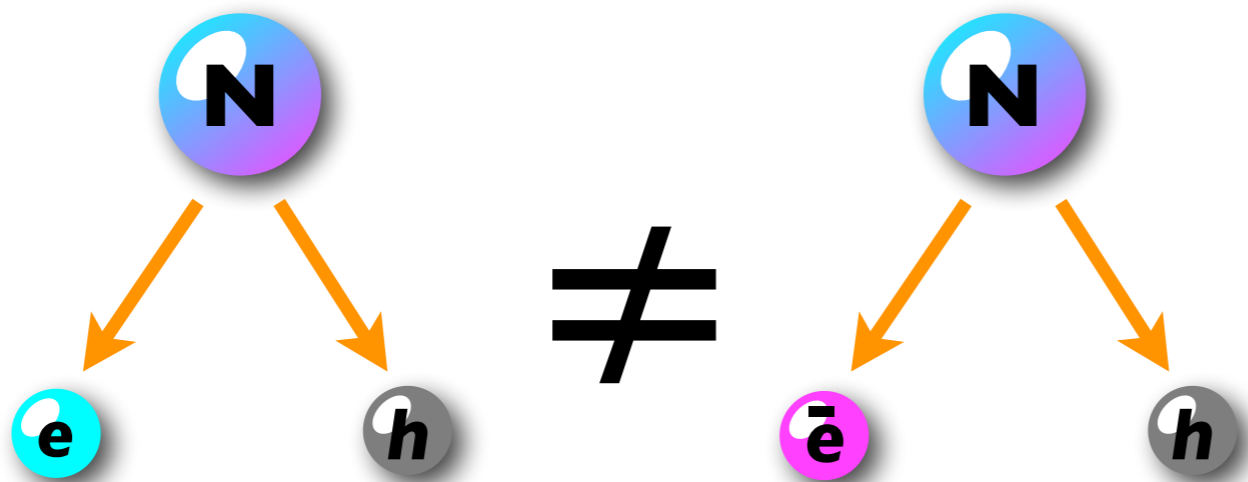
[ Fukugita, Yanagida, 1986 ]

## scenario

temperature  $\swarrow$   $RH \nu$ 's mass  $\swarrow$

**step 1:**  $T > M_R$  :  $\mathbf{N}_i$  are in thermal bath.

**step 2:**  $T \sim M_R$  :  $\mathbf{N}_i$  decay. (CP violation + out-of-eq.)  
--> generate Lepton asymmetry,  $\Delta L \neq 0$ .



CP violation  
is essential.

# Leptogenesis

[ Fukugita, Yanagida, 1986 ]

## scenario

temperature      RH  $\nu$ 's mass

**step 1:**  $T > M_R$  :  $\mathbf{N}_i$  are in thermal bath.

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--> generate Lepton asymmetry,  $\Delta L \neq 0$ .

**step 3:** Lepton asymmetry      Baryon asymmetry  
 $\Delta L \neq 0$       ---->       $\Delta B \neq 0$

(automatic in SM ! thanks to "sphaleron")

[ Kuzmin, Rubakov, Shaposhnikov, 1985 ] 77

# Leptogenesis

[ Fukugita, Yanagida, 1986 ]

## Result:

(I skip all the details of the calculation...

For derivations and references, see, e.g., [KH: hep-ph/0212305](#))

final baryon asymmetry

$$\frac{n_B}{s}$$

$$\simeq 0.3 \times 10^{-10} \left( \frac{\kappa}{0.1} \right) \left( \frac{M_1}{10^9 \text{ GeV}} \right) \cdot \left( \frac{m_{\nu 3}}{0.05 \text{ eV}} \right) \delta_{\text{eff}}$$

RH  $\nu$ 's mass

$$M_1$$

heaviest neutrino mass (~ atmospheric)



$$m_{\nu 3}$$

wash-out factor ( $< 1$ )  
(calculable: by Boltzmann eq.)

effective CP violating phase



$$\delta_{\text{eff}} \equiv \frac{\text{Im} \left[ (\hat{h}_{13})^2 + \frac{m_{\nu 2}}{m_{\nu 3}} (\hat{h}_{12})^2 + \frac{m_{\nu 1}}{m_{\nu 3}} (\hat{h}_{11})^2 \right]}{|\hat{h}_{13}|^2 + |\hat{h}_{12}|^2 + |\hat{h}_{11}|^2} < 1$$

Yukawa

Predictable / Calculable in terms of [SM + R.H.  $\nu$ ] Lagrangian ! 78

# Leptogenesis

[ Fukugita, Yanagida, 1986 ]

## Result:

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heaviest  
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( $\sim$  atmospheric)

wash-out factor ( $< 1$ )  
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effective  
CP violating  
phase

$$\frac{n_B}{s}$$

$$\text{(observed)} = (0.88 \pm 0.02) \times 10^{-10}$$

[PDG, 2012]

**It works !! (for  $M_R > 10^9 - 10^{10}$  GeV).**<sub>79</sub>

$m_{\nu ee} \rightarrow M_1$  bound



# Why $m_{\nu ee} \rightarrow M_1$ bound ?

(For illustration, let's first consider **normal hierarchy, unflavored case.**)

$$\frac{n_B}{s}(\text{observed}) = (0.88 \pm 0.02) \times 10^{-10}$$

In **Leptogenesis** (unflavored case),...

$$\frac{n_B}{s} \simeq 0.3 \times 10^{-10} \left( \frac{\kappa}{0.1} \right) \left( \frac{M_1}{10^9 \text{ GeV}} \right) \cdot \left( \frac{m_{\nu 3}}{0.05 \text{ eV}} \right) \delta_{\text{eff}}$$

final baryon  
asymmetry

RH  $\nu$ 's mass

heaviest  
neutrino mass  
( $\gtrsim \sqrt{\Delta m_{\text{atm.}}^2}$ )

effective  
CP violating  
phase

$$\delta_{\text{eff}} < 1$$

# Why $m_{\nu ee} \rightarrow M_1$ bound ?

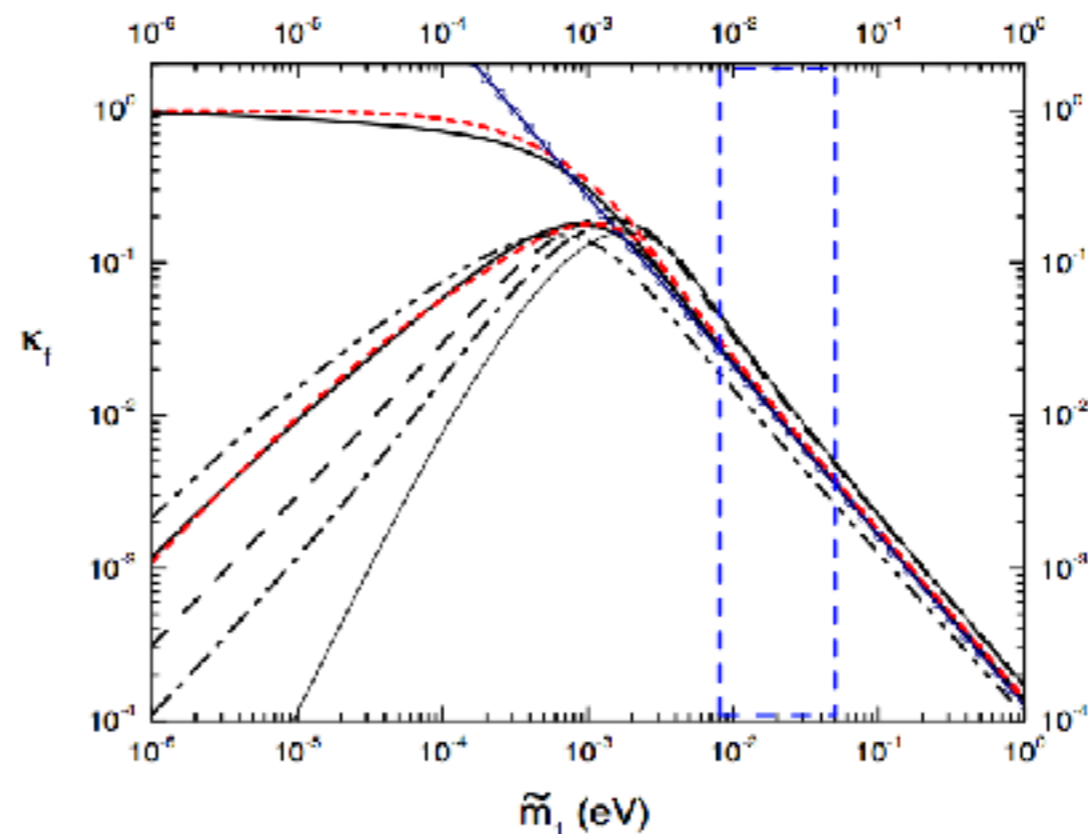
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efficiency factor  $\kappa$



[Buchmuller  
Di Bari  
Plumacher,  
'04]

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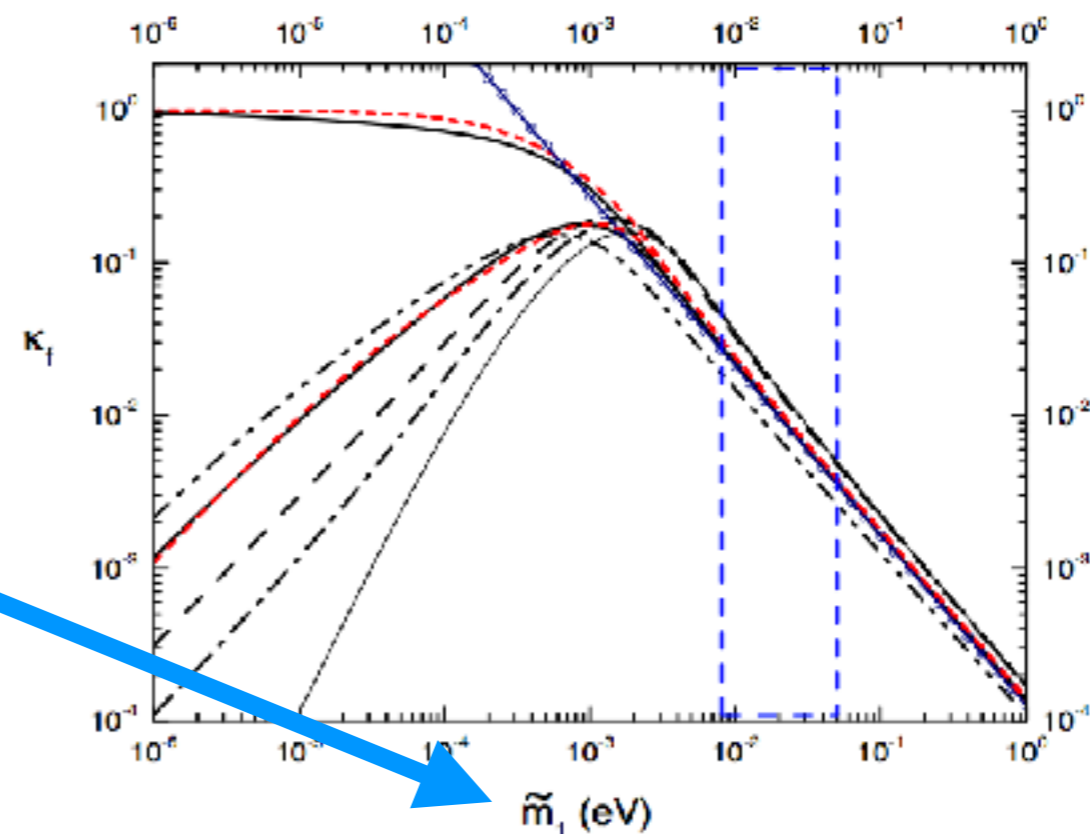
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efficiency factor  $\kappa$

$$\tilde{m}_1 \equiv \sum_{\alpha=e,\mu,\tau} \frac{y_{1\alpha} y_{1\alpha}^\dagger}{M_1} \langle H \rangle^2$$

Determines the efficiency of Leptogenesis.

(corresponds to R.H.v's interaction strength.)



[Buchmuller  
Di Bari  
Plumacher,  
'04]

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**Roughly,...**

$$\kappa \cdot M_1 > \text{const}$$

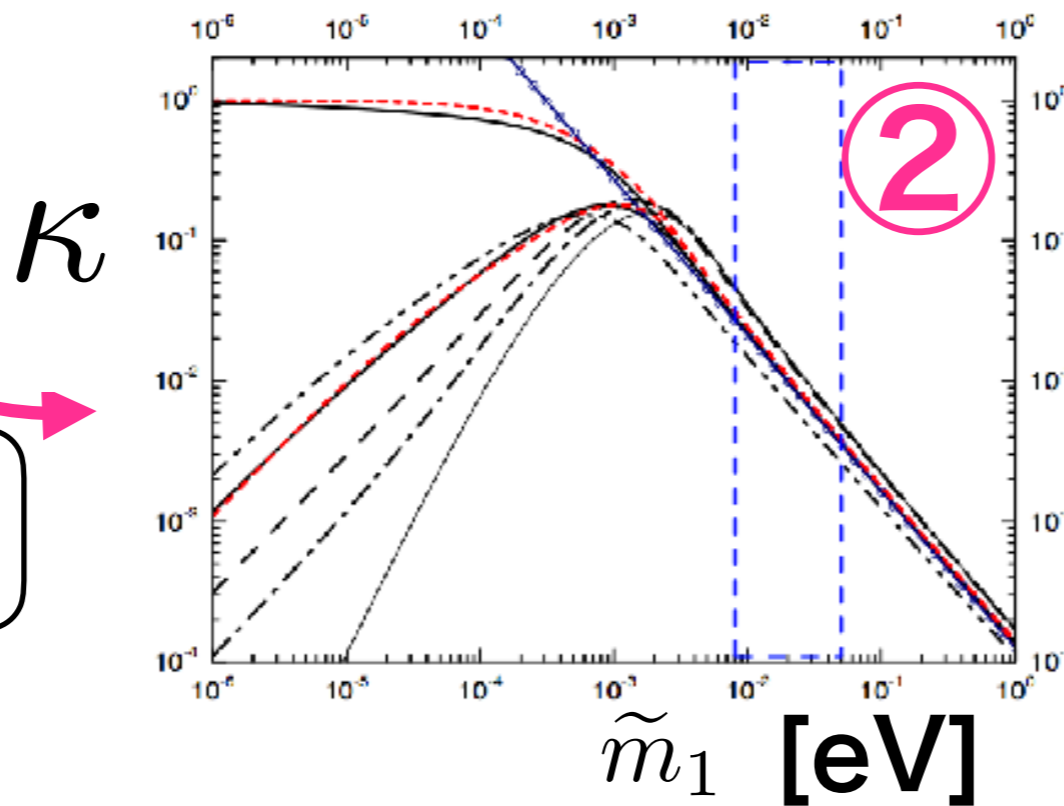
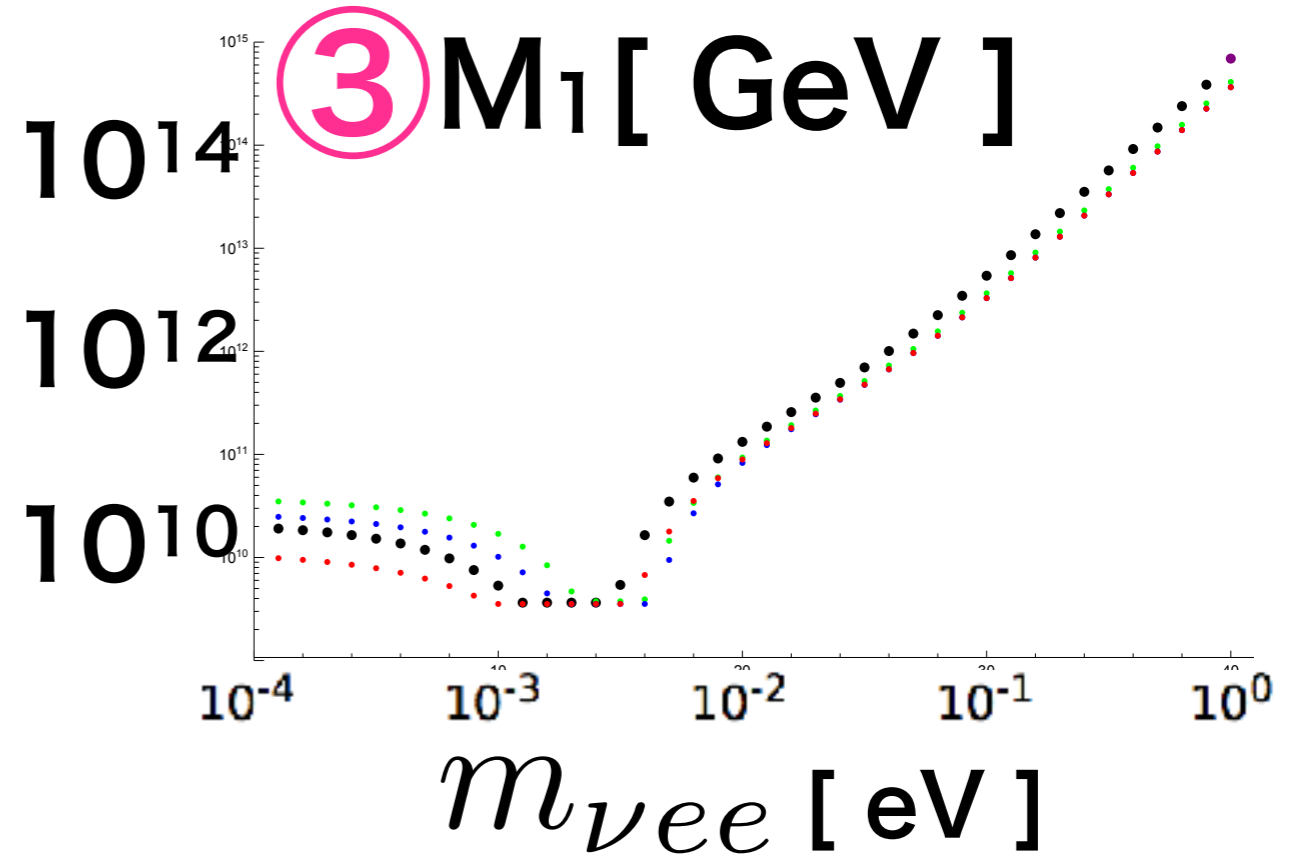
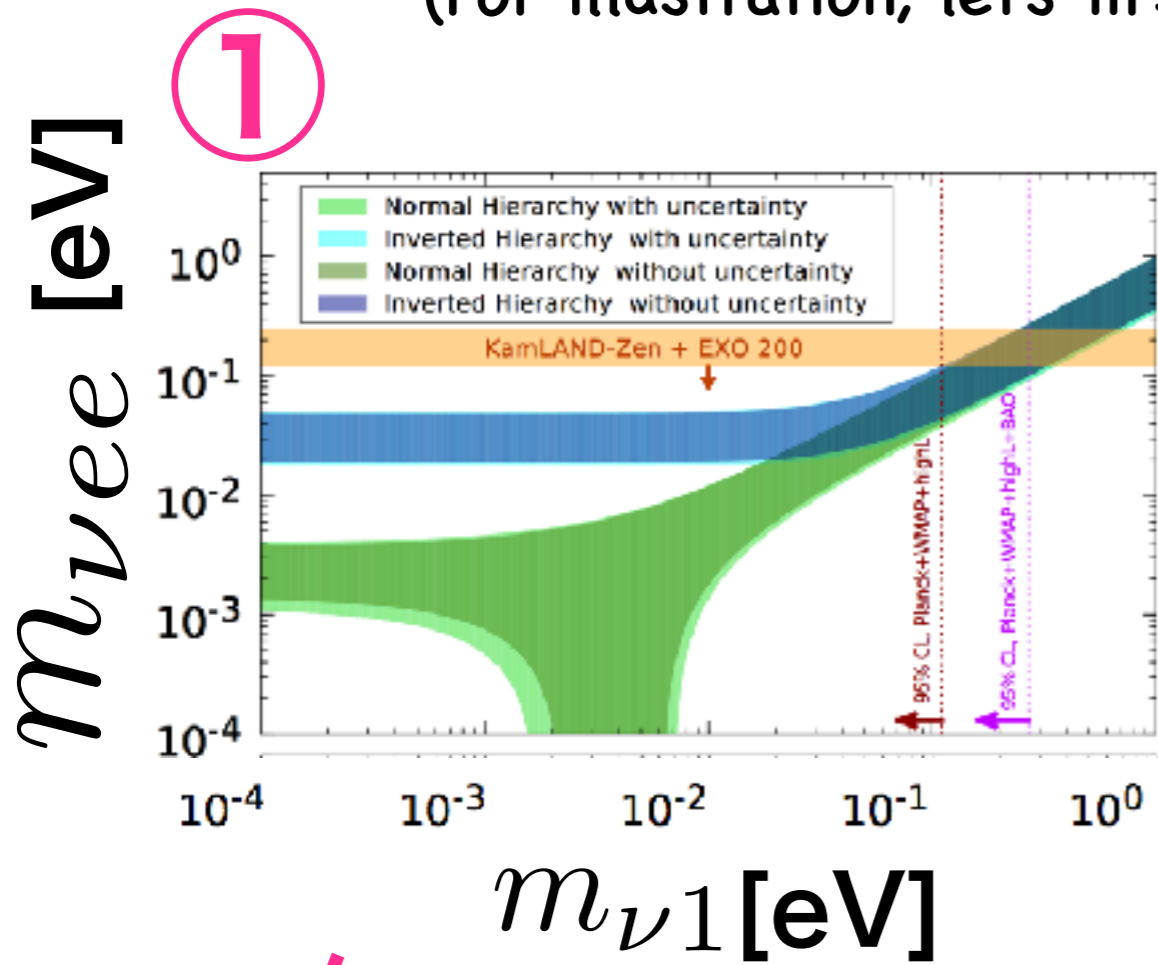
$$\delta_{\text{eff}} < 1$$



$$M_1 > (\text{const}) \cdot \frac{1}{\kappa}$$

# Why $m_{\nu ee} \rightarrow M_1$ bound ?

(For illustration, let's first consider **normal hierarchy, unflavored case.**)



$$\tilde{m}_1 \geq m_{\nu 1}$$

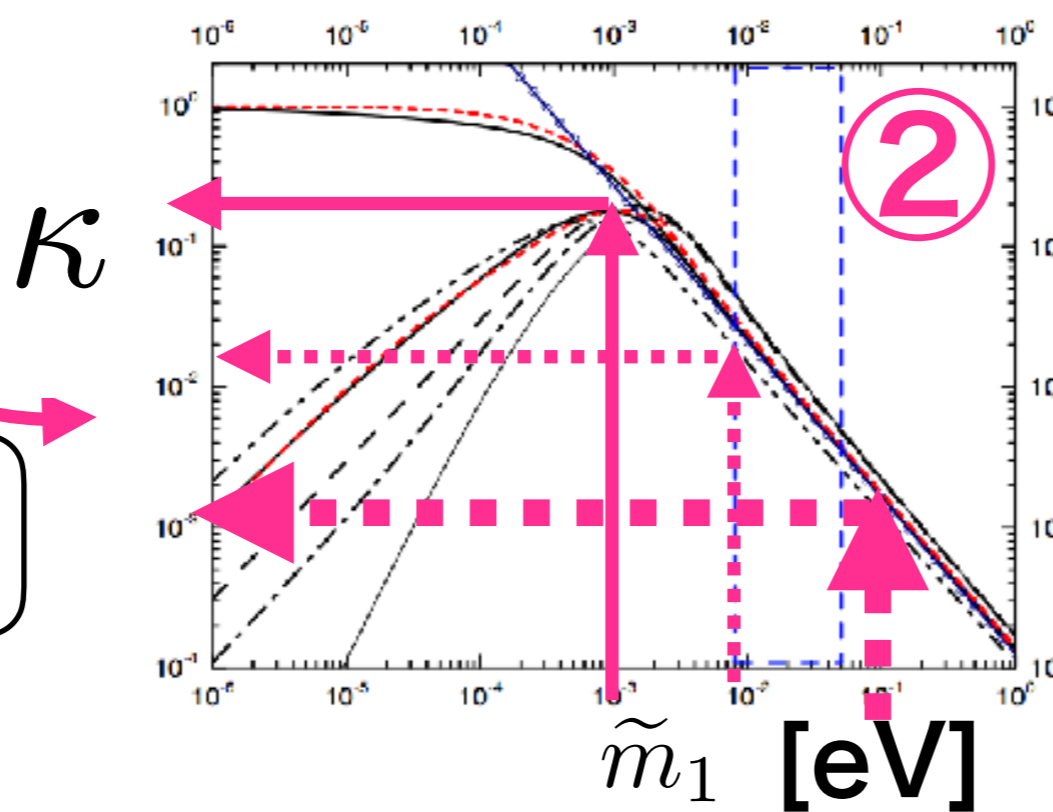
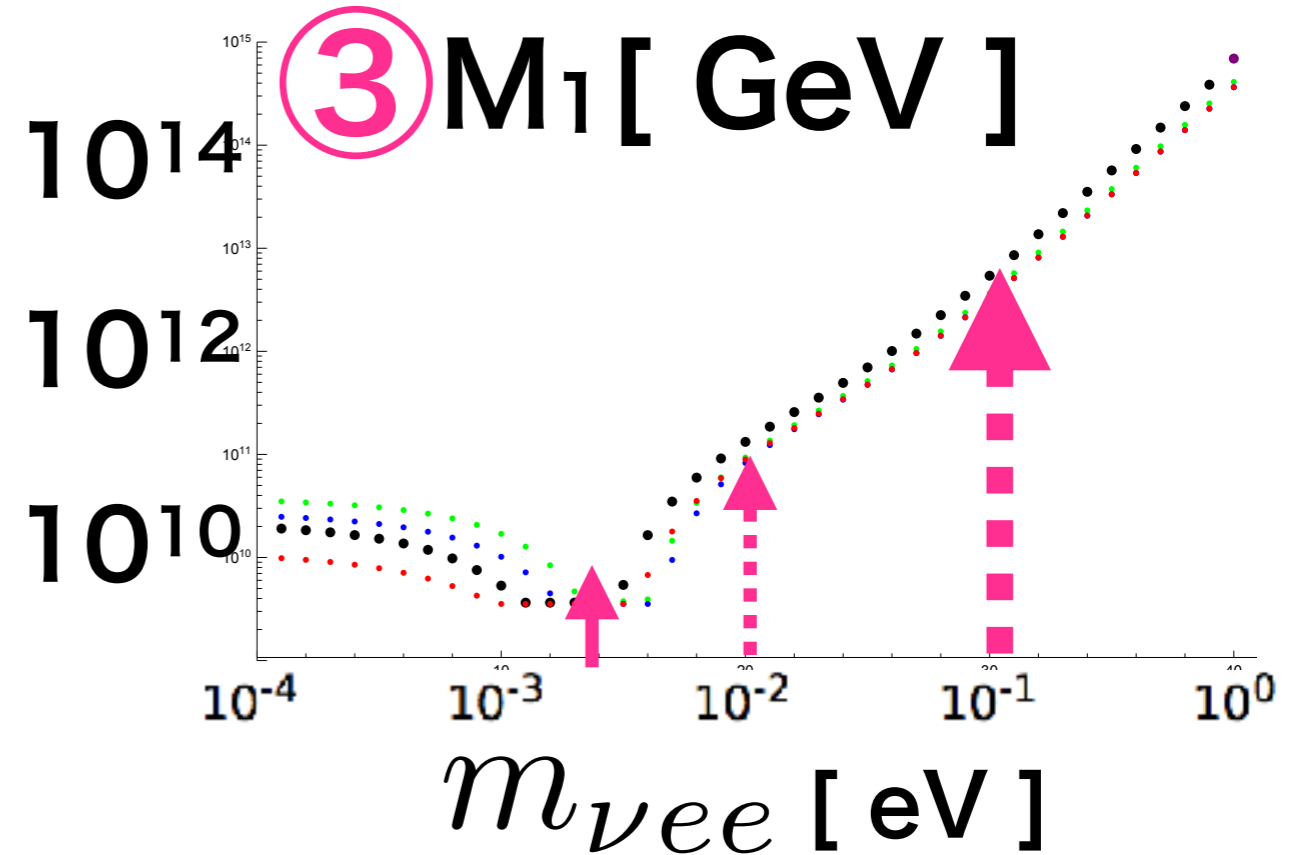
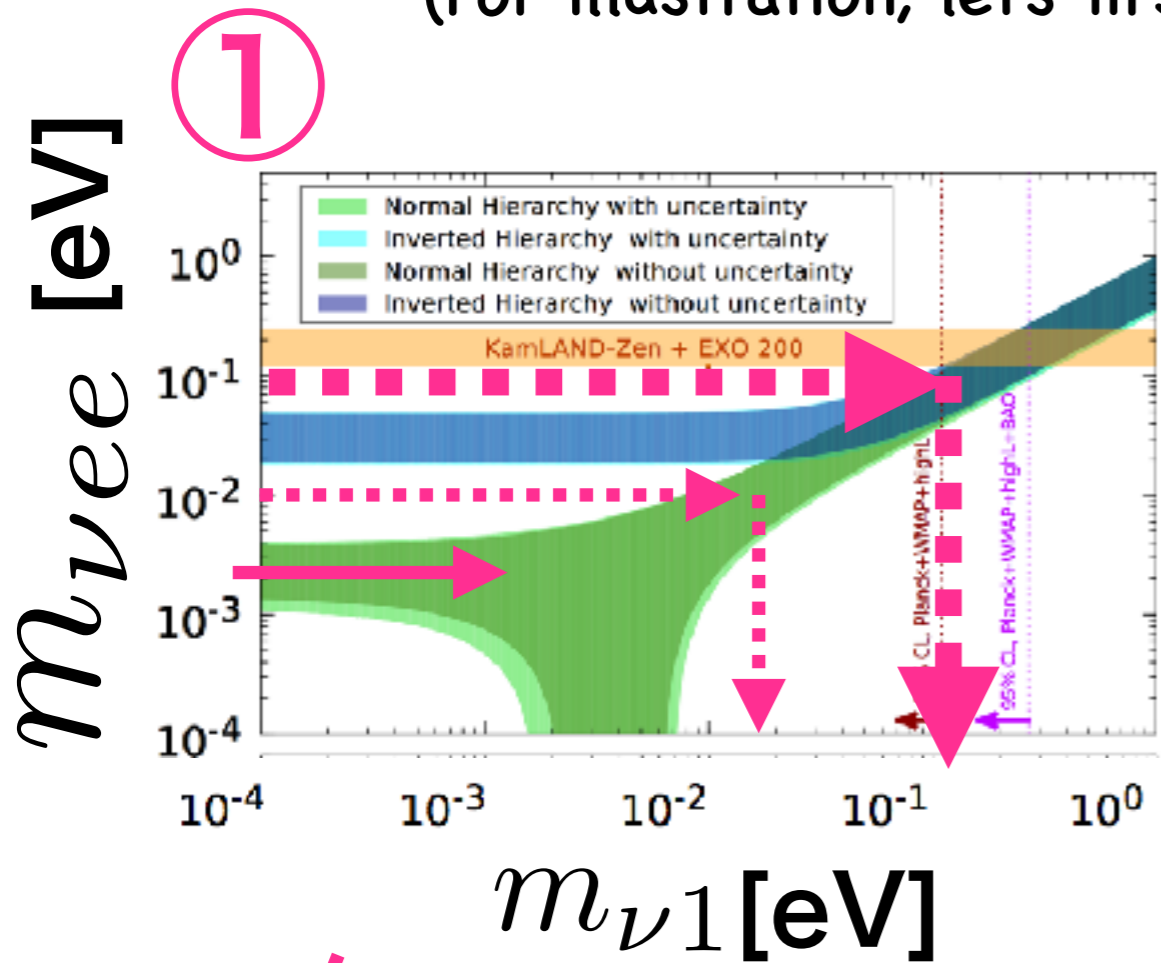
Fujii, KH, Yanagida, '02

**Roughly,...**

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**Roughly,...**

$$M_1 > (\text{const}) \cdot \frac{1}{\kappa}$$

# Why $m_{\nu ee} \rightarrow M_1$ bound ?

Abada, Davidson, Josse-Michaux, Losada, Riotto, '06

Nardi, Nir, Roulet, Racker, '06

Abada, Davidson, Ibarra, Josse-Michaux, Losada, Riotto, '06

With Flavor effects,

the analysis becomes much more complicated.

For  $T < 10^{12}\text{GeV}$ ,  $\tau$ -Yukawa is in eq.  $\rightarrow$  2 distinguishable flavors  
( $\mu$ -Yukawa is in eq. for  $T < 10^9\text{GeV}$ .)

$$\frac{n_B}{s} = (\text{const.}) \cdot \kappa \cdot \epsilon \quad (\text{without flavor effect})$$

$$\Rightarrow \frac{n_B}{s} \simeq (\text{const.}) \cdot \sum_{\alpha=\tau, e+\mu} \kappa_\alpha \cdot \epsilon_\alpha \quad (\text{with flavor effect})$$

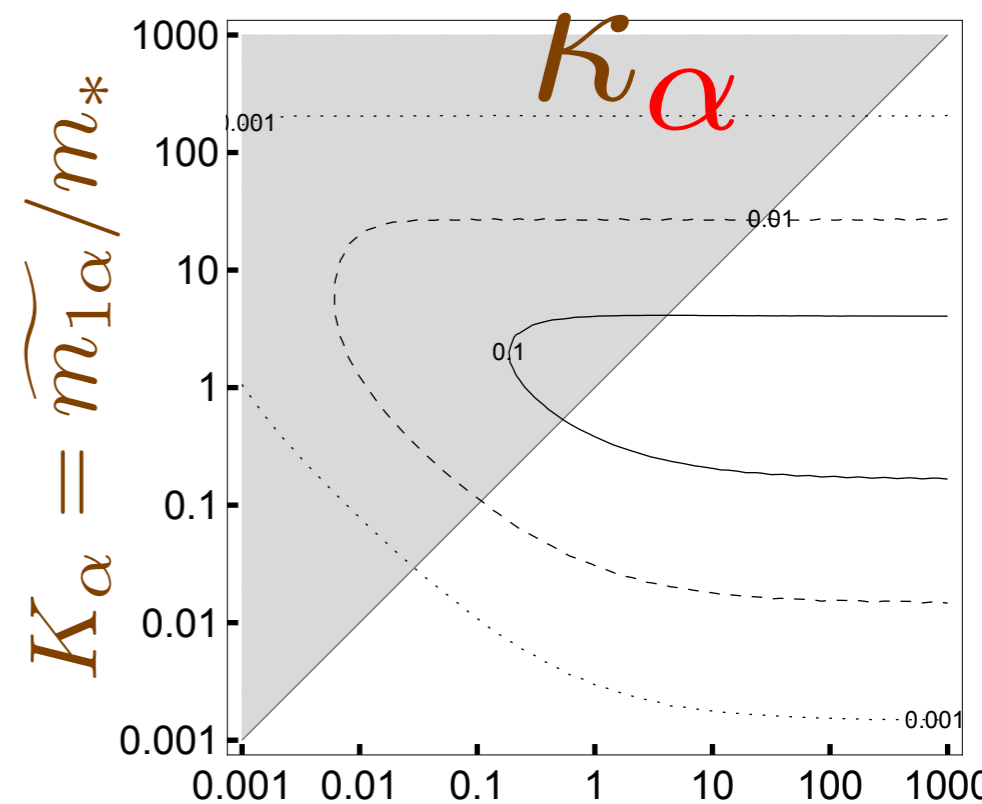
(Here, the spectator effect neglected, for simplicity.)

$$\left( \sum_{\alpha} \kappa_\alpha = \kappa, \quad \sum_{\alpha} \epsilon_\alpha = \epsilon \right)$$

Technically, we have numerically maximized the  $n_B/s$  with respect to the components

in Casas-Ibarra orthogonal matrix  $R$

(for each given Majorana and Dirac phases, which leads to fixed  $m_{\nu ee}$ .)



$$K = \tilde{m}_1 / m_*$$

This figure is calculated by using the formula in Blanchet, Di Bari '06, neglecting the scattering, for simplicity.

# Why $m_{\nu ee} \rightarrow M_1$ bound ?

Abada, Davidson, Josse-Michaux, Losada, Riotto, '06

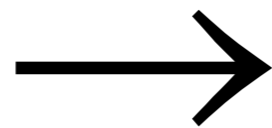
Nardi, Nir, Roulet, Racker, '06

Abada, Davidson, Ibarra, Josse-Michaux, Losada, Riotto, '06

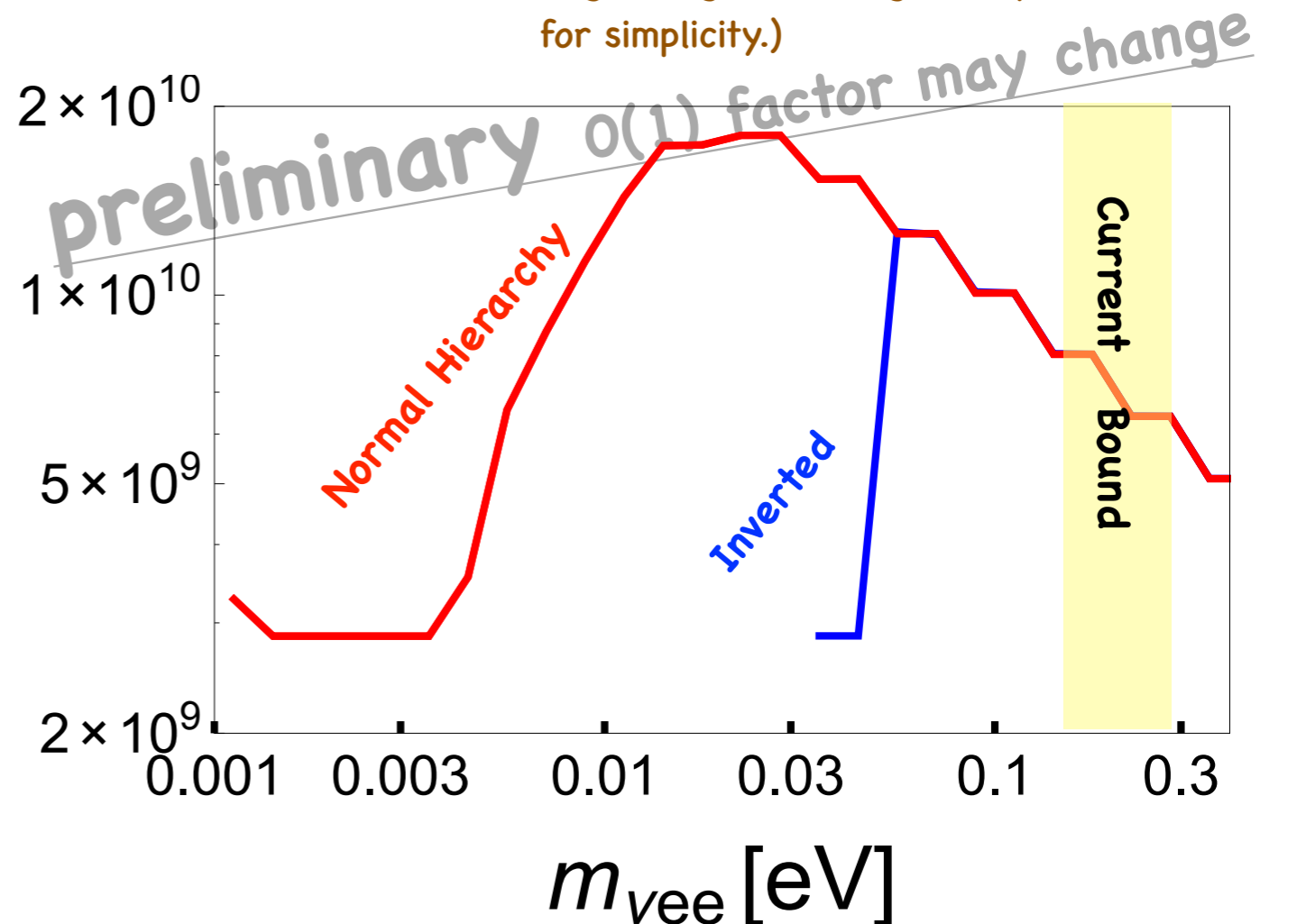
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Technically, we have numerically maximized the  $nB/s$  with respect to the components in Casas-Ibarra orthogonal matrix  $R$  (for each given Majorana and Dirac phases, which leads to fixed  $m_{\nu ee}$ .)



$M_1$  min [GeV]



Weaker than the unflavored case, but still non-trivial bounds.