

## 4 Resonances in scattering theory

### 4.1 Resonances as poles of scattering amplitude

- Discrete eigenstates of Hamiltonian  $\leftarrow$  outgoing boundary condition (QM, §2)
- Outgoing boundary condition  $\leftarrow$  zeros of Jost function  $\mathcal{f}_\ell(p) = 0$  (scattering theory, §3)
- $S$ -matrix from wave function: amplitude of outgoing wave normalized by the incoming one

$$u_\ell(r) \rightarrow \hat{h}_\ell^-(pr) - s_\ell(p)\hat{h}_\ell^+(pr) \quad (r \rightarrow \infty) \quad (50)$$

Comparing with Eq. (45), we find

$$s_\ell(p) = \frac{\mathcal{f}_\ell(-p)}{\mathcal{f}_\ell(p)} \quad (51)$$

$\Rightarrow$  discrete eigenstates are represented by **poles of  $S$ -matrix**

- Scattering amplitude: from Eq. (29)

$$f_\ell(p) = \frac{s_\ell(p) - 1}{2ip} = \frac{\mathcal{f}_\ell(-p) - \mathcal{f}_\ell(p)}{2ip\mathcal{f}_\ell(p)} \quad (52)$$

$\Rightarrow$  discrete eigenstates are represented by **poles of scattering amplitude**

- $s_\ell(p)$  and  $f_\ell(p)$  are meromorphic functions of  $p$  ( $\sim$  no singularities except for poles)

### 4.2 Eigenenergies and Riemann sheets

- Analytic continuation of  $\mathcal{f}_\ell(p)$ ,  $s_\ell(p)$ ,  $f_\ell(p)$  defined in physical region  $p > 0$  to complex plane
- Complex momentum  $p$  and complex energy  $E$

$$p = |p|e^{i\theta_p}, \quad E = |E|e^{i\theta_E} \quad (53)$$

- Relation between  $p$  and  $E$

$$E = \frac{p^2}{2\mu} = \frac{|p|^2}{2\mu}e^{2i\theta_p} \quad \Rightarrow \quad |E| = \frac{|p|^2}{2\mu}, \quad \theta_E = 2\theta_p \quad (54)$$

- When  $\theta_p$  varies  $0 \rightarrow 2\pi$ ,  $\theta_E$  varies  $0 \rightarrow 4\pi$
- $p$  and  $-p$  ( $\theta_p$  and  $\theta_p + \pi$ ) are mapped onto the same value of  $E$

- Meromorphic functions of  $p$  [ $s_\ell(p)$ ,  $f_\ell(p)$ ] are defined on two-sheeted Riemann surface of  $E$   
 $0 \leq \theta_E < 2\pi$  : first Riemann sheet of  $E$  (upper half-plane of  $p$ ,  $0 \leq \theta_p < \pi$ )  
 $2\pi \leq \theta_E < 4\pi$  : **second Riemann sheet** of  $E$  (lower half-plane of  $p$ ,  $\pi \leq \theta_p < 2\pi$ )

- Fig. 8: Complex  $p$  and  $E$  planes

Branch cut along real axis of  $E$  plane (branch point at  $E = 0$ )

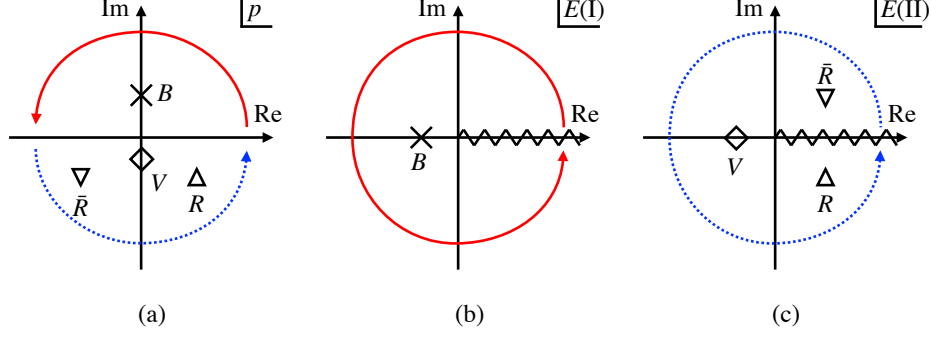


Figure 8: Poles in the complex plane. (a):  $p$  plane, (b):  $E$  plane (first Riemann sheet), (c):  $E$  plane (second Riemann sheet).  $B$ ,  $V$ ,  $R$ , and  $\bar{R}$  represent a bound state, a virtual state, a resonance, and an anti-resonance, respectively.

### 4.3 Classification of eigenstates

- Eigenstate of Hamiltonian: zero of Jost function  $\not\!{f}_\ell(p) = 0$
- From Eq. (44), if  $\not\!{f}_\ell(p) = 0$ ,

$$\not\!{f}_\ell(-p^*) = [\not\!{f}_\ell(p)]^* = 0 \quad (55)$$

$\Rightarrow$  If  $p$  is a solution, then  $-p^*$  is also a solution

- Solutions with  $p = -p^*$  (on the imaginary axis)
  - Bound state ( $B$ ):  $\times$  in Fig. 8

$$\text{Re } p_B = 0, \quad \text{Im } p_B > 0 \quad (56)$$

Energy  $E_B = p_B^2/(2\mu)$  is real and negative (first Riemann sheet)

- Virtual state (anti-bound state,  $V$ ):  $\diamond$

$$\text{Re } p_V = 0, \quad \text{Im } p_V < 0 \quad (57)$$

The energy  $E_V = p_V^2/(2\mu)$  is real and negative (second Riemann sheet)

The residue of the pole ( $\sim$  norm) is negative [28, 29], non-physical degree of freedom?

- Solutions with  $p \neq -p^*$  (always appearing in pairs)
  - Exist only in lower half-plane of  $p$
  - $\leftarrow$  Complex  $E$  is allowed only when wave function is not square-integrable

– **Resonance** ( $R$ ):  $\triangle$

$$\operatorname{Re} p_R > 0, \quad \operatorname{Im} p_R < 0 \quad (58)$$

$\operatorname{Re} E_R > 0, \operatorname{Im} E_R < 0$  (second Riemann sheet)

– **Anti-resonance** ( $\bar{R}$ ):  $\nabla$

$$\operatorname{Re} p_{\bar{R}} < 0, \quad \operatorname{Im} p_{\bar{R}} < 0 \quad (59)$$

Appears together with resonance

A solution growing in time [30] (“conjugate” of the resonance)

## 4.4 Resonances and observables

- Only real energies are experimentally accessible

Effect of a resonance pole at  $E = E_R = M_R - i\Gamma_R/2$  on observables in partial wave  $\ell$

- $f_\ell(E)$  having a pole at  $E = E_R$ :

$$f_\ell(E) = f_{\ell,\text{BW}}(E) + f_{\ell,\text{BG}}(E), \quad (60)$$

Breit-Wigner term  $f_{\ell,\text{BW}}(E)$ : resonance contribution

$$f_{\ell,\text{BW}}(E) = \frac{-\Gamma_R}{2p} \frac{1}{E - E_R} = \frac{-\Gamma_R}{2p} \frac{E - M_R - i\Gamma_R/2}{(E - M_R)^2 + \Gamma_R^2/4} \quad (61)$$

Nonresonant background  $f_{\ell,\text{BG}}(E)$ : analytic at  $E = E_R$  and slowly varying

- At real  $E \sim M_R$ , contribution from  $f_{\ell,\text{BW}}(E)$  increases (in particular, for narrow  $\Gamma_R$ )
- When  $f_{\ell,\text{BG}}(E)$  is **assumed** to be small and negligible,

$$f_\ell(E) \approx f_{\ell,\text{BW}}(E) \quad (f_{\ell,\text{BG}}(E) \approx 0). \quad (62)$$

we observe a “resonance” at **real energy**  $E = M_R$ :

(i)  $\operatorname{Re} f_\ell(E) = 0$  and  $\operatorname{Im} f_\ell(E)$  becomes maximal  $\leftarrow$  Eq. (61)

(ii) Cross section  $\sigma(E)$  exhibits a peak  $\leftarrow$  (i) and the optical theorem (see Exercise 2)

(iii) Phase shift  $\delta_\ell(E)$  increases rapidly and crosses  $\pi/2$

$\leftarrow$  From Eq. (29),  $\operatorname{Im} s_\ell(M_R) = 0$  when  $\operatorname{Re} f_\ell(M_R) = 0$

$\leftarrow$  Except for  $\delta_\ell = 0$  (non-interacting case), we have  $\delta_\ell = \pi/2$  (modulo  $\pi$ )

- When  $f_{\ell,\text{BG}}(E)$  is non-negligible, interference term contributes:

$$|f_\ell(E)|^2 = |f_{\ell,\text{BW}}(E)|^2 + |f_{\ell,\text{BG}}(E)|^2 + 2\operatorname{Re}[f_{\ell,\text{BW}}(E)f_{\ell,\text{BG}}^*(E)], \quad (63)$$

Peaks can be generated kinematically without a pole (e.g. threshold cusps) [31]

$\Rightarrow$  Importance of a careful analysis to determine resonance poles

## 4.5 Effective range expansion

- Low-energy (small  $p$ ) behavior of scattering amplitude  $f_\ell(p)$

$$f_\ell(p) = \frac{s_\ell(p) - 1}{2ip} = \frac{1}{p \cot \delta_\ell(p) - ip} = \frac{p^{2\ell}}{p^{2\ell+1} \cot \delta_\ell(p) - ip^{2\ell+1}} \quad (64)$$

- From Eq. (43), Jost function can be written as

$$\not{f}_\ell(p) = F_\ell(p^2) + ip G_\ell(p^2) \quad \Leftrightarrow \quad \not{f}_\ell(-p) = F_\ell(p^2) - ip G_\ell(p^2) \quad (65)$$

$F_\ell$  and  $G_\ell$  are functions of  $p^2$  and behave as ( $p \rightarrow 0$ )

$$F_\ell(p^2) = \mathcal{O}(p^0), \quad G_\ell(p^2) = \mathcal{O}(p^{2\ell}) \quad (66)$$

- From Eq. (52)

$$f_\ell(p) = \frac{\not{f}_\ell(-p) - \not{f}_\ell(p)}{2ip \not{f}_\ell(p)} = \frac{p^{2\ell}}{-p^{2\ell} F_\ell(p^2)/G_\ell(p^2) - ip^{2\ell+1}} \quad (67)$$

- Comparing Eqs. (64) and (67), we obtain

$$p^{2\ell+1} \cot \delta_\ell(p) = -p^{2\ell} \frac{F_\ell(p^2)}{G_\ell(p^2)} \quad (68)$$

Right-hand side is a function of  $p^2$  with  $\mathcal{O}(p^0)$  as  $p \rightarrow 0$ , and its Taylor expansion reads

$$\Rightarrow \quad p^{2\ell+1} \cot \delta_\ell(p) = -\frac{1}{a_\ell} + \frac{r_\ell}{2} p^2 + \mathcal{O}(p^4) \quad (69)$$

which is called the **effective range expansion**.

- $s$ -wave case ( $\ell = 0$ )

$$f_0(p) = \frac{1}{-\frac{1}{a_0} + \frac{r_0}{2} p^2 + \mathcal{O}(p^4) - ip}$$

- $a_0$ : scattering length (opposite sign convention is also used in hadron physics)
- $r_0$ : effective range, roughly corresponds to the interaction range, but can be negative
- Eq. (68) can have poles (CDD poles [32])

When a CDD pole exists at low energy, Padé approximant is useful [33, 34]

- Low-energy scattering: assuming higher-order terms in  $p$  are negligible

$$f_0(p) \approx \frac{1}{-\frac{1}{a_0} - ip}$$

Pole at  $p = \frac{i}{a_0}$

- $a_0 > 0$  : pole in the upper half-plane  $\Rightarrow$  bound state ( $E = -1/(2\mu a_0^2) < 0$ )
- $a_0 < 0$  : pole in the lower half-plane  $\Rightarrow$  virtual state ( $E = -1/(2\mu a_0^2) < 0$ )
- $a_0 \rightarrow \pm\infty$  : pole at  $p = 0$  ( $E = 0$ , **unitary limit**)

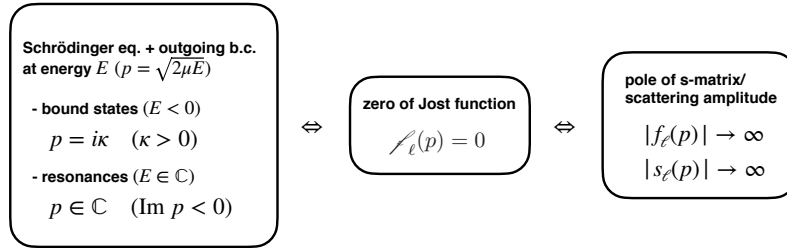


Figure 9: Various conditions for resonances. The outgoing boundary condition of the wave function is related to the poles of the scattering amplitude through the zeros of the Jost function.

## 4.6 Summary of §3 and §4

- Definitions of  $S$ -matrix, phase shift, scattering amplitude, etc.
- Correspondence between poles of scattering amplitude and resonance states (Fig. 9)
- Effective range expansion: description of low-energy scattering