First Oscillation Results From MiniBooNE

Martin Tzanov University of Colorado

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Outline

- Introduction
- MiniBooNE experiment.
- Oscillation analysis.
- First oscillation result.
- Conclusions.

LSND Experiment

Liquid Scintillator Neutrino Detector at Los Alamos Meson Physics Facility (LAMPF) accelerator

- Neutrino source: stopped pion and muon decays
- Search for $\overline{\nu_{\mu}} \rightarrow \overline{\nu_{e}}$ oscillations
- L = 30 m, E = 30-53 MeV

Observed excess:

- an excess of $\overline{\nu}_{e}$ events in a $\overline{\nu}_{\mu}$ beam, 87.9 ± 22.4 ± 6.0 (3.8 σ)
- which can be interpreted as $\overline{\nu}_{\mu} \rightarrow \overline{\nu}_{e}$ oscillations:



Points -- LSND data Signal (blue) Backgrounds (red, green)

LSND Oscillation Signal

LSND observed excess in the context of two-neutrino oscillation:

$$P(\overline{\nu}_{\mu} \rightarrow \overline{\nu}_{e}) = (2.5 \pm 0.6_{stat} \pm 0.4_{syst}) \times 10^{-3}$$

Comparison with KARMEN and **Bugey** given the same oscillation model

Joint analysis with Karmen2: 64% compatible

Church, et al., PRD 66, 013001



Neutrino Oscillations – Pre MiniBooNE

In three neutrino model two Δm^2 constrain the third:

•
$$\Delta m_{13}^2 = \Delta m_{12}^2 + \Delta m_{23}^2$$

 $\begin{array}{lll} {\rm LSND} & \Delta m^2 > 0.1 {\rm eV}^2 & \bar{\nu}_\mu \leftrightarrow \bar{\nu}_e \\ {\rm Atmos.} & \Delta m^2 \approx 2 \times 10^{-3} {\rm eV}^2 & \nu_\mu \leftrightarrow \nu_7 \\ {\rm Solar} & \Delta m^2 \approx 10^{-4} {\rm eV}^2 & \nu_e \leftrightarrow \nu_7 \end{array}$

• 3 neutrino masses can not reconcile an order of magnitude difference in the 3 Δm^2 .

Is there fourth neutrino?

• Z⁰ boson resonance width measurements is consistent with only 3 weakly interacting neutrinos.

Possible solutions

- Sterile neutrino sector.
- Discover one of the three is not oscillations.



MiniBooNE Experiment – E898 at Fermilab

Test of LSND within the context of $v_{\mu} \rightarrow v_{e}$ appearance only is an essential first step:

- Keep the same L/E
- Higher energy and longer baseline E=0.5 1 GeV; L=500m
- Different beam
- Different oscillation signature $v_{\mu} -> v_{e}$
- Different systematics
- Antineutrino-capable beam



MiniBooNE Collaboration

A. A. Aguilar-Arevalo, A. O. Bazarko, S. J. Brice, B. C. Brown,
L. Bugel, J. Cao, L. Coney, J. M. Conrad, D. C. Cox, A. Curioni,
Z. Djurcic, D. A. Finley, B. T. Fleming, R. Ford, F. G. Garcia,
G. T. Garvey, J. A. Green, C. Green, T. L. Hart, E. Hawker,
R. Imlay, R. A. Johnson, P. Kasper, T. Katori, T. Kobilarcik,
I. Kourbanis, S. Koutsoliotas, J. M. Link, Y. Liu, Y. Liu,
W. C. Louis, K. B. M. Mahn, W. Marsh, P. S. Martin, G. McGregor,
W. Metcalf, P. D. Meyers, F. Mills, G. B. Mills, J. Monroe,
C. D. Moore, R. H. Nelson, P. Nienaber, S. Ouedraogo,
R. B. Patterson, D. Perevalov, C. C. Polly, E. Prebys, J. L. Raaf,
H. Ray, B. P. Roe, A. D. Russell, V. Sandberg, R. Schirato,
D. Schmitz, M. H. Shaevitz, F. C. Shoemaker, D. Smith, M. Sorel,
P. Spentzouris, I. Stancu, R. J. Stefanski, M. Sung, H. A. Tanaka,
R. Tayloe, M. Tzanov, M. O. Wascko, R. Van de Water, D. H. White,
M. J. Wilking, H. J. Yang, G. P. Zeller, E. D. Zimmerman

University of Alabama Bucknell University University of Cincinnati University of Colorado Columbia University Embry Riddle University Fermi National Accelerator Laboratory Indiana University



Los Alamos National Laboratory Louisiana State University University of Michigan Princeton University Saint Mary's University of Minnesota Virginia Polytechnic Institute Western Illinois University Yale University

Booster and Magnetic Horn



- MiniBooNE extracts beam from the 8 GeV Booster
- 4×10^{12} protons per 1.6 µs pulse delivered at up to 5 Hz.

 6.3×10^{20} POT delivered.



Delivered to a 1.7λ Be target inserted into a magnetic horn (2.5 kV, 174 kA) that (increases the flux by ×6)

The MiniBooNE Detector



541 meters downstream of targ 3 meter overburden 12 meter diameter sphere (10 meter "fiducial" volum Filled with 800 t of pure mineral oil (CH₂ (Fiducial volume: 450 t 1280 inner phototubes, 240 veto phototubes

Timing and Subevents

A 19.2 μ s beam trigger window

- \bullet encompasses the 1.6 μs spill
- starts 4 μs before the beam

Subevent: Multiple hits within a ~100 ns window form "subevents"

Most events are from v_{μ} CC interactions $(v+n \rightarrow \mu+p)$ with characteristic two "subevent" structure from stopped $\mu \rightarrow v_{\mu}v_{e}e$



Event Topologies in MiniBooNE Detector



Electron/photon event – fuzzy ring

- short track, large scattering
- γ converts and looks like electrons

Muon event

long track, small scattering

π^0 event – two fuzzy rings



Oscillation Analysis

- Neutrino flux model.
- Neutrino cross sections model.
- Detector response model.
- Particle ID and reconstruction
- Systematic errors and checks
- Oscillation fit

Neutrino Flux Prediction

- GEANT4 based Monte Carlo simulates the neutrino flux in MiniBooNE beamline,
- high purity v_{μ} beam 99%, small v_e component intrinsic v_e
 - background for ν_{e} appearance

$$u_{\mu} \mathop{\longrightarrow} \nu_{e}, \quad \nu_{e} / \nu_{\mu} = 0.5\%$$

• "Intrinsic" $v_e + \overline{v}_e$ sources:

$\mu^+ \rightarrow e^+ \ \overline{\nu}_{\mu} \nu_e$	(52%)
$\text{K+} \rightarrow \pi^0 \; e^{\scriptscriptstyle +} \; \nu_e$	(29%)
${\rm K^0} ightarrow { m p} \ { m e} \ { m v_e}$	(14%)
Other	(5%)

Fraction of v_{μ} Flux / 0.1 GeV v_{μ} Flux $\pi \rightarrow \mu \nu_{\mu}$ 10 v_{e} Flux 10 $\rightarrow \mu \nu \mu$ -3 10 10 $\rightarrow e \nu_{\mu} \nu_{e}$ $K \rightarrow \pi e \nu_e$ 10 0.5 1.5 2.5 1 2 3 E_{u} (GeV)

• Antineutrino content: 6%

π^+ Production Cross Section from HARP



 π^+ production cross section \circ is parameterized from a fit to HARP π^+ production cross section, using the standard Sanford-Wang parameterization.

HARP collaboration, hep-ex/0702024



HARP (CERN) measured the π^+ production cross section

- 5% λ Beryllium target
- 8.9 GeV proton beam momentum

K Production Cross Section

- K⁺ production cross section is parameterized from a fit to external data with beam momentum from 10-24 GeV.
- Feynman Scaling function is used parameterization.
- SW parameterization was also used and it's completely covered by the FS uncertainty.

data -- points dash --total error (fit ⊕ parameterization)

• K⁰ cross section is also parameterized from external data using SW.



K⁺ Production Limit from LMC

- LMC off-axis muon spectrometer viewing the decay pipe at 7°.
- High-p_T μ's come from K⁺ decays; Low-p_T μ's come from π⁺ decays
- Effective |p| separation at this angle.

Constraint on the K⁺ flux normalization:

- MC simulates p and K decays.
- No hadronic interaction backgrounds simulated.
- Plot shows data vs MC for well-identified muons in a region where we expect low backgrounds.

The upper limit on the K⁺ flux normalization is 1.32.



Neutrino Cross Section Model - NUANCE



D. Casper, NPS, 112 (2002) 161

Predicted event type fractions.

Predicted neutrino energy spectrum

Charge Current Quasielastic

Golden mode for oscillation search $\nu_l n \rightarrow l^- p$

- Clean signature in the detector.
- Neutrino energy is reconstructed from the reconstructed momentum and angle of the charged lepton.

$$E_{\nu}^{CCQE} = \frac{m_N E_l - \frac{1}{2} m_l^2}{m_N - E_l + p_l \cos \theta_l}$$
$$Q^2 = -2E_{\nu} (E_l - p_l \cos \theta_l) + m_l^2$$

Nuclear target

Nucleon is not excited



Tuning the Cross Section Model - QE

Default NUANCE model QE Q² distr. shows discrepancy with data.

reported by K2K (1kt) as well

From Q² fits to MB ν_{μ} CCQE data:

- M_A^{eff} -- effective axial mass
- E_{lo}SF -- Pauli Blocking parameter

From electron scattering data:

- E_B -- binding energy
- p_F -- Fermi momentum

Submitted for publication to PRL: *e-Print: arXiv:0706.0926 Measurement of Muon Neutrino Quasi-Elastic Scattering on Carbon*.



Δ Resonance Production



$CC\pi^+$

Easy to tag due to 3 subevents. Not a substantial background to the oscillation analysis.



(also decays to a single photon with 0.56% probability)

$NC\pi^0$

The π^0 decays to 2 photons, which can look "electron-like" mimicking the signal.

<1% of π^0 contribute to background.

Constraining NC Δ Resonance

- Fully reconstructed π^0 events sample constrains the total NC Δ rate.
- Re-weight the MC π^0 using the measured momentum distribution and total rate.
- Reduces the uncertainty of the π^0 mis-ID/misreconstructed background.
- It constrains also $\Delta -> N\gamma$

Reweighting improves agreement in other variables, e.g.⇒



External Backgrounds

"Dirt" Events v interactions outside of the detector $N_{data}/N_{MC} = 0.99 \pm 0.15$





Cosmic Rays:

Measured from out-of-beam data: 2.1 \pm 0.5 events

Detector "Optical" Model

Primary light sources

- Cherenkov
 - •Emitted promptly, in cone known wavelength distribution
- Scintillation
 - Emitted isotropically
 - Several lifetimes, emission modes
 - Studied oil samples using Indiana Cyclotron test beam
 - Particles below Cherenkov threshold still scintillate

We have developed 39-parameter "Optical Model" based on internal calibration and external measurement Extinction Rate for MiniBooNE Marcol 7 Mineral Oil



Optical properties of oil, detectors:

Absorption

(attenuation length >20m at 400 nm)

- Rayleigh and Raman scattering
- Fluorescence
- Reflections

Detector "Optical" Model



Timing distribution for PMT hits

- Calibration laser source inside tank
- Monte Carlo with full optical model describes most of the timing structure



Events Reconstruction and Particle ID

Two parallel approaches to PID analysis:

Track/likelihood-based (TB)

PID is based on log-likelihood ratios of different particle hypotheses. Boosted decision trees (BDT)

PID is based on algorithm extracting collective information from a large number of low level variables.

Blind Analysis

MiniBooNE is searching for a small but distinctive event signature.

Blind region:

Electron-like events were sequestered
 about 1% of the in-beam events.

The rest 99% of in beam events

- At the beginning highly restrictive.
- Rule for cuts to sequester events:
 <1σ signal outside of the box
- Look closer and closer to the box as the PID and MC became more and more trustworthy.

Finally box was opened in series of steps.



Eliminating Cosmic Background

Progressively introducing cuts on the time window:







10000

8000

6000

4000

2000

-4000 -2000

Veto<6 removes through-going cosmics

This leaves "Michel electrons" $(\mu \rightarrow \nu_{\mu}\nu_{e}e)$ from cosmics

Tank Hits > 200 (effective energy cut) removes Michel electrons, which have 52 MeV endpoint.

4000 6000 8000

Corrected Event Time (ns)

10000 12000

14000

2000

Tank hits > 200

Veto hits < 6

Analysis Precuts

Precuts: Only 1 subevent Veto hits < 6 Tank hits > 200

And a radius precut: R<500 cm (where reconstructed R is algorithm-dependent)



Track-Based Analysis Track Reconstruction

Predicts the probability for each tube to be "hit" based on the average number photo electrons (PE).

- detailed calculation of the PE, given the optical properties of the detector and the particle parameters (parameters in the fit), accounting for:
 - Non-uniform light source.
 - Prompt light
 - Delayed light
 - Indirect light
 - Angular profile of the produced light.

Several track hypothesis:

- a single track (μ,e) is parameterized with 7 parameters –
 (x⁰, y⁰, z⁰, T⁰, E⁰, θ⁰, φ⁰)
- two track fit to π^0 hypothesis includes additionally γ_1 , γ_2 conversion lengths, energy and direction of γ_2 π^0 mass.

Perform likelihood fits to each event with different particle hypothesis (μ , e, π^0 -> 2 γ with and without π^0 mass constraint).

Track-Based Analysis Rejecting Muon-like Events

- Single track fit to muon and electron hypothesis
- $log(L_{\epsilon}/L_{\mu})$ >0 selects electron hypothesis.
- The cut is a quadratic function with energy, optimizing oscillation sensitivity.
- Separation is clean at high energies where muon-like events are long.







Track-Based Analysis Predicted Background and Signal Efficiency



Boosted Decision Tree Analysis (BDT)

- An algorithm optimized to combine many weakly discriminating variables into one that provides powerful separation *B. Roe et al., Nucl. Inst. Meth.* **A543** 577 (2005)
- Procedure for building a "decision tree":
 - Find the variable separating signal and background best.
 - for each of the two subsets repeat the process.
 - final nodes are called leaves (can not be further separated).





Boosted Decision Tree

 A set of decision trees can be developed, each re-weighting the events to enhance identification of backgrounds misidentified by earlier trees ("boosting")

For each tree, the data event is assigned
+1 if it is identified as signal,
-1 if it is identified as background.

The total for all trees is combined into a "score"



Background and Signal Efficiency of BDT

Analysis cuts on PID score as a function of Energy



Uncertainties, Constraints and Sensitivity

Background Components

We have two categories of backgrounds:



Predictions of the backgrounds are among the nine sources of significant error in the analysis

Systematic Uncertainties

Source of Uncertainty	Track Based /Boosted Decision Tree	Checked or Constrained 1 by MB data	Further reduced by tying
On v_e background	error in %		$v_{\rm e}$ to v_{μ}
Flux from π^+/μ^+ decay	6.2 / 4.3		√ .
Flux from K ⁺ decay	3.3 / 1.0		
Flux from K ⁰ decay	1.5 / 0.4		
Target and beam models	2.8 / 1.3		
v-cross section	12.3 / 10.5		\checkmark
NC π^0 yield	1.8 / 1.5		
External interactions ("Dirt")	0.8 / 3.4		,
Optical model	6.1 / 10.5	\checkmark	
DAQ electronics model	7.5 / 10.8	\checkmark	

Cross Section Uncertainties

(Many are common to v_{μ} and v_{e} and cancel in the fit)

Parameter	Error/Value	Source		
M _A ^{QE} , E _{lo} SF QE σ norm	6%, 2% (stat+bkg) 10%	$\begin{array}{c} MB v_{\mu} CCQE \\ MB v_{\mu} CCQE \end{array}$		
NC π^0 rate $\Delta \rightarrow N\gamma$ rate	few % (depends on p _π) ~10%	MB NC π^0 data MB NC π^0 data, BR		
E _B , p _F σ DIS	9 MeV, 30 MeV 25%	External data External data		

Error Propagation

Use "Multisim" technique for error propagation:

• vary the parameters according to a full covariance matrix and obtain MC for each parameter set (ensemble of MC experiments).

Optical model:

- depends on 39 parameters such as absorption, scintillation, etc.
- ensemble of 70 full GEANT MC "experiments" to map the space of detector responses to the parameters.

Other:

Flux and neutrino cross-section parameter variations do not affect the hit distributions for a given event, only the probability of that event occurring in the first place
ensemble of 1000 MC by reweighting the same MC events: reduced MC statistics error and greatly reduced CPU usage.

Example of multisim outputs in a single osc. bin:

70 Optical Model multisims



events passing signal cuts in bin 500<E " OE<600 Me

Error Matrix Calculation

$$E_{ij} \approx \frac{1}{M} \sum_{\alpha=1}^{M} \left(N_i^{\alpha} - N_i^{MC} \right) \left(N_j^{\alpha} - N_j^{MC} \right)$$

- N is number of events passing cuts
- MC is standard monte carlo
- α represents a given multisim
- M is the total number of multisims
- i,j are E_v^{QE} bins

Total error matrix is sum from each source.

TB: v_e -only total error matrix BDT: v_{μ} - v_e total error matrix



Predicted Background Content (TB)

	Process		Number of Events		
Ds	v_{μ} CCQE $v_{\mu}e \rightarrow v_{\mu}e$ Miscellaneous v_{μ} Events			10 ± 7 ± 13 ±	2 2 5
MisI	NC π^0 NC Δ -> N γ NC Coherent & Radiative	γ	< 1	62 ± 20 ±	10 4
	Dirt Events	•		17 ±	3
Intrinsic V _e	v_{e} from μ Decay v_{e} from K ⁺ Decay v_{e} from K ⁰ _L Decay v_{e} from π Decay	2	23 ±	132 ± 71 ± 7 3 ±	10 26 1
	Total Background	3	58 ±	35	
LSND signal 0.26% $v_{\mu} \rightarrow v_{e}$				163 ±	21

MiniBooNE Sensitivity



Set using $\Delta \chi^2 = 1.64$ @ 90% CL

First Oscillation Results

Unblinding Steps

After applying all analysis cuts:

- 1. Fit sequestered data to an oscillation hypothesis, returning no fit parameters. Return the χ^2 of the data/MC comparison for a set of diagnostic variables.
- 2. Open up the plots from step 1. The Monte Carlo has unreported signal. Plots chosen to be useful diagnostics, without indicating if signal was added.
- 3. Report the χ^2 for a fit to E_{ν}^{QE} , without returning fit parameters.
- 4. Compare E_v^{QE} in data and Monte Carlo, returning the fit parameters. At this point, the box is open (March 26, 2007)

Setting Low Energy Cut

All analysis variables were returned with good probability (Step 1) except TB analysis χ^2 Probability of E_{visible} (not E_v^{QE}) fit: 1%

- We re-examined our background estimates using sideband studies
 - We found no evidence of a problem
- However, knowing that backgrounds rise at low energy, We tightened the cuts for the oscillation fit (TB only):

 $E_{\nu}^{QE} > 475 \text{ MeV}$

We agreed to report events over the original full range: $E_v^{QE} > 300 \text{ MeV}$



Counting Experiment

The Track-based $v_{\mu} \rightarrow v_{e}$ Appearance-only Result:

Counting Experiment: 475<E_vQE<1250 MeV

data: 380 events expectation: 358 \pm 19 (stat) \pm 35 (sys) events

> significance: 0.55 σ

No evidence of oscillations

Energy Fit

Track Based energy dependent fit results:

- Data are in good agreement with background prediction.
- Best Fit (dashed): $(\sin^2 2\theta, \Delta m^2) = (0.001, 4 \text{ eV}^2)$



Error bars are diagnonals of error matrix.

Fit errors for >475 MeV: Normalization 9.6% Energy scale: 2.3%

Oscillation Limit

- The result of the $\nu_{\mu} \rightarrow \nu_{e}$ appearance-only analysis is a <u>limit</u> on oscillations.
- χ² probability, null hypothesis: 93%

Energy fit: $475 < E_v^{QE} < 3000 \text{ MeV}$





Energy Fit to Full Spectrum

Fit to the > 300 MeV range: Best Fit (dashed): $(\sin^2 2\theta, \Delta m^2) = (1.0, 0.03 \text{ eV}^2)$ χ^2 Probability: 18%



BDT Counting Experiment

Counting Experiment: $300 < E_v^{QE} < 1600 \text{ MeV}$ data: 971 events expectation: $1070 \pm 33 \text{ (stat)} \pm 225 \text{ (sys)}$ events significance: -0.38σ



BDT Energy Fit to Full Spectrum

Boosted Decision Tree E_v^{QE} data/MC comparison:



(sidebands used for constraint not shown)

Comparison of the Limits

- Energy-fit analysis: solid: TB dashed: BDT
- Independent analyses are in good agreement.

TB is still the primary analysis



Different Limit Definitions



sin²(2θ)

We will present a full joint analysis soon.

MiniBooNE-LSND Compatibility Test

• For each Δm^2 , determine the MB and LSND measurement: $z_{MB} \pm \delta z_{MB}$, $z_{LSND} \pm \delta z_{LSND}$

where $z = sin^2(2\theta)$ and δz is the 1σ error

• For each Δm^2 , form χ^2 between MB and LSND measurement

$$\chi_0^2 = \frac{(Z_{MB} - Z_0)^2}{\sigma_{MB}^2} + \frac{(Z_{LSND} - Z_0)^2}{\sigma_{LSND}^2}$$

• Find z_0 that minimizes χ^2

(weighted average of two measurements) and this gives χ^2_{min}

• Find probability of χ^2_{min} for 1 dof; this is the joint compatibility probability for this Δm^2

MiniBooNE-LSND Compatibility



MiniBooNE is incompatible with a $v_{\mu} \rightarrow v_{e}$ appearance only interpretation of LSND at 98% CL



More papers supporting this analysis will follow, *in the near future:*

- NC π^0 production
- MiniBooNE-LSND-Karmen joint analysis

Further analyses of the neutrino data,

- Combined TB and BDT analysis,
- more exotic models for the LSND effect,
- Neutrino cross sections.

MiniBooNE is presently taking data in antineutrino mode.

Conclusions

- The observed reconstructed energy distribution is inconsistent with a ν_µ→ν_e appearance-only model
 Therefore we set a limit on y >y
- Therefore we set a limit on v_{μ} -> v_{e} appearance
- Data show discrepancy vs. background at low energies, but spectrum is inconsistent with two-neutrino oscillation.

Accepted for publication in PRL:

e-*Print:* **arXiv:0704.1500 A Search for electron neutrino appearance at the** $\Delta m^2 \sim 1 eV^2$ scale.



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