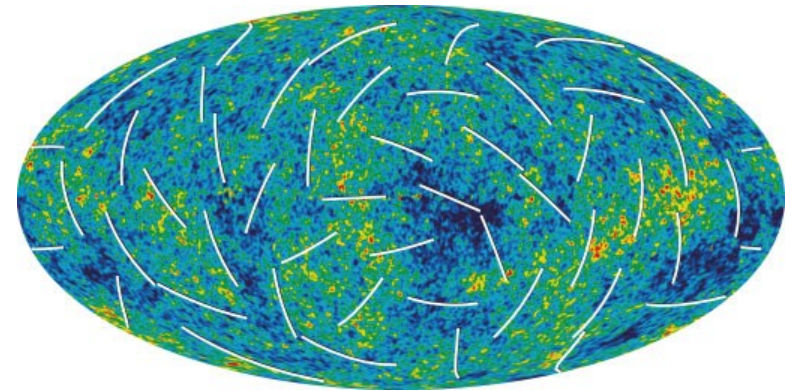
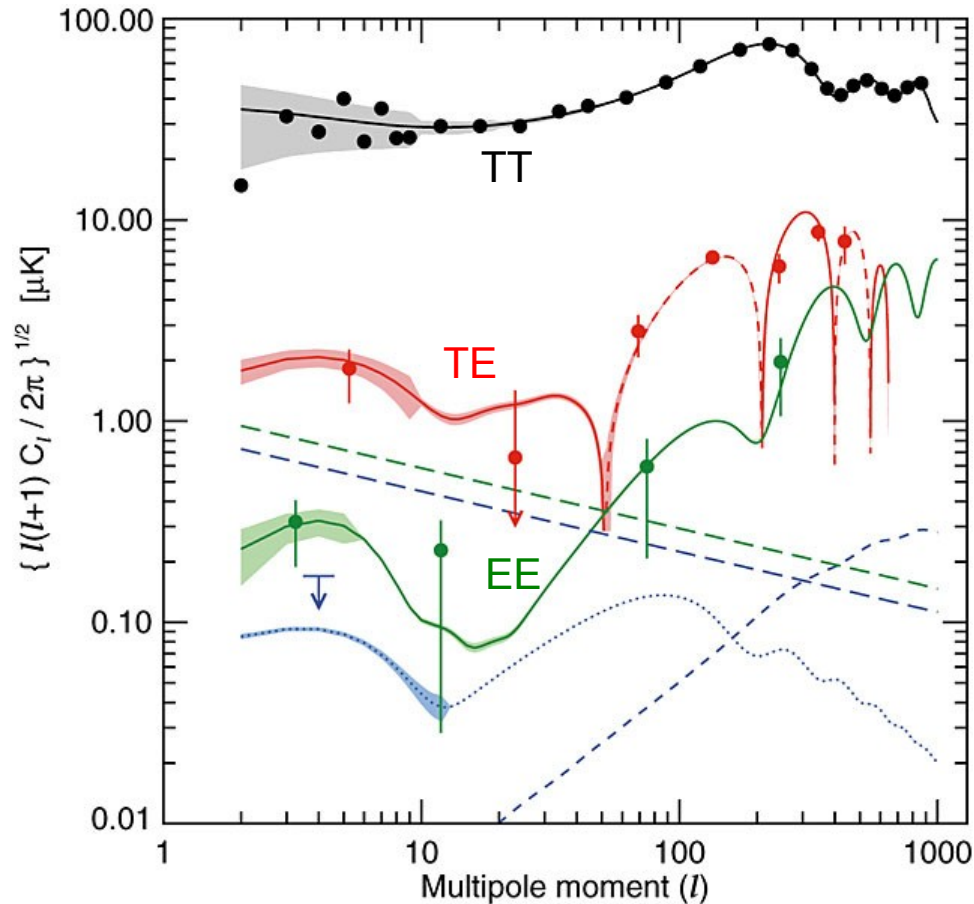


# Determining neutrino properties from precision cosmology

Yvonne Y. Y. Wong  
RWTH Aachen

International workshop on double beta decay and neutrinos, Osaka,  
November 14 – 17, 2011

# Probe 1: Cosmic microwave background anisotropies...

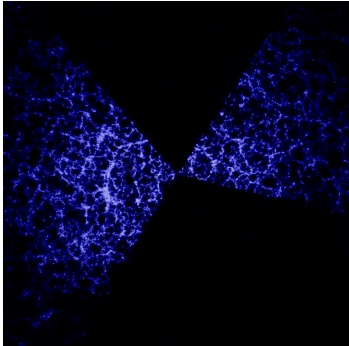


## Many probes:

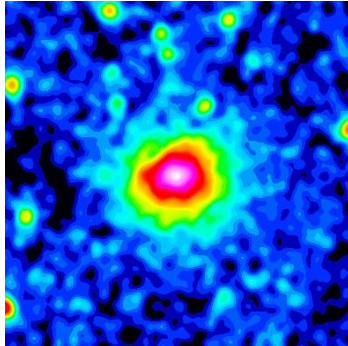
- **> 0.5 deg:** COBE, WMAP, Planck
- **< 0.5 deg:** DASI, CBI, ACBAR, Boomerang, VSA, QuaD, QUIET, BICEP, ACT, SPT, etc.

# Probe 2: Large-scale structure (LSS) distribution...

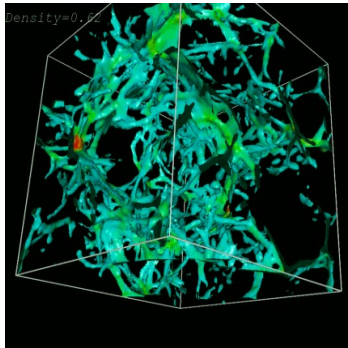
Galaxy clustering



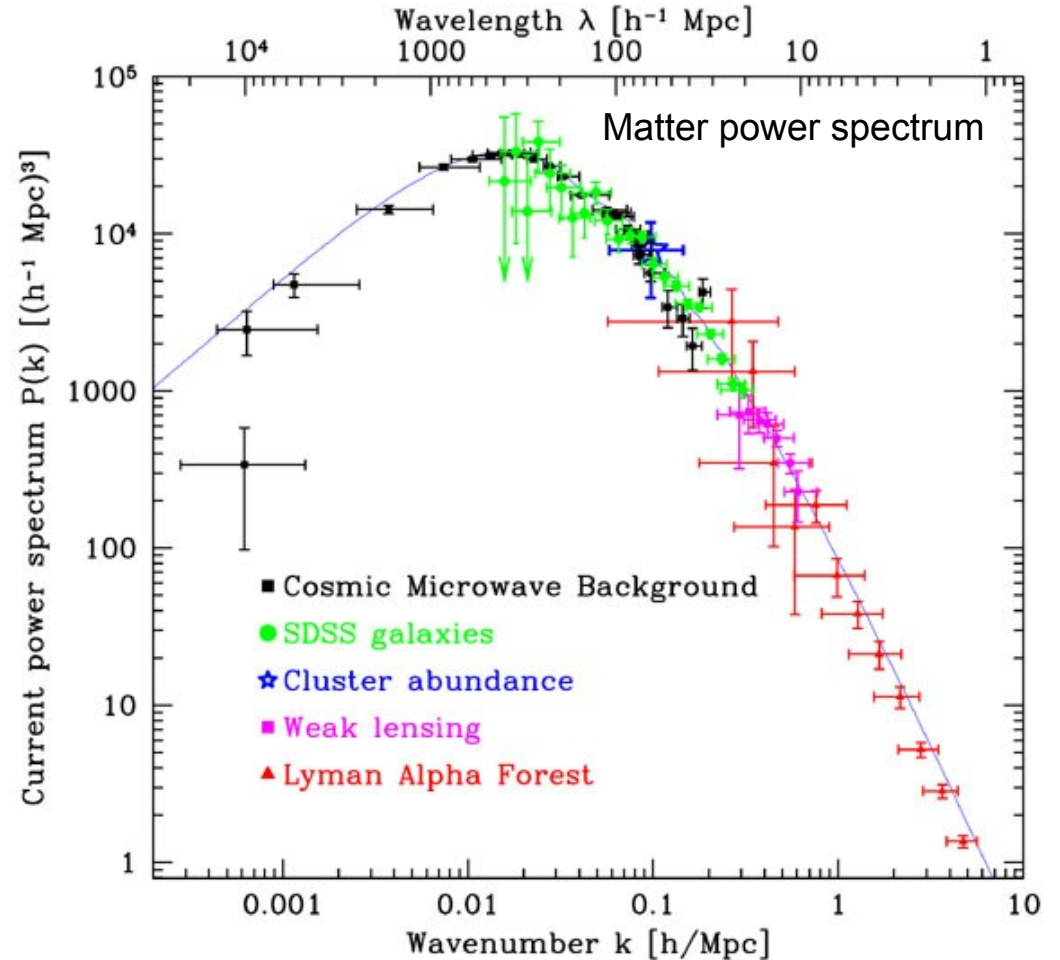
Cluster abundance



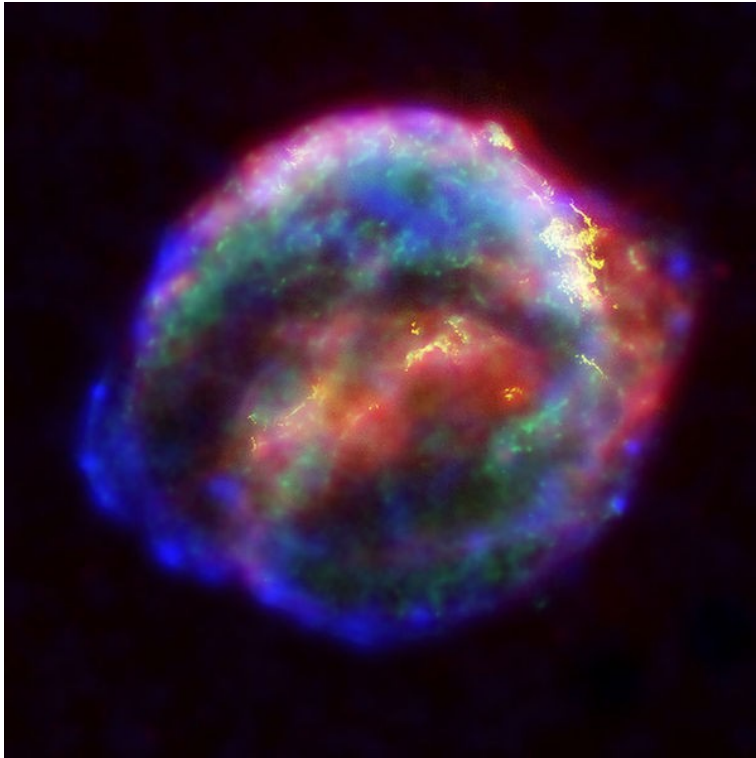
Gravitational lensing



Intergalactic hydrogen clumps; Lyman- $\alpha$

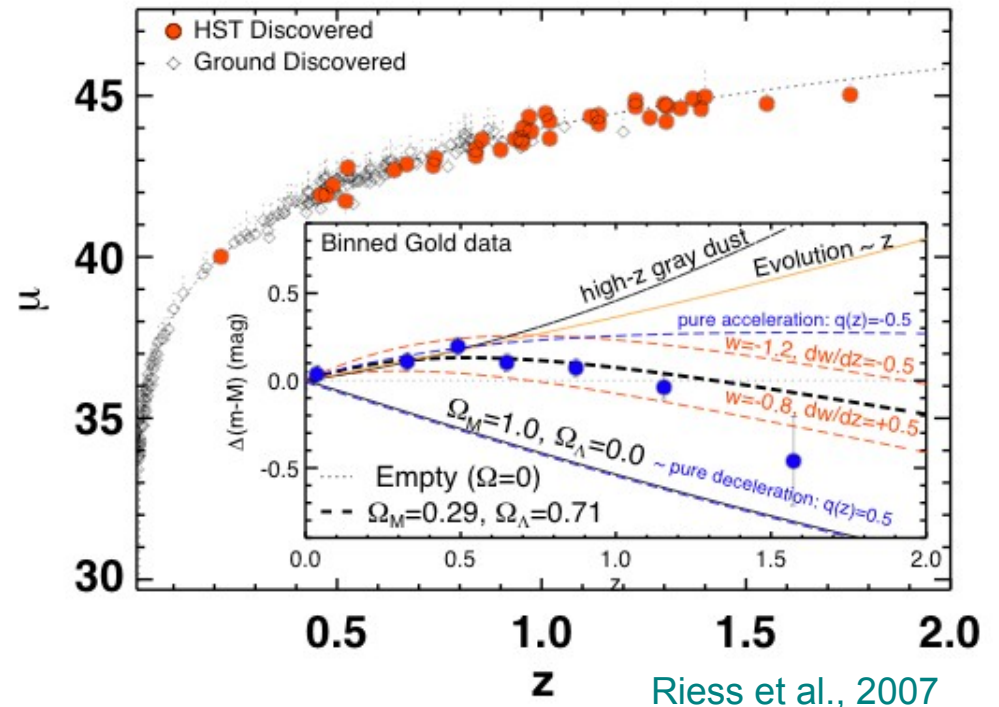


# Probe 3: Standard candles (distance vs redshift)...



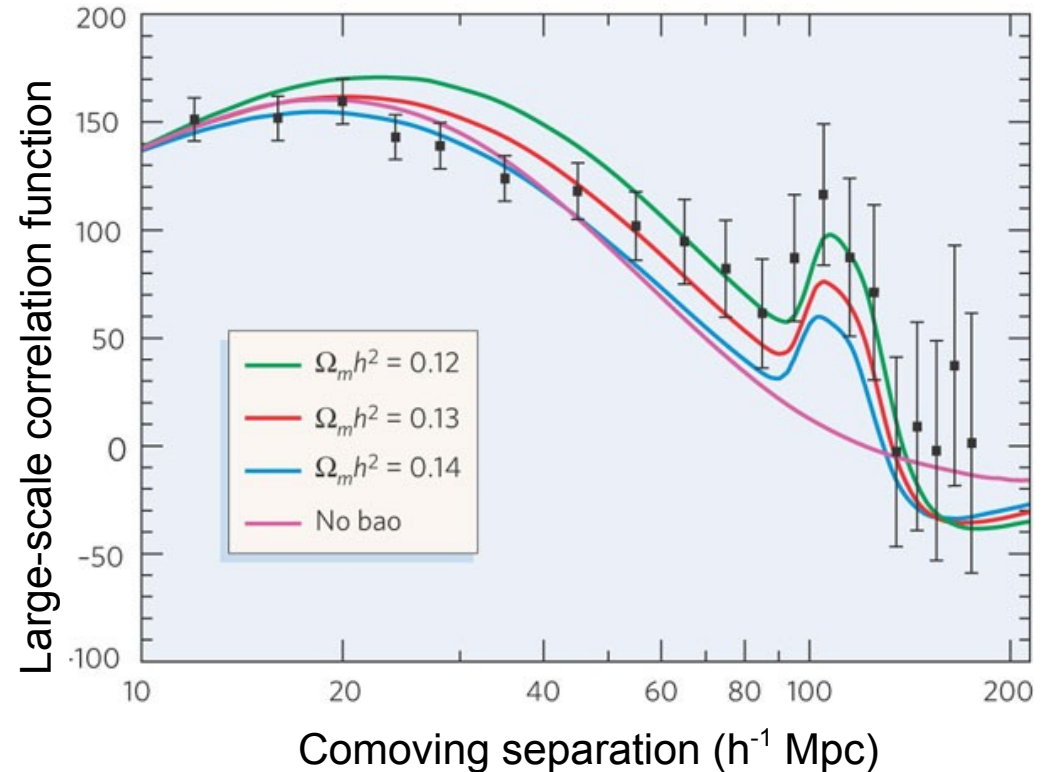
Type Ia supernova (SN Ia).

- Objects of known **luminosity**.
- **Hubble diagram** of **SN Ia** measures luminosity distance vs redshift.



# Probe 4: Standard rulers (distance vs redshift)...

- Objects of known **physical size**.
- **BAO peak** sourced by the **same physics** as CMB acoustic peaks
  - Position of peak in 2-point correlation of the matter distribution is known.
- Measures **angular diameter distance vs redshift**.

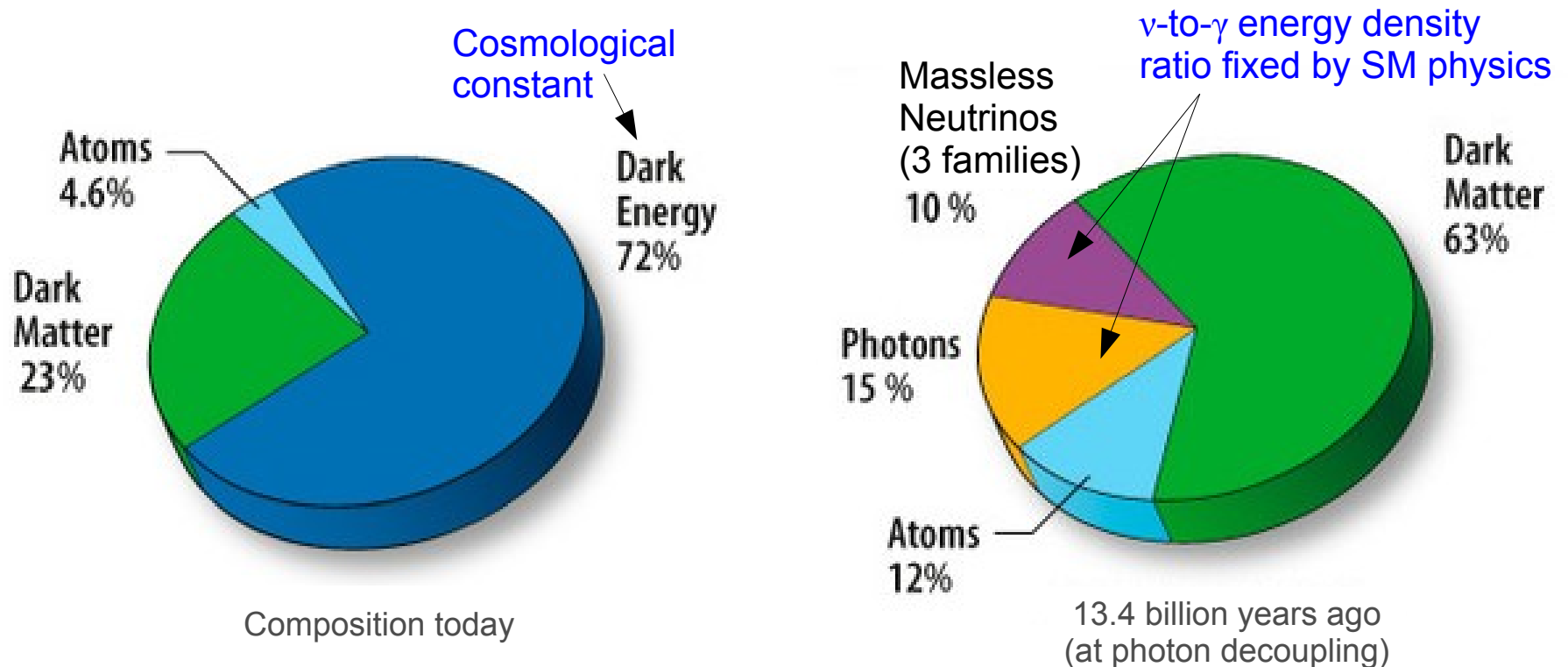


Baryon acoustic oscillation (BAO) peak  
Measured by SDSS

Eisenstein et al., 2005

# The concordance flat $\Lambda$ CDM model...

- The **simplest** model consistent with **present observations**.



Plus flat spatial geometry+initial conditions from single-field inflation

# Neutrino energy density (standard picture)...

- Neutrino decoupling at  $T \sim O(1)$  MeV. ← Fixed by weak interactions

- After  $e^+e^-$  annihilation ( $T \sim 0.2$  MeV):

- **Temperature:**

$$T_\nu = \left(\frac{4}{11}\right)^{1/3} T_\gamma$$

Assuming instantaneous decoupling

- **Number density** per flavour:

$$n_\nu = \frac{6}{4} \frac{\zeta(3)}{\pi^2} T_\nu^3 = \frac{3}{11} n_\gamma$$

Photon temperature, number density, & energy density

- **Energy density** per flavour:

$$\rho_\nu = \frac{7}{8} \frac{\pi^2}{15} T_\nu^4 = \frac{7}{8} \left(\frac{4}{11}\right)^{4/3} \rho_\gamma$$

$$\frac{3\rho_\nu}{\rho_\gamma} \sim 0.68$$

- If **massive**, then at  $T \ll m$ :  $\rho_\nu = m_\nu n_\nu \longrightarrow \Omega_{\nu,0} h^2 = \frac{m_\nu}{94 \text{ eV}}$

Hot dark matter (not within vanilla  $\Lambda$ CDM)

# Plan...

- Constraining/measuring **neutrino masses** from cosmology.
- Hint of **sterile neutrinos** from the CMB?



Part 1:  
Neutrino masses from cosmology

# Neutrino dark matter...

$$m_\nu > T_\nu \sim 10^{-4} \text{ eV}$$

- If  $m_\nu > 1 \text{ meV}$ , cosmological neutrinos are **nonrelativistic** today.

Total neutrino energy density

$$\Omega_{\nu,0} h^2 = \sum \frac{m_\nu}{94 \text{ eV}} \longrightarrow \text{Neutrino dark matter}$$

- **Predictions** based on laboratory limits:

- **Neutrino oscillations:**  $\min \sum m_\nu \sim 0.05 \text{ eV} \rightarrow \underline{\min \Omega_\nu \sim 0.1\%}$

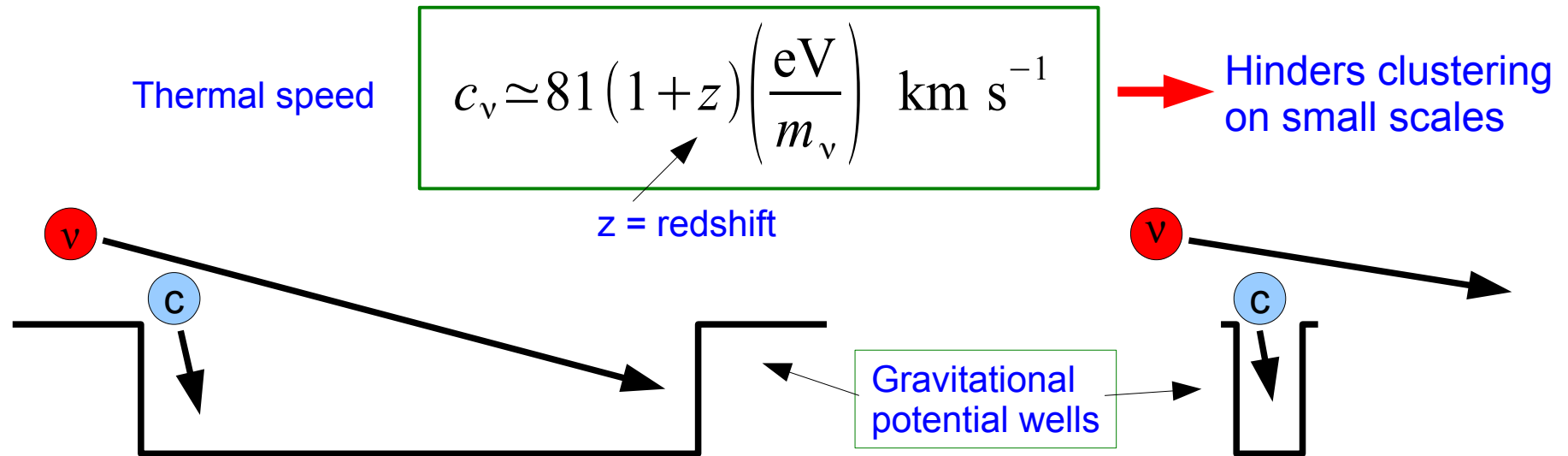
- **Tritium beta decay:**  $\max \sum m_\nu \sim 7 \text{ eV} \rightarrow \underline{\max \Omega_\nu \sim 12\%}$

Neutrinos cannot make up all of the dark matter content in the universe



# Neutrino **hot** dark matter...

- Neutrino dark matter comes with significant “**thermal**” motion.



- **Free-streaming** length scale & wavenumber:

$$\lambda_{\text{FS}} \equiv \sqrt{\frac{8\pi^2 c_v^2}{3\Omega_m H^2}} \simeq 4.2 \sqrt{\frac{1+z}{\Omega_{m,0}}} \left( \frac{\text{eV}}{m_\nu} \right) h^{-1} \text{ Mpc}$$

$$k_{\text{FS}} \equiv \frac{2\pi}{\lambda_{\text{FS}}}$$

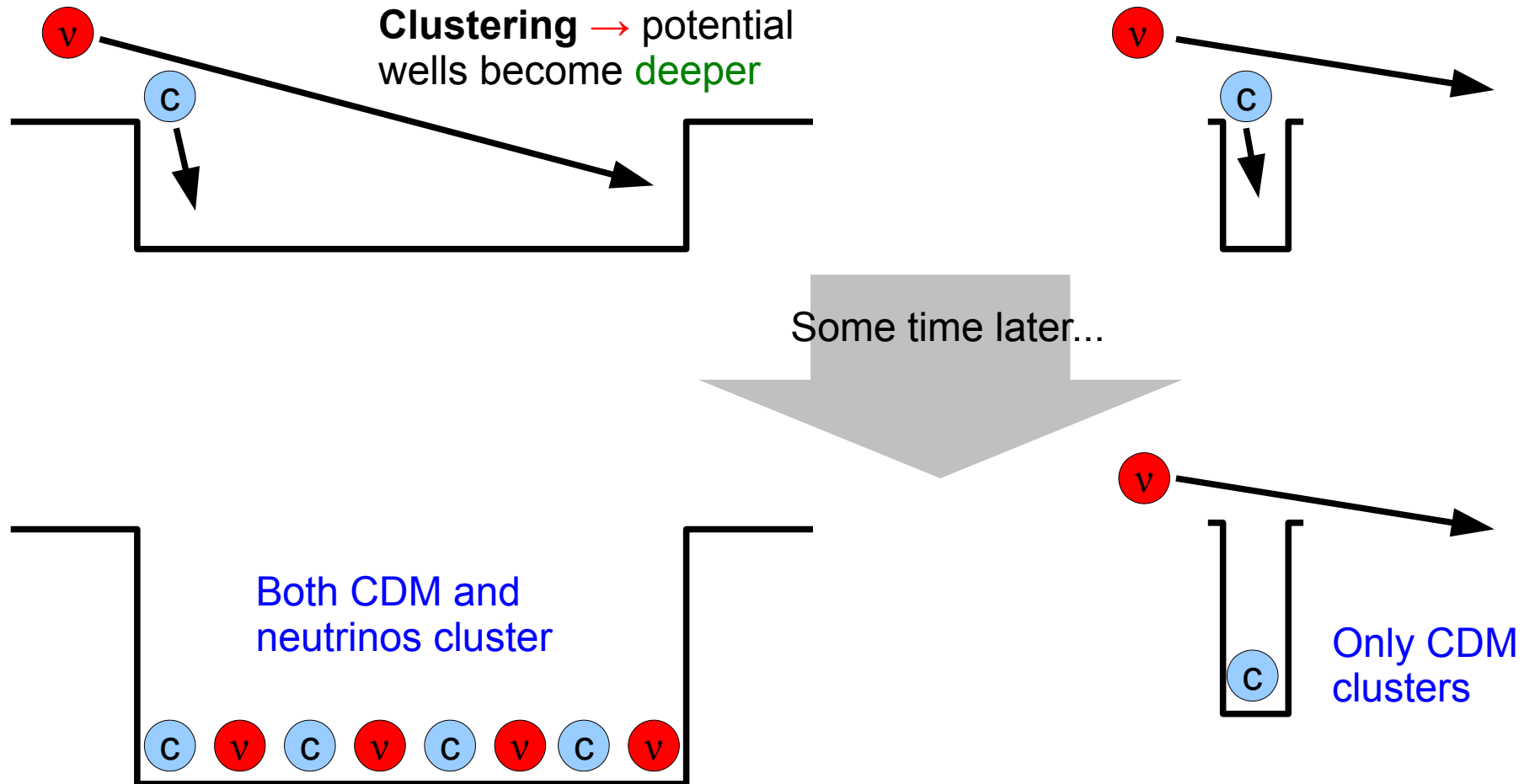
$$\lambda \gg \lambda_{\text{FS}} \quad \text{Clustering}$$

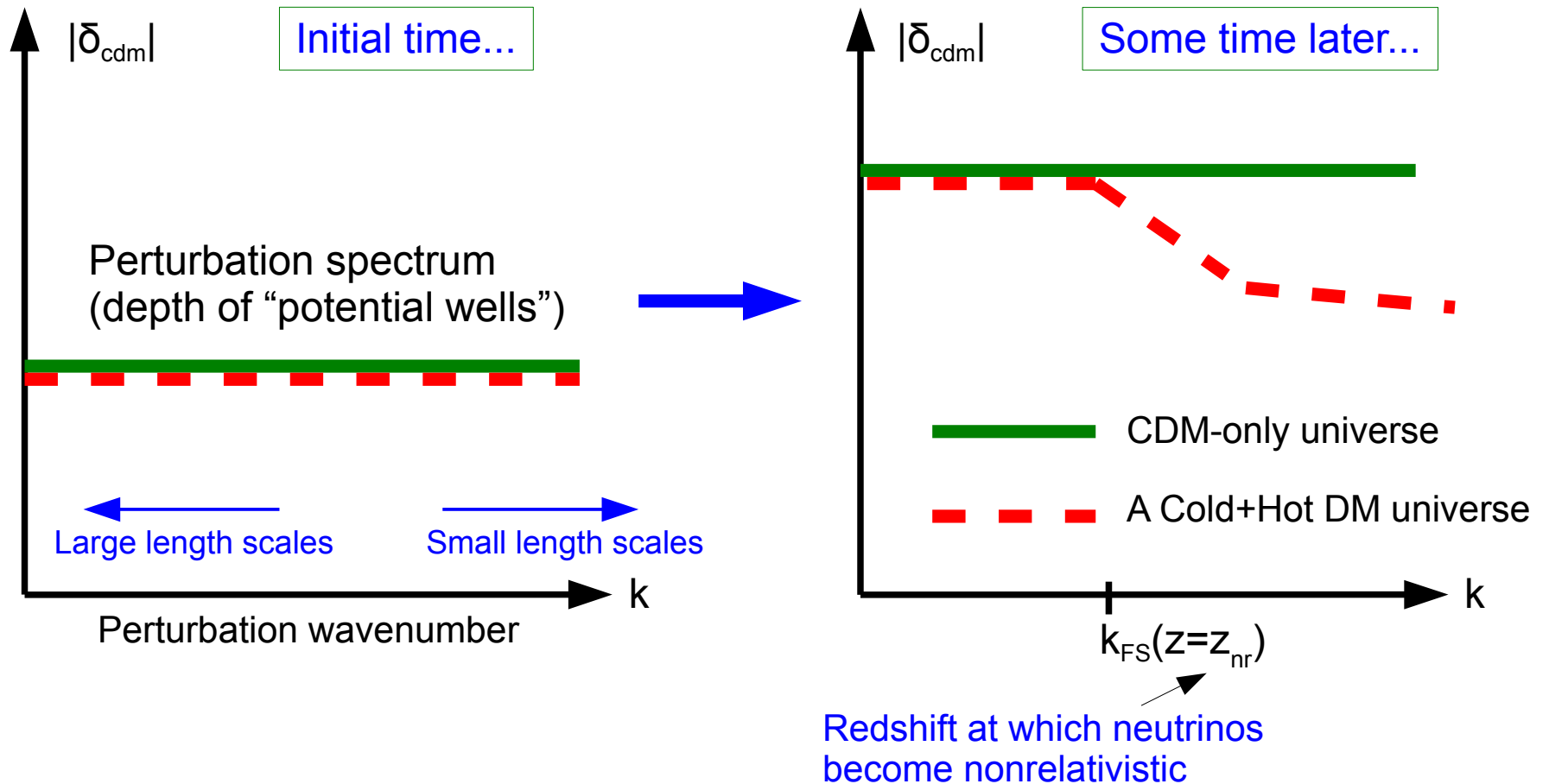
$$k \ll k_{\text{FS}}$$

$$\lambda \ll \lambda_{\text{FS}} \quad \text{Non-clustering}$$

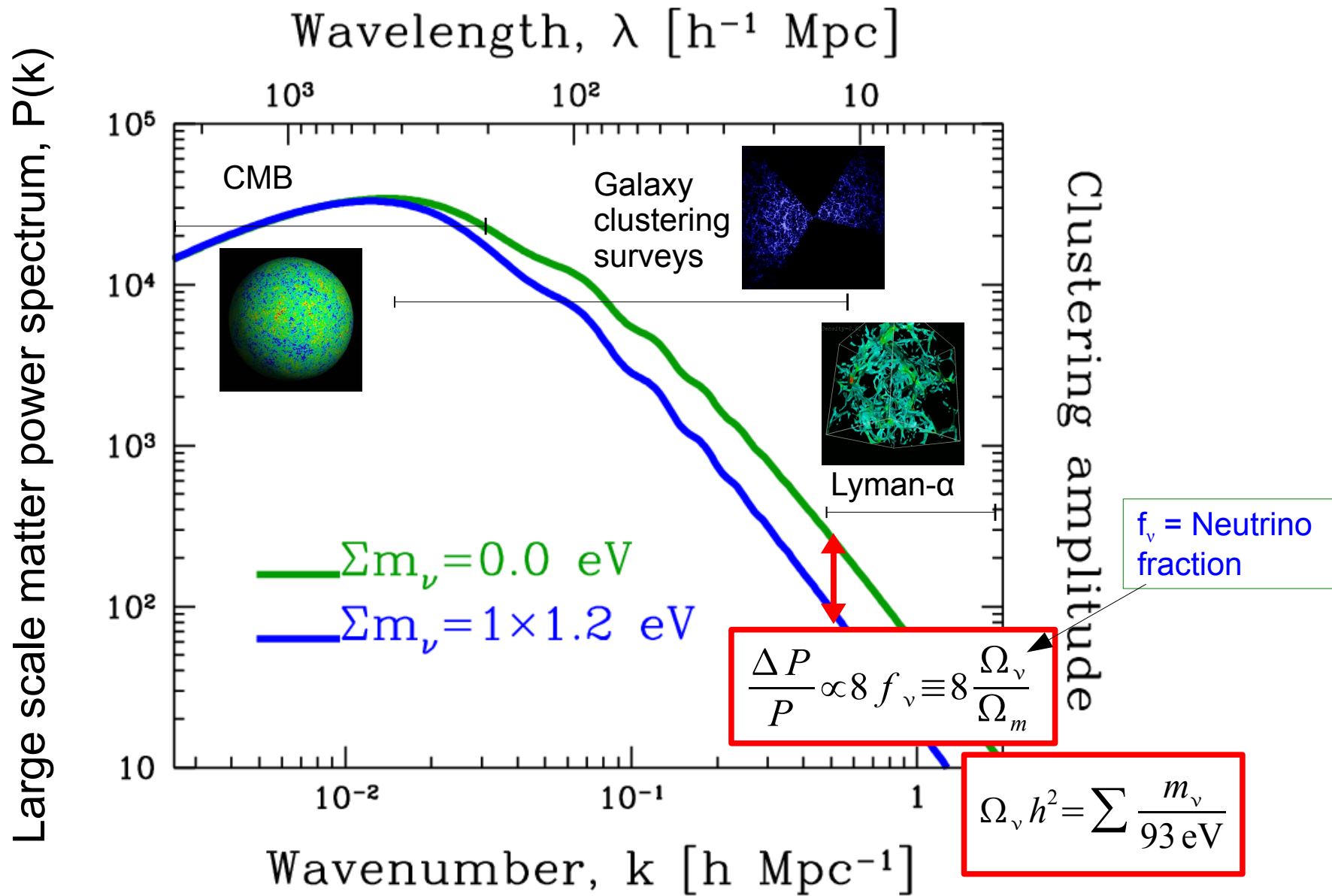
$$k \gg k_{\text{FS}}$$

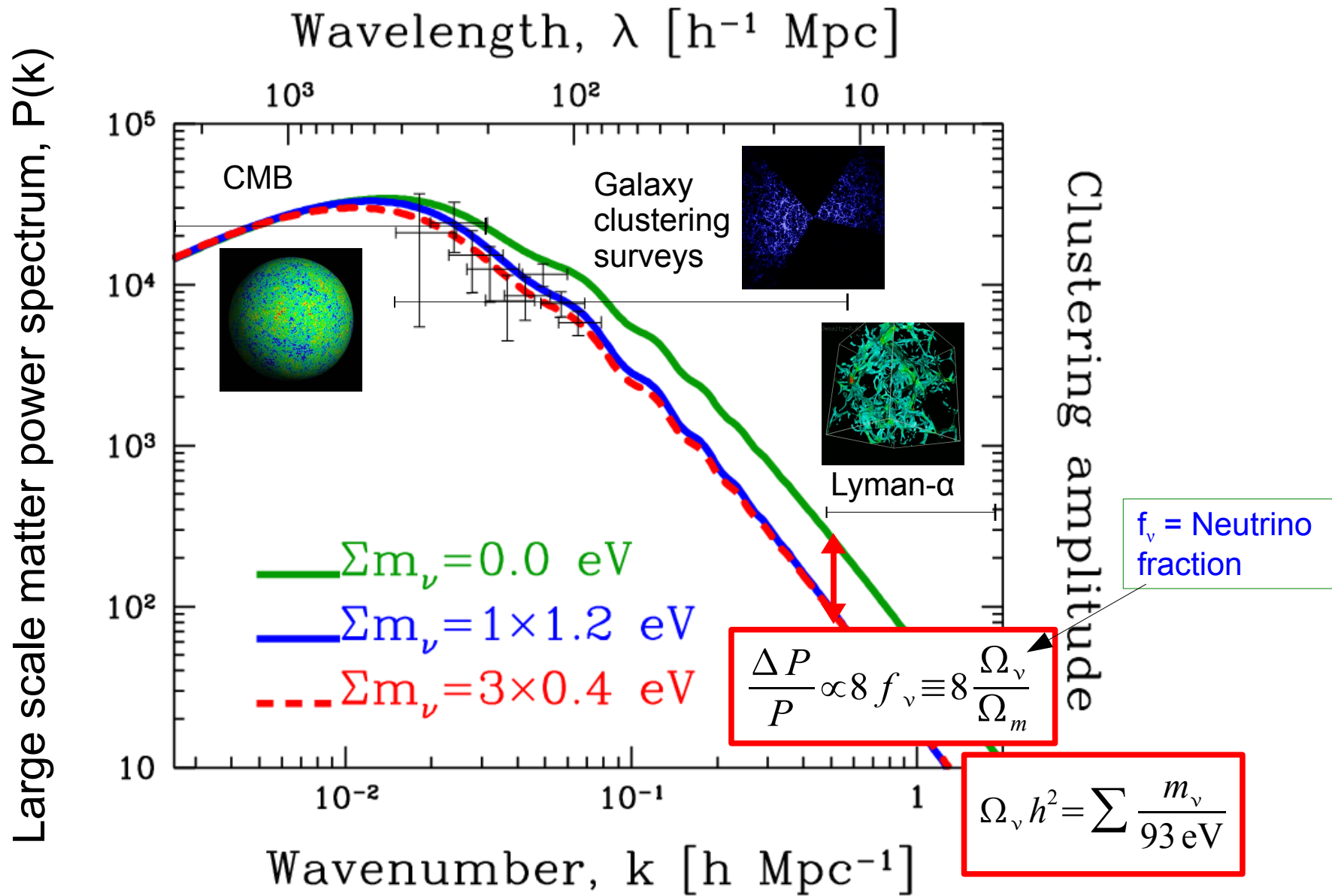
- In turn, **free-streaming** (non-clustering) neutrinos **slow down** the **growth** of gravitational potential wells on **scales**  $\lambda \ll \lambda_{FS}$  or **wavenumbers**  $k \gg k_{FS}$ .

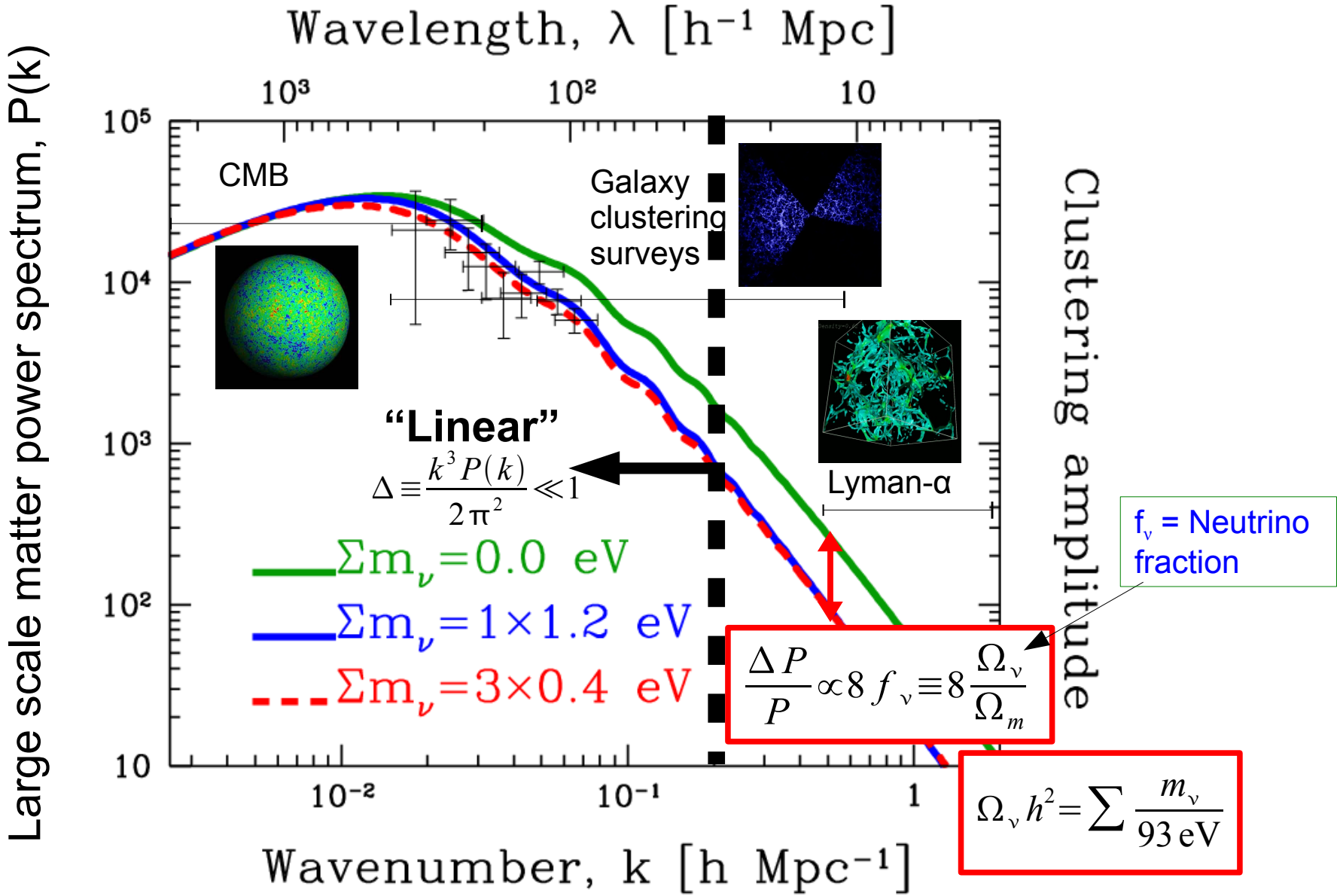




- The presence of **Hot Dark Matter** slows down the growth of **Cold Dark Matter** perturbations at large wavenumbers  $k$ .





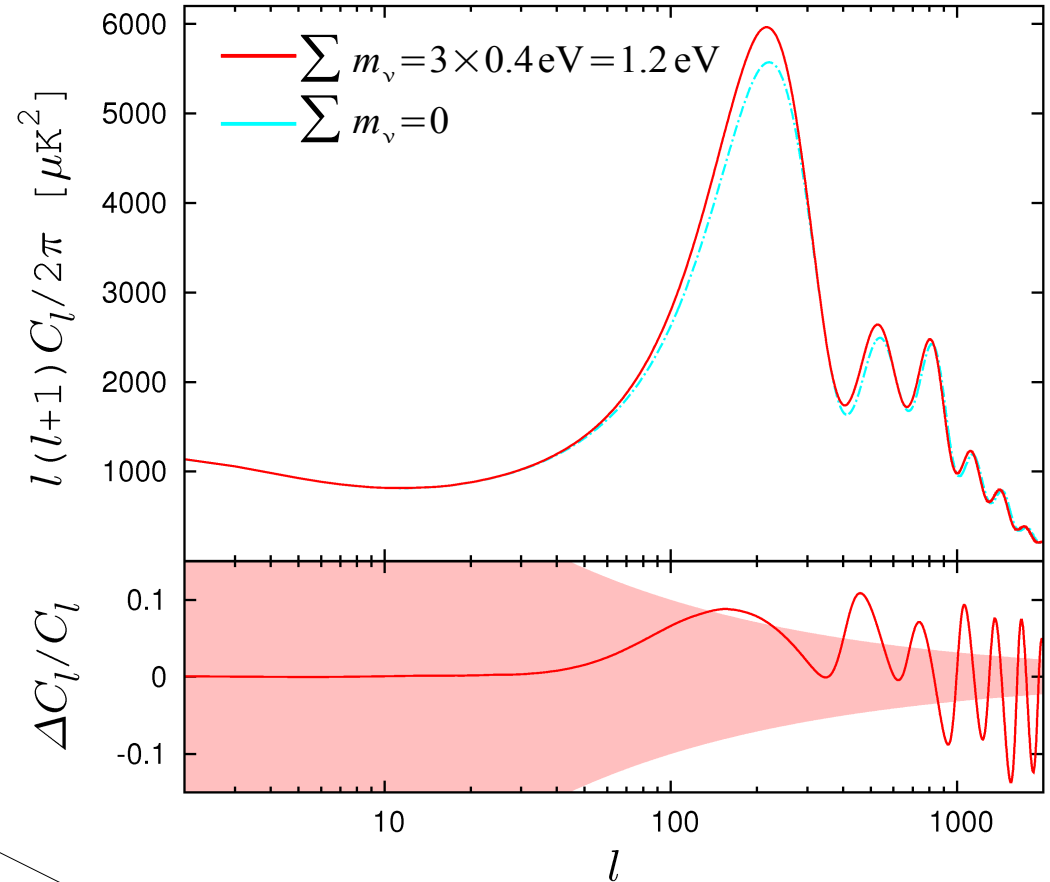




# Neutrino effects on the CMB anisotropies...

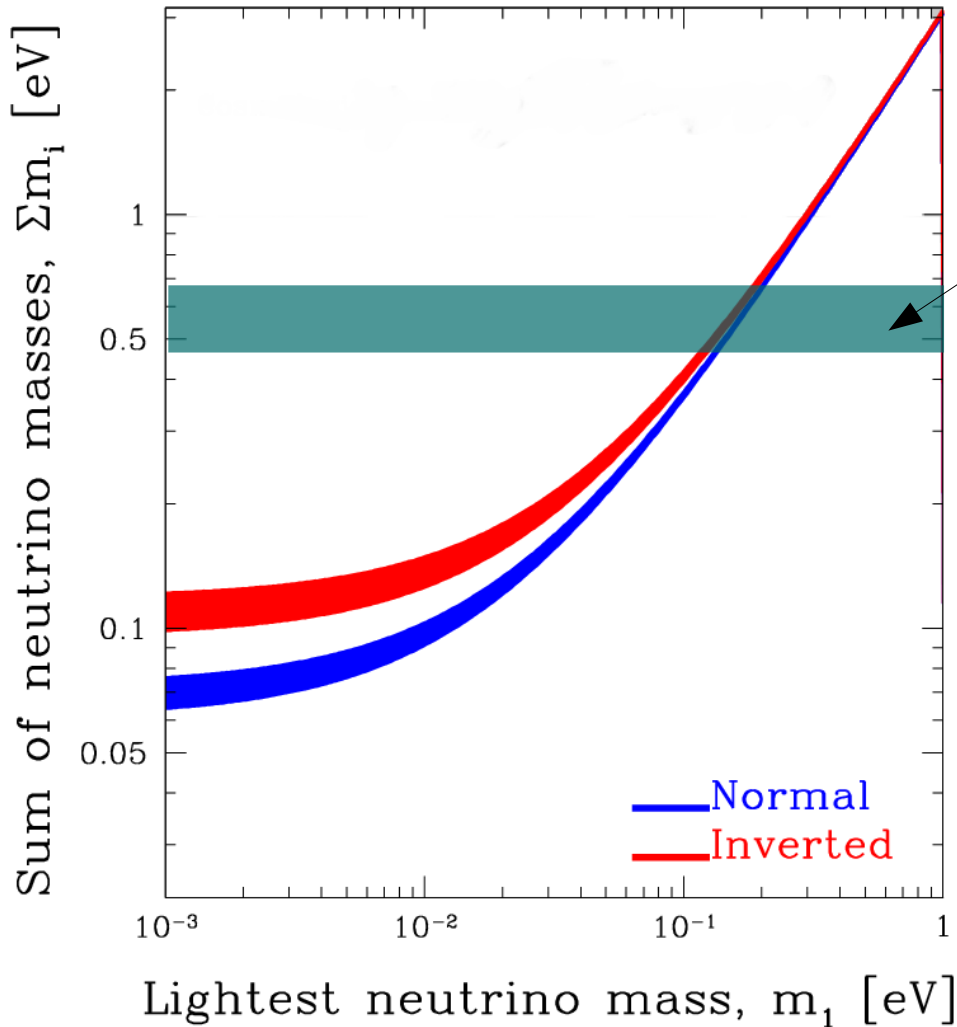
- Present constraints come mainly via the **early ISW effect**:
  - $\gamma$  decoupling:  $T \sim 0.26$  eV.
  - Equality at  $T \sim 1$  eV.
- A **O(0.1-1) eV** neutrino becomes **nonrelativistic** in the same time frame.

WMAP7 only ( $\Lambda$ CDM+ $m_\nu$ ):  
 $\sum m_\nu < 1.3$  eV (95% C.I.)



CMB = Minimal nonlinear physics

# Present constraints...



CMB (WMAP7+ACBAR+BICEP+QuaD)  
+ LSS (SDSS-HPS)  
+ HST+SN Ia

$$\sum m_\nu < 0.44 \rightarrow 0.76 \text{ eV (95\% CI)}$$

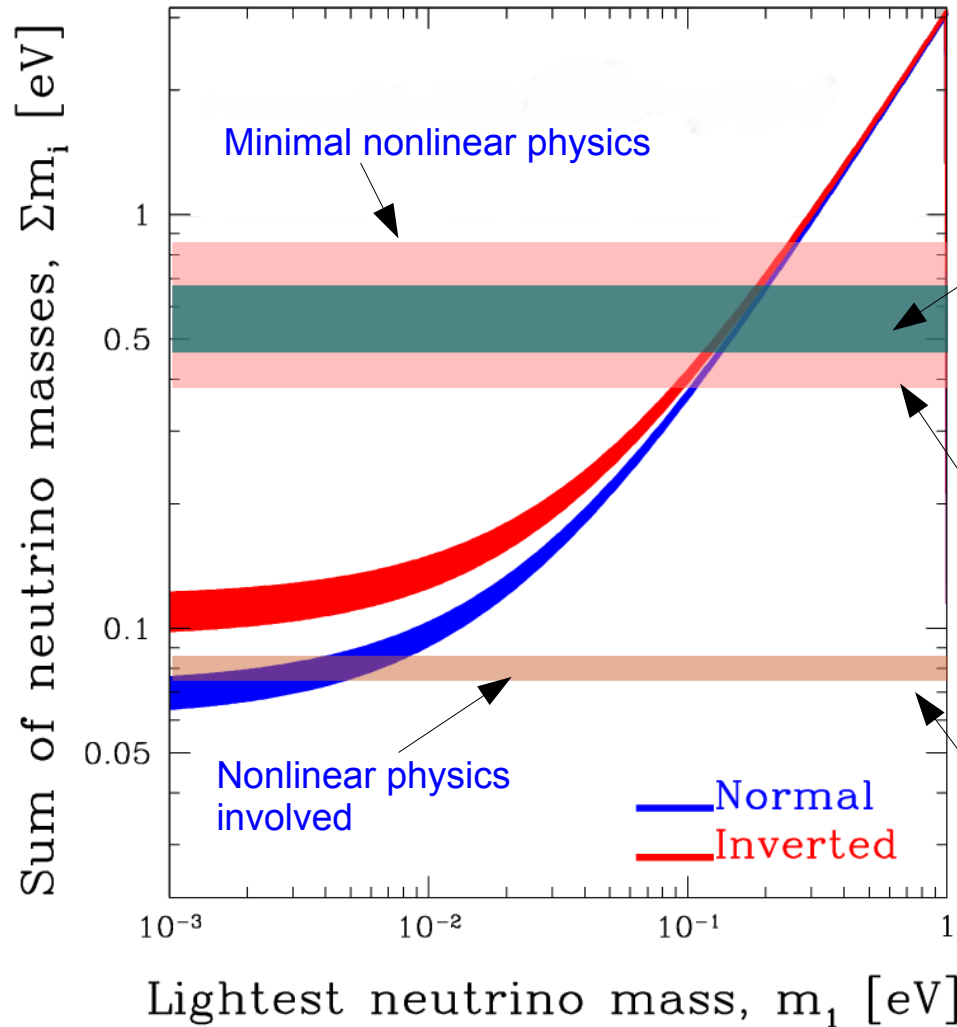
depending on the model complexity

Hannestad, Mirizzi, Raffelt & Y<sup>3</sup>W 2010  
Gonzalez-Garcia et al. 2010, etc.

Includes **uncertainties** in

- Number of neutrinos
- Dark energy equation of state
- Inflation physics  
(tensors, running spectral index)
- Spatial curvature

# Present constraints and future sensitivities...



CMB (WMAP7+ACBAR+BICEP+QuaD)  
+ LSS (SDSS-HPS)  
+ HST+SN Ia

$$\sum m_\nu < 0.44 \rightarrow 0.76 \text{ eV (95\% CI)}$$

depending on the model complexity

Hannestad, Mirizzi, Raffelt & Y<sup>3</sup>W 2010  
Gonzalez-Garcia et al. 2010, etc.

Planck alone (1 year) 2012–2013

$$\sum m_\nu < 0.38 \rightarrow 0.84 \text{ eV (95\% CI)}$$

Perotto et al. 2006

Planck+Weak lensing (LSST) 2020+

$$\sum m_\nu < 0.074 \rightarrow 0.086 \text{ eV (95\% CI)}$$


Hannestad, Tu & Y<sup>3</sup>W 2006

**Part II:  
Hint of sterile neutrinos  
from the CMB?**

# Experimental anomalies & the sterile $\nu$ interpretation...

- Experiments **at odds** with the standard **3-neutrino interpretation** of global neutrino oscillation data:
  - **LSND** ( $\bar{\nu}_e$  appearance)
  - **MiniBooNE** anti-neutrinos ( $\bar{\nu}_e$  appearance)
  - **Short baseline reactor experiments** (re-evaluation of neutrino fluxes) ( $\bar{\nu}_e$  disappearance)
- If interpreted as oscillation signals  $\rightarrow$  a 4th (or more) **sterile neutrino** with  $\Delta m^2 \sim O(1 \text{ eV}^2)$ .

Sterile = does not violate  
LEP bound on Z decay width



# Experimental anomalies & the sterile $\nu$ interpretation...

- **Best-fits** parameters: [Kopp, Maltoni & Schwetz 2011](#)

## Reactor experiments only

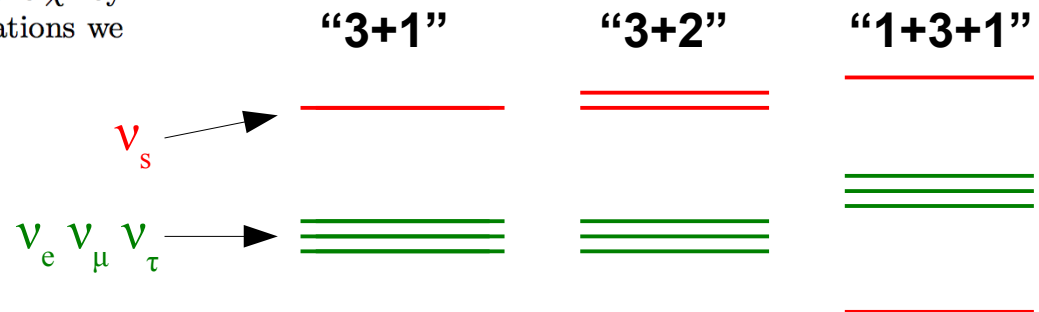
	$\Delta m_{41}^2$ [eV <sup>2</sup> ]	$ U_{e4} $	$\Delta m_{51}^2$ [eV <sup>2</sup> ]	$ U_{e5} $	$\chi^2/\text{dof}$
3+1	1.78	0.151			50.1/67
3+2	0.46	0.108	0.89	0.124	46.5/65

## Global short baseline (including LSND+MiniBooNE)

	$\Delta m_{41}^2$	$ U_{e4} $	$ U_{\mu 4} $	$\Delta m_{51}^2$	$ U_{e5} $	$ U_{\mu 5} $	$\delta/\pi$	$\chi^2/\text{dof}$
3+2	0.47	0.128	0.165	0.87	0.138	0.148	1.64	110.1/130
1+3+1	0.47	0.129	0.154	0.87	0.142	0.163	0.35	106.1/130

**Table I:** Best fit points for the 3+1 and 3+2 scenarios from reactor anti-neutrino data. The total number of data points is 69 (Bugey3 spectra plus 9 SBL rate measurements; we have omitted data from Chooz and Palo Verde, which are not very sensitive to the model parameters, but would dilute the  $\chi^2$  by introducing 15 additional data points). For no oscillations we have  $\chi^2/\text{dof} = 59.0/69$ .

**Table II:** Parameter values and  $\chi^2$  at the global best fit points for 3+2 and 1+3+1 oscillations ( $\Delta m^2$ 's in eV<sup>2</sup>).



# Impact of light (eV mass) sterile $\nu$ on cosmology...

- Preferred  $\Delta m^2$  and mixing  $\rightarrow$  thermalisation of sterile neutrino state prior to neutrino decoupling.

$\rightarrow$  Excess relativistic energy density.

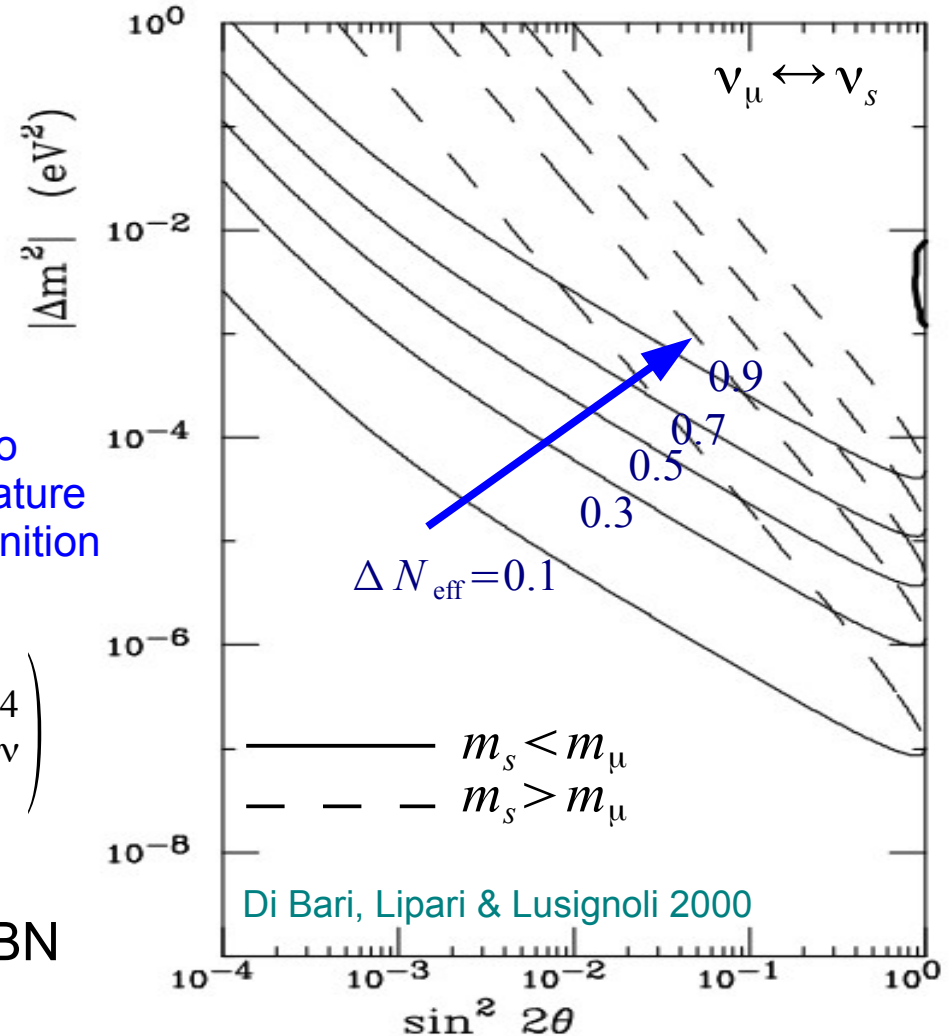
$$\rho_\nu + \rho_X = N_{\text{eff}} \left( \frac{7}{8} \frac{\pi^2}{15} T_\nu^4 \right)$$

Neutrino temperature per definition

$$= (3.046 + \Delta N_{\text{eff}}) \left( \frac{7}{8} \frac{\pi^2}{15} T_\nu^4 \right)$$

Observables

$\rightarrow$  CMB, large-scale structure, BBN



# Impact of light (eV mass) sterile $\nu$ on cosmology...

- Preferred  $\Delta m^2$  and mixing  $\rightarrow$  **thermalisation** of sterile neutrino state prior to neutrino decoupling.

$\rightarrow$  **Excess** relativistic energy density.

$$\begin{aligned}\rho_\nu + \rho_X &= N_{\text{eff}} \left( \frac{7}{8} \frac{\pi^2}{15} T_\nu^4 \right) \quad \begin{array}{l} \text{Neutrino} \\ \text{temperature} \\ \text{per definition} \end{array} \\ &= (3.046 + \Delta N_{\text{eff}}) \left( \frac{7}{8} \frac{\pi^2}{15} T_\nu^4 \right)\end{aligned}$$

**Observables**

$\rightarrow$  CMB, large-scale structure, BBN

- If the sterile neutrino is sufficiently massive  $\rightarrow$  **hot dark matter**.

$$\Omega_s h^2 = \frac{m_s}{94 \text{ eV}}$$



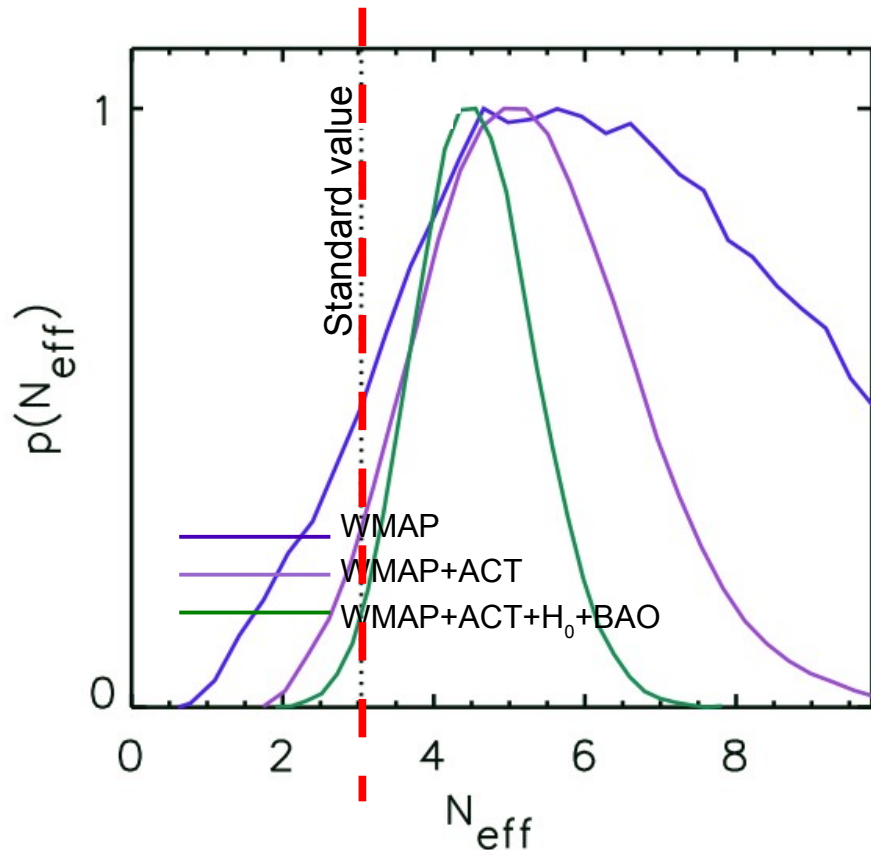
CMB, large-scale structure



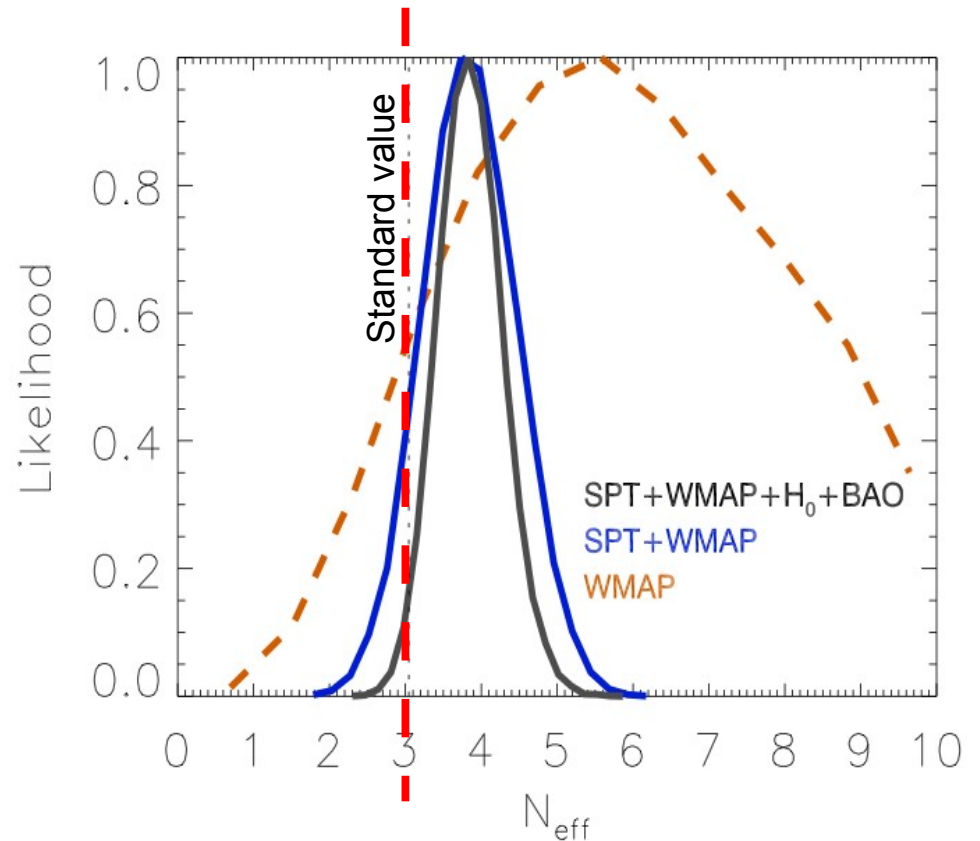
2a. CMB+LSS

# Evidence for $N_{\text{eff}} > 3$ from CMB+LSS...

- Recent CMB+LSS data appear to prefer  $N_{\text{eff}} > 3$ !



Dunkley et al. [Atacama Cosmology Telescope] 2010



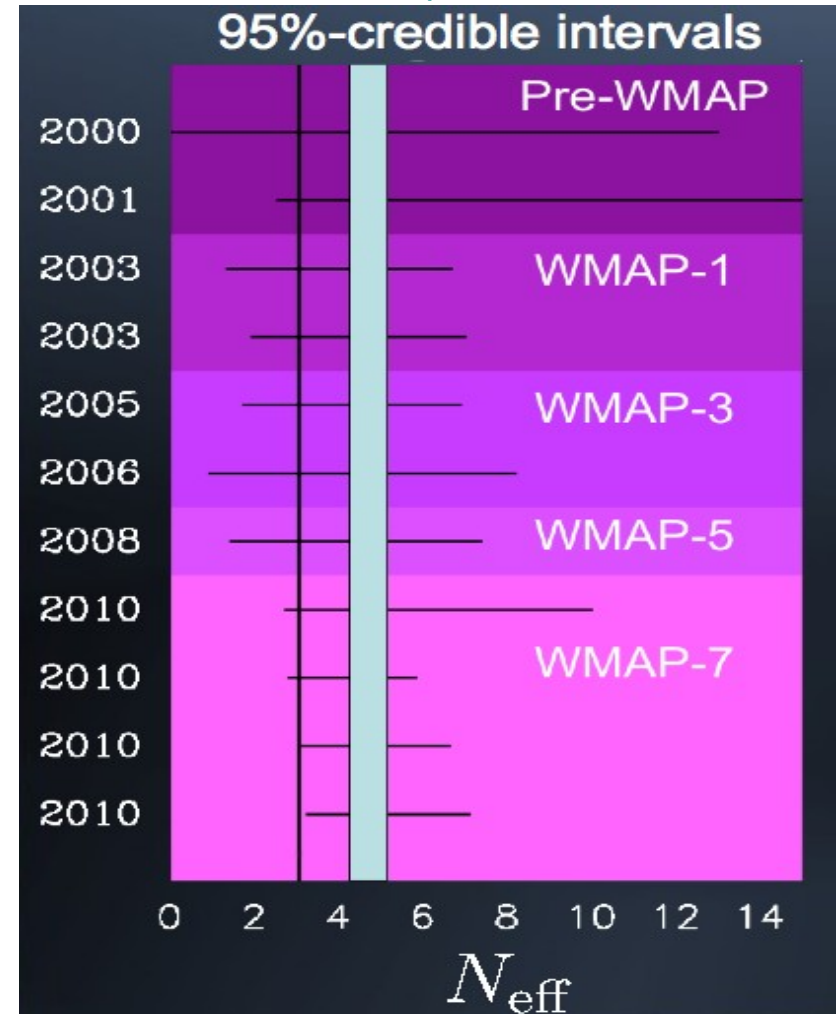
Keisler et al. [South Pole Telescope] 2011

# Evidence for $N_{\text{eff}} > 3$ from CMB+LSS...

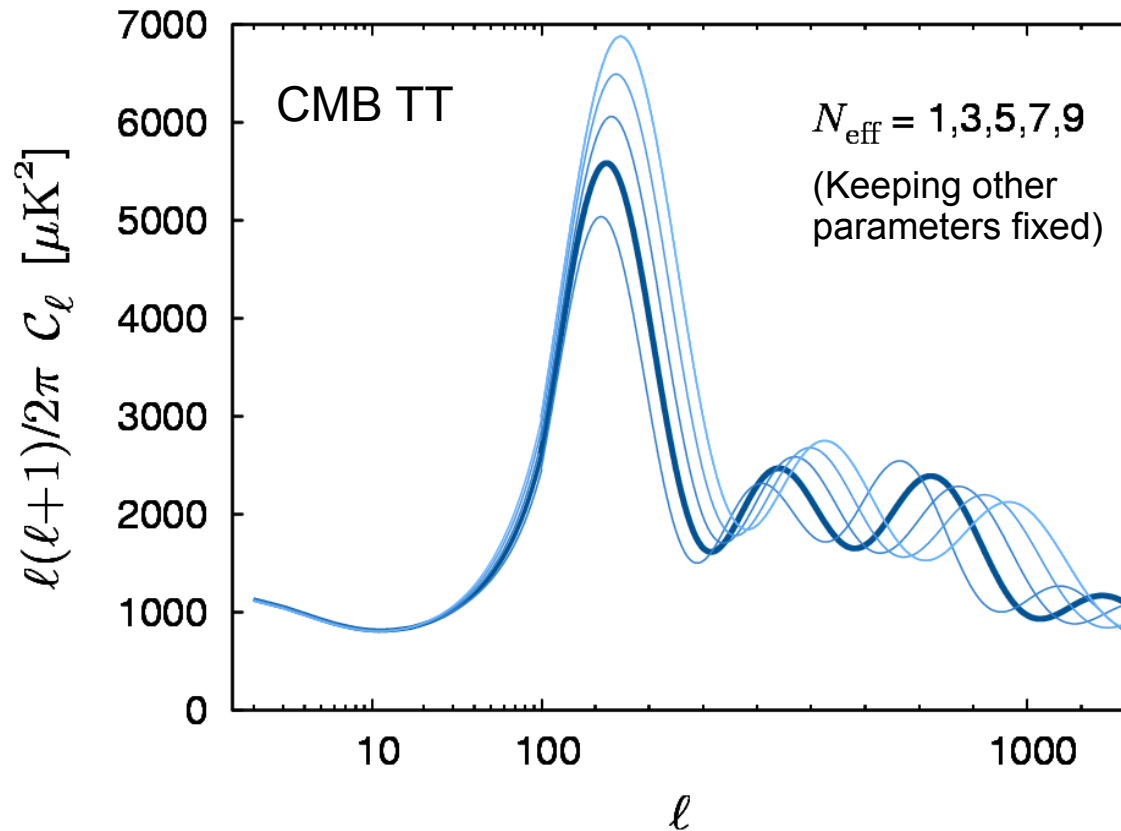
- Trend since WMAP-1.
- Exact numbers depend on the **cosmological model** and the **combination of data** used.
- Simplest model (vanilla  $\Lambda\text{CDM}+N_{\text{eff}}$ ):
  - **Evidence for  $N_{\text{eff}} > 3$  @ 98.4%** (WMAP7+ACT+ACBAR+ $H_0$ +BAO).

Hou, Keisler, Knox, et al. 2011

Adapted from S. Hannestad



# How it works...

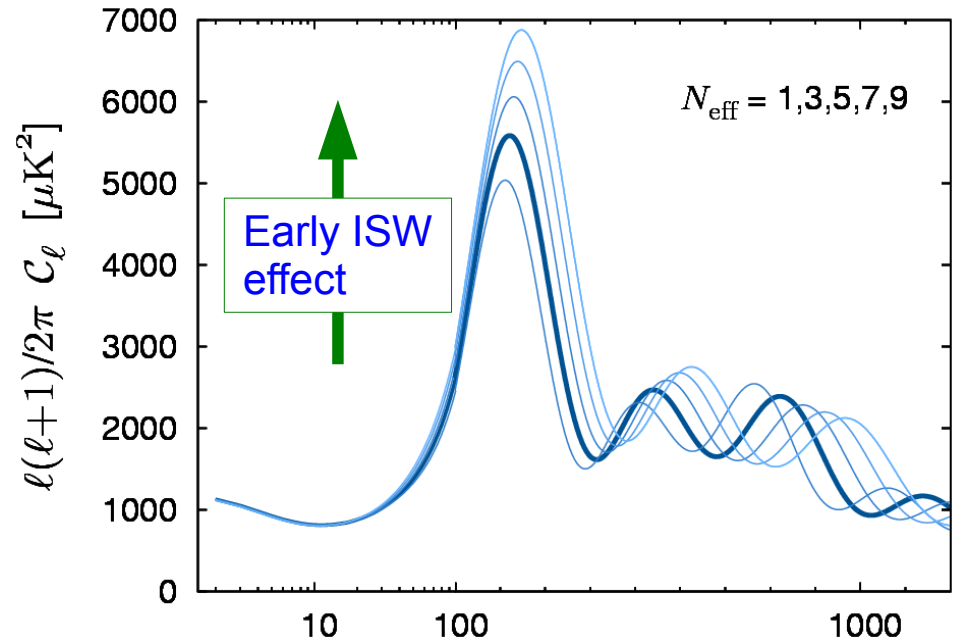


- Looks easy... but we also use the **same data** to measure at least **6 other cosmological parameters**:  $(\Omega_b h^2, \Omega_m h^2, h, n_s, A_s, \tau)$

# How it works: parameter degeneracies...

## $N_{\text{eff}}$ effects on the CMB...

- Matter-radiation equality (first peak height relative to plateau)
- Sound horizon/angular positions of peaks
- Anisotropic stress
- Damping tail



## Degeneracies...

- Matter density

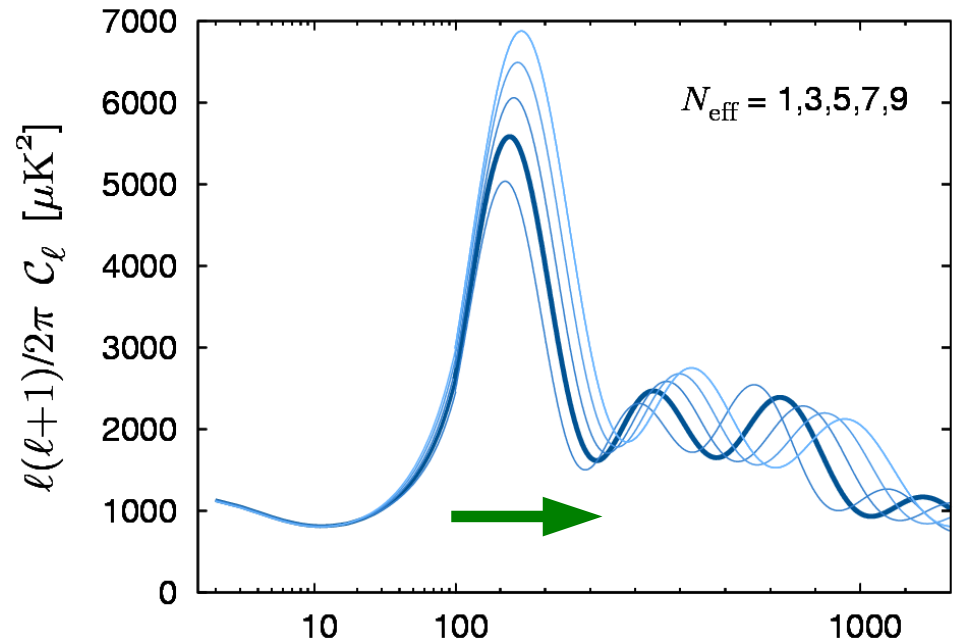
Redshift of equality

$$1 + z_{\text{eq}} = \frac{\Omega_m}{\Omega_r} \approx \frac{\Omega_m h^2}{\Omega_y h^2} \frac{1}{1 + 0.2271 N_{\text{eff}}}$$

# How it works: parameter degeneracies...

## $N_{\text{eff}}$ effects on the CMB...

- Matter-radiation equality (first peak height relative to plateau)
- Sound horizon/angular positions of peaks
- Anisotropic stress
- Damping tail



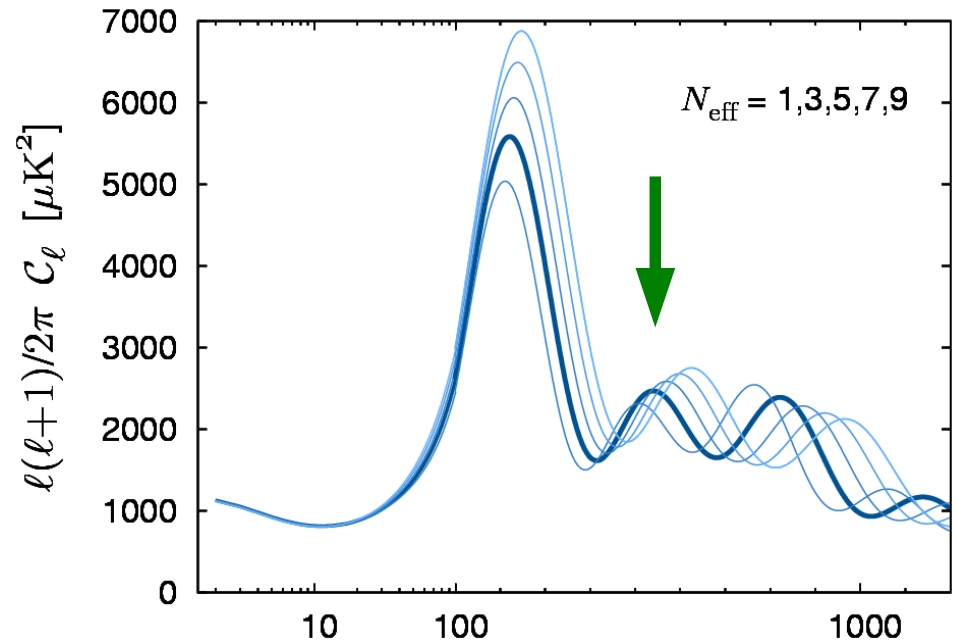
## Degeneracies...

- $z_{\text{eq}}$  affects the sound horizon: degenerate with **baryon and DM densities**.
- Angular positions depend on distance to LSS and hence on **DE density**.

# How it works: parameter degeneracies...

## $N_{\text{eff}}$ effects on the CMB...

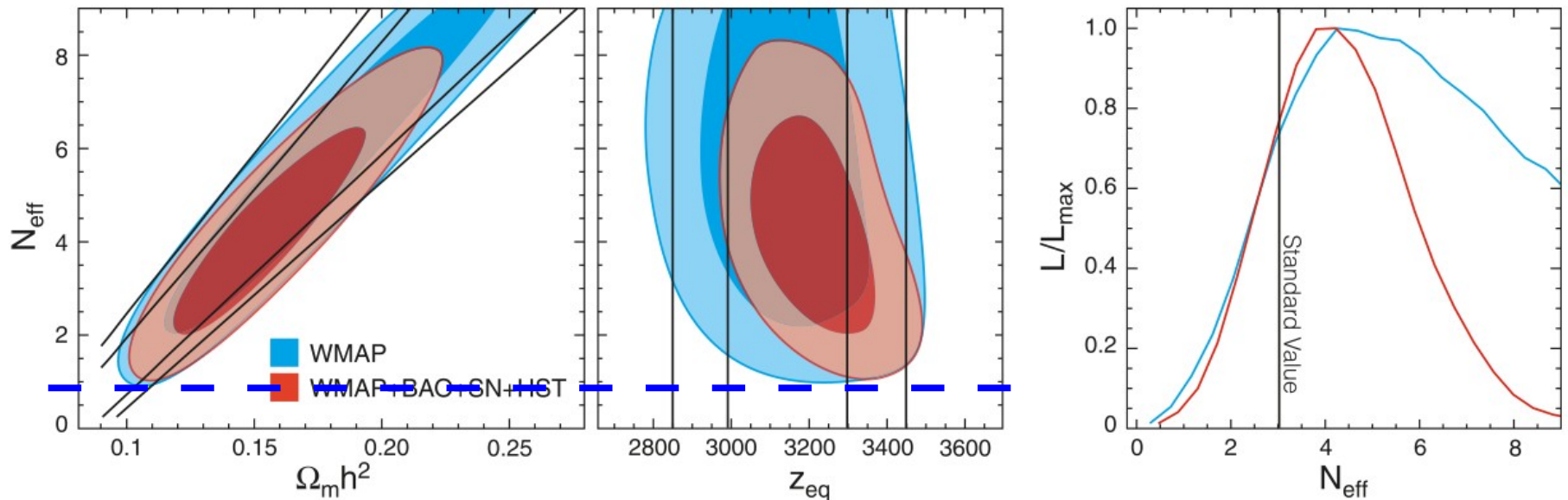
- Matter-radiation equality (first peak height relative to plateau)
  - Sound horizon/angular positions of peaks
  - Anisotropic stress
  - Damping tail
- Free-streaming particles



## Degeneracies...

- Anisotropic stress; damps oscillations at  $l > 200$ .
- Partially degenerate with **primordial fluctuation amplitude**.

- Measurement of the anisotropic stress (since WMAP-5) gives **lower limit on  $N_{\text{eff}}$  from CMB alone** (without supplementary large-scale structure data).



Komatsu et al. [WMAP5] 2008

- Upper limit** (pre 2010) requires combination with other observations (LSS, HST, SN) sensitive to the **matter density** and the **expansion rate**...

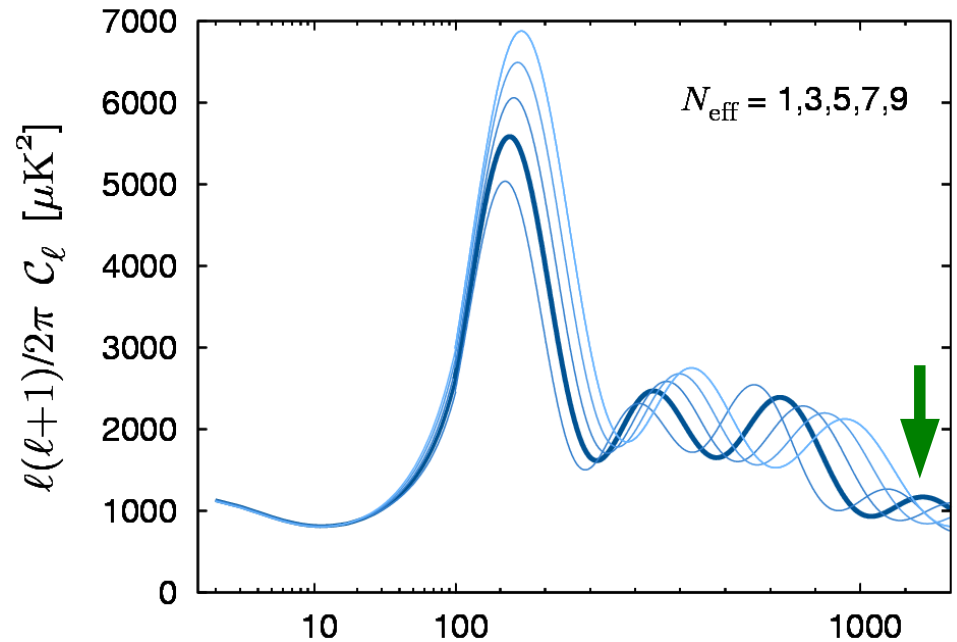
**OR...**



# How it works: parameter degeneracies...

## $N_{\text{eff}}$ effects on the CMB...

- Matter-radiation equality (first peak height relative to plateau)
- Sound horizon/angular positions of peaks
- Anisotropic stress
- Damping tail

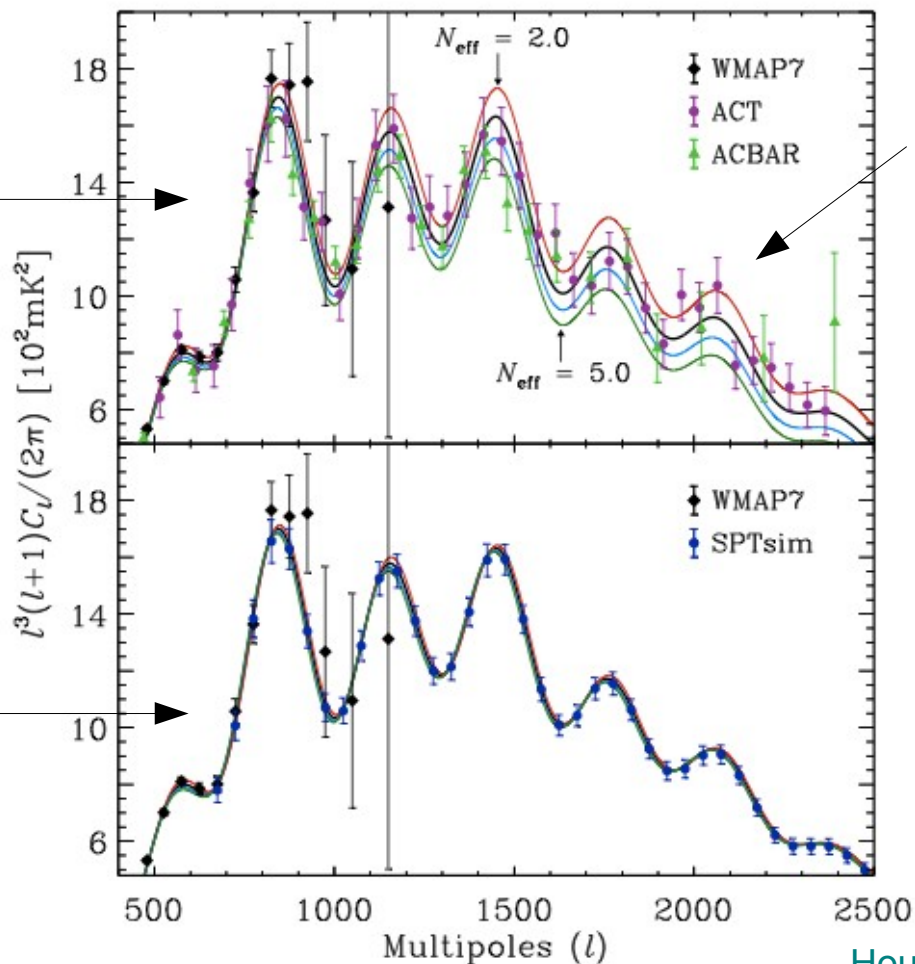


## Degeneracies...

- $N_{\text{eff}} \rightarrow$  higher expansion rate  $\rightarrow$  more Silk damping.
- Some degeneracy with the Helium fraction.

- $N_{\text{eff}}$  and the CMB damping tail:

- Matter-radiation equality  
 - Baryon density  
 - Sound horizon  
 fixed to agree with WMAP



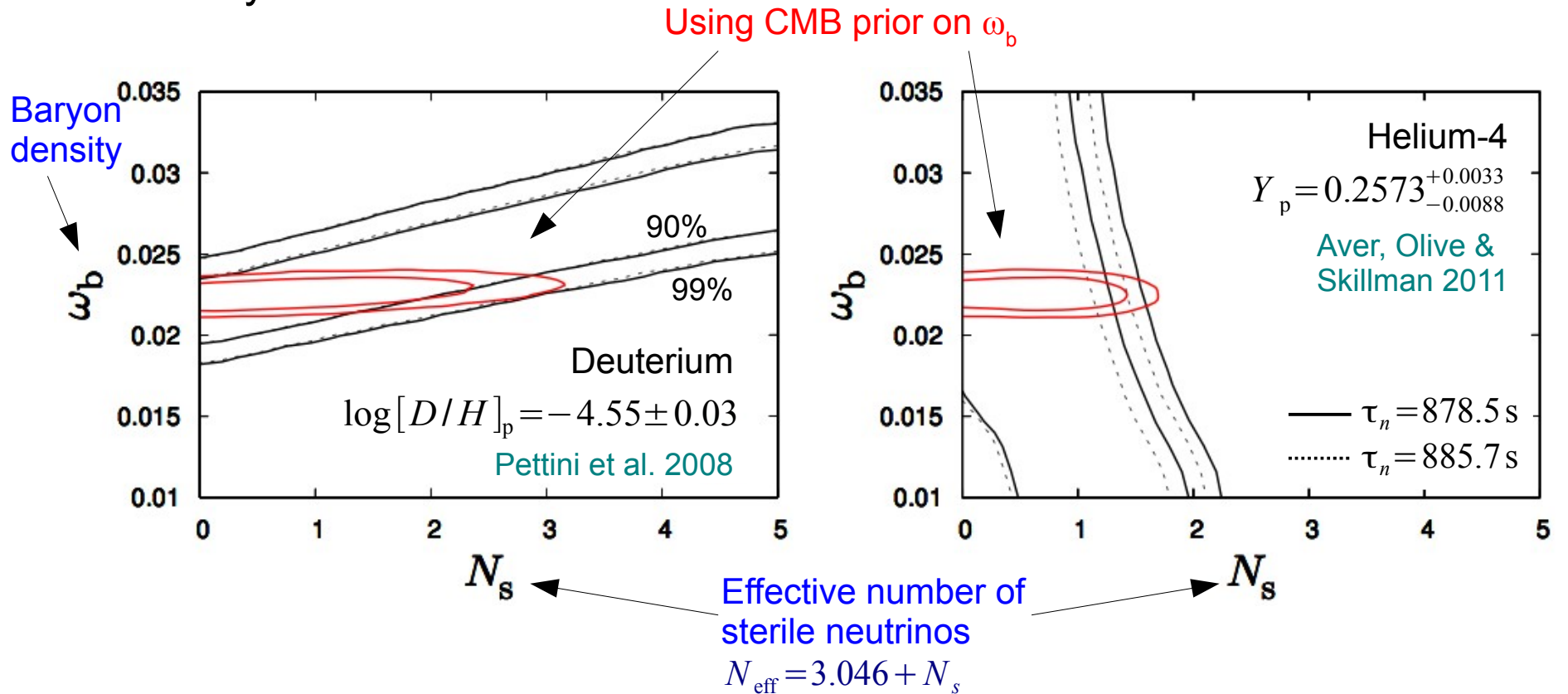
Different  $N_{\text{eff}}$  visible  
 in the damping tail  
 (probed by ACT & SPT  
 and Planck)

Degeneracy with  
 the helium fraction  
 is not exact  
 → Can be resolved  
 with Planck

**2b. BBN**

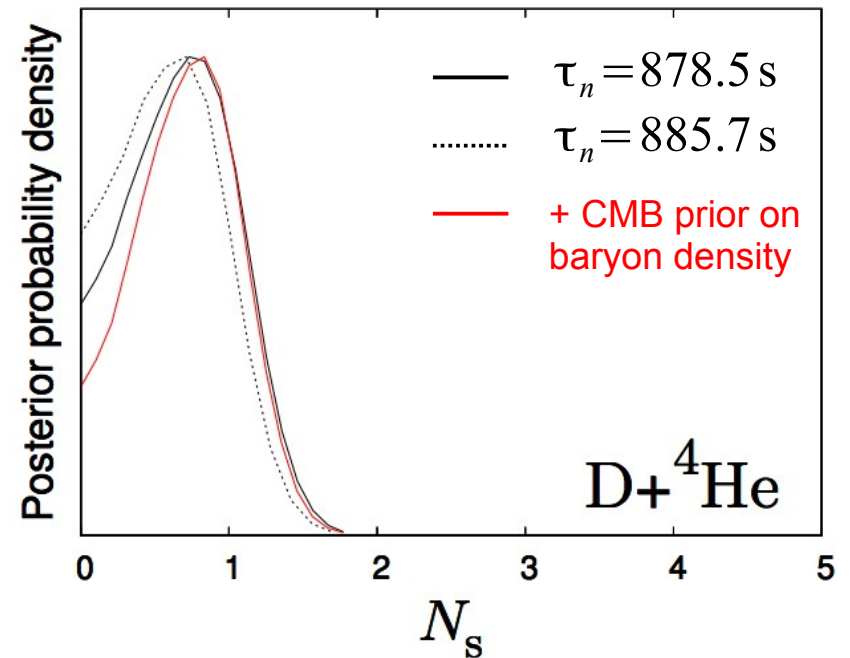
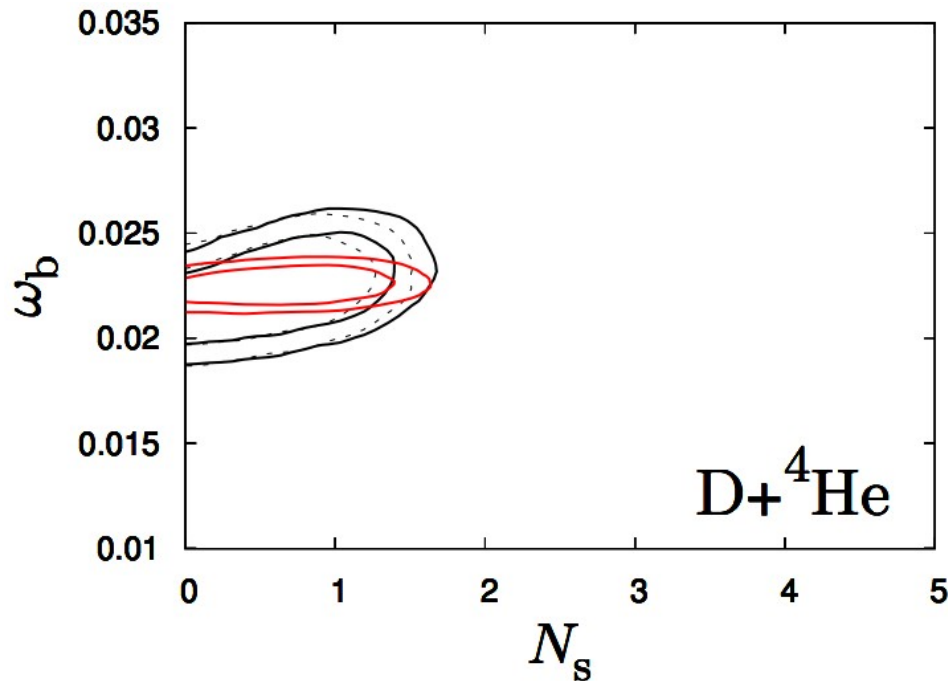
# Evidence for $N_{\text{eff}} > 3$ from BBN...

- Light element abundances are sensitive to excess relativistic energy density.



# Evidence for $N_{\text{eff}} > 3$ from BBN...

- Mild preference for  $N_{\text{eff}} > 3$  (or  $N_s > 0$ ) from Deuterium+Helium-4.
- But  $N_s = 2$  is **strongly disfavoured**.



# Quick fix: degenerate BBN...

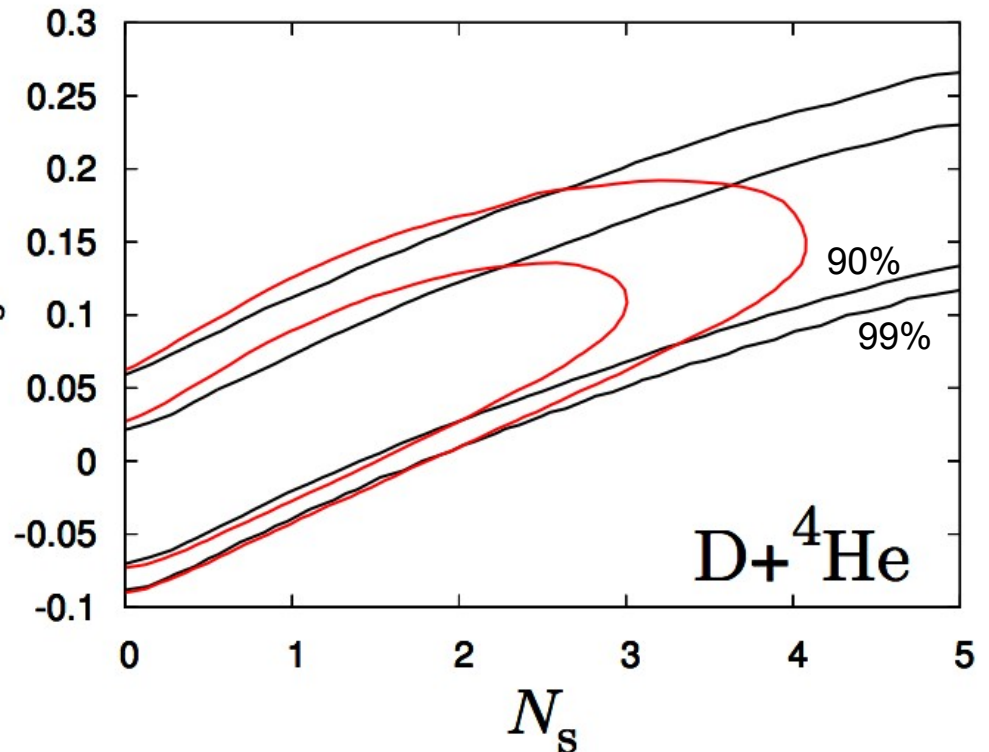
- Introduce a **neutrino chemical potential** (= O(0.1) **lepton asymmetry**).
- Then even  $N_s = 3$  is **allowed** by BBN.

Lepton asymmetry

$$L \equiv \frac{n_{\nu_\alpha} - n_{\bar{\nu}_\alpha}}{n_\gamma}$$

$$= \frac{1}{12\zeta(3)} \left(\frac{T_\nu}{T_\gamma}\right)^3 (\pi^2 \xi + \xi^3)$$

Neutrino chemical potential  $\xi$

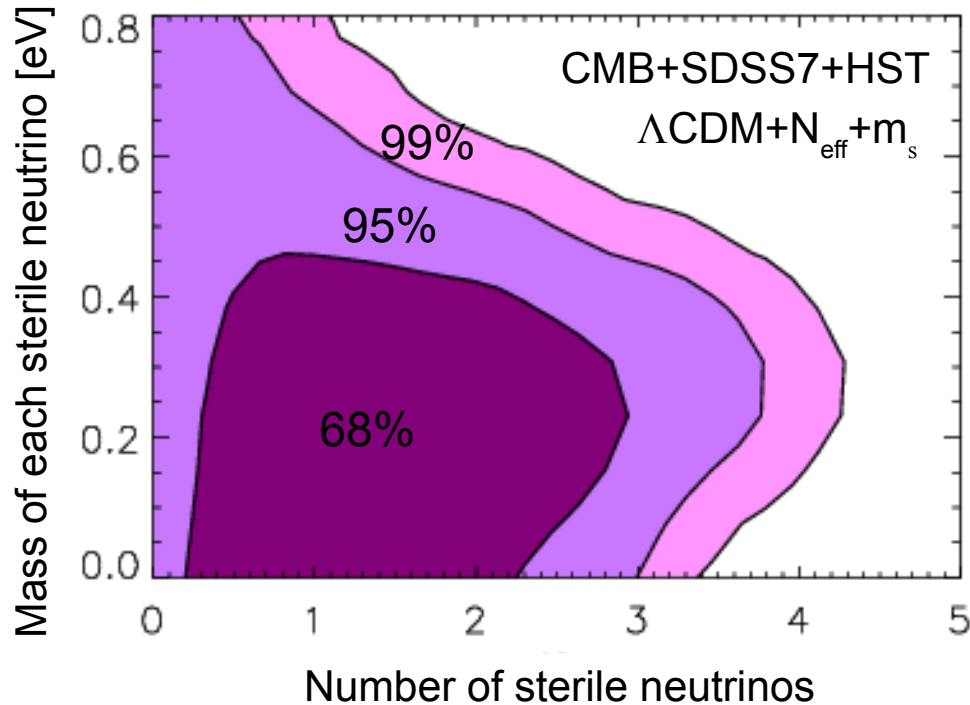


**Question:** How to simultaneously get  $L = O(0.1)$  and  $B = O(10^{-10})$ ?

## 2c. Implications for the LSND/MiniBooNE/reactor $\nu_s$

# Can the reactor/MiniBooNE sterile $\nu$ explain $N_{\text{eff}} > 3$ ?

- **Short answer:** Not so easy.
- **Reason:** eV mass sterile neutrinos **violate CMB+LSS  $\nu$  mass bounds.**



- 3+1 thermalised sterile:  
 $m_s < 0.48$  eV (95% C.I.)

Lab best-fit:  $m_s \sim 1$  eV

- 3+2 thermalised sterile:  
 $m_{s1} + m_{s2} < 0.9$  eV (95% C.I.)

Lab best-fit:  $m_{s1} \sim 0.7$  eV,  $m_{s2} \sim 0.9$  eV



# Is there a way out?

- **Plan A:** Suppress sterile neutrino thermalisation (e.g., using a large lepton asymmetry).
  - $N_{\text{eff}} > 3$  explained by some other physics (sub-eV thermal axions, hidden photons, etc.?)

# Is there a way out?

- **Plan A:** Suppress sterile neutrino thermalisation (e.g., using a large lepton asymmetry).
  - $N_{\text{eff}} > 3$  explained by some other physics (sub-eV thermal axions, hidden photons, etc.?)
- **Plan B:** Failing to suppress  $\nu_s$  thermalisation, exploit parameter degeneracies in the CMB+LSS to engineer a good fit.
  - Some known degeneracies:
    - Neutrino mass  $\uparrow$  – Extra massless degrees of freedom  $\uparrow$
    - Neutrino mass  $\uparrow$  – Dark energy EoS parameter  $w \downarrow$

Either way new physics is required...

Even more thermalised  
massless species

Non-standard dark energy  
equation of state

Framework	Neutrino sector	$\Delta\chi_{\text{eff}}^2$	$\Delta N_{\text{ml}}$	$w$	$\omega_{\text{cdm}}$
$\Lambda$ CDM	3 massless	0	—	—	$0.1132^{+0.0036}_{-0.0082}$
<b>Best-fit</b> →	<u>3 massless + 1 sterile (0 eV)</u>	<u>-3.16</u>	—	—	$0.1299^{+0.0069}_{-0.0066}$
	3 massless + 1 sterile (1 eV)	4.20	—	—	$0.1398^{+0.0061}_{-0.0074}$
	3 massless + 1 sterile (2 eV)	21.41	—	—	$0.1473^{+0.0075}_{-0.0064}$
$\Lambda$ CDM+ $\Delta N$	3+ $\Delta N_{\text{ml}}$ massless + 1 sterile (0 eV)	-3.54	$0.01^{+1.12}_{-0.01}$	—	$0.133^{+0.023}_{-0.005}$
	3+ $\Delta N_{\text{ml}}$ massless + <u>1 sterile (1 eV)</u>	<u>2.26</u>	$1.49^{+1.11}_{-0.73}$	—	$0.166^{+0.026}_{-0.017}$
	3+ $\Delta N_{\text{ml}}$ massless + 1 sterile (2 eV)	12.82	$2.57^{+1.24}_{-0.59}$	—	$0.192^{+0.031}_{-0.015}$
$w$ CDM+ $\Delta N$	3+ $\Delta N_{\text{ml}}$ massless + 1 sterile (0 eV)	-5.38	$0.09^{+1.61}_{-0.09}$	$-1.00^{+0.18}_{-0.12}$	$0.132^{+0.032}_{-0.006}$
	3+ $\Delta N_{\text{ml}}$ massless + <u>1 sterile (1 eV)</u>	<u>-0.78</u>	$1.23^{+1.61}_{-0.75}$	$-1.11^{+0.18}_{-0.21}$	$0.164^{+0.035}_{-0.015}$
	3+ $\Delta N_{\text{ml}}$ massless + 1 sterile (2 eV)	7.80	$2.48^{+1.71}_{-0.79}$	$-1.17^{+0.23}_{-0.22}$	$0.198^{+0.032}_{-0.019}$

- CMB+LSS can reasonably accommodate 1 x 1 eV sterile neutrinos if we **modify** the **dark energy** sector and put in **extra massless d.o.f.**
- 1 x 2 eV is still problematic...


# Planck and $N_{\text{eff}}$ ...

- The question of whether  $N_{\text{eff}} \sim 4$  will be settled **almost immediately** by Planck (launched May 14, 2009; public data early 2013).

Experiment	$f_{\text{sky}}$	$\theta_b$	$w_T^{-1/2}$ [ $\mu\text{ K}'$ ]	$w_P^{-1/2}$ [ $\mu\text{ K}'$ ]	$\Delta N_\nu$ TT	$\Delta N_\nu$	$\Delta N_\nu$ (free $Y$ )
						TT+TE+EE	TT+TE+EE
Planck	0.8	7'	40	56	0.6	<u>0.20</u>	<u>0.24</u>
ACT	0.01	1.7'	3	4	1	0.47	0.9
ACT + Planck					0.4	0.18	0.24
CMBPOL	0.8	4'	1	1.4	0.12	0.05	0.09

Bashinsky & Seljak 2004

Helium fraction  
as a free parameter



# Summary...

- Precision cosmology constrains **sum of neutrino masses to  $< 1$  eV**.
  - Will do even better in the future.
- Current precision cosmological data show a preference for extra **relativistic degrees of freedom** (beyond 3 neutrinos).
  - **Sterile neutrino** interpretation of reactor/MiniBooNE/LSND anomalies does not quite fit into the simplest picture...
    - 3+2: **Too many** for BBN
    - 3+1, 3+2: **Too heavy** for CMB/LSS
  - Non-trivial **extensions to  $\Lambda$ CDM** can alleviate the tension somewhat.
  - **Planck will tell!**